# ANNUAL STOCK ASSESSMENT AND FISHERY EVALUATION REPORT: MARIANA ARCHIPELAGO FISHERY ECOSYSTEM PLAN 2016





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The ANNUAL STOCK ASSESSMENT AND FISHERY EVALUATION REPORT for the MARIANA ARCHIPELAGO FISHERY ECOSYSTEM 2016 was drafted by the Fishery Ecosystem Plan Team. This is a collaborative effort primarily between the Western Pacific Regional Fishery Management Council, NMFS-Pacific Island Fisheries Science Center, Pacific Islands Regional Office, Division of Aquatic Resources (HI) Department of Marine and Wildlife Resources (AS), Division of Aquatic and Wildlife Resources (Guam), and Division of Fish and Wildlife (CNMI).

This report attempts to summarize annual fishery performance looking at trends in catch, effort and catch rates as well as provide a source document describing various projects and activities being undertaken on a local and federal level. The report also describes several ecosystem considerations including fish biomass estimates, biological indicators, protected species, habitat, climate change and human dimensions. Information like marine spatial planning and best scientific information available for each fishery are described. This report provides a summary of annual catches relative to the Annual Catch Limits established by the Council in collaboration with the local fishery management agencies.

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### **Executive Summary**

As part of its 5 year fishery ecosystem plan (FEP) review, the Council identified the annual reports as a priority for improvement. The former annual reports have been revised to meet National Standard regulatory requirements for the Stock Assessment and Fishery Evaluation (SAFE) reports. The purpose of the report is twofold: monitor the performance of the fishery and ecosystem to assess the effectiveness of the FEP in meeting its management objectives; and maintain the structure of the FEP living document. The reports are comprised of three chapters: fishery performance, ecosystem considerations, and data integration. The Council will iteratively improve the annual SAFE report as resources allow.

The fishery performance section of this report first presents a general description of the local commercial fishery within Commonwealth of Northern Mariana Islands (CNMI) and Guam including both the bottomfish and coral reef management unit species (MUS). The fishery data collection system is then explained and time series of meta-data dashboard statistics are provided. The collection system encompasses shore-based and boat-based creel surveys, commercial receipt books, and boat inventories. The fishery statistics for each MUS are organized into a summary dashboard table showcasing the values for the most recent fishing year and a comparison to short-term (10 years) and long-term (20 years) averages. Time series for catch and effort statistics are also provided. For 2015 catch in CNMI, no MUS exceeded overfishing limit (OFL), allowable biological catch (ABC), or annual catch limit (ACL). For 2016 catch in Guam, all MUS were below OFL, ABC, and ACL except for jacks. This was due to the reduction in the ACL from the previous year overage. The estimated catch for jacks in 2016 was about at a similar level as the previous 2 years. For CNMI, the 2016 catch of slipper lobsters exceeded the ACL. This is the first time in recent years that slipper lobsters appeared on the catch records. This was attributed to the implementation of the Territory Science Initiative project that aimed to improve the reporting and compliance to the commercial receipt book data collection program by the Saipan fish vendors.

For the CNMI, the main fisheries monitored are the bottomfish, crustacean, and the coral reef fisheries. The time series comparison only covers the recent 10 years. The time series does not extend far back to make a longer term trend comparison. The bottomfish catch showed a slight decline in 2016 for all species caught in the bottomfishing gear and for BMUS. The bottomfishing CPUE showed a significant increase in 2016. Fishing effort, fishery participation, and fishery bycatch have been down last year. For the coral reef fisheries, the report separates the shore-based from the boat-based fisheries. The estimated catches and fishing effort for both fisheries are lower in 2016 compared to the 10 year average. The CPUE for the boat-based trolling and the top shore-based gear are higher in 2016 relative to the 10 year average and showed to be significantly higher for hook and line and spear. The fishery participation in the boat-based coral reef fisheries exhibited no trend and showed a slight 8% decrease in 2016. The shore-based methods also showed a decrease in participation. The coral reef bycatch was also lower last year.

For Guam, the bottomfish fishery in 2016 exhibited a 20% decline in all species catch and 11% for the BMUS catch. No commercial catch trends can be reported due to data confidentiality (less than 3 vendors that reported). All the trends are similar when comparing between the short-term and long-term trends. There was an increase in fishery participation (significant) and

CPUE. Fishing effort declined in 2016. There were no trends in the total number of bycatch relative to the past 10 years but showed a decline in the past 20 years. The coral reef boat-based and shore-based fisheries, in general, showed a decline in catch and CPUE in 2016 but no apparent short-term trends. The fishing effort estimates in 2016 generally increased except for the boat-based gillnet and shore-based hook-and-gaff. The boat-based trolling, SCUBA spearfishing and shore-based cast net, gill net, and spearfishing showed significant increasing trends over the past 10 years but historically within the values over the 20 year period. Five of the 9 fishing methods monitored showed lower fishery participation and the rest showed an increase. Coral reef fishery bycatch is down slightly last year but was part of a gradual increasing trend over the past 10 years.

Ecosystem considerations were added to the annual SAFE report following the Council's review of its fishery ecosystem plans and revised management objectives. Fishery independent ecosystem survey data, human dimensions, protected species, climate and oceanographic, essential fish habitat, and marine planning information are included in the ecosystem considerations section.

Fishery independent ecosystem survey data was acquired through visual surveys conducted in CNMI, Pacific Remote Island Area, American Samoa, Guam, Main Hawaiian Islands, and Northwest Hawaiian Islands. This report illustrates the mean fish biomass for the reef areas within these locations. Additionally, the mean reef fish biomass and mean size of fishes (>10 cm) for CNMI and Guam are presented by sampling year and reef area. Finally, the reef fish population estimates for each study site within CNMI and Guam are provided for hardbottom habitat (0-30 m).

For CNMI, life history parameters including maximum age, asymptotic length, growth coefficient, hypothetical age at length zero, natural mortality, age at 50% maturity, age at sex switching, length at which 50% of a fish species are capable of spawning, and length of sex switching are provided for 10 species of reef fish and 11 species of bottomfish. The same nine life history parameters are provided for 12 species of reef fish and 11 species of bottomfish in Guam.

Summarized length derived parameters for coral reef fish and bottomfish in CNMI and Guam include: maximum fish length, mean length, sample size, sample size for L-W regression, and length-weight coefficients. Values for 25 coral reef fish species and 10 bottomfish species are presented for CNMI. Values for 22 coral reef fish species and three bottomfish species are presented for Guam.

The socioeconomics section outlines the pertinent economic, social, and community information available for assessing the successes and impacts of management measures or the achievements of the Fishery Ecosystem Plan for the Mariana Archipelago. It meets the objective "Support Fishing Communities" adopted at the 165<sup>th</sup> Council meeting; specifically, it identifies the various social and economic groups within the region's fishing communities and their interconnections. The section begins with an overview of the socioeconomic context for the region, then provides a summary of relevant studies and data for Mariana Islands, followed by summaries of relevant studies and data for each fishery within the Mariana Archipelago. Socioeconomics data will be included in later versions of this report as resources allow.

The protected species section of this report summarizes information and monitors protected species interactions in fisheries managed under the Mariana Archipelago FEP. These fisheries generally have limited impacts to protected species, and do not have federal observer coverage. Consequently, this report tracks fishing effort and other characteristics to detect potential changes to the level of impacts to protected species. Fishery performance data contained in this report indicate that there have been no notable changes in the fisheries that would affect the potential for interactions with protected species, and there is no other information to indicate that impacts to protected species have changed in recent years.

The climate change section of this report includes indicators of current and changing climate and related oceanic conditions in the geographic areas for which the Western Pacific Regional Fishery Management Council has responsibility. In developing this section, the Council relied on a number of recent reports conducted in the context of the U.S. National Climate Assessment including, most notably, the 2012 Pacific Islands Regional Climate Assessment and the Ocean and Coasts chapter of the 2014 report on a Pilot Indicator System prepared by the National Climate Assessment and Development Advisory Committee. The primary goal for selecting the indicators used in this report is to provide fisheries-related communities, resource managers and businesses with climate-related situational awareness. In this context, indicators were selected to be fisheries relevant and informative, build intuition about current conditions in light of changing climate, provide historical context and recognize patterns and trends. The atmospheric concentration of carbon dioxide  $(CO_2)$  trend is increasing exponentially with the tiem series maximum at 406.43 ppm. The oceanic pH at Station Aloha, in Hawaii has shown a significant linear decrease of -0.0386 pH units, or roughly a 9% increase in acidity ([H+]) since 1989. 2013, 2014, and 2016 showed extreme high temperature anomalies, with values surpassing 8 degree heating weeks in 2013 & 2014, and 4 degree heating weeks in 2016. The year also saw an abundance of tropical cyclones including 26 named storms and 6 major typhoons in the western Pacific.

The Mariana Archipelago FEP and National Standard 2 guidelines require that this report include a report on the review of essential fish habitat (EFH) information. The 2016 annual report includes an update of the precious corals species descriptions, effects of non-fishing and cumulative impacts on EFH. The guidelines also require a report on the condition of the habitat. In the 2016 annual report, mapping progress and benthic cover are included as indicators, pending development of habitat condition indicators for the Mariana Archipelago not otherwise represented in other sections of this report. The annual report also addresses any Council directives toward its plan team. There were no directives in 2016.

The marine planning section of this report tracks activities with multi-year planning horizons and begins to track the cumulative impact of established facilities. Development of the report in later years will focus on identifying appropriate data streams. Military activities in the Marianas continue to impact fisheries and access. With the Records of Decision on the Mariana Islands Testing and Training and Guam and CNMI Military Relocation SEIS, access to fishing grounds will be impacted at Ritidian Point on Guam and at Farallon de Medinilla in CNMI during live-fire exercises. Nearshore water quality will be impacted in Northern Guam until the Northern District Wastewater Treatment Plant is upgraded. A re-release of the draft CNMI Joint Military Training EIS is not expected until the end of 2018. CNMI and the Department of Defense will

establish a coordinating council to discuss issues associated with increased military activity in the CNMI.

The 2017 Archipelagic Plan Team had the following recommendations with respect to this report.

Regarding the data integration chapter of the SAFE report, the Archipelagic Fishery Ecosystem Plan Team recommends the Council include the following variables in the exploratory data analysis being conducted by the Council's contractor:

- Effect of subsidy program
- Market forcing
- Effects of fish import-export

Regarding the species table, the Archipelagic Fishery Ecosystem Plan Team recommends the Council direct staff, in coordination with NMFS staff, to convene a working group to finalize the species table used to generate fishery statistics

Regarding Essential Fish Habitat, the Plan Team recommends that the Council:

- Consider amending the non-fishing impacts, cumulative impacts, and conservation and enhancement recommendations in the Western Pacific FEPs based on the options provided by the Plan Team, and
- Consider amending the EFH designations and species descriptions for precious corals based on the options provided by the Plan Team.

Regarding the evaluation of 2016 catch to the 2016 ACL, the Archipelagic Fishery Ecosystem Plan Team provides the Council with the following rationale for the overages in CNMI slipper lobsters and Guam jacks:

- The CNMI slipper lobsters recent three-year average of catch amounting to 101 lbs exceeded its ACL of 60 lbs. The slipper lobster fishery is tracked through the Commercial Receipt Books. The increase in catch can be attributed to the implementation of the Territory Science Initiative designed to improve the data submitted to the commercial receipt books. In 2016, 59 invoices and 19 fishermen reporting reported sale of slipper lobsters which was zeroes in the previous years;
- The Guam jacks recent three-year average of catch amounting to 26,607 lbs exceeded its ACL of 21,201 lbs. The ACL was reduced to this level due to the overage in the previous year. This is the second year the ACL for jacks was exceeded. The Plan Team recommends the Council to revisit its accountability measure. The Plan Team further recommends considering applying the second year overage to the original ACL.

When the Council accountability measures are applied, this will result in the following ACLs for 2018:

• CNMI slipper lobsters = 19 lbs

• Guam jacks = 23,894 lbs

The Archipelagic Fishery Ecosystem Plan Team recognizes the importance of the ecosystem component amendment to address the operational issues associated with the data limited stocks managed under Annual Catch Limits.

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|                   | ACRONYMS AND ABBREVIATIONS                                    |
|-------------------|---|
| ABC               | Acceptable Biological Catch                                   |
| ACE               | Accumulated Cyclone Energy                                    |
| ACL               | Annual Catch Limits   |
| ACT               | Annual Catch Target   |
| AM                | Accountability Measures                                       |
| AVHRR             | Advanced Very High Resolution Radiometer                      |
| BAC-MSY           | Biomass Augmented Catch MSY                                   |
| B <sub>FLAG</sub> | warning reference point for biomass                           |
| BiOp              | Biological Opinion  |
| BMUS              | Bottomfish Management Unit Species                            |
| BOEM              | Bureau of Ocean Energy Management                             |
| BSIA              | Best Scientific Information Available                         |
| CFR               | Code of Federal Regulations                                   |
| CMLS              | Commercial Marine License System                              |
| CMS               | coastal and marine spatial                                    |
| CMUS              | Crustacean Management Unit Species                            |
| CNMI              | Commonwealth of the Northern Mariana Islands                  |
| CPUE              | Catch Per Unit Effort   |
| CRED              | Coral Reef Ecosystem Division                                 |
| CREMUS            | Coral Reef Ecosystem Management Unit Species                  |
| DAWR              | Division of Aquatic and Wildlife Resources                    |
| DLNR-DAR          | Department of Land and Natural Resources-Division of Aquatic  |
|                   | Resources   |
| DLNR-DFW          | Department of Land and Natural Resources-Division of Fish and |
|                   | Wildlife  |
| DPS               | Distinct Population Segment                                   |
| EEZ               | Exclusive Economic Zone                                       |
| EFH               | Essential Fish Habitat  |
| EIS               | Environmental Impact Statement                                |
| EKE               | Eddy kinetic energy   |
| ENSO              | El Niño Southern Oscillation                                  |
| EO                | Executive Order   |
| ESA               | Endangered Species Act  |
| FEP               | Fishery Ecosystem Plan  |
| FMP               | Fishery Management Plan                                       |
| FRS               | Fishing Report System   |
| GAC               | Global Area Coverage  |
| GFS               | global forecast system  |
| HAPC              | Habitat Area of Particular Concern                            |
| HDAR              | Hawaii Division of Aquatic Resources                          |
| IBTrACS           | International Best Track Archive for Climate Stewardship      |
| LOF               | List of Fisheries   |
| LVPA              | Large Vessel Prohibited Area                                  |
| MFMT              | Maximum Fishing Mortality Threshold                           |
|                   |   |

#### ACRONYMS AND ABBREVIATIONS

| MHI         | Main Hawaiian Island  |
|-------------|---|
| MMA         | marine managed area   |
| MMPA        | Marine Mammal Protection Act                                    |
| MPA         |   |
|             | marine protected area   |
| MPCC        | Marine Planning and Climate Change                              |
| MPCCC       | Council's MPCC Committee  |
| MSA         | Magnuson-Stevens Fishery Conservation and Management Act        |
| MSFCMA      | Magnuson-Stevens Fishery Conservation and Management Act        |
| MSST        | Minimum Stock Size Threshold                                    |
| MSY         | Maximum Sustainable Yield                                       |
| MUS         | management unit species   |
| NCADAC      | National Climate Assessment & Development Advisory              |
|             | Committee   |
| NCDC        | National Climatic Data Center                                   |
| NEPA        | National Environmental and Policy Act                           |
| NESDIS      | National Environmental Satellite, Data, and Information Service |
| NMFS        | National Marine Fisheries Service                               |
| NMFS        | National Marine Fisheries Service                               |
| NOAA        | National Oceanic and Atmospheric Administration                 |
| NWHI        | Northwestern Hawaiian Islands                                   |
| OFL         | Overfishing Limits  |
| OFR         | Online Fishing Report   |
| ONI         | Ocean Niño Index  |
| OR&R        | Office of Response and Restoration                              |
| OY          | Optimum Yield   |
| PacIOOS     | Pacific Integrated Ocean Observing System                       |
| PCMUS       | Precious Coral Management Unit Species                          |
| Pelagic FEP | Fishery Ecosystem Plan for the Pacific Pelagic Fisheries        |
| PI          | Pacific Islands   |
| PIBHMC      | Pacific Island Benthic Habitat Mapping Center                   |
| PIFSC       | Pacific Island Fisheries Science Center                         |
| PIRCA       | Pacific Islands Regional Climate Assessment                     |
| PIRO        | NOAA NMFS Pacific Islands Regional Office                       |
| PMUS        | pelagic management unit species                                 |
| POES        | Polar Operational Environmental Satellite                       |
| PRIA        | Pacific Remote Island Areas                                     |
| RAMP        | Reef Assessment and Monitoring Program                          |
| RPB         | Regional Planning Body  |
| SAFE        | Stock Assessment and Fishery Evaluation                         |
| SBRM        | Standardized Bycatch Reporting Methodologies                    |
| SDC         | Status Determination Criteria                                   |
| SEEM        | Social, Economic, Ecological, Management uncertainties          |
| SPC         | Stationary Point Count  |
| SST         | Sea Surface Temperature   |
| TAC         | Total Allowable Catch   |
| USACE       | United States Army Corps of Engineers                           |
|             | Chief Suite Finity Corps of Engineers                           |

| WPacFIN | Western Pacific Fishery Information Network         |
|---------|---|
| WPRFMC  | Western Pacific Regional Fishery Management Council |
| WPSAR   | Western Pacific Stock Assessment Review             |
| WW3     | Wave Watch 3  |

### **1 FISHERY PERFORMANCE**

#### 1.1 CNMI FISHERY DESCRIPTIONS

#### 1.1.1 Background

The Commonwealth of the Northern Mariana Islands (CNMI) is a chain of islands in the Western Pacific Ocean. Along with the island of Guam, the chain is historically known as the Mariana Islands. The CNMI consists of 14 small islands situated in a north-south direction, stretching a distance of about 500km. The surrounding waters of the CNMI play an integral role in the everyday lives of its citizens. The ocean is a major source of food and leisure activities for residents and tourists alike. Archeological research has also revealed evidence of fishing activities in the CNMI dating back 3,000 years. Although the composition of fishing activities in the Marianas has changed significantly since then, a common view of its importance remains.

#### Fisheries during the German occupation

During the German occupational period (1899-1914) a majority of the economic focus in the Northern Marianas was on the copra industry. Few commercial fisheries were noted during this period of time, as the German administration focused efforts on crop production and feral cattle trade (Russell 1999). Chamorros and Carolinians utilized the protected lagoon and open waters with several fishing methods: talaya (cast net), chinchulu (surround net), gigao (fish weir), tokcha (spear), tupak (hook and line), with Carolinians additionally gleaning sea cucumbers for the Asian Markets. Most of these activities were for subsistence purposes, with the catch being distributed and bartered among relatives and acquaintances.

