# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

**Nominees:** This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

**Members:** A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**SSC Nominees and Members:** Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1<sup>st</sup> regardless of whether any information on the form has changed.

**Questions?** 

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

### **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

#### STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Name (	Print last, first, mid	dle initial) Itano, David, G.	
Name of Council or Council C	ommittee Westerr	n Pacific SSC	
Type of Appointment (list Nor	ninee or Member) <u> </u>	Member	
Type of Filing: ☑ Annual	☐ Supplemental	☐ Nominee	

#### **Instructions**

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

#### Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

#### **Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

#### Part 1: Personal Financial Interest

#### **Section 1.1: Assets and Ownership**

Question 1.1.1	
Do you have any stock, equity or ownership interest (whole or partial ownership) in a company or busines:	s engaged in
any of the following activities in any fishery under the jurisdiction of the Council concerned?	
O No	
Yes	

Check all that apply:

■ Harvesting
□ Processing
□ Marketing
□ Provides equipment essential to any of the above activities
□ Provides other services essential to any of the above activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.

I hold a current State of Hawaii, DAR/DLNR Commercial Marine License #10885.

#### Question 1.1.2

Do you have **stock, equity or ownership interest** (whole or partial ownership) in any **fishing vessel** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

O No

Yes

Check all that apply:

Harvesting

Processing

Marketing

Provides equipment essential to any of the above activities

☐ Provides other services essential to any of the above activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.

I hold a current State of Hawaii, DAR/DLNR Commercial Marine License #10885 and own a fishing vessel, USCG Documentation # 995829.

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No     Yes	
	y under the jurisdiction of the Council concerned?
\ \ VOC	
Check all that	t apply:
	vesting
	ressing
	keting
	rides equipment essential to any of the above activities
☐ Prov	rides other services essential to any of the above activities
share holdings or a	ribe each applicable interest, as indicated above. Please include the percentage ownership or my other monetary or in-kind enumeration of the entity. Please include entity name, date the fishery or fisheries of interest.
following services related t  No	uity or ownership interest (whole or partial) in a company, business, or other entity that provides any of the o any fishery under the jurisdiction of the Council concerned?
	pying   Legal
Check all that ☐ Lob! ☐ Adv	oying
Check all that Lobb Adve Please list and describings or all	pying   Legal

NOAA Form 88-195	OMB NO 0648 0192	Expiration 3/31/20
Please list and describe each applicable interest, as indicated above. Please share holdings or any other monetary or in-kind enumeration of the entity. In name, date the interest began, and fishery or fisheries of interest.		
Section 1.2: Employment		
Question 1.2.1  Do you have employment with any company or business engaged in the followir jurisdiction of the Council concerned?   No	ng activities in any fishery under	<sup>·</sup> the
O Yes		
Check all that apply:  ☐ Harvesting ☐ Provides equipment essential to any of the list ☐ Processing ☐ Provides other services essential to any of the ☐ Marketing		
Please list and describe each applicable interest, as indicated above. Please employment, company or business name and fishery or fisheries of interest.	include beginning date of	
Question 1.2.2  Do you have employment with a <b>fishing vessel</b> engaged in the following activitie the Council concerned?	es in any fishery under the jurisd	iction of
O Yes		
Check all that apply:  ☐ Harvesting ☐ Processing ☐ Marketing ☐ Provides equipment essential to any of the above activities		
☐ Provides other services essential to any of the above activities		
Please list and describe each applicable interest, as indicated above. Please of employment, vessel name, gear type and fishery or fisheries of interest.	include beginning date	

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Do you the Cou				ntity	<b>y</b> engaged in the following activities in any fishery under the jurisdiction of
_	No	.OHC	emeur		
O	Yes	, ,			
	Che	_	I that apply:		
			Harvesting Processing		
			Marketing		
			=	ntial	I to any of the above activities
					itial to any of the above activities
1			describe each applicable entity name and fishery or		erest, as indicated above. Please include beginning date of heries of interest.
Questi	on 1	1 2 /	1.		
Do you	have	emp		-	<b>Dusiness, or other entity</b> that provides any of the following services related
	No	y arr	act the jurisdiction of the C	Journ	neil concerned.
	Yes		,		
Check a	II tha			3	
			LODDYING   Logal	,	
			AUVOCACV -	atio	onal Services
1			describe each applicable i		rest, as indicated above. Please include beginning date of neries of interest.
0	1	1 2 1	_		
Questi				h.a.k.a	sikk au anna (nik allin au naukiallin) au is anna al bri (nik allin au naukiallin)
-		-			either owns (wholly or partially) or is owned by (wholly or partially)
				_	ervices, or providing equipment or services essential to harvesting, ctivities, in any fishery under the jurisdiction of the Council concerned?
	No	овву	ing, advocacy, or marketing	ig ac	ctivities, in any fishery under the jurisdiction of the council concerned:
O	Yes	,			
	Che	_	I that apply:		Hamastina
			Consulting		
			Legal Representational Services		Processing Marketing
			·		_
					l to any of the listed activities ntial to any of the listed activities

	d describe each applicable interest, as indicated above. Please include beginning date of entity name and fishery or fisheries of interest.	
Question 1.2.	6	
Do you have em	ployment with <b>an association or organization</b> whose members include companies, vessels, or other in any of the following activities in any fishery under the jurisdiction of the Council concerned?	
O Yes		
	ıll that apply:	
	Harvesting	
	Processing	
	Lobbying Advocacy	
	Marketing	
	Provides equipment essential to any of the above activities	
	Provides other services essential to any of the above activities	
	d describe each applicable interest, as indicated above. Please include beginning date of association name and fishery or fisheries of interest.	
Coation 1 2.	Other Convige with an accordation or enganization	
Section 1.3:	Other Service with an association or organization	_
Question 1.3.	1	
	as an officer, director, or trustee of any organization or association whose members include companies,	
_	entities engaged in any of the following activities in any fishery under the jurisdiction of the Council conc	erne
O No		
O Yes		
	Ill that apply: Harvesting	
	Processing	
	Lobbying	
	Advocacy	
	Marketing  Provides equipment assential to any of the above activities	
	Provides equipment essential to any of the above activities  Provides other services essential to any of the above activities	
	,	
	describe each applicable interest, as indicated above. Please include beginning date of service,	
entity name a	nd fishery or fisheries of interest.	