#### Fisheries during the Japanese occupation

Fisheries development prospered during the Japanese administration (1914-1945). The Japanese administration made fisheries the second largest industry. Small pelagic fishing operations were established and the Garapan port became the main area for drying fish. Large scale fishing activities occurred during the 1930's where Saipan produced 11 percent of the total tuna haul within Micronesia (Bowers 2001). However, efforts to develop the tuna fishery shifted to Palau and FSM due to the availability of bait fish in the region. Subsistence fishing still persisted within the lagoon and fringing reefs, and were mainly conducted by the natives, although a large extraction of sea cucumbers did occur. The main fishing methods used during this period were cast net, spear, gillnet, surround net, hook and line, and gleaning. During this period the topshell (*Trochus niloticus*) was also introduced into the Marianas.

#### Fisheries during the U.S. military occupation

The fishing industry was destroyed during WWII, but quickly rebuilt after the war with support from the U.S. military. Okinawans who operated the fishery prior to the war were hired to operate and train locals to fish commercially, targeting pelagic species. A company called Saipan Fishing Company operated after the war, which contributed to the early re-development of commercial fisheries in the CNMI (Bowers 2001). Most of the fishing activities were for *Katsuwanus pelamis* (Bonito) and other tuna species. However, other resources such as Big-Eye Scad, reef fish, and lobster were also harvested during calm weather. The Chamorros and Carolinians continued subsistence fishing within the lagoon after the war. Although limited quantities of monofilament nets were available during this period, they were used to capture fish within the lagoon and along the reef lines. The use of modern fishing gear such as masks, rubber fins, and flash lights made it much easier to harvest coral reef resources during this time.

#### Fisheries activities within the past two decades

The CNMI has had numerous changes in fisheries within the past twenty years. In the mid 90's, commercial fisheries activities increased significantly. Commercial SCUBA fishing became a common method not only to support local demand for reef fish, but also exports to Guam. Large-scale commercial bottom fishing activity peaked from the mid 1990's through 2002, with landings being sold locally and exported to Japan. This fishery operated almost exclusively in the Northern Islands of the CNMI. Troll fishing continued to be the dominant fishing industry during this period. An exploratory, deep-water-shrimp fishery also evolved, but didn't last due to internal company issues, and gear losses. During this time a sea cucumber fishery evolved on Rota, then migrated to Saipan. Ultimately, this fishery was found to be unstable and was subsequently halted.

Several fishing companies entered the fisheries only to close down a few years later. The CNMI also reached its highest population during this period, most of whom were migrant workers from Asia. The tourism industry was also increasing at this time which contributed to high demand for fresh fish. Subsistence fishing within the nearshore waters of Saipan, Tinian, and Rota also increased.

In the 2000's, small scale troll, bottom and reef fish fisheries persisted, with landings sold locally. Federal and state support was provided multiple times to further develop fisheries in the CNMI, with intermittent success. An exploratory longline fishery was funded in the mid 2000's and operated in the CNMI for about two years, but eventually closed down due to low productivity for high-valued, pelagic fish and issues within the business. A few larger (40-80') bottom vessels were also operational during this period, with a majority of them fishing the northern islands and offshore banks. A few of these vessels were recipients of financial assistance to improve their fishing capacities. Fisheries in the CNMI tend to be relatively small and fluid, with 16' to 20' boats fishing within 20 miles from Saipan. Many of these small vessels conduct multiple fishing activities during a trip. For example, a company which is supported mainly by troll fishing will also conduct bottom and spearfishing to supplement income. Fishing businesses will enter and exit the fishery when it is economically beneficial. Fisheries in the CNMI are also highly sensitive to changes in the economy, development, population, and regulations. Subsistence fishing continues; however, fishing methods and target species have changed along with population demographics as well as fisheries restrictions. Nearshore hook and line, cast net, and spear fishing are common activities. However, fishing methods such as gillnet, surround net, drag nets, and SCUBA-spear have been restricted or outright banned in the CNMI since 2000.

#### 1.1.1.1 Bottomfish Fishery

The bottomfish fishery has also changed minimally from previous years. Relatively small (<25ft) fishing vessels are still being used to access bottom fishing grounds around Saipan and Tinian, while the larger (>25ft) vessels are used to access bottomfish resources in the northern islands. Only a handful of these larger bottom fishing vessels are operating in the CNMI. Most of the small bottomfishing vessels are owned by the vendors; however, there are a few subsistence bottomfishers participating in the fishery intermittently.

Two distinct types of bottomfish fisheries are identified in the CNMI: shallow-water bottom fishing, which targets fish at depths down to 150 meters, and deep-water bottom fishing, which targets fish at depths greater than 150 meters. Species targeted within the shallow-water fishery consist of the Redgill Emperor (*Lethrinus rubrioperculatus*), Black Jack (*Caranx lugubris*), Matai (*Epinephelus fasciatus*), Sas (*Lutjanus kasmira*), Lunartail Grouper (*Variola louti*) and other fish in similar depth stratums. Species targeted within the deep-water bottom fishing depths (>150m) include; Onaga (*Etelis corsucans*), Ehu (*Etelis carbunculus*), Yelllowtail Kalekale (*Pristipomiodes auricilla*), Amberjack (*Seriola dumerili*), Blueline Gindai (*Pristipomiodes filamentosus*), Eightbanded Grouper (*Hyporthordus octofasciatus*) and other fish found in similar depths.

Bottomfish Management Unit Species (BMUS) are not the only species being caught in the shallow-bottom fishery. Coral Reef Ecosystem Management Unit Species (CREMUS) are also caught in the shallow-bottom fishery because of the close proximity to the reef. These fish are caught with various hook and line gears such as: homemade hand lining gear, rod and reel, and electric reels. Deep-water bottomfishing requires more efficient fishing gears such as hydraulic and electric reels. Bottomfishing trips are generally during the day; however, fishing trips to the northern islands can take two to four days depending on vessel size and refrigeration capacity. These trips are most active during calm weather months. Successful fishermen targeting deepwater bottomfish tend to fish for one to four years before leaving the fishery, whereas the majority of fishermen targeting shallow-water bottomfish tend to leave the fishery after the first year.

The overall participation of fishermen in the bottomfish fishery tends to be very short term (less than four years). The slight difference between the shallow-water fishermen and the deep-water fishermen likely reflects the greater skill and investment required to participate in the deep-water, bottomfish fishery. In addition, these tend to be larger ventures that are more buffered from the impulses of an individual's choices and are usually dependent on a skilled captain/fisherman. Overall, the long-term commitment to hard work, maintenance and repairs, and staff retention appear to be challenging, if not impossible for CNMI bottom-fishermen to sustain more than a few years. A full list of BMUS species is provided in Appendix 3.

#### 1.1.1.2 Coral Reef Fishery

Currently, coral reef fisheries have been consistent with previous years. Small scale nearshore fisheries in the CNMI continue to be an important part of subsistence, social, cultural, recreational, and financial resources. Most fishermen are subsistence fishers with a number of them selling a portion of their catch to roadside vendors. However, some vendors employ fishermen to maintain a constant supply of reef fish. Most of the fishing for coral reef species occurs within the Saipan lagoon and fringing reefs around the islands, with targets consisting of finfish and invertebrates. All reef fish catches are sold to the local markets or used for personal consumption with a minimal percentage exported for off-island residents. Shoreline access is the most common way to access coral reef resources. Vessels are generally used during calm weather to fish areas not as accessible other times of the year. Fishing trips to other islands are made when the weather is favorable. Fishing methods have not changed significantly when compared to previous years. Hook and line, cast netting, spear fishing, and gleaning are methods still being used today. Some of the common families found in the CNMI reef fish markets are; Acanthuridae (surgeonfish), Scarinae (parrotfish), Mullidae (goatfish), Serranidae (grouper),

Labridae (wrasse), Holocentridae (soldier/squirrelfish), Carangidae (Jacks), Scombridae (scad), Haemulidae (sweetlips), Gerridae (mojarra), Kyphosidae (rudderfish), and Mugilidae (mullet), as well as other non-finfish families. A full list of CREMUS species is provided in Attachment 3.

#### 1.1.2 Fishery Data Collection System

A majority of the information collected by the CNMI Division of Fish and Wildlife (DFW) are fishery-dependent data. Since the early 1980's attempts were made to establish a data collection program for the near shore fisheries, but failed due to intergovernmental issues. Over the past 10 years, significant time and effort has been made to further develop the fishery data section. This effort has resulted in the re-establishment of the Shore-based Creel Program. DFW in collaboration with other local and federal agencies have been working on expanding on these successes.

#### 1.1.2.1 Creel Surveys

Currently the CNMI maintains a Boat- and Shore-based Creel Program for the island of Saipan, with plans to expand it to the neighboring populated islands. The programs were established in 2000 and 2005 respectively, in order to strengthen the Division's capacity in providing sufficient information to the public regarding fishery information. Other programs such as the invoicing system and importation monitoring provide supplemental information on harvest and demand for the fishery.

Effective management of Saipan's marine fishery resources requires the collection of fishing effort, methods used and harvest. The CNMI Boat- and Shore-based Creel Surveys are some of the major data collection systems used by DFW to estimate the total annual boat-based participation, effort and harvest and to survey the near-shore fishery resources. These surveys were formerly known as the "CNMI Offshore and Inshore Creel Survey." The term "offshore/inshore" were previously used when referring to these Creel Survey Programs. However, now the proper term that should be used is "boat- or shore-based" because it covers all the fishing done from a boat or from shore regardless of where the fishing occurred, e.g., inside or outside the reef or lagoon. This is an important distinction because where the fishing activity is initiated (shore vs. boat) determines how that type of activity will be accounted for in the survey systems. For instance, very small boats launched from non-standard launching areas, e.g., from the back of a pickup truck on a beach are not included in the Boat-based survey.

The objective of the Boat-based Creel Survey Program is to quantify fishing participation, effort and catch that are done on a vessel in CNMI's waters. DFW had an early creel survey data collection program from 1988 to 1996, however since the methods were not standardized, the data collected with that early program is not currently being used. The early program was terminated due to a lack of resources. On April 2, 2000, the DFW fishery staff reinitiated the boat-based creel survey program on the island's boat-based fishery following a three year hiatus. The fishery survey collects data on the island's boating activities - including commercial and noncommercial fishermen - and interviews returning fishermen at the three most active launching ramps/docks on the island: Smiling Cove; Sugar Dock; and Fishing Base. Essential fishery information is collected and processed from both commercial and noncommercial vessels and will be vital in the management process of one of the island's valuable natural resources. Saipan's Boat-based Creel Survey Program utilizes a random scheduling protocol to survey at the three most active launching ramps/docks on the island: Smiling Cove, Sugar Dock, and Fishing Base to collect catch and effort data and to analyze participation levels in Saipan's boatbased fishery. The two types of data collection programs utilized by Saipan's Boat-based Creel Survey Program include: Boat-based Participation Count to collect participation data, and a Boat-based Access Point Survey to collect catch and effort data (through Survey Maps, Boat Logs and Interviews) at the three major boat ramp areas listed above. The data collected are then expanded at a stratum level (expansion period [quarterly or annually], charter or non-charter day type [weekday or weekend], and gear type) to create the estimated landings by gear type for CNMI's Boat-based fishery.

DFW had an early creel survey data collection program in 1984, and 1990 to 1994, however since the methods were not standardized, the data collected with that early program is not currently being used. The early program was terminated due to a lack of resources. In May 2005 the DFW fishery staff reinitiated the shore-based creel survey program on the island's shore-based fishery following an 11-year hiatus. With the assistance of the Western Pacific Fisheries Information Network (WPacFIN) program at the Pacific Islands Fisheries Science Center (PIFSC), data processing software and a database were developed to process these survey data. In addition, expansion software was also developed to create annual expanded (estimated) landings for this fishery.

The Shore-based survey currently covers the Western Lagoon of Saipan. Some pilot surveys are being conducted on Saipan's Eastern beaches such as; Laolao Bay, Obyan Beach, and Ladder Beach. Other accessible areas are not covered at this time due to existing limited resource availability and logistical constraints. The Western Lagoon starts from the northwest (Wing Beach) and extends to the southwest (Agingan Point) of Saipan. This encompasses over twenty accessible and highly active shoreline access points.

Saipan's Shore-based Creel Survey is a stratified randomized data collection program. This program collects two types of data to estimate catch and effort information of the shore-based fishery. The two types of data collection are: Participation Count (P) and Interview (I). The Participation Count involves counting the number of people fishing on randomly selected days and their method of fishing along the shoreline. The Interview involves interviewing fishermen to determine catch, method used, length and weights of fish, species composition, catch disposition and if any fish were not kept (by-catch). The data collected from this program are used to expand and create annual estimated landings for this fishery.

#### 1.1.2.2 Vendor Invoice

DFW has been collecting fishery statistics on the commercial fishing fleet of Saipan since the mid-1970s. With the assistance of the National Marine Fisheries Service WPacFIN program, DFW also expanded its fisheries monitoring programs to include Rota and Tinian, the other two major inhabited islands in the CNMI. DFW's principal method of collecting domestic commercial fisheries data is a dealer invoicing system, sometimes referred to as a "trip ticket" system. The DFW provides numbered two-part invoices to all purchasers of fresh fishery products (including hotels, restaurants, stores, fish markets, and roadside vendors). Dealers then complete an invoice each time they purchase fish directly from fishers; one copy goes to DFW and one copy goes to their records. Some advantages of this data collection method are that it is relatively inexpensive to implement and maintain and is fairly easy to completely cover the

commercial fisheries. DFW can also provide feedback to dealers and fishers to ensure data accuracy and continued cooperation.

There are some disadvantages to the trip ticket system: (1) dependency on non-DFW personnel to identify the catch and record the data; (2) restrictions on the types of data that can be collected; (3) required education and cooperation of all fish purchasers; and (4) limited recordings of fish actually sold to dealers. Therefore, a potentially important portion of the total landings is unrecorded. Since 1982, DFW has tried to minimize these disadvantages in several ways: (1) maintain a close working relationship with dealers; (2) add new dealers to their list and educate them; and (3) implement a creel survey to help estimate total catch, including recreational and subsistence catch. The current system collects data from dealers in Saipan, where DFW estimates more than 90% of all CNMI commercial landings are made. The DFW also estimates that the proportion of total commercial landings that have been recorded in the Saipan database since 1983 is about 90%. Previous volumes of FSWP reported only recorded landings, but in recent volumes the data have been adjusted to represent 100% coverage and are referenced as "Estimated Commercial Landings" in the tables and charts.

These data elements are collected for all purchases of fishery products; however, species identification is frequently identified only to a group level, especially for reef fish.

#### 1.1.2.3 Biosampling

The bio-sampling data base contains general and specific bio-data obtained from individual commercial spearfish catches landed on Saipan from six different vendors during 2011. The following data was captured for each fishing trip sampled: date sampled; fishing gear type; time/hours fished; location fished; number/names of fishers; lengths/weights of individual fish; number/weight of octopus and squid; number/carapace size/weight of lobster; and whether boat-or shore-based fishing trip.

Although sampling effort was intended to be spread evenly among all participating vendors, smaller vendors were inherently much more difficult to sample within the time constraints allowed by the vendors. Therefore, a regular sampling schedule was implemented for the island's two largest vendors that included two weekdays and one weekend day each week since January/February 2011. Problems encountered in sampling the smaller vendors included: more days in any given month where no fish were purchased, the work area wasn't conducive for sampling, and communication problems. The bio-sampling data base focuses on night time spearfishing activities. Due to vendor-imposed limitations, the other gear types that typically land their catch during normal business hours were not sampled.

#### 1.1.2.4 Exemption netting

In 2003 the use of gill nets was prohibited in the CNMI. In 2005 the Department/Division decided to allow gill netting under special circumstances. With approval from DFW, gill netting is allowed under the strict conditions provided by DFW. All gill netting activities are to be monitored and recorded by DFW personnel.

On 2010, a law was passed allowing for the use of a gill net on the island of Rota, for the purpose of subsistence. The following year, a regulation allowing for subsistence net fishing was passed for the island of Tinian.

For a majority of the permitted gillnet activities, length and weight measurements were taken at the fishing site. Fork lengths were taken in millimeters and weights were taken in grams. If time did not permit for individual measurements, then length measurements were taken for each fish and total weight was taken for each species. Length/weight ratios were used to estimate weights of sampled fish. Information is collected for activities conducted on the island of Saipan. No official collection of information is being conducted for the other two populated islands.

#### 1.1.2.5 Life History

The CNMI Division of Fish and Wildlife life history program began in 1996 with the redgill emperors (*L. rubrioperculatus*). Since then, sampling has been conducted on other species such as; *A. lineatus*, Myriprestinae (*M. violacea, M. kuntee, M. pralinea, M. bernti, M. murdjan*), *L. harak, N. lituratus, C. sordidus*, and <u>*C. undulatus*</u>. Other life history programs have also developed over the past years. DFW personnel in collaboration with NOAA NMFS collect life history information on *S. rubroviolaceus, L. atkinsoni, P. barbarinus*, through funding provided by NOAA-NMFS. The life history survey captures biological information such as: reproductive cycle, age at length, and age at maturity. The DFW is continually working to improve the understanding of reef fish life history in the CNMI, through this program.

#### 1.1.2.6 Monitoring of Imported Fish

The DFW Fisheries Data Sections collect fisheries-related importation invoices from the Department of Commerce at the end of every month. The data is then entered into the ticket receipt system and reviewed prior to being sent out for compilation by the Pacific Islands Fisheries Science Center (PIFSC). A majority of the information entered in the system can only be identified to the family taxa.

#### 1.1.3 Meta-data Dashboard Statistics

The meta-data dashboard statistics describe the amount of information used or data available to calculate the fishery-dependent information. Creel surveys are sampling based system that requires random-stratified design applied to pre-scheduled surveys. The creel surveys are comprised of: 1) participation run that captures effort and participation estimates and; 2) catch interviews that capture catch, effort, CPUE information, catch composition, size-weight information. The number of sampling days, participation runs, and catch interviews would determine if there are sufficient samples to run the expansion algorithm. The trends of these parameters over time may infer survey performance. Monitoring the survey performance is critical for explaining the reliability of the expanded information.

Commercial receipt book information depends on the amount of invoices submitted and the number of vendors participating in the program. Fluctuations in these meta-data affect the commercial landing and revenue estimates.

## **1.1.3.1** Creel surveys meta-data statistics Calculations: Shore-based data

# Interview Days: This is the number of actual days that Creel Survey Data were collected. It's a count of the number of unique dates found in the interview sampling data (the actual sampling date data, include opportunistic interviews).

# Participation Runs: Count of the number of unique occurrences of the combination of survey date and run number in the participation detail data.

# Catch Interviews: Count of the number of unique occurrences of the combination of date and run number in the participation detail data/ count of unique surveyor initials and date in PAR. This is divided into two categories, interviews conducted during scheduled survey days (Regular), and opportunistic interviews (Opp) which are collected on non-scheduled days.

#### Calculation: Boat-based data

# Sample days: Count of the total number of unique dates found in the boatlog data sampling date data.

# Catch Interviews: Count of the total number of data records found in the interview header data (number of interview headers). This is divided into two categories, interviews conducted during scheduled survey days (Regular), and opportunistic interviews (Opp) which are collected on non-scheduled days.

| Year | Shore-based         | Shore-based                |            |                              | Boat-based |                    |               |
|------|---------------------|----------------------------|------------|------------------------------|------------|--------------------|---------------|
|      | # Interview<br>Days | #<br>Participation<br>Runs | # Catch In | terviews #<br>Sample<br>Days |            | # Catch Interviews |               |
|      |                     |                            | Regular    | Opportunistic                |            | Regular            | Opportunistic |
| 2000 | NULL                | NULL                       | NULL       | NULL                         | 44         | 168                | 9             |
| 2001 | NULL                | NULL                       | NULL       | NULL                         | 67         | 285                | 0             |
| 2002 | NULL                | NULL                       | NULL       | NULL                         | 75         | 200                | 25            |
| 2003 | NULL                | NULL                       | NULL       | NULL                         | 90         | 299                | 40            |
| 2004 | NULL                | NULL                       | NULL       | NULL                         | 77         | 272                | 16            |
| 2005 | 59                  | 157                        | 258        | 42                           | 78         | 417                | 29            |
| 2006 | 105                 | 337                        | 597        | 248                          | 71         | 342                | 22            |
| 2007 | 127                 | 413                        | 601        | 36                           | 62         | 314                | 1             |
| 2008 | 157                 | 340                        | 911        | 24                           | 55         | 250                | 1             |
| 2009 | 184                 | 324                        | 870        | 24                           | 64         | 241                | 25            |
| 2010 | 132                 | 294                        | 374        | 29                           | 65         | 161                | 82            |
| 2011 | 119                 | 327                        | 388        | 14                           | 67         | 162                | 87            |

# Table 1. Summary of creel survey meta-data describing survey performance parameters with potential influence on the creel survey expansion

| Year            | Shore-based         |                            |                    |               | Boat-based                             |         |                    |  |
|-----------------|---------------------|----------------------------|--------------------|---------------|--|---------|--------------------|--|
|                 | # Interview<br>Days | #<br>Participation<br>Runs | # Catch Interviews |               | # Catch Interviews #<br>Sample<br>Days |         | # Catch Interviews |  |
|                 |                     |                            | Regular            | Opportunistic |  | Regular | Opportunistic      |  |
| 2012            | 80                  | 273                        | 230                | 10            | 72                                     | 166     | 0                  |  |
| 2013            | 108                 | 277                        | 297                | 2             | 71                                     | 191     | 0                  |  |
| 2014            | 50                  | 209                        | 109                | 1             | 69                                     | 163     | 0                  |  |
| 2015            | 44                  | 186                        | 84                 | 16            | 57                                     | 119     | 2                  |  |
| 2016            | 45                  | 252                        | 91                 | 16            | 59                                     | 108     | 2                  |  |
| 10 YEARS<br>AVG | 105                 | 290                        | 396                | 17            | 64                                     | 188     | 20                 |  |
| 10 YEARS<br>SD  | 46                  | 63                         | 290                | 11            | 6                                      | 60      | 33                 |  |

#### **1.1.3.2** Commercial receipt book statistics

#### **Calculations:**

# of Vendors – Count of the number of unique buyer codes found in the commercial purchase header data.

# Invoices – Count of the number of unique invoice numbers found in the commercial header data.

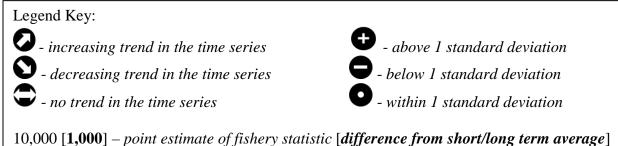
# Table 2. Summary of commercial receipt book meta-data describing reporting performance parameters with potential influence on total commercial landing estimates (Note: Data will be reported only for years with ≥ 3 vendors reporting).

| Year | Number of Vendors | Total Invoices Collected |
|------|-------------------|--------------------------|
| 1997 | 54                | 3999                     |
| 1998 | 52                | 5369                     |
| 1999 | 49                | 4649                     |
| 2000 | 47                | 6030                     |
| 2001 | 39                | 4914                     |
| 2002 | 32                | 4759                     |
| 2003 | 24                | 4261                     |
| 2004 | 25                | 3507                     |
| 2005 | 23                | 3945                     |
| 2006 | 21                | 4002                     |
| 2007 | 18                | 3387                     |
| 2008 | 13                | 3054                     |
| 2009 | 6                 | 2513                     |
| 2010 | 5                 | 1612                     |
| 2011 | 3                 | 1198                     |
| 2012 | 19                | 1565                     |

| 2013         | 17 | 2161 |
|--------------|----|------|
| 2014         | 15 | 1665 |
| 2015         | 10 | 752  |
| 2016         | 12 | 1309 |
| 10 YEARS AVG | 12 | 1922 |
| 10 YEARS SD  | 5  | 800  |

#### 1.1.4 Fishery Summary Dashboard Statistics

The Fishery Summary Dashboard Statics section consolidates all fishery dependent information comparing the most recent year with the short-term (recent 10 years) and long-term (recent 20 years). The summary dashboard shows the most current year value as the difference between the current year value with the 10 year average and the 20 year average (shown bolded in [brackets]). Trend analysis of the past 10 years will dictate the trends (increasing, decreasing, or no trend). The right-most symbol indicates whether the mean of the short-term and long-term years were above, below, or within one standard deviation of the mean of the full time series.



# Table 3. Annual indicators for the coral reef and bottomfish fishery describing fisheryperformance comparing current estimates with the short-term (10 years) and the long-term(20 years) average.

| Fishery                                       | Fishery statistics  | Short-term (recent 10 years)   | Long-term (20 years)            |
|---|---|--------------------------------|---------------------------------|
| Bottomfish                                    | Estimated catch (lbs)   |                                |                                 |
| All species caught<br>in the BF gear          | Boat and shore creel data<br>estimated (expanded)<br>total lbs (all BF trips) | 75,105[▼12%] €0                | N/A                             |
|   | Estimated total lbs (all<br>species) commercial<br>purchase data              | 23,284[♥8%]                    | 23,284[ <b>▼</b> 34%] <b>♥●</b> |
| Bottomfish<br>management unit<br>species only | Boat-based creel data<br>Estimated (expanded)<br>total lbs (all BF trips)     | 44,342[ <b>▼</b> 26%] <b>€</b> | N/A                             |
|   | Estimated total lbs (all<br>species) commercial<br>purchase data              | 10,443[▼25%]                   | 10,443[▼39%]                    |
|   | Catch-per-unit effort (lbs/gea  | nr-hrs)                        |                                 |

| Fishery    | Fishery statistics  | Short-term (recent 10<br>years) | Long-term (20 years)           |  |  |  |
|------------|---|---------------------------------|--------------------------------|--|--|--|
|            | CPUE (creel data only)  | 0.3818[▲195%] <b>♦</b>          | N/A                            |  |  |  |
|            | Fishing effort (only available.   | for creel data)                 |                                |  |  |  |
|            | Estimated (expanded)<br>total bottomfish trips  |                                 |                                |  |  |  |
|            | Estimated total<br>bottomfishing gear-hours   | 4,840[▼98%] � O                 | N/A                            |  |  |  |
|            | Fishing participants  | 1                               |                                |  |  |  |
|            | Estimated total # of<br>fishers that went<br>bottomfishing                                  | 636[ <b>▼</b> 74%] <b>♥ ●</b>   | N/A                            |  |  |  |
|            | Bycatch   | ·                               | · ·                            |  |  |  |
|            | Total number of bycatch caught  | 713[▼35%] ♥●                    | N/A                            |  |  |  |
|            | # bycatch released  | None                            | N/A                            |  |  |  |
|            | # bycatch kept  |                                 | N/A                            |  |  |  |
|            | Federal permits   |                                 |                                |  |  |  |
|            | # federal permit holders<br>(PIRO)  |                                 | N/A                            |  |  |  |
| Coral Reef | Estimated catch (lbs all gears  | \$)                             |                                |  |  |  |
|            | Boat-based creel data<br>(expanded estimate all<br>gears, defined by a list of<br>species?) | 44,603[♥30%] �O                 | N/A                            |  |  |  |
|            | Shore-based creel<br>(expanded estimate all<br>gears, defined by a list of<br>species?)     | 24,241[▼52%] �O                 | N/A                            |  |  |  |
|            | Commercial Purchase   | 47,907[ <b>v</b> 42%]           | 47,907 <b>[▼</b> 67%] <b>℃</b> |  |  |  |
|            | Catch-per-unit-effort (lbs/gea  | ar-hrs)                         | 1                              |  |  |  |
|            | BB spear  | No CPUE estimate<br>available   | N/A                            |  |  |  |
|            | BB troll  | 0.0993[▲2%]                     | N/A                            |  |  |  |
|            | BB atulai   | No CPUE estimate<br>available   | N/A                            |  |  |  |
|            | BB castnets   | No CPUE estimate<br>available   | N/A                            |  |  |  |
|            | SB H&L  | 0.1409[▲80%]                    | N/A                            |  |  |  |
|            | SB spear  |                                 | N/A                            |  |  |  |
|            | SB castnets   | 0.0526[▼42%] 🗸 🔾                | N/A                            |  |  |  |
|            | Fishing effort (# of gear-hour  |                                 |                                |  |  |  |
|            | BB spear  | No effort estimate<br>available | N/A                            |  |  |  |
|            | BB troll  | 82,175[▼55%] <b>℃</b>           | N/A                            |  |  |  |

| Fishery | Fishery statistics                 | Short-term (recent 10 years)           | Long-term (20 years) |  |  |  |
|---------|------------------------------------|--|----------------------|--|--|--|
|         | BB atulai                          | No effort estimate<br>available        | N/A                  |  |  |  |
|         | BB castnets                        | No effort estimate<br>available        | N/A                  |  |  |  |
|         | SB H&L                             | 11,832[♥97%]                           | N/A                  |  |  |  |
|         | SB spear                           | 589[ <b>▼</b> 56%] <b>℃○</b>           | N/A                  |  |  |  |
|         | SB castnets                        | 190[▼88%] �•                           | N/A                  |  |  |  |
|         | Fishing participants (# of gea     | Fishing participants (# of gear)       |                      |  |  |  |
|         | BB spear                           | No participation estimate<br>available | N/A                  |  |  |  |
|         | BB troll                           | 635[ <b>V</b> 8%] <b>CO</b>            | N/A                  |  |  |  |
|         | BB atulai                          | No participation estimate<br>available | N/A                  |  |  |  |
|         | BB castnets                        | No participation estimate<br>available | N/A                  |  |  |  |
|         | SB H&L                             | 11,782[▼55%]                           | N/A                  |  |  |  |
|         | SB spear                           | 3,277[▼7%] ♥♥                          | N/A                  |  |  |  |
|         | SB castnets                        | 1,551 <b>[▼</b> 38%] <b>℃</b>          | N/A                  |  |  |  |
|         | Boat-based Bycatch                 |  |                      |  |  |  |
|         | Total number of bycatch<br>caught  | 1,638[▼54%] ♥♥                         | N/A                  |  |  |  |
|         | # bycatch released                 | None                                   | N/A                  |  |  |  |
|         | # bycatch kept                     | 1,638[▼54%] ♥♥                         | N/A                  |  |  |  |
|         | Shore-based Bycatch                |  |                      |  |  |  |
|         | Total number of bycatch caught     | 712[▼38%] ♥♥                           | N/A                  |  |  |  |
|         | # bycatch released                 | None                                   | N/A                  |  |  |  |
|         | # bycatch kept                     | 712[▼38%] ♥ ●                          | N/A                  |  |  |  |
|         | Federal permits                    |  |                      |  |  |  |
|         | # federal permit holders<br>(PIRO) |  |                      |  |  |  |

#### **1.1.5** Catch statistics

This section summarizes the catch statistics for the bottomfish and coral reef fishery in CNMI. Estimates of catch are summarized from the creel survey and commercial receipt book data collection programs. Catch statistics provide estimates of annual harvest from the different fisheries. Estimates of fishery removals can provide proxies for the level of fishing mortality and a reference level relative to established quotas. This section also provides detailed level of catch for fishing methods and the top species complex harvested in the coral reef and bottomfish fishery.

#### **1.1.5.1** Catch by data stream

This describes the estimated total catch from the shore and boat-based creel survey program and the commercial landing from the commercial receipt book system. The difference between the creel total and the commercial landing is assumed to be the non-commercial component. However, there are cases where the commercial landing may be higher than the estimated creel total of the commercial receipt book program is able to capture the fishery better than the creel survey (e.g. night time spearfishing)

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the BF Species Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports).

# Table 4. Summary catch time series of the ALL SPECIES caught using the bottomfishinggear: estimated lbs (expanded) from the boat and shore-based creel surveys and estimatedtotal lbs from the commercial purchase system

Note: The creel survey estimates were not available for this report but will be included in next year's report.

|      | Creel Survey E    | stimates    |             | Commercial |
|------|-------------------|-------------|-------------|------------|
| Year | <b>Boat-based</b> | Shore-based | Creel Total | landings   |
| 1983 |                   |             |             | 16554      |
| 1984 |                   |             |             | 22353      |
| 1985 |                   |             |             | 19715      |
| 1986 |                   |             |             | 16203      |
| 1987 |                   |             |             | 19443      |
| 1988 |                   |             |             | 9844       |
| 1989 |                   |             |             | 8726       |
| 1990 |                   |             |             | 6214       |
| 1991 |                   |             |             | 3006       |
| 1992 |                   |             |             | 6293       |
| 1993 |                   |             |             | 12210      |
| 1994 |                   |             |             | 13266      |
| 1995 |                   |             |             | 25993      |
| 1996 |                   |             |             | 59648      |
| 1997 |                   |             |             | 61070      |
| 1998 |                   |             |             | 52426      |
| 1999 |                   |             |             | 50292      |
| 2000 |                   | 132235      | 132235      | 33212      |

|                 | Creel Survey E | stimates    |             | Commercial |
|-----------------|----------------|-------------|-------------|------------|
| Year            | Boat-based     | Shore-based | Creel Total | landings   |
| 2001            |                | 44428       | 44428       | 55905      |
| 2002            |                | 44213       | 44213       | 43140      |
| 2003            |                | 26902       | 26902       | 39762      |
| 2004            |                | 50908       | 50908       | 37843      |
| 2005            | 26354          | 63140       | 89494       | 55548      |
| 2006            | 32484          | 57961       | 90445       | 26079      |
| 2007            | 26242          | 82278       | 108520      | 34617      |
| 2008            | 40116          | 49414       | 89530       | 38697      |
| 2009            | 28778          | 95893       | 124671      | 38201      |
| 2010            | 7039           | 84773       | 91812       | 22646      |
| 2011            | 20253          | 62205       | 82458       | 23653      |
| 2012            | 10689          | 159989      | 170678      | 15520      |
| 2013            | 22808          | 30667       | 53475       | 22380      |
| 2014            | 12522          | 18527       | 31049       | 25030      |
| 2015            | 6067           | 15772       | 21839       | 7833       |
| 2016            | 11698          | 63407       | 75105       | 23284      |
| 10 YEARS<br>AVG | 18621          | 66293       | 84914       | 25186      |
| 10 YEARS<br>SD  | 10408          | 40823       | 41872       | 9251       |
| 20 YEARS<br>AVG |                |             |             | 35357      |
| 20 YEARS<br>SD  |                |             |             | 14270      |

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the BMUS Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports).

# Table 5. Summary of the available Bottomfish Management Unit Species (BMUS) catchtime series: estimated lbs (expanded) from the boat and shore-based creel surveys andestimated total lbs from the commercial purchase system

Note: The creel survey estimates were not available for this report but will be included in next year's report.

| Year | Creel survey Estimates |             | Creel Total | Commercial |
|------|------------------------|-------------|-------------|------------|
|      | Boat-based             | Shore-based |             | landings   |
| 1983 |                        |             |             | 3407       |
| 1984 |                        |             |             | 3463       |

| Year            | Creel survey Est | imates      | Creel Total | Commercial |
|-----------------|------------------|-------------|-------------|------------|
|                 | Boat-based       | Shore-based |             | landings   |
| 1985            |                  |             |             | 2087       |
| 1986            |                  |             |             | 3822       |
| 1987            |                  |             |             | 1889       |
| 1988            |                  |             |             | 2412       |
| 1989            |                  |             |             | 4022       |
| 1990            |                  |             |             | 1274       |
| 1991            |                  |             |             | 781        |
| 1992            |                  |             |             | 607        |
| 1993            |                  |             |             | 1723       |
| 1994            |                  |             |             | 5476       |
| 1995            |                  |             |             | 17735      |
| 1996            |                  |             |             | 32446      |
| 1997            |                  |             |             | 22133      |
| 1998            |                  |             |             | 27277      |
| 1999            |                  |             |             | 34305      |
| 2000            |                  | 64413       | 64413       | 14940      |
| 2001            |                  | 29375       | 29375       | 25283      |
| 2002            |                  | 23825       | 23825       | 24333      |
| 2003            |                  | 8642        | 8642        | 17665      |
| 2004            |                  | 13094       | 13094       | 12384      |
| 2005            | 470              | 18311       | 18781       | 15376      |
| 2006            | 416              | 21702       | 22118       | 10346      |
| 2007            | 1296             | 34647       | 35943       | 15830      |
| 2008            | 61               | 9691        | 9752        | 16415      |
| 2009            | 246              | 54841       | 55087       | 18615      |
| 2010            |                  | 43111       | 43111       | 12579      |
| 2011            | 1209             | 28203       | 29412       | 15495      |
| 2012            | 160              | 109894      | 110054      | 10263      |
| 2013            | 2663             | 9143        | 11806       | 16697      |
| 2014            |                  | 6272        | 6272        | 18121      |
| 2015            | 0                | 12380       | 12380       | 4109       |
| 2016            |                  | 44342       | 44342       | 10443      |
| 10 YEARS        | 805              | 35252       | 35816       | 13857      |
| AVG<br>10 YEARS | 909              | 29745       | 29542       | 4281       |
| SD              | 202              | 27143       | 27342       | 4201       |
| 20 YEARS<br>AVG |                  |             |             | 17130      |
| 20 YEARS<br>SD  |                  |             |             | 6739       |

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the CREMUS Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports). Need to finalize the CREMUS list to use for Creel and commercial landings and verify non-overlap between Bottomfish Complex and CREMUS. Also need to verify all shallow bottomfish are not included in CREMUS list.