## Part 2: Financial Interest of Spouses, Partners, and Minor Children

f you have no spouse, partner, or minor children, check the box below and proceed to Part 3.  □ I have no spouse, partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1 Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?   No
Yes  Check all that apply:  Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2 Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing ressel engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.

#### Ouestion 2.1.3

Question zin	
•	e, partner, or minor child have any <b>stock, equity or ownership interest (whole or partial)</b> in any <b>other</b> in the following activities in any fishery under the jurisdiction of the Council concerned?
<ul><li>No</li></ul>	<b>.</b>
O Yes	
	ll that apply:
	Harvesting
	Processing
	Marketing
	Provides equipment essential to any of the above activities  Provides other services essential to any of the above activities
	describe each applicable interest, as indicated above. Please include the percentage ownership or or any other monetary or in-kind enumeration of the entity. Please include entity name, date the
_	, and fishery or fisheries of interest.
Question 2.1.4	4
•	e, partner, or minor child have any stock, equity or ownership interest (wholly or partially) in <b>a</b>
	ess, or other entity that provides any of the following services for any fishery under the jurisdiction of
the Council conc	erned?
No	
O Yes	
	Il that apply:
	Consulting Legal
	Representational Services
	Lobbying
	Advocacy
	Marketing
share holdings	describe each applicable interest, as indicated above. Please include the percentage ownership or or any other monetary or in-kind enumeration of the entity. Please include entity name, date the and fishery or fisheries of interest.
Section 2.2 E	imployment of Spouse, Partner, or Minor Child
Question 2.2.	
	e, partner, or minor child have employment with any <b>company or business</b> engaged in the following
· · · · · · · · · · · · · · · · · · ·	ishery under the jurisdiction of the Council concerned?
● No	
O Yes	II that apply
	ll that apply: Harvesting
	Processing
	Marketing
	Provides equipment essential to any of the above activities
	Provides other services essential to any of the above activities

	on and relationship and describe each applicable interest, as indicated above. Please include of employment, company or business name and fishery or fisheries of interest.
Question 2.2.2	
Does your spouse	e, partner, or minor child have employment with a <b>fishing vessel</b> engaged in the following activities in the jurisdiction of the Council concerned?
No	
O Yes	
	that apply:
	Harvesting
	Processing Marketing
	Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
1	on and relationship and describe each applicable interest, as indicated above. Please include of employment, vessel name, gear type and fishery or fisheries of interest.
any fishery under  No Yes Check al	Be, partner, or minor child have employment with any other entity engaged in the following activities in the jurisdiction of the Council concerned?  If that apply: Harvesting Processing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities
	on and relationship and describe each applicable interest, as indicated above. Please include of employment, entity name and fishery or fisheries of interest.
•	1 e, partner, or minor child have employment with <b>any company, business, or other entity</b> that provide ing services related to any fishery under the jurisdiction of the Council concerned?
O Yes	
	that apply:   Consulting
	Lobbying   Legal
	Advocacy   Representational Services
	on and relationship and describe each applicable interest, as indicated above. Please include of employment, entity name and fishery or fisheries of interest.

#### Question 2.2.5

Does your spouse, partner, or minor child have employment with any entity that either owns (wholly or partially) or is
owned by (wholly or partially) another entity providing any of the following services in any fishery under the jurisdiction
of the Council concerned?

No.		Harvesting					
● No		Processing					
O Yes		Lobbying					
Check all that apply:		Advocacy					
Consulting		Marketing					
☐ Legal		Provides equipment essential to any of the listed activities					
<ul><li>Representational Services</li></ul>		Provides other services essential to any of the listed activities					
Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.							
Ouestion 2.2.6							

Does your spouse, partner, or minor child have employment with an association or organization whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

$loodsymbol{lood}$	No						
0	Yes						
	Check all that apply:						
			Harvesting		Consulting		
			Processing		Legal		
			Lobbying		Representational Services		
			Advocacy				
			Marketing				
			Provides equipment essential to any of the above activities Provides other services essential to any of the above activities				

Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, association name and fishery or fisheries of interest.

#### Section 2.3: Service with an association or organization by Spouse, Partner, or Minor Child

whose members under the jurisd • No	se, partner, or minor	vessels, or other entit		e of any organization or association or association or association he following activities in any fish	
_ _ _	Processing Lobbying Advocacy Marketing Provides equipmen	☐ Consulting ☐ Legal ☐ Representationa  ont essential to any of to any of the consult of the co	ne above activities		
1		p and describe each a name and fishery or fi		ndicated above. Please include	
Part 3: Inf	ormation Rec	quested of Oth	er Persons		
you but is kn	own to another	person, you shoul	0 ,	placed in trust, is not knover person to submit the espace below.	vn to
and describe e monetary or i	each applicable intel n-kind enumeration.	rest. Please include the Please include entity	ne percentage ownersh name, date the intere	ilable, have that person please I nip or share holdings or any othe est began, and fisheries of intere	er
	pest of my knowledg	ge.	nd all attached statem	ents are true, complete, and	
Signature	David Sta	ans	Date (mm/dd/yyyy	01/17/19	