# Table 6. Summary of the predefined "coral reef fishery" (catch time series (for a discrete list of species – taken from CB lbs and CS lbs from the CREMUS module) from the boat and shore-based creel surveys and the commercial purchase system.

| Year | Creel survey Es | stimates   | Creel Total | Commercial Landings |
|------|-----------------|------------|-------------|---------------------|
|      | Shore-based     | Boat-based |             |                     |
| 1983 |                 |            |             | 165854              |
| 1984 |                 |            |             | 212854              |
| 1985 |                 |            |             | 188292              |
| 1986 |                 |            |             | 198720              |
| 1987 |                 |            |             | 176787              |
| 1988 |                 |            |             | 220751              |
| 1989 |                 |            |             | 341704              |
| 1990 |                 |            |             | 254769              |
| 1991 |                 |            |             | 141554              |
| 1992 |                 |            |             | 183223              |
| 1993 |                 |            |             | 191632              |
| 1994 |                 |            |             | 246520              |
| 1995 |                 |            |             | 202791              |
| 1996 |                 |            |             | 205948              |
| 1997 |                 |            |             | 235331              |
| 1998 |                 |            |             | 256244              |
| 1999 |                 |            |             | 216037              |
| 2000 |                 | 85709      | 85709       | 233969              |
| 2001 |                 | 29727      | 29727       | 232500              |
| 2002 |                 | 36213      | 36213       | 210855              |
| 2003 |                 | 43517      | 43517       | 139249              |
| 2004 |                 | 46719      | 46719       | 120466              |
| 2005 | 109476          | 54280      | 163756      | 174630              |
| 2006 | 114177          | 52190      | 166367      | 173946              |
| 2007 | 86335           | 72379      | 158714      | 145967              |
| 2008 | 88556           | 78417      | 166973      | 158572              |
| 2009 | 77295           | 83533      | 160828      | 124312              |
| 2010 | 48121           | 58682      | 106803      | 85127               |
| 2011 | 41027           | 57311      | 98338       | 90956               |
| 2012 | 38644           | 76740      | 115384      | 58018               |

| Year         | Creel survey Es | timates    | Creel Total | Commercial Landings |  |  |
|--------------|-----------------|------------|-------------|---------------------|--|--|
|              | Shore-based     | Boat-based |             |                     |  |  |
| 2013         | 158516          | 26007      | 184523      | 35567               |  |  |
| 2014         | 17892           | 18149      | 36041       | 45942               |  |  |
| 2015         | 36865           | 8830       | 45695       | 26986               |  |  |
| 2016         | 44603           | 24241      | 68844       | 47097               |  |  |
| 10 YEARS AVG | 63785           | 50429      | 114214      | 81854               |  |  |
| 10 YEARS SD  | 38565           | 26877      | 50053       | 44846               |  |  |
| 20 YEARS AVG | 43075           | 42632      | 85708       | 140589              |  |  |
| 20 YEARS SD  | 46621           | 27921      | 61926       | 73293               |  |  |

#### 1.1.5.2 Expanded catch estimates by fishing methods

Catch information is provided for the top shore-based and boat-based fishing methods that contributes 99% and 84% of the annual catch, respectively.

**Calculations:** The creel catch time series will be the sum of the estimated weight by selected gear in all strata for all species except for trolling, which would exclude PMUS and any pelagic species complex.

| Year         | Shore-b | ased metho | ods     | Boat-based r | nethods |        |        |         |
|--------------|---------|------------|---------|--------------|---------|--------|--------|---------|
|              | H&L     | Spear      | Castnet | Bottomfish   | Spear   | Troll* | Atulai | Castnet |
| 2005         | 130     | 259        | 50      | 3231         | 12      | 34575  | 520    | 2       |
| 2006         | 262     | 320        | 114     | 1802         | 91      | 29504  | 340    | 23      |
| 2007         | 203     | 74         | 110     | 2220         | 105     | 28464  | 482    | 0       |
| 2008         | 335     | 161        | 65      | 914          | 197     | 20080  | 263    | 48      |
| 2009         | 295     | 235        | 68      | 1974         | 113     | 13147  | 407    | 78      |
| 2010         | 105     | 102        | 93      | 1353         | 19      | 14592  | 74     | 13      |
| 2011         | 136     | 78         | 18      | 1521         | 6       | 10589  | 152    | 33      |
| 2012         | 93      | 40         | 36      | 2807         | 1       | 17921  | 128    | 0       |
| 2013         | 170     | 94         | 17      | 1324         | 53      | 19814  | 98     | 0       |
| 2014         | 55      | 0          | 9       | 299          | 16      | 16806  | 99     | 0       |
| 2015         | 27      | 123        | 10      | 470          | 81      | 15491  | 76     | 0       |
| 2016         | 25      | 83         | 10      | 1386         | 0       | 7449   | 0      | 0       |
| 10 YEARS AVG | 144     | 99         | 44      | 1427         | 59      | 16435  | 178    | 17      |
| 10 YEARS SD  | 102     | 61         | 36      | 729          | 61      | 5493   | 149    | 26      |

## Table 7. Expanded catch time series estimates using boat and shore-based creel survey data sets by gear type.

#### 1.1.5.3 Top species in the catch for the boat and shore-based fisheries

The time series for catch is an indicator of fishery performance. Fluctuations in the catch can be attributed to various factors and there is no single explanatory variable for the trends. The 10 species group in the boat and shore-based catch for the coral reef fishery make up 91% of the total annual catches.

**Calculations:** Catch by species complex is tallied directly from the boat-based expanded species composition data combining all gear types and species, for all strata.

The averages for the table this year were calculated from catch estimates from the entire time series for each of the CREMUS groupings. The average catch for each grouping is ranked from the highest to lowest catch. The dominant groups that make up more than 50% of the catch are reported.

Table 8. Catch time series of the 12 managed species complexes (rank ordered by management importance and average catch of recent 10 years) from the boat based creel data. The CREMUS complex comprise > 92% of the total boat based landing. (ALL BF and BMUS were deemed commercial).

| Year            | Boat-bas | Boat-based (Estimated Pounds) |       |       |       |      |      |      |        |      |      |      |  |
|-----------------|----------|-------------------------------|-------|-------|-------|------|------|------|--------|------|------|------|--|
|                 | BF       | BMUS                          | Empr  | Jacks | Atul  | Grpr | Snap | Surg | Parrot | Mull | Sqrl | Rudr |  |
| 2000            | 132251   | 64413                         | 49628 | 1929  | 967   | 1266 | 99   | 176  | 15628  | 653  | 3648 | 0    |  |
| 2001            | 44430    | 29377                         | 10094 | 1170  | 4456  | 1453 | 69   | 0    | 3699   | 90   | 5569 | 13   |  |
| 2002            | 44602    | 23826                         | 12989 | 253   | 613   | 2032 | 879  | 818  | 6555   | 979  | 3831 | 0    |  |
| 2003            | 26927    | 8641                          | 4381  | 876   | 13579 | 935  | 2030 | 0    | 10958  | 2071 | 3924 | 265  |  |
| 2004            | 50909    | 13095                         | 24576 | 1616  | 1008  | 1306 | 503  | 0    | 11215  | 407  | 2153 | 600  |  |
| 2005            | 63707    | 18312                         | 22514 | 2776  | 0     | 776  | 47   | 0    | 17977  | 2128 | 1722 | 925  |  |
| 2006            | 58029    | 21702                         | 21135 | 3339  | 2932  | 1792 | 340  | 0    | 9884   | 1969 | 4260 | 235  |  |
| 2007            | 82304    | 34648                         | 34169 | 3999  | 7336  | 2778 | 4391 | 0    | 4280   | 5208 | 3948 | 985  |  |
| 2008            | 49414    | 9692                          | 26918 | 2366  | 14039 | 4378 | 1104 | 0    | 6939   | 3499 | 5572 | 520  |  |
| 2009            | 95893    | 54840                         | 31950 | 2762  | 20622 | 3910 | 635  | 0    | 2785   | 3556 | 7506 | 3189 |  |
| 2010            | 84774    | 43112                         | 23141 | 1865  | 6195  | 1364 | 780  | 0    | 13134  | 3522 | 3934 | 0    |  |
| 2011            | 62208    | 28203                         | 19737 | 803   | 7847  | 205  | 542  | 0    | 11567  | 1898 | 4016 | 3715 |  |
| 2012            | 159990   | 109894                        | 43562 | 4088  | 14438 | 1147 | 1150 | 0    | 1676   | 770  | 974  | 88   |  |
| 2013            | 30669    | 9145                          | 18143 | 1018  | 720   | 60   | 2    | 0    | 1723   | 640  | 955  | 175  |  |
| 2014            | 18528    | 6272                          | 6789  | 0     | 330   | 697  | 233  | 0    | 5148   | 319  | 2136 | 0    |  |
| 2015            | 15826    | 12380                         | 1450  | 34    | 111   | 277  | 345  | 0    | 1392   | 570  | 4218 | 127  |  |
| 2016            | 63406    | 44342                         | 8848  | 121   | 0     | 0    | 70   | 0    | 9838   | 257  | 0    | 0    |  |
| 10 YEARS<br>AVG | 35253    | 66301                         | 21471 | 1706  | 7164  | 1482 | 925  | 0    | 5848   | 2024 | 3326 | 880  |  |
| 10 YEARS SD     | 29745    | 40817                         | 12575 | 1484  | 6870  | 1549 | 1214 | 0    | 4117   | 1690 | 2195 | 1324 |  |

**Calculations:** Catch by species complex is tallied directly from the boat-based expanded species composition data combining all gear types and species, for all strata.

The averages were for the table below was calculated from catch estimates from the entire time series for each of the CREMUS grouping. The average catch is ranked from the highest to lowest catch. The dominant groups that make up more than 60% of the catch are reported..

| Year            | Shore- | Shore-based Estimated Pounds |       |       |      |       |        |       |       |      |       |  |  |
|-----------------|--------|------------------------------|-------|-------|------|-------|--------|-------|-------|------|-------|--|--|
|                 | Jacks  | Empr                         | Rabt  | Surg  | Goat | Atul  | Parrot | Molsk | Mull  | Wras | Rudr  |  |  |
| 2005            | 15320  | 1181                         | 62385 | 11678 | 6230 | 39110 | 19953  | 9511  | 8194  | 5247 | 18438 |  |  |
| 2006            | 30020  | 1317                         | 45725 | 26864 | 7456 | 28557 | 7590   | 16234 | 13189 | 4532 | 28672 |  |  |
| 2007            | 31604  | 1483                         | 61088 | 41781 | 8350 | 16192 | 10126  | 4838  | 10363 | 5210 | 10111 |  |  |
| 2008            | 45867  | 815                          | 50748 | 57321 | 3878 | 40116 | 2233   | 6823  | 6412  | 7078 | 16039 |  |  |
| 2009            | 36928  | 7093                         | 58414 | 47511 | 4419 | 21641 | 3264   | 9055  | 5608  | 3239 | 21732 |  |  |
| 2010            | 19068  | 804                          | 32643 | 45172 | 2375 | 18723 | 938    | 3196  | 4077  | 1837 | 13846 |  |  |
| 2011            | 14813  | 4738                         | 28792 | 33821 | 3020 | 24461 | 1456   | 5398  | 3547  | 1467 | 19700 |  |  |
| 2012            | 7987   | 251                          | 48874 | 34309 | 2487 | 10448 | 5341   | 4566  | 11198 | 1375 | 3781  |  |  |
| 2013            | 30410  | 2935                         | 29210 | 23233 | 1052 | 11730 | 555    | 39382 | 15120 | 4270 | 36083 |  |  |
| 2014            | 12011  | 1338                         | 12299 | 2864  | 552  | 17526 | 620    | 3816  | 3172  | 452  | 6686  |  |  |
| 2015            | 12189  | 337                          | 7709  | 726   | 749  | 3184  | 23     | 8134  | 8833  | 461  | 12447 |  |  |
| 2016            | 22685  | 2022                         | 23659 | 1534  | 833  | 17252 | 1309   | 5473  | 6929  | 36   | 17277 |  |  |
| 10 YEARS<br>AVG | 23356  | 2182                         | 28827 | 35344 | 2772 | 18127 | 2587   | 9068  | 7526  | 2543 | 15770 |  |  |
| 10 YEARS<br>SD  | 11791  | 2082                         | 19725 | 17704 | 2260 | 9297  | 2919   | 10254 | 3645  | 2217 | 8596  |  |  |

Table 9. Catch time series of the 11 managed species complexes (rank ordered bymanagement importance and average catch of recent 10 years) from the shore-based creeldata The CREMUS complex comprise > 91% of the total boat based landing

#### **1.1.6** Catch Per Unit Effort (CPUE) Statistics

This section summarizes the estimates for catch-per-unit effort in the boat and shore-based fisheries. The boat-based fisheries include the bottomfishing (handline gear), spearfishing (snorkel), troll, atulai nets, and castnets that comprise 84% of the total catch. Trolling method are primarily a pelagic fishing method but also catches coral reef fishes like jacks and gray jobfish. The shore-based fisheries include the hook-and-line, spearfishing and cast nets comprise 99% of the total coral reef fish catch. CPUE is reported as pounds per gear-hours for the shore-based methods whereas in the boat-based methods its pound per trip.

**Calculations:** CPUE is calculated from interview data by gear type using  $\sum \operatorname{catch} / \sum$  (hours fished\*number of fishers) for boat based and  $\sum \operatorname{catch} / \sum$  (hours fished\*number of gears used) for shore based. If the value is blank (empty), then there was no interview collected for that method. Landings from interviews without fishing hours are excluded from the calculations.

| Table 10. Catch per unit effort time series by dominant fishing methods from the shore- |
|---|
| based fisheries.  |

| Year Gear CPUE (Lbs/Gear-hr) |        |         |        |  |  |  |
|------------------------------|--------|---------|--------|--|--|--|
|                              | H&L    | Castnet |        |  |  |  |
| 2005                         | 0.0009 | 0.0654  | 0.0321 |  |  |  |
| 2006                         | 0.0002 | 0.0434  | 0.0158 |  |  |  |

| 2007         | 0.0003 | 0.0705 | 0.034  |  |
|--------------|--------|--------|--------|--|
| 2008         | 0.0002 | 0.0658 | 0.0074 |  |
| 2009         | 0.0002 | 0.0623 | 0.028  |  |
| 2010         | 0.0004 | 0.0567 | 0.1771 |  |
| 2011         | 0.0005 | 0.0556 | 0.0557 |  |
| 2012         | 0.0004 | 0.0465 | 0.1    |  |
| 2013         | 0.0009 | 0.1302 | 0.0833 |  |
| 2014         | 0.0024 | 0      | 0.15   |  |
| 2015         | 0.0016 | 0.1538 | 0.1042 |  |
| 2016         | 0.0021 | 0.1409 | 0.0526 |  |
| 10 YEARS AVG | 0.0009 | 0.0782 | 0.0792 |  |
| 10 YEARS SD  | 0.0008 | 0.0457 | 0.0517 |  |

#### Table 11. Catch per unit effort time series by dominant fishing methods from the boatbased fisheries.

| Year         | Boat_based Gear CPUE (Lbs/Fisher-hrs) |        |        |        |         |  |  |  |  |
|--------------|---------------------------------------|--------|--------|--------|---------|--|--|--|--|
|              | Bottomfishing                         | Spear  | Troll  | Atulai | Castnet |  |  |  |  |
| 2000         | 0.1102                                | 2.3929 | 0.0837 | 0.1326 |         |  |  |  |  |
| 2001         | 0.0301                                | 1.4844 | 0.0588 | 0.1067 |         |  |  |  |  |
| 2002         | 0.0485                                | 3.9    | 0.0608 | 0.1079 |         |  |  |  |  |
| 2003         | 0.0345                                | 0.1009 | 0.0371 | 0.2284 | 1.4     |  |  |  |  |
| 2004         | 0.0307                                | 0.0839 | 0.0568 | 0.048  |         |  |  |  |  |
| 2005         | 0.0137                                | 1      | 0.0372 | 0.0704 | 0.125   |  |  |  |  |
| 2006         | 0.0126                                | 0.1071 | 0.0545 | 0.0437 | 1.15    |  |  |  |  |
| 2007         | 0.0289                                | 0.3182 | 0.0726 | 0.0311 | 0       |  |  |  |  |
| 2008         | 0.0125                                | 0.0533 | 0.0718 | 0.1927 | 0.6667  |  |  |  |  |
| 2009         | 0.0069                                | 0.1495 | 0.0745 | 0.0755 | 5.5714  |  |  |  |  |
| 2010         | 0.0022                                | 3.1667 | 0.1065 | 0.2284 | 1.4444  |  |  |  |  |
| 2011         | 0.0021                                | 1      | 0.0855 | 0.6609 | 0.3929  |  |  |  |  |
| 2012         | 0.3558                                | 0.25   | 0.1113 | 0.0914 |         |  |  |  |  |
| 2013         | 0.1445                                | 0.3155 | 0.0982 | 0.2917 |         |  |  |  |  |
| 2014         | 0.1286                                | 3.2    | 0.0926 | 0.5789 | 0       |  |  |  |  |
| 2015         | 0.2318                                | 27     | 0.1594 | 0.7917 |         |  |  |  |  |
| 2016         | 0.3818                                |        | 0.0993 |        |         |  |  |  |  |
| 10 YEARS AVG | 0.1295                                | 3.9392 | 0.0972 | 0.3269 | 1.3459  |  |  |  |  |
| 10 YEARS SD  | 0.1403                                | 8.2388 | 0.0247 | 0.2638 | 1.9518  |  |  |  |  |

NOTE: consider "castnets" deleting because there is insufficient data available

#### **1.1.7 Effort Statistics**

This section summarizes the effort trends in the coral reef and bottomfish fishery. Fishing effort trends provide insights on the level of fishing pressure through time. Effort information is provided for the top shore-based and boat-based fishing methods that contributes 99% and 84% of the annual catch.

**Calculations:** Effort estimates (hours) are generated by summing the effort data collected from interviews by gear type. For the Shore-based estimates, da**ta collection started in 2005.** 

Table 12. Time series of effort estimates from the coral reef and bottomfish fisheries. Shore-based fisheries are expressed in gear-hours (expanded total number of hours fishing by total number of gears used). The boat-based fisheries are expressed in number of trips for bottomfish and number of gear hours for spear, troll, atulai, and castnest). Cells marked with \* indicates data is confidential due to less than 3 entities surveyed or reported

| Year         | Estimated Effort by Gear or Fishing Method |       |         |        |          |       |        |         |  |  |
|--------------|--|-------|---------|--------|----------|-------|--------|---------|--|--|
|              | SB gear-                                   | hours |         |        | BB gear- | hours |        |         |  |  |
|              | H&L  | Spear | Castnet | Bottom | Spear    | Troll | Atulai | Castnet |  |  |
| 2000         | 1161                                       | 1119  | 1464    | 803    | 1577     |       |        |         |  |  |
| 2001         | 993  | 898   | 1460    | 806    | 1095     |       |        |         |  |  |
| 2002         | 1259                                       | 1287  | 730     | 851    | 1156     |       |        |         |  |  |
| 2003         | 1374                                       | 1331  | 816     | 930    | 913      | 730   |        |         |  |  |
| 2004         | 1319                                       | 1236  | 993     | 793    | 1313     |       |        |         |  |  |
| 2005         | 1369                                       | 1342  | 1095    | 850    | 1007     | 730   | 43884  | 7058    |  |  |
| 2006         | 1130                                       | 1155  | 830     | 870    | 973      | 1825  | 49116  | 8448    |  |  |
| 2007         | 883  | 807   | 782     | 800    | 1186     | 1095  | 41127  | 6554    |  |  |
| 2008         | 1888                                       | 1843  | 848     | 723    | 1423     | 976   | 58569  | 5270    |  |  |
| 2009         | 3043                                       | 3224  | 821     | 671    | 1345     | 730   | 42908  | 4137    |  |  |
| 2010         | 6375                                       | 6727  | 730     | 660    | 876      | 1095  | 17505  | 3039    |  |  |
| 2011         | 6246                                       | 7581  | 730     | 758    | 913      | 730   | 24927  | 2049    |  |  |
| 2012         | 690  | 718   | 366     | 738    | 1281     |       | 17198  | 2751    |  |  |
| 2013         | 728  | 753   | 728     | 655    | 874      |       | 22960  | 2870    |  |  |
| 2014         | 666  | 751   | 365     | 603    | 1095     | 730   | 13601  | 2452    |  |  |
| 2015         | 678  | 782   | 365     | 641    | 730      |       | 8689   | 2873    |  |  |
| 2016         | 636  | 848   |         | 635    |          |       | 11782  | 3277    |  |  |
| 10 YEARS AVG | 2403                                       | 2183  | 637     | 688    | 1080     | 893   | 25927  | 3527    |  |  |
| 10 YEARS SD  | 2498                                       | 2189  | 196     | 60     | 230      | 167   | 15463  | 1329    |  |  |

#### 1.1.8 Participants

This section summarizes the estimated number of participants in each fishery type. The information presented here can be used in the impact analysis of potential amendments in the FEPs associated with the bottomfish and coral reef fisheries. The trend in the number of participants over time can also be used as an indicator for fishing pressure.

**Calculations:** Estimated number of participants is calculated by using and average number of fishers out fishing per day multiplied by the numbers of dates in the calendar year by gear type. The total is a combination of weekend and weekday stratum estimates.

|              | Bott              | omfish          |       | Cora  | Reef BB |         | Cora  | al Reef SE | <b>B</b> Fishery |
|--------------|-------------------|-----------------|-------|-------|---------|---------|-------|------------|------------------|
| Year         | No. of<br>fishers | No. of<br>Gears | Spear | Troll | Atulai  | Castnet | H&L   | Spear      | Castnet          |
| 2000         | 1161              | 1119            | 1464  | 803   | 1577    |         |       |            |                  |
| 2001         | 993               | 898             | 1460  | 806   | 1095    |         |       |            |                  |
| 2002         | 1259              | 1287            | 730   | 851   | 1156    |         |       |            |                  |
| 2003         | 1374              | 1331            | 816   | 930   | 913     | 730     |       |            |                  |
| 2004         | 1319              | 1236            | 993   | 793   | 1313    |         |       |            |                  |
| 2005         | 1369              | 1342            | 1095  | 850   | 1007    | 730     | 43884 | 7058       | 4798             |
| 2006         | 1130              | 1155            | 830   | 870   | 973     | 1825    | 49116 | 8448       | 5251             |
| 2007         | 883               | 807             | 782   | 800   | 1186    | 1095    | 41127 | 6554       | 3521             |
| 2008         | 1888              | 1843            | 848   | 723   | 1423    | 976     | 58569 | 5270       | 4547             |
| 2009         | 3043              | 3224            | 821   | 671   | 1345    | 730     | 42908 | 4137       | 2771             |
| 2010         | 6375              | 6727            | 730   | 660   | 876     | 1095    | 17505 | 3039       | 2145             |
| 2011         | 6246              | 7581            | 730   | 758   | 913     | 730     | 24927 | 2049       | 3134             |
| 2012         | 690               | 718             | 366   | 738   | 1281    |         | 17198 | 2751       | 2075             |
| 2013         | 728               | 753             | 728   | 655   | 874     |         | 22960 | 2870       | 2728             |
| 2014         | 666               | 751             | 365   | 603   | 1095    | 730     | 13601 | 2452       | 1656             |
| 2015         | 678               | 782             | 365   | 641   | 730     |         | 8689  | 2873       | 848              |
| 2016         | 636               | 848             |       | 635   |         |         | 11782 | 3277       | 1551             |
| 10 YEARS AVG | 2403              | 2183            | 637   | 688   | 1080    | 893     | 25927 | 3527       | 2498             |
| 10 YEARS SD  | 2498              | 2189            | 196   | 60    | 230     | 167     | 15463 | 1329       | 1020             |

# Table 13. Number of fishermen participating in the bottomfish fishery and number of gearin the boat and shore-based coral reef fishery. Cells marked with \* indicates data isconfidential due to less than 3 entities surveyed or reported.

#### **1.1.9** Bycatch estimates

This section focuses on MSA § 303(a)(11), which requires that all FMPs establish a standardized reporting methodology to assess the amount and type of bycatch occurring in the fishery, and include conservation and management measures that, to the extent practicable, minimize bycatch and bycatch mortality. The MSA § 303(a)(11) standardized reporting methodology is commonly

referred to as a "Standardized Bycatch Reporting Methodology" (SBRM) and was added to the MSA by the Sustainable Fisheries Act of 1996 (SFA). The Council implemented omnibus amendments to FMPs in 2003 to address MSA bycatch provisions and established SBRMs at that time.

#### **Calculations:**

Numbers caught = Sum of the total number of fish or invertebrates found in the raw interview (catch) data, including bycatch.

Numbers kept = Sum of the total number of fish or invertebrates in the raw data that are not marked as bycatch.

Numbers released = caught - kept

% Bycatch = Sum of all bycatch divided by the total catch.

# Table 14. Time series of bycatch estimates in the non-bottomfishing boat-based fisheries.Percent bycatch is calculated from the numbers caught and identified as bycatch versus all<br/>caught in the fishery.

| Year         | Bycatch from boat-based non-bottomfishing gear type |      |          |           |  |  |  |
|--------------|---|------|----------|-----------|--|--|--|
|              | Numbers caught                                      | Kept | Released | % bycatch |  |  |  |
| 2000         | 3086  | 3089 | 3        | 0.001     |  |  |  |
| 2001         | 5731  | 5732 | 1        | 0.0002    |  |  |  |
| 2002         | 4885  | 4885 | 0        | 0         |  |  |  |
| 2003         | 8785  | 8785 | 0        | 0         |  |  |  |
| 2004         | 5717  | 5717 | 0        | 0         |  |  |  |
| 2005         | 6772  | 6772 | 0        | 0         |  |  |  |
| 2006         | 6759  | 6761 | 2        | 0.0003    |  |  |  |
| 2007         | 6683  | 6683 | 0        | 0         |  |  |  |
| 2008         | 4463  | 4463 | 0        | 0         |  |  |  |
| 2009         | 3792  | 3792 | 0        | 0         |  |  |  |
| 2010         | 3462  | 3462 | 0        | 0         |  |  |  |
| 2011         | 2515  | 2515 | 0        | 0         |  |  |  |
| 2012         | 3963  | 3963 | 0        | 0         |  |  |  |
| 2013         | 3732  | 3732 | 0        | 0         |  |  |  |
| 2014         | 2592  | 2592 | 0        | 0         |  |  |  |
| 2015         | 2693  | 2693 | 0        | 0         |  |  |  |
| 2016         | 1638  | 1638 | 0        | 0         |  |  |  |
| 10 YEARS AVG | 3553  | 3553 | 0        | 0         |  |  |  |
| 10 YEARS SD  | 1315  | 1315 | 0        | 0         |  |  |  |
|              |   |      |          |           |  |  |  |

| Table 15. Time series bycatch estimates in the bottomfish fishery. Percent bycatch is |
|---|
| calculated from the numbers caught and identified as bycatch versus all caught in the |
| fishery.  |

| Year         | Bycatch from boat-based bottomfishing gear type |      |          |           |  |  |  |
|--------------|---|------|----------|-----------|--|--|--|
|              | Numbers caught                                  | Kept | Released | % bycatch |  |  |  |
| 2000         | 818   | 797  | 21       | 0.0257    |  |  |  |
| 2001         | 931   | 930  | 1        | 0.0011    |  |  |  |
| 2002         | 904   | 890  | 14       | 0.0155    |  |  |  |
| 2003         | 877   | 841  | 36       | 0.041     |  |  |  |
| 2004         | 1379  | 1359 | 20       | 0.0145    |  |  |  |
| 2005         | 3225  | 3221 | 4        | 0.0012    |  |  |  |
| 2006         | 1845  | 1842 | 3        | 0.0016    |  |  |  |
| 2007         | 2110  | 2110 | 0        | 0         |  |  |  |
| 2008         | 1158  | 1158 | 0        | 0         |  |  |  |
| 2009         | 1779  | 1779 | 0        | 0         |  |  |  |
| 2010         | 1474  | 1474 | 0        | 0         |  |  |  |
| 2011         | 1734  | 1734 | 0        | 0         |  |  |  |
| 2012         | 782   | 782  | 0        | 0         |  |  |  |
| 2013         | 857   | 857  | 0        | 0         |  |  |  |
| 2014         | 216   | 216  | 0        | 0         |  |  |  |
| 2015         | 196   | 196  | 0        | 0         |  |  |  |
| 2016         | 713   | 713  | 0        | 0         |  |  |  |
| 10 YEARS AVG | 1102  | 1102 | 0        | 0         |  |  |  |
| 10 YEARS SD  | 627   | 627  | 0        | 0         |  |  |  |

Table 16. Time series of bycatch estimates in the shore-based fishery with all gears combined. Percent bycatch is calculated from the numbers caught and identified as bycatch versus all caught in the fishery.

| Year | Bycatch from sho | Bycatch from shore-based (all gears combined) |          |           |  |  |  |  |
|------|------------------|---|----------|-----------|--|--|--|--|
|      | Numbers caught   | Kept  | Released | % bycatch |  |  |  |  |
| 2005 | 3170             | 3104  | 66       | 0.0208    |  |  |  |  |
| 2006 | 6015             | 5987  | 28       | 0.0047    |  |  |  |  |
| 2007 | 2670             | 2660  | 10       | 0.0037    |  |  |  |  |
| 2008 | 7142             | 7135  | 7        | 0.001     |  |  |  |  |
| 2009 | 4412             | 4411  | 1        | 0.0002    |  |  |  |  |
| 2010 | 1839             | 1839  | 0        | 0         |  |  |  |  |
| 2011 | 2601             | 2601  | 0        | 0         |  |  |  |  |
| 2012 | 1466             | 1465  | 1        | 0.0007    |  |  |  |  |

| 2013         | 2007 | 2001 | 6 | 0.003  |
|--------------|------|------|---|--------|
| 2014         | 548  | 548  | 0 | 0      |
| 2015         | 687  | 687  | 0 | 0      |
| 2016         | 712  | 712  | 0 | 0      |
| 10 YEARS AVG | 2408 | 2406 | 3 | 0.0009 |
| 10 YEARS SD  | 1929 | 1927 | 4 | 0.0013 |

#### 1.1.10 Number of federal permit holders

In CNMI, the following Federal permits are required for fishing in the EEZ under the Mariana FEP:

#### 1.1.1.1 Northern Mariana Island Bottomfish Permit

This permit is required for any vessel commercially fishing for, landing, or transshipping bottomfish management unit species in the EEZ around the Commonwealth of the Northern Mariana Islands (CNMI). The permit expires one year after the date of issuance. CNMI bottomfish fishing vessels 40 feet or longer in overall length are required to carry and operate a NMFS owned and installed vessel monitoring system (VMS) unit. Vessel operators must submit a logbook to NMFS within 72 hours after landing. Vessel owners must mark their vessels according to CNMI or Federal regulations. Medium vessels (40 feet or longer and less than 50 feet in overall length) and large vessels (50 feet or longer in overall length) are prohibited from bottomfishing in the CNMI medium and large vessel bottomfish prohibited areas. Commercial fishing is also prohibited within the boundaries of the Islands Unit of the Marianas Trench Marine National Monument.

#### 1.1.1.2 Special Coral Reef Ecosystem Permit

The coral reef ecosystem special permit is required for anyone fishing for coral reef ecosystem management unit species in a low-use MPA, fishing for species on the list of Potentially Harvested Coral Reef Taxa, or using fishing gear not specifically allowed in the regulations. The permit expires one year after the date of issuance. Permit holder must submit a logbook to NMFS within 30 days of each landing of coral reef harvest.

A transshipment permit is required for any receiving vessel used to land or transship potentially harvested coral reef taxa, or any coral reef ecosystem management unit species caught in a lowuse MPA. Exceptions to this permit requirement are made for anyone issued a permit to fish under the other western Pacific fishery management plans (pelagic, bottomfish and seamount groundfish, crustacean, or precious corals) who catch coral reef management unit species incidentally while fishing for the management unit species covered by the permit they possess. Permit holders must submit a logbook to NMFS within 7 days following the date the vessel arrived in port to land transshipped fish. Regulations governing this fishery can be found in the Code of Federal Regulations, Title 50, Part 665.

#### 1.1.1.3 Western Pacific Precious Corals Permit

This permit is required for anyone harvesting or landing black, bamboo, pink, red, or gold corals in the EEZ in the western Pacific. The permit expires one year from the date of issuance. Permit

holders must submit a logbook to NMFS within 72 hours of landing. Specific conditions are associated with various established, provisional, and exploratory areas throughout the region.

#### **1.1.1.4** Western Pacific Crustaceans Permit (Lobster or Deepwater Shrimp)

A permit is required by the owner of a U.S. fishing vessel used to fish for lobster or deepwater shrimp in the EEZ around American Samoa, Guam, Hawaii, and the Pacific Remote Islands Areas, and in the EEZ seaward of 3 nautical miles of the shoreline of the Northern Mariana Islands. The permit expires one year after the date of issuance. Permit holders must submit a logbook to NMFS within 72 hours of landing (except when fishing in the Pacific Remote Island Areas – those reports are due within 30 days).

There is no record of special coral reef or precious coral fishery permits issued for the EEZ around Northern Mariana Islands since 2007. Table 17 provides the number of permits issued for Commonwealth of the Northern Mariana Islands fisheries between 2007 and 2017. Historical data are from the PIFSC accessed on February 9, 2017 and 2017 data are from the PIRO Sustainable Fisheries Division permits program as of February 3, 2017.

## Table 17. Number of federal permits holders between 2007 and 2017 for the crustacean and bottomfish fisheries of the Commonwealth of the Northern Mariana Islands

| CNMI<br>Fisheries | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
|-------------------|------|------|------|------|------|------|------|------|------|------|------|
| Lobster           | 1    | 6*   | *4   |      |      |      |      |      |      | 1**  |      |
| Shrimp            |      |      |      | 2*   | *1   |      |      |      |      | 1    |      |
| Bottomfish        |      |      | 2    | 13   | 10   | 13   | 5    | 6    | 7    | 17   | 20   |

\* Permits apply to multiple areas and may include American Samoa, Guam, CNMI, and PRIA.

\*\*Area 5 CNMI and Guam

#### 1.1.11 Status Determination Criteria

#### 1.1.11.1 Bottomfish Fishery

Overfishing criteria and control rules are specified and applied to individual species within the multi-species stock whenever possible. When this is not possible, they are based on an indicator species for the multi-species stock. It is important to recognize that individual species would be affected differently based on this type of control rule, and it is important that for any given species fishing, mortality does not currently exceed a level that would result in excessive depletion of that species. No indicator species are being used for the bottomfish multi-species stock complexes and the coral reef species complex. Instead, the control rules are applied to each stock complex as a whole.

The MSY control rule is used as the maximum fishing mortality threshold (MFMT). The MFMT and minimum stock size threshold (MSST) are specified based on recommendations in Restrepo et al. (1998) and both are dependent on the natural mortality rate (M). The value of M used to determine the reference point values are not specified in this document. The latest estimate, published annually in the SAFE report, is used and the value is occasionally re-estimated using the best available information. The range of M among species within a stock complex is taken into consideration when estimating and choosing the M to be used for the purpose of computing the reference point values.

In addition to the thresholds MFMT and MSST, a warning reference point,  $B_{FLAG}$ , is specified at some point above the MSST to provide a trigger for consideration of management action prior to B reaching the threshold. MFMT, MSST, and  $B_{FLAG}$  are specified as indicated in Table 18.

## Table 18. Overfishing threshold specifications for the bottomfish management unit species in CNMI

| MFMT   | MSST                 | B <sub>FLAG</sub> |  |  |  |
|--|----------------------|-------------------|--|--|--|
| $F(B) = \frac{F_{MSY}B}{c B_{MSY}} \text{ for } B \le c B_{MSY}$ | $c \mathbf{B}_{MSY}$ | B <sub>MSY</sub>  |  |  |  |
| $F(B) = F_{MSY}$ for $B > c B_{MSY}$                             |                      |                   |  |  |  |
| where $c = \max(1-M, 0.5)$                                       |                      |                   |  |  |  |

Standardized values of fishing effort (E) and catch-per-unit-effort (CPUE) are used as proxies for F and B, respectively, so  $E_{MSY}$ , CPUE<sub>MSY</sub>, and CPUE<sub>FLAG</sub> are used as proxies for  $F_{MSY}$ ,  $B_{MSY}$ , and  $B_{FLAG}$ , respectively.

In cases where reliable estimates of  $CPUE_{MSY}$  and  $E_{MSY}$  are not available, they will be estimated from catch and effort times series, standardized for all identifiable biases.  $CPUE_{MSY}$  would be calculated as half of a multi-year average reference CPUE, called  $CPUE_{REF}$ . The multi-year reference window would be objectively positioned in time to maximize the value of  $CPUE_{REF}$ .  $E_{MSY}$  would be calculated using the same approach or, following Restrepo et al. (1998), by setting  $E_{MSY}$  equal to  $E_{AVE}$ , where  $E_{AVE}$  represents the long-term average effort prior to declines in CPUE. When multiple estimates are available, the more precautionary one is used.

Since the MSY control rule specified here applies to multi-species stock complexes, it is important to ensure that no particular species within the complex has a mortality rate that leads to excessive depletion. In order to accomplish this, a secondary set of reference points is specified to evaluate stock status with respect to recruitment overfishing. A secondary "recruitment overfishing" control rule is specified to control fishing mortality with respect to that status. The rule applies only to those component stocks (species) for which adequate data are available. The ratio of a current spawning stock biomass proxy (SSB<sub>Pt</sub>) to a given reference level (SSB<sub>PREF</sub>) is used to determine if individual stocks are experiencing recruitment overfishing. SSBP is CPUE scaled by percent mature fish in the catch. When the ratio SSB<sub>Pt</sub>/SSB<sub>PREF</sub>, or the "SSBP ratio" (SSBPR) for any species drops below a certain limit (SSBPR<sub>MIN</sub>), that species is considered to be recruitment overfished and management measures will be implemented to reduce fishing mortality on that species. The rule applies only when the SSBP ratio drops below the SSBPR<sub>MIN</sub>, but it will continue to apply until the ratio achieves the "SSBP ratio recovery target"

(SSBPR<sub>TARGET</sub>), which is set at a level no less than SSB<sub>PRMIN</sub>. These two reference points and their associated recruitment overfishing control rule, which prescribe a target fishing mortality rate ( $F_{RO-REBUILD}$ ) as a function of the SSBP ratio, are specified as indicated in Table 19. Again,  $E_{MSY}$  is used as a proxy for  $F_{MSY}$ .

| Table 19. | <b>Rebuilding control</b> | rules for the bott   | omfish management | unit species in CNMI  |
|-----------|---------------------------|----------------------|-------------------|-----------------------|
|           | Kebunung control          | i i uicș i ui c botu | onnish management | unit species in Crain |

| <b>F</b> <sub>RO-REBUILD</sub>  | <b>SSBPR</b> <sub>MIN</sub> | <b>SSBPR</b> <sub>TARGET</sub> |
|---|-----------------------------|--------------------------------|
| $F(SSBPR) = 0$ for $SSBPR \le 0.10$                                   |                             |                                |
| $F(SSBPR) = 0.2 F_{MSY}$ for $0.10 < SSBPR \le SSBPR_{MIN}$           | 0.20                        | 0.30                           |
| $F(SSBPR) = 0.5 F_{MSY}$ for $SSBPR_{MIN} < SSBPR \le SSBPR_{TARGET}$ |                             |                                |
|   |                             |                                |

#### **1.1.11.2 Coral Reef Fishery**

Available biological and fishery data are poor for all coral reef ecosystem management unit species in the Mariana Islands. There is scant information on the life histories, ecosystem dynamics, fishery impact, community structure changes, yield potential, and management reference points for many coral reef ecosystem species. Additionally, total fishing effort cannot be adequately partitioned between the various management unit species (MUS) for any fishery or area. Biomass, maximum sustainable yield, and fishing mortality estimates are not available for any single MUS. Once these data are available, fishery managers can establish limits and reference points based on the multi-species coral reef ecosystem as a whole.

When possible, the MSY control rule should be applied to the individual species in a multispecies stock. When this is not possible, MSY may be specified for one or more species; these values can then be used as indicators for the multi-species stock's MSY.

Individual species that are part of a multi-species complex will respond differently to an OYdetermined level of fishing effort ( $F_{OY}$ ). Thus, for a species complex that is fished at  $F_{OY}$ , managers still must track individual species' mortality rates in order to prevent species-specific population declines that would lead to depletion.

For the coral reef fishery, the multi-species complex as a whole is used to establish limits and reference points for each area. When possible, available data for a particular species are used to evaluate the status of individual MUS stocks in order to prevent recruitment overfishing. When better data and the appropriate multi-species stock assessment methodologies become available, all stocks will be evaluated independently, without proxy.

#### **Establishing Reference Point Values**

Standardized values of catch per unit effort (CPUE) and effort (E) are used to establish limit and reference point values, which act as proxies for relative biomass and fishing mortality, respectively. Limits and reference points are calculated in terms of  $CPUE_{MSY}$  and  $E_{MSY}$  included in Table 20.

| Value                      | Proxy                    | Explanation   |
|----------------------------|--------------------------|---|
| MaxFMT (F <sub>MSY</sub> ) | E <sub>MSY</sub>         | 0.91 CPUE <sub>MSY</sub>                            |
| F <sub>OY</sub>            | 0.75 E <sub>MSY</sub>    | suggested default scaling for target                |
| B <sub>MSY</sub>           | CPUE <sub>MSY</sub>      | operational counterpart                             |
| B <sub>OY</sub>            | 1.3 CPUE <sub>MSY</sub>  | simulation results from Mace (1994)                 |
| MinSST                     | 0.7 CPUE <sub>MSY</sub>  | suggested default (1-M)B <sub>MSY</sub> with M=0.3* |
| B <sub>FLAG</sub>          | 0.91 CPUE <sub>MSY</sub> | suggested default (1-M)B <sub>OY</sub> with M=0.3*  |

#### Table 20. Status determination criteria for the coral reef management unit species using CPUE based proxies

When reliable estimates of  $E_{MSY}$  and  $CPUE_{MSY}$  are not available, they are generated from time series of catch and effort values, standardized for all identifiable biases using the best available analytical tools.  $CPUE_{MSY}$  is calculated as one-half a multi-year moving average reference CPUE ( $CPUE_{REF}$ ).

#### 1.1.11.3 Current Stock Status 1.1.11.3.1 Bottomfish

Biological and other fishery data are poor for all bottomfish species in the Mariana Archipelago. Generally, data are only available on commercial landings by species and catch-per-unit-effort (CPUE) for the multi-species complexes as a whole. At this time it is not possible to partition these effort measures among the various bottomfish MUS. The most recent stock assessment update (Yau et al. 2015) for the CNMI bottomfish management unit species complex (comprised of 17 species of shallow and deep species of snapper, grouper, jacks, and emperors) was based on estimate of total catch, an abundance index derived from the nominal CPUE generated from the creel surveys, and a fishery independent point estimate of MSY from the Our Living Oceans Report (Humphreys and Moffitt 1999, Moffitt & Humphreys 2009). The assessment utilized a state-space surplus production model with explicit process and observation error terms (Meyer and Millar 1999). Determinations of overfishing and overfished status can then be made by comparing current biomass and harvest rates to MSY level reference points. To date, the CNMI BMUS is not subject to overfishing and is not overfished (Table 21).

| Parameter                | Value             | Notes                                      | Status                   |
|--------------------------|-------------------|--|--------------------------|
| MSY                      | $173.1 \pm 32.19$ | Expressed in 1000 lbs (± std error)        |                          |
| H <sub>2013</sub>        | 0.022             | Expressed in percentage                    |                          |
| H <sub>MSY</sub>         | $0.261 \pm 0.063$ | Expressed in percentage ( $\pm$ std error) |                          |
| H/H <sub>MSY</sub>       | 0.088             |  | No overfishing occurring |
| <b>B</b> <sub>2013</sub> | 1,262             | Expressed in thousand pounds               |                          |
| B <sub>MSY</sub>         | $683.5\pm126.7$   | Expressed in 1000 lbs (± std error)        |                          |
| B/ B <sub>MSY</sub>      | 1.85              |  | Not overfished           |

#### 1.1.11.3.2 Coral reef

The application of the SDCs for the management unit species in the coral reef fisheries is limited due to various challenges. First, the thousands of species included in the coral reef MUS makes the SDC and status determination impractical. Second, the CPUE derived from the creel survey is based on the fishing method and there is no species-specific CPUE information available. In order to allocate the fishing method level CPUE to individual species, the catch data (the value of catch is derived from CPUE hence there is collinearity) will have to be identified to species level and CPUE will be parsed out by species composition. The third challenge is that there is very little species level identification applied to the creel surveys. There has been no attempt to estimate MSY for the coral reef MUS until the 2007 re-authorization of MSA that requires the Council to specify ACLs for species in the FEPs.

For ACL specification purposes, MSYs in the coral reef fisheries are determined by using the Biomass-Augmented Catch-MSY approach (Sabater and Kleiber 2014). This method estimates MSY using plausible combination rates of population increase (denoted by r) and carrying capacity (denoted by k) assumed from the catch time series, resilience characteristics (from FishBase), and biomass from existing underwater census surveys done by the Pacific Island Fisheries Science Center. This method was applied to species complexes grouped by taxonomic families. The most recent MSY estimates are found in Table 22. The SSC utilized the MSYs for the coral reef MUS complexes as the OFLs.

| Coral Reef MUS Complex                           | MSY (lbs) |
|--|-----------|
| Selar crumenophthalmus – atulai or bigeye scad   | 122,500   |
| Acanthuridae – surgeonfish                       | 361,200   |
| Carangidae – jacks                               | 55,300    |
| Crustaceans – crabs                              | 9,100     |
| Holocentridae – squirrelfish                     | 78,500    |
| Kyphosidae – chubs/rudderfish                    | 29,500    |
| Labridae – wrasses <sup>1</sup>                  | 73,500    |
| Lethrinidae – emperors                           | 69,700    |
| Lutjanidae – snappers                            | 225,800   |
| Mollusks – turbo snail; octopus; giant clams     | 16,700    |
| Mugilidae – mullets                              | 7,700     |
| Mullidae – goatfish                              | 31,000    |
| Scaridae – parrotfish <sup>2</sup>               | 189,900   |
| Serranidae – groupers                            | 110,300   |
| Siganidae – rabbitfish                           | 12,000    |
| All Other CREMUS Combined                        | 14,500    |
| - Other CRE-finfish                              |           |
| - Other invertebrates                            |           |
| - Misc. bottomfish                               |           |
| - Misc. reef fish                                |           |
| - Misc. shallow bottomfish                       |           |
| Cheilinus undulatus – humphead (Napoleon) wrasse | N.A.      |

#### Table 22. Best available MSY estimates for the coral reef MUS in CNMI

| Coral Reef MUS Complex                       | MSY (lbs) |
|--|-----------|
| Bolbometopon muricatum – bumphead parrotfish | N.A.      |
| Carcharhinidae – reef sharks                 | N.A.      |

## **1.1.12** Overfishing Limit, Acceptable Biological Catch, and Annual Catch Limits **1.1.12.1** Brief description of the ACL process

The Council developed a Tiered system of control rules to guide the specification of ACLs and Accountability Measures (AMs) (WPRFMC 2011). The process starts with the use of the best scientific information available (BSIA) in the form of, but not limited to, stock assessments, published paper, reports, or available data. These information are classified to the different Tiers in the control rule ranging from Tier 1 (most information available typically an assessment) to Tier 5 (catch-only information). The control rules are applied to the BSIA. Tiers 1 to 3 would involve conducting a Risk of Overfishing Analysis (denoted by P\*) to quantify the scientific uncertainties around the assessment to specify the Acceptable Biological Catch (ABC). This would lower the ABC from the OFL (MSY-based). A Social, Ecological, Economic, and Management (SEEM) Uncertainty Analysis is performed to quantify the uncertainties from the SEEM factors. The buffer is used to lower the ACL from the ABC. For Tier 4 which are stocks with MSY estimates but no active fisheries, the control rule is 91% of MSY. For Tier 5 which has catch only information, the control rule is a third reduction in the median catch depending on the qualitative evaluation on what the stock status is based on expert opinion. ACL specification can choose from a variety of method including the above mentioned SEEM analysis or a percentage buffer (% reduction from ABC based on expert opinion) or the use of an Annual Catch Target. Specifications are done on an annual basis but the Council normally specifies a multi-year specification.

The Accountability Measure for the coral reef and bottomfish fisheries in CNMI is an overage adjustment. The ACL is downward adjusted with the amount of overage from the ACL based on a three year running average.

#### 1.1.12.2 Current OFL, ABC, ACL, and recent catch

The most recent multiyear specification of OFL, ABC, and ACL for the coral reef fishery was completed in the 160<sup>th</sup> Council meeting on June 25 to 27, 2014. The specification covers fishing year 2015, 2016, 2017, and 2018 for the coral reef MUS complexes. A P\* and SEEM analysis was performed for this multiyear specification (NMFS 2015). For the bottomfish, it was a roll over from the previous specification since an assessment update was not available for fishing year 2015.

| Fishery        | MUS                               | OFL     | ABC     | ACL     | Catch  |
|----------------|-----------------------------------|---------|---------|---------|--------|
| Bottomfish     | Bottomfish multi-species complex  | 293,000 | 228,000 | 228,000 | 23,753 |
| Crustacean     | Deepwater shrimp                  | N.A.    | 275,570 | 275,570 | NAF    |
|                | Spiny lobster                     | 9,600   | 7,800   | 7,410   | 2,065  |
|                | Slipper lobster                   | N.A.    | 60      | 60      | 304    |
|                | Kona crab                         | N.A.    | 6,300   | 6,300   | NAF    |
| Precious coral | Black coral                       | 8,250   | 2,100   | 2,100   | NAF    |
|                | Precious coral in CNMI expl. area | N.A.    | 2,205   | 2,205   | NAF    |

| Coral Reef | Selar crumenophthalmus         | 122,500 | 89,400  | 77,400  | 290   |
|------------|--------------------------------|---------|---------|---------|-------|
|            | Acanthuridae-surgeonfish       | 361,200 | 324,600 | 302,600 | 3,564 |
|            | Carangidae-jacks               | 55,300  | 47,400  | 44,900  | 7,686 |
|            | Crustaceans-crabs              | 9,100   | 5,300   | 4,400   | ND    |
|            | Holocentridae-squirrelfish     | 78,500  | 69,300  | 66,100  | 335   |
|            | Kyphosidae-rudderfish          | 29,500  | 24,600  | 22,700  | 513   |
|            | Labridae-wrasse                | 73,500  | 59,900  | 55,100  | 179   |
|            | Lethrinidae-emperors           | 69,700  | 58,200  | 53,700  | 7,757 |
|            | Lutjanidae-snappers            | 225,800 | 202,700 | 190,400 | 646   |
|            | Mollusk-turbo snails; octopus; | 16,700  | 11,600  | 9,800   | ND    |
|            | clams                          | 10,700  | 11,000  | 9,800   |       |
|            | Mugilidae-mullets              | 7,700   | 5,300   | 4,500   | 298   |
|            | Mullidae-goatfish              | 31,000  | 29,200  | 28,400  | 1,089 |
|            | Scaridae-parrotfish            | 189,900 | 157,300 | 144,000 | 1,673 |
|            | Serranidae-groupers            | 110,300 | 92,800  | 86,900  | 284   |
|            | Siganidae-rabbitfish           | 12,000  | 10,400  | 10,200  | 1,858 |
|            | All other CREMUS combined      | 14,500  | 8,500   | 7,300   | 898   |
|            | Cheilinus undulatus            | N.A.    | 2,009   | 2,009   | 69    |
|            | Bolbometopon muricatum         | N.A.    | 797     | 797*    | ND    |
|            | Carcharhinidae-reef sharks     | N.A.    | 5,600   | 5,600   | ND    |

NOTE: \*The ACL for *B. muricatum* is shared with Guam (1 ACL for the whole archipelago)

The catch shown in Table 23 takes the average of the recent three years as recommended by the Council at its 160<sup>th</sup> meeting to avoid large fluctuations in catch due to data quality and outliers. NAF indicates no active fisheries as of date.

#### **1.1.13** Best scientific information available

#### 1.1.13.1 Bottomfish fishery

#### 1.1.13.1.1 Stock assessment benchmark

The benchmark stock assessment for the Territory Bottomfish Management Unit Species complex was developed and finalized in October 2007 (Moffitt et al. 2007). This benchmark utilized a Bayesian statistical framework to estimate parameters of a Schaefer model fit to a time series of annual CPUE statistics. The surplus production model included process error in biomass production dynamics and observation error in the CPUE data. This was an improvement to the previous approach of using index-based proxies for  $B_{MSY}$  and  $F_{MSY}$ . Best available information for the bottomfish stock assessment is as follows:

Input data: The CPUE and catch data used were from the Guam off-shore creel survey. The catch and CPUE were expanded on annual level. CPUE was expressed in line-hours. The data was screened for trips that landed more than 50% BMUS species using the handline gear.

Model: state-space model with explicit process and observation error terms (see Meyer and Millar, 1999).

Fishery independent source for biomass: point estimate of MSY from the Our Living Oceans Report (Humphreys and Moffitt 1999, Moffitt & Humphreys 2009)

#### 1.1.13.1.2 Stock assessment updates

Updates to the 2007 benchmark done in 2012 (Brodziak et al. 2012) and 2015 (Yau et al. 2015). These included a 2-year stock projection table used for selecting the level of risk the fishery will be managed under ACLs. Yau et al. (2015) is considered the best scientific information available for the Territory bottomfish MUS complex after undergoing a WPSAR Tier 3 panel review (Franklin et al. 2015). This was the basis for the P\* analysis and SEEM analysis the determined the risk levels to specify ABCs and ACLs.

#### 1.1.13.1.3 Other information available

Approximately every five years PIFSC administers a socioeconomic survey to small boat fishermen in CNMI. This survey consists of about 60 questions regarding a variety of topics, including fishing experiences, market participation, vessels and gear, demographics and household income, and fishermen perspectives. The survey requests participants to identify which MUS they primarily targeted during the previous 12 months, by percentage of trips. Full reports of these surveys can be found at the PIFSC Socioeconomics webpage (Hospital and Beavers 2011)

#### 1.1.13.2 Coral reef fishery

#### 1.1.13.2.1 Stock assessment benchmark

No stock assessment has been generated for the coral reef fisheries. The SDCs using index-based proxies were tested for its applicability in the different MUS in the coral reef fisheries (Hawhee 2007). This analysis was done on a gear level. It paints a dire situation for the shore-based fishery with 43% of the gear/species combination fell below  $B_{flag}$  and 33% below MSST with most catch and CPUE trends showing a decline over time. The off-shore fisheries were shown to be less dire with 50% of the gear/species combination fell below  $B_{flag}$  and 38% below MSST but the catch and CPUE trends were increasing over time. The inconsistency in the CPUE and catch trends with the SDC results makes this type of assessment to be unreliable.

The first attempt to use a model based approach in assessing the coral reef MUS complexes was done in 2014 using a biomass-based population dynamics model (Sabater and Kleiber 2014). This model was based on the original Martell and Froese (2012) model but was augmented with biomass information to relax the assumption behind carrying capacity. It estimates MSY based on a range of rate of population growth (r) and carrying capacity (K) values. The best available information for the coral reef stock assessment is as follows:

Input data: The catch data was derived from the inshore and off-shore creel surveys. Commercial receipt book information was also used in combination of the creel data. A downward adjustment was done to address for potential overlap due to double reporting.

Model: Biomass Augmented Catch MSY approach based on the original catch-MSY model (Martell and Froese 2012; Sabater and Kleiber 2014).

Fishery independent source for biomass: biomass density from the Rapid Assessment and Monitoring Program of NMFS-CRED was expanded to the hard bottom habitat from 0-30 m (Williams 2010).

This model had undergone a CIE review in 2014 (Cook 2014; Haddon 2014; Jones 2014). This was the basis for the P\* analysis that determined the risk levels to specify ABCs

#### 1.1.13.2.2 Stock assessment updates

No updates available for the coral reef MUS complex. However, NMFS-PIFSC is finalizing a length-based model for estimating sustainable yield levels and various biological reference points (Nadon et al. 2015). This can be used on a species level. The Council is also working with a contractor to enhance the BAC-MSY model to incorporate catch, biomass, CPUE, effort, length-based information in an integrated framework (Martell 2015)

#### 1.1.13.2.3 Other information available

Approximately every five years PIFSC administers a socioeconomic survey to small boat fishermen in CNMI. This survey consists of about 60 questions regarding a variety of topics, including fishing experiences, market participation, vessels and gear, demographics and household income, and fishermen perspectives. The survey requests participants to identify which MUS they primarily targeted during the previous 12 months, by percentage of trips. Full reports of these surveys can be found at the PIFSC Socioeconomics webpage (Hospital and Beavers 2011).

PIFSC and the Council conducted a workshop with various stakeholders in CNMI to identify factors and quantify uncertainties associated with the social, economic, ecological, and management of the coral reef fisheries (Sievanen and McCaskey 2014). This was the basis for the SEEM analysis that determined the risk levels to specify ACLs.

#### 1.1.14 Harvest capacity and extent

The MSA defines the term "optimum," with respect to the yield from a fishery, as the amount of fish which:

- will provide the greatest overall benefit to the Nation, particularly with respect to food production and recreational opportunities, and taking into account the protection of marine ecosystems.
- is prescribed on the basis of the MSY from the fishery, as reduced by any relevant social, economic, or ecological factor.
- in the case of an overfished fishery, provides for rebuilding to a level consistent with producing the MSY in such fishery [50 CFR §600.310(f)(1)(i)].

Optimum yield in the coral reef and bottomfish fisheries is prescribed based on the MSY from the stock assessment and the best available scientific information. In the process of specifying ACLs, social, economic, and ecological factors were considered and the uncertainties around those factors defined the management uncertainty buffer between the ABC and ACL. OY for the bottomfish and coral reef fish MUS complexes is defined to be the level of harvest equal to the ACL consistent with the goals and objectives of the Fishery Ecosystem Plans and used by the Council to manage the stock.

The Council recognizes that MSY and OY are long term values whereas the ACLs are yearly snapshots based on the level of fishing mortality at  $F_{MSY}$ . There are situations when the long-term means around MSY are going to be lower than ACLs especially if the stock is known to be

productive or relatively pristine or lightly fished. One can have catch levels and catch rates exceeding that of MSY over short-term enough to lower the biomass to a level around the estimated MSY and still not jeopardize the stock. In this situation is true for the territory bottomfish multi-species complex.

The harvest extent, in this case, is defined as the level of catch harvested in a fishing year relative to the ACL or OY. The harvest capacity is the level of catch remaining in the annual catch limit that can potentially be used for the total allowable level of foreign fishing (TALFF). Table 24 summarizes the harvest extent and harvest capacity information for CNMI in 2016.

# Table 24. Mariana Archipelago – CNMI proportion of harvest extent (values are in percentage), defined as the proportion of fishing year landing relative to the ACL or OY, and the harvest capacity, defined as the remaining portion of the ACL or OY that can potentially be harvested in a given fishing year.

| Fishery        | MUS                                  | ACL     | Catch  | Harvest |          |
|----------------|--------------------------------------|---------|--------|---------|----------|
|                |                                      |         |        | extent  | capacity |
|                |                                      |         |        | (%)     | (%)      |
| Bottomfish     | Bottomfish multi-species complex     | 228,000 | 23,753 | 10.4    | 89.6     |
| Crustacean     | Deepwater shrimp                     | 275,570 | NAF    | 0.0     | 100.0    |
|                | Spiny lobster                        | 7,410   | 688    | 9.3     | 90.7     |
|                | Slipper lobster                      | 60      | 101    | 168.9   | -68.9    |
|                | Kona crab                            | 6,300   | NAF    | 0.0     | 100.0    |
| Precious coral | Black coral                          | 2,100   | NAF    | 0.0     | 100.0    |
|                | Precious coral in CNMI expl. area    | 2,205   | NAF    | 0.0     | 100.0    |
| Coral Reef     | Selar crumenophthalmus               | 77,400  | 193    | 0.2     | 99.8     |
|                | Acanthuridae-surgeonfish             | 302,600 | 3,564  | 1.2     | 98.8     |
|                | Carangidae-jacks                     | 44,900  | 7,686  | 17.1    | 82.9     |
|                | Crustaceans-crabs                    | 4,400   | ND     |         | 100.0    |
|                | Holocentridae-squirrelfish           | 66,100  | 335    | 0.5     | 99.5     |
|                | Kyphosidae-rudderfish                | 22,700  | 342    | 1.5     | 98.5     |
|                | Labridae-wrasse                      | 55,100  | 119    | 0.2     | 99.8     |
|                | Lethrinidae-emperors                 | 53,700  | 7,757  | 14.4    | 85.6     |
|                | Lutjanidae-snappers                  | 190,400 | 646    | 0.3     | 99.7     |
|                | Mollusk-turbo snails; octopus; clams | 9,800   | ND     | ND      | ND       |
|                | Mugilidae-mullets                    | 4,500   | 298    | 6.6     | 93.4     |
|                | Mullidae-goatfish                    | 28,400  | 1,089  | 3.8     | 96.2     |
|                | Scaridae-parrotfish                  | 144,000 | 1,673  | 1.2     | 98.8     |
|                | Serranidae-groupers                  | 86,900  | 284    | 0.3     | 99.7     |
|                | Siganidae-rabbitfish                 | 10,200  | 1,858  | 18.2    | 81.8     |
|                | All other CREMUS combined            | 7,300   | 898    | 12.3    | 87.7     |
|                | Cheilinus undulatus                  | 2,009   | 23     | 1.1     | 98.9     |
|                | Bolbometopon muricatum               | 797     | ND     | ND      | ND       |
|                | Carcharhinidae-reef sharks           | 5,600   | ND     | ND      | ND       |

#### 1.1.15 Administrative and Regulatory Actions

This summary describes management actions PIRO has taken since the April 2016 Joint FEP Plan Team meeting, as reported to the 166rd to 168th Western Pacific Fishery Management Council meetings held June 2016, October 2016, and March 2017.

September 7, 2016. Final rule. This final rule **removes the medium and large vessel bottomfish prohibited fishing areas in the Commonwealth of the Northern Mariana Islands** (CNMI). Conditions in the fishery that led to establishing the prohibited areas are no longer present, and the restriction is no longer necessary. This rule also makes administrative housekeeping changes to the description of the CNMI management subarea and to the regulations for the CNMI management subarea for crustacean fishing. The intent of this final rule is to improve the viability of the CNMI bottomfish fishery and promote optimum yield while preventing overfishing. This final rule is effective on October 7, 2016.

January 18, 2017 (82 FR 5517). **Pacific Island 2016 Annual Catch Limits and Accountability Measures**. NMFS proposed annual catch limits (ACLs) for Pacific Island bottomfish, crustacean, precious coral, and coral reef ecosystem fisheries, and accountability measures (AMs) to correct or mitigate any overages of catch limits. The proposed ACLs and AMs would be effective for fishing year 2016. The fishing year for each fishery begins on January 1 and ends on December 31, except for precious coral fisheries, which begin July 1 and end on June 30 the following year. Although the 2016 fishing year has ended for most stocks, NMFS evaluates 2016 catches against the 2016 ACLs when data become available in mid-2017. The proposed ACLs and AMs support the long-term sustainability of fishery resources of the U.S. Pacific Islands. The comment period ended February 2, 2017.

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#### **1.2 GUAM FISHERY DESCRIPTIONS**

#### **1.2.1** Bottomfish Fishery

Bottomfishing on Guam is a combination of recreational, subsistence, and small-scale commercial fishing. It can be separated into two distinct fisheries separated by depth and species composition. The shallow water complex (<500 feet) makes up the largest portion of the total bottomfish effort and harvest and is comprised primarily of reef-dwelling snappers of the genera *Lutjanus, Aphareus*, and *Aprion*, groupers of the genera *Epinephelus, Variola*, and *Cephalopholis*, jacks of the genera *Caranx* and *Carangoides, Holocentrids (Myripristis* spp. and *Sargocentron* spp.), emperors of the genera *Lethrinus*, and *Gymnocranius*, and Dogtooth Tuna (*Gymnosarda unicolor*). The deep water complex (>500 feet) consists primarily of groupers of the genera *Hyporthodus* and *Cephalopholis*, jacks of the genera *Caranx* and *Seriola*, and snappers of the genera *Pristipomoides, Etelis*, and *Aphareus*. In recent years, deep water species have made up a significant portion of the expanded bottomfishing catch.

The majority of people in this fishery are either subsistence or part-time commercial, operate boats less than 25 feet in length, target primarily the shallow water bottomfish complex It is not uncommon to intercept fishermen combining bottomfishing with other methods such as trolling, spearing, and jigging to maximize their catch. The high demand for reef fish and bottomfish has made it profitable to sell locally caught bottomfish, although overhead costs such as fuel and gear may be significant factors for in determining a fisherman's selection of fishing method. The demand for local bottomfish, when combined with environmental pressures, however, may be stressing local bottomfish stocks.

The majority of bottom fishing around Guam takes place on offshore banks. Virtually no information exists on the condition of the reefs on offshore banks. On the basis of anecdotal information, most of the offshore banks are in good condition because of their isolation. According to Myers (1997), less than 20 percent of the total coral reef resources harvested in

Guam are taken from the EEZ, primarily because they are associated with less accessible offshore banks. Finfish make up most of the catch in the EEZ. Most offshore banks are deep, remote and subject to strong currents. Generally, these banks are only accessible during calm weather in the summer months (May to August/September). Galvez Bank is the closest and most accessible and, consequently, fished most often. In contrast, the other banks (White Tuna, Santa Rose, Rota) are remote and are generally fished only during exceptionally good weather conditions (Green 1997). Local fishermen report that up to ten commercial boats, with two to three people per boat, and some recreational boats, use the banks when the weather is good (Green 1997).

At present, the banks are fished using two methods: bottomfishing by hook and line and jigging at night for bigeye scad (*Selar crumenophthalmus*; Myers 1997). In recent years, the estimated annual catch in these fisheries has ranged from 14 to 22 metric tons of shallow bottomfish and 3 to 11 metric tons of bigeye scad (Green 1997). The shallow-water component accounted for almost 68 percent (35,002 to 65,162 lbs.) of the aggregate bottomfish landings in fiscal year 1992–94 (Myers 1997). Catch composition of the shallow-bottomfish complex (or coral reef species) is dominated by lethrinids, with a single species (*Lethrinus rubrioperculatus*) alone accounting for 36 percent of the total catch. Other important components of the bottomfish catch include lutjanids, carangids, serranids, and sharks. Holocentrids, mullids, labrids, scombrids, and balistids are minor components. It should be noted that at least two of these species (*Aprion virescens* and *Caranx lugubris*) also range into deeper water and some of the catch of these species occurs in the deepwater fishery.

Species commonly taken in the shallow bottom fishery of Guam:

Aphareus furca Aprion virescens Lutjanus kasmira, L. fulvus Carangoides orthogrammus Caranx lugubris, C. melampygus, C. ignobilis Selar crumenophthalmus Cephalopholis argus, C. spiloparaea, C. urodeta Epinephelus fasciatus Gymnocranius spp. Lethrinus atkinsoni, L. erythracanthus, L. olivaceus, L. rubrioperculatus, L. xanthochilus Gymnosarda unicolor Sargocentron spp. Myripristis spp. Variola albimarginata, V. louti

Species taken in the deep bottom fishery of Guam: Aphareus rutilans Aprion virescens Caranx lugubris Seriola dumerilii Cephalopholis igarashiensis, C. sonnerati Hyporthodus octofasciatus Etelis carbunculus, E. coruscans Pristipimoides spp.

#### **1.2.2** Coral Reef Fishery

Shore-based fishing accounts for most of the fish and invertebrate harvest from coral reefs around Guam. The coral reef fishery harvests more than 100 species of fish, including members of the families Acanthuridae, Carangidae, Gerreidae, Holocentridae, Kyphosidae, Labridae, Lethrinidae, Lutjanidae, Mugilidae, Mullidae, Scaridae, and Siganidae (Hensley and Sherwood 1993). There are several pulse fisheries for juvenile fish that can be major components of the coral reef fishery, but totals in these can vary year to year . These include juvenile rabbitfish (manahak and lesso'), juvenile jacks (i'e), and juvenile goatfish (ti'ao). Common species in the coral reef fishery include:

Naso unicornis, N. lituratus Acanthurus xanthopterus, A. lineatus, A. triostegus Caranx melampygus, C. papuensis, i'e *Selar crumenophthalmus Gerres acinaces Myripristis spp.* Sargocentron spp. Neoniphon spp. Kyphosus cinerascens, K. vaigiensis Cheilinus undulatus, Cheilinus spp., Halichoeres spp. Lethrinus harak, L. obseletus, L. atkinsoni, Gnathodentex aurolineatus Lutjanus fulvus, L. monostigma, L. bohar, L. argentimaculatus Mulloidichthys flavolineatus, M. vanicolensis, ti'ao Parupeneus multifasciatus, P. barberinus, P. cyclostomus Ellechelon vaigiensis, Moolgarda engeli, M. seheli Chlorurus spilurus, C. frontalis, Scarus psittacus, S. altipinnis, S. rubrioviolaceus, S. ghobban, S. schlegeli Siganus spinus, S. argenteus, manahak, lesso

Hook and line is the most common method of fishing for coral reef fish on Guam, accounting for around 70% of fishers and gear. Throw net (talaya) is the second most common method, accounting for about 15% of fishers and gear. Other methods include gill net, snorkel spearfishing, SCUBA spearfishing, surround net, drag net, hooks and gaffs, and gleaning.

#### **1.2.3** Fishery Data Collection System

Guam currently has four fishery dependent collection programs which can be described as longterm data collection programs with different approaches for collecting important information on fishery collection methods performed by fishermen. The four programs are the offshore data program, the inshore data program, the commercial fishery program, and the volunteer program. Sportfish Restoration Grant from the US Fish and Wildlife Service provides the significant portion of the funding for these programs. Training of the fishery staff to collect information is rigorous, and year end totals are calculated by an expansion process done with in collaboration with NOAA's Pacific Islands Fishery Science Center (PIFSC). Identification of fish to the species level is the goal of Guam's fishery staff.

The offshore and inshore programs, boat and shore-based creel survey respectively, are long term programs that collect participation, effort, and catch data from fishermen. Collaboration with PIFSC has resulted in a reproducible computer database program that can analyze the data to produce various types of trends that describe status of the various fisheries, both charter and non-charter, in federal and local waters. The volunteer data collection program's goal was to obtain volunteer data from fishermen; however, information for this program was minimal. The commercial receipt book program is an important source of information for fish that enter the commercial market; however, obtaining information from dealers has been sporadic, with less than three (3) dealers throughout the time series providing data. In order to improve this situation, the Council, DAWR and PIFSC partnered to increase vendor participation in the data collection program through the Territory Science Initiative. Extensive training, follow-ups, education, and outreach efforts were conducted to vendors and fishermen to increase participation in data collection.

Oram et al (in press) describes the fishery data collection process for the offshore and inshore programs. In general, DAWR staff collects fishery information through a series of randomstratified surveys for participation (accounts for fishing effort) and catch interviews (accounts for catch composition, size frequency, and catch-per-unit effort). These data are transcribed into the WPacFIN database and the annual catch estimates are expanded from the effort and CPUE information. Monthly commercial vendor reports are tallied at the end of the year and adjusted based on the coverage estimates provided by the vendor themselves or the data collection program staff.

#### 1.2.4 Meta-data Dashboard Statistics

The meta-data dashboard statistics describe the amount of information used or data available to calculate the fishery-dependent information. Creel surveys are sampling based system that requires random-stratified design applied to pre-scheduled surveys. The creel surveys are comprised of: 1) participation run that captures effort and participation estimates and; 2) catch interviews that capture catch, effort, CPUE information, catch composition, size-weight information. The number of sampling days, participation runs, and catch interviews would determine if there are sufficient samples to run the expansion algorithm. The trends of these parameters over time that may infer survey performance. Monitoring the survey performance is critical for explaining the reliability of the expanded information.

Commercial receipt book information depends on the amount of invoices submitted and the number of vendors participating in the program. Fluctuations in these meta-data affect the commercial landing and revenue estimates.

#### **1.2.4.1** Creel surveys meta-data statistics

Calculations: Shore-based data

# Interview Days: This is the number of actual days that Creel Survey Data were collected. It's a count of the number of unique dates found in the interview sampling data (the actual sampling date data, include opportunistic interviews).

# Participation Runs: Count of the number of unique occurrences of the day/night shift combined with surveyor's initials (the person assigned to conduct the participation survey on a given date). This is compiled annually from the participation header data.

# Catch Interviews: Count of the total number of data records found in the interview header data (number of interview headers). This is divided into two categories, interviews conducted during scheduled survey days (Regular), and opportunistic interviews (Opp) which are collected on non-scheduled days.

#### Calculation: Boat-based data

# Sample days: Count of the total number of unique dates found in the boatlog data sampling date data.

# Catch Interviews: Count of the total number of data records found in the interview header data (number of interview headers). This is divided into two categories, interviews conducted during scheduled survey days (Regular), and opportunistic interviews (Opp) which are collected on non-scheduled days.

### Table 25. Summary of creel survey meta-data describing survey performance parameters with potential influence on the creel survey expansion.

| <br># | Shore-based         | Shore-based                            |             |                       | Boat-based |                       |      |
|-------|---------------------|--|-------------|-----------------------|------------|-----------------------|------|
|       | # Interview<br>Days | ······································ |             | # Catch<br>Interviews |            | # Catch<br>Interviews |      |
|       |                     |  | Regular Opp | Opp.                  | 1          | Regular               | Opp. |
| 1982  |                     |  |             |                       | 46         | 469                   | 8    |
| 1983  |                     |  |             |                       | 47         | 431                   | 34   |
| 1984  | 12                  | 23                                     | 56          | 0                     | 53         | 531                   | 0    |
| 1985  | 51                  | 78                                     | 367         | 0                     | 66         | 812                   | 0    |
| 1986  | 47                  | 74                                     | 291         | 0                     | 49         | 522                   | 0    |
| 1987  | 45                  | 62                                     | 245         | 0                     | 48         | 612                   | 0    |
| 1988  | 48                  | 62                                     | 280         | 0                     | 48         | 949                   | 0    |
| 1989  | 49                  | 63                                     | 297         | 0                     | 48         | 931                   | 2    |

| Year            | Shore-based                        |    |                      |      | Boat-based       |         |            |  |
|-----------------|------------------------------------|----|----------------------|------|------------------|---------|------------|--|
|                 | # Interview# ParticipationDaysRuns |    | # Catch<br>Interview |      | # Sample<br>Days |         | Interviews |  |
|                 |                                    |    | Regular              | Opp. |                  | Regular | Opp.       |  |
| 1990            | 47                                 | 62 | 485                  | 0    | 48               | 1028    | 0          |  |
| 1991            | 48                                 | 54 | 497                  | 0    | 48               | 1019    | 1          |  |
| 1992            | 48                                 | 55 | 611                  | 0    | 48               | 1110    | 0          |  |
| 1993            | 48                                 | 48 | 598                  | 0    | 52               | 1119    | 0          |  |
| 1994            | 47                                 | 48 | 702                  | 0    | 55               | 1168    | 0          |  |
| 1995            | 48                                 | 49 | 764                  | 0    | 96               | 1613    | 4          |  |
| 1996            | 48                                 | 53 | 679                  | 0    | 96               | 1608    | 0          |  |
| 1997            | 48                                 | 67 | 915                  | 0    | 96               | 1358    | 0          |  |
| 1998            | 49                                 | 73 | 880                  | 0    | 96               | 1581    | 0          |  |
| 1999            | 48                                 | 68 | 940                  | 0    | 96               | 1367    | 3          |  |
| 2000            | 48                                 | 84 | 791                  | 0    | 96               | 1246    | 1          |  |
| 2001            | 48                                 | 96 | 753                  | 0    | 96               | 908     | 6          |  |
| 2002            | 47                                 | 94 | 439                  | 4    | 84               | 610     | 1          |  |
| 2003            | 48                                 | 96 | 518                  | 10   | 78               | 446     | 0          |  |
| 2004            | 47                                 | 93 | 337                  | 35   | 95               | 530     | 1          |  |
| 2005            | 48                                 | 96 | 371                  | 3    | 97               | 552     | 0          |  |
| 2006            | 49                                 | 96 | 300                  | 0    | 96               | 556     | 0          |  |
| 2007            | 48                                 | 96 | 243                  | 118  | 96               | 500     | 0          |  |
| 2008            | 46                                 | 96 | 282                  | 0    | 96               | 571     | 2          |  |
| 2009            | 47                                 | 94 | 321                  | 1    | 96               | 803     | 0          |  |
| 2010            | 48                                 | 94 | 299                  | 0    | 96               | 902     | 0          |  |
| 2011            | 43                                 | 96 | 250                  | 0    | 96               | 645     | 0          |  |
| 2012            | 47                                 | 92 | 272                  | 0    | 74               | 371     | 0          |  |
| 2013            | 49                                 | 94 | 257                  | 0    | 96               | 561     | 1          |  |
| 2014            | 48                                 | 92 | 227                  | 0    | 90               | 635     | 9          |  |
| 2015            | 45                                 | 96 | 279                  | 46   | 97               | 651     | 13         |  |
| 2016            | 48                                 | 96 | 281                  | 9    | 92               | 886     | 1          |  |
| 10 YEARS<br>AVG | 47                                 | 95 | 271                  | 17   | 93               | 653     | 3          |  |
| 10 YEARS SD     | 2                                  | 2  | 26                   | 36   | 7                | 160     | 4          |  |
| 20 YEARS<br>AVG | 47                                 | 90 | 448                  | 11   | 93               | 784     | 2          |  |
| 20 YEARS SD     | 1                                  | 9  | 247                  | 27   | 6                | 338     | 3          |  |

#### **1.2.4.2** Commercial receipt book statistics

#### **Calculations:**

# of Vendors – Count of the number of unique buyer codes found in the commercial purchase header data.

# Invoices – Count of the number of unique invoice numbers found in the commercial header data.

# Table 26. Summary of commercial receipt book meta-data describing reporting performance parameters with potential influence on total commercial landing estimates (Note: Data will be reported only for years with ≥ 3 vendors reporting).

| Year | Number of Vendors | Total Invoices Collected |
|------|-------------------|--------------------------|
| 1980 | *                 | *                        |
| 1981 | *                 | *                        |
| 1982 | *                 | *                        |
| 1983 | 3                 | 2311                     |
| 1984 | 3                 | 2587                     |
| 1985 | *                 | *                        |
| 1986 | *                 | *                        |
| 1987 | *                 | *                        |
| 1988 | *                 | *                        |
| 1989 | *                 | *                        |
| 1990 | 4                 | 2803                     |
| 1991 | 3                 | 2512                     |
| 1992 | 3                 | 2737                     |
| 1993 | 3                 | 2664                     |
| 1994 | *                 | *                        |
| 1995 | 3                 | 1565                     |
| 1996 | 6                 | 1965                     |
| 1997 | 7                 | 2923                     |
| 1998 | 4                 | 3591                     |
| 1999 | 5                 | 3410                     |
| 2000 | 3                 | 3868                     |
| 2001 | 3                 | 4155                     |
| 2002 | 3                 | 3494                     |
| 2003 | *                 | *                        |
| 2004 | 3                 | 3104                     |
| 2005 | 3                 | 2649                     |
| 2006 | 4                 | 2589                     |
| 2007 | *                 | *                        |
| 2008 | *                 | *                        |
| 2009 | *                 | *                        |
| 2010 | *                 | *                        |
| 2011 | *                 | *                        |
| 2012 | *                 | *                        |

| Year         | Number of Vendors | Total Invoices Collected |
|--------------|-------------------|--------------------------|
| 2013         | *                 | *                        |
| 2014         | 8                 | 1353                     |
| 2015         | 9                 | 1335                     |
| 2016         | 5                 | 1529                     |
| 10 YEARS AVG | 3                 | 1609                     |
| 10 YEARS SD  | 3                 | 320                      |
| 20 YEARS SD  | 3                 | 2430                     |
| 20 YEARS SD  | 2                 | 925                      |

#### 1.2.5 Fishery Summary Dashboard Statistics

The Fishery Summary Dashboard Statics section consolidates all fishery dependent information comparing the most recent year with the short-term (recent 10 years) and long-term (recent 20 years). The summary dashboard shows the most current year value the difference between the current year value with the 10-year average and the 20-year average (shown bolded in [brackets]). Trend analysis of the past 10 or 20 years will dictate the trends (increasing, decreasing, or no trend). The right most symbol indicates whether the mean of the short-term and long-term years were above, below, or within one standard deviation of the mean of the full time series.

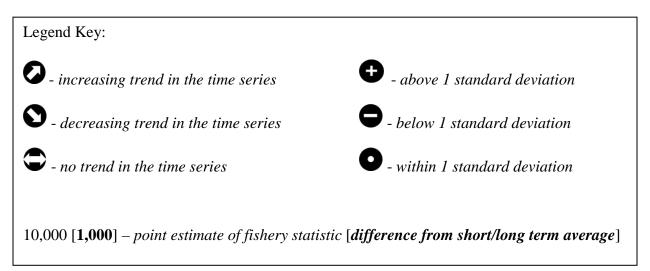


Table 27. Annual indicators for the coral reef and bottomfish fishery describing fisheryperformance comparing current estimates with the short-term (10 years) and the long-term(20 years) average.

| Fishery            | Fishery statistics        | Short-term (recent 10 years) | Long-term (20 years)       |
|--------------------|---------------------------|------------------------------|----------------------------|
| Bottomfish         | Estimated catch (lbs)     |                              |                            |
| All species caught | Boat and shore creel data |                              |                            |
| in the BF gear     | estimated (expanded)      | 100,434[▼20%] 🗘 🔘            | 100,434[▼35%] 🕤 🖸          |
|                    | total lbs (all BF trips)  |                              | 100,434[+3570]             |
|                    | Estimated total lbs (all  | No trends available due      | No trends available due to |
|                    | species) commercial       | to confidentiality           | confidentiality /A         |

|                 | purchase data                      |   |  |
|-----------------|------------------------------------|---|--|
| Bottomfish      | Total creel data                   |   |  |
| management unit | Estimated (expanded)               | 25,453[ <b>▼</b> 11%] <b>℃</b>                | 25,453 [♥31%] � O                          |
| species only    | total lbs (all BF trips)           | 25,453[ • 11%]                                |  |
| 1 ,             | Estimated total lbs (all           |   |  |
|                 | species) commercial                | No trends available due                       | No trends available due to                 |
|                 | purchase data                      | to confidentiality                            | confidentiality                            |
|                 | Catch-per-unit effort (lbs/gea     | r-hrs)  |  |
|                 | CPUE (creel data only)             | 0.0132[♥33%]                                  | 0.0132[▼11%]                               |
|                 | Fishing effort (only available)    | for creel data)                               |  |
|                 | Estimated (expanded)               |   |  |
|                 | total bottomfish # of              | 784[▼9%]                                      | 784[ <b>▼</b> 32%] <b>℃ ○</b>              |
|                 | trips                              |   | /04[*32/0]                                 |
|                 | Fishing participants               |   |  |
|                 | Estimated total # of               | 20  | $\mathbf{A} = \mathbf{A} \mathbf{A}$       |
|                 | fishers                            | 1,213[▲13%] 🗸 🗸                               | 1,213[▲5%]                                 |
|                 | Bycatch                            |   |  |
|                 | Total number of bycatch            | 1,664[▼9%] 🕤 🔘                                | 1,664[▼34%] �0                             |
|                 | caught                             |   |  |
|                 | # bycatch released                 | 1,615[▼8%] 🕤 🖸                                | 615[ <b>▼</b> 32%] <b>℃ ○</b>              |
|                 | # bycatch kept                     | 70[▲49]                                       | N/A  |
|                 | Federal permits                    |   |  |
|                 | # federal permit holders<br>(PIRO) |   |  |
| Coral Reef      | Estimated catch (lbs all gears     | )   | •  |
|                 | Boat-based creel data              |   |  |
|                 | (expanded estimate all             |   |  |
|                 | gears, defined by a list of        | 135,155[▼16%] 🗢 🖸                             |  |
|                 | species?)                          |   |  |
|                 | Shore-based creel                  |   |  |
|                 | (expanded estimate all             |   | 398,139[▼49%] 🌑 🔘                          |
|                 | gears, defined by a list of        | 398,139[▼36%] 🐨 🛡                             | 398,139 49%                                |
|                 | species?)                          |   |  |
|                 | Commercial Purchase                | No trends available due to<br>confidentiality | No trends available due to confidentiality |
|                 | Catch-per-unit-effort (lbs/gea     | ur-hrs)                                       | •  |
|                 | BB spear                           | 0.0961[▼57%] 🗘 🔘                              | 0.0961[▼41%] €0                            |
|                 | BB SCUBA                           | 0.0828[▼92%] €●                               | 0.0828[▼95%] ℃0                            |
|                 | BB Gillnet                         | 0.1993[▼88%] ℃0                               | 0.1993 [ 🗸 83%]                            |
|                 | BB Troll                           | 0.0075[▼33%] € ●                              | 0.0075[▼26%]                               |
|                 | SB H&L                             | 0.0006[▼73%] Ø Ø                              | 0.0006[▼57%] ℃0                            |
|                 | SB Throw/cast                      |   | 3,045[▼72%] € 0                            |
|                 | SB Gillnet                         | 0.0269[▼88%]                                  | 0.0269[▼82%]                               |
|                 | SB Spear                           |   |  |

| SB H&G                            | 0.4[▲24%] 🗘 🔘                                 |                                 |  |  |  |  |
|-----------------------------------|---|---------------------------------|--|--|--|--|
| Fishing effort (# of gear-hour    | Fishing effort (# of gear-hours by gear type) |                                 |  |  |  |  |
| BB spear                          | 6,210[▲64%] <b>℃ ○</b>                        | <u>6,210[▼29%]</u> <b>○</b>     |  |  |  |  |
| BB SCUBA                          |   |                                 |  |  |  |  |
| BB Gillnet                        | <u>189[▼31%]</u> ♥ ♥                          | <u>189[▼81%]</u> ♥♥             |  |  |  |  |
| BB Troll                          |   | 10,120,464[▲69%]                |  |  |  |  |
| SB H&L                            | 183,219[▲9%]                                  | 183,219[ <b>V</b> 51%]          |  |  |  |  |
| SB Throw/cast                     |   | 14,040[▲44%]                    |  |  |  |  |
| SB Gillnet                        | 4,717[ <b>▲</b> 237%]                         | 4,717[▼51%] 00                  |  |  |  |  |
| SB Spear                          | 5,520[ <b>▲</b> 448%]                         | 5,520[▲46%]                     |  |  |  |  |
| SB H&G                            | 20[▼76%] €0                                   | 20[▼82%] 🗘 🔘                    |  |  |  |  |
| Fishing participants (# of gea    | nr)   |                                 |  |  |  |  |
| BB spear                          | 1,127[▼8%] 🗘 🔘                                | 1,127[▼3%] 🗘 🔘                  |  |  |  |  |
| BB SCUBA                          | 3,311[▲113%]                                  | 3,311[▲113%] 🖉 🖨                |  |  |  |  |
| BB Gillnet                        | 1,412[▲24%]                                   |                                 |  |  |  |  |
| BB Troll                          | 902[ <b>v</b> 10%]                            | 902 <b>[▼</b> 14%] <b>♥●</b>    |  |  |  |  |
| SB H&L                            | 53,253[ <b>v</b> 27%]                         | 53,253[▼46%]                    |  |  |  |  |
| SB Throw/cast                     | 11,529[▼19%]                                  | 11,529[▼36%]                    |  |  |  |  |
| SB Gillnet                        | 10,111[▼5%] 🗘 🔘                               |                                 |  |  |  |  |
| SB Spear                          |   | 12,215[▼1%]                     |  |  |  |  |
| SB H&G                            | 3,065[▲12%]                                   | 3,065[♥1%] 00                   |  |  |  |  |
| Bycatch                           |   |                                 |  |  |  |  |
| Total number of bycatch<br>caught | 10,678[♥2%]                                   | 10,678 <b>[▼</b> 7%] <b>€ 0</b> |  |  |  |  |
| # bycatch released                | 10,672[ <b>▼</b> 2%]                          | 10,672[ <b>▼</b> 7%] <b>○ ○</b> |  |  |  |  |
| # bycatch kept                    | N/A   | N/A                             |  |  |  |  |
| Federal permits                   | 1   |                                 |  |  |  |  |
| # federal permit holders (PIRO)   |   |                                 |  |  |  |  |

#### **1.2.6** Catch statistics

This section summarizes the catch statistics for the bottomfish and coral reef fishery in Guam. Estimates of catch are summarized from the creel survey and commercial receipt book data collection programs. Catch statistics provide estimates of annual harvest from the different fisheries. Estimates of fishery removals can provide proxies for the level of fishing mortality and a reference level relative to established quotas. This section also provides detailed level of catch for fishing methods and the top species complex harvested in the coral reef and bottomfish fishery.

#### **1.2.6.1** Catch by data stream

This describes the estimated total catch from the shore and boat-based creel survey program and the commercial landing from the commercial receipt book system. The difference between the creel total and the commercial landing is assumed to be the non-commercial component. However, there are cases where the commercial landing may be higher than the estimated creel total of the commercial receipt book program is able to capture the fishery better than the creel survey (e.g. night time spearfishing)

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the BF Species Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports).

# Table 28. Summary catch time series of the ALL SPECIES caught using the bottomfishinggear: estimated lbs (expanded) from the boat and shore-based creel surveys and estimatedtotal lbs from the commercial purchase system

| Year | Creel Survey Estimates |             | Creel Total | Commercial |
|------|------------------------|-------------|-------------|------------|
|      | Boat-based             | Shore-based |             | landings   |
| 1980 |                        |             |             | *          |
| 1981 |                        |             |             | *          |
| 1982 | 37501                  |             | 37501       | *          |
| 1983 | 46054                  |             | 46054       | 21329      |
| 1984 | 47764                  |             | 47764       | 43830      |
| 1985 | 69644                  | 158116      | 227760      | *          |
| 1986 | 32851                  | 113388      | 146239      | *          |
| 1987 | 41537                  | 103643      | 145180      | *          |
| 1988 | 70285                  | 135341      | 205626      | *          |
| 1989 | 78327                  | 61565       | 139892      | *          |
| 1990 | 77235                  | 72712       | 149947      | 16772      |
| 1991 | 68663                  | 97713       | 166376      | 12962      |
| 1992 | 81458                  | 94330       | 175788      | 9902       |
| 1993 | 88623                  | 63368       | 151991      | 12171      |
| 1994 | 96071                  | 68343       | 164414      | *          |
| 1995 | 93515                  | 92744       | 186259      | 12220      |
| 1996 | 136331                 | 64532       | 200863      | 9123       |

| Year            | Creel Survey Estimates |             | Creel Total | Commercial |
|-----------------|------------------------|-------------|-------------|------------|
|                 | Boat-based             | Shore-based |             | landings   |
| 1997            | 84159                  | 82897       | 167056      | 17478      |
| 1998            | 79280                  | 124927      | 204207      | 17170      |
| 1999            | 111689                 | 164365      | 276054      | 40132      |
| 2000            | 132994                 | 79402       | 212396      | 29421      |
| 2001            | 116611                 | 122302      | 238913      | 36793      |
| 2002            | 70692                  | 86157       | 156849      | 29378      |
| 2003            | 108042                 | 63932       | 171974      | *          |
| 2004            | 99738                  | 75980       | 175718      | 32386      |
| 2005            | 71719                  | 51955       | 123674      | 25472      |
| 2006            | 72626                  | 46648       | 119274      | 22527      |
| 2007            | 51080                  | 64886       | 115966      | *          |
| 2008            | 65612                  | 40171       | 105783      | *          |
| 2009            | 75427                  | 42533       | 117960      | *          |
| 2010            | 55462                  | 29521       | 84983       | *          |
| 2011            | 83633                  | 84010       | 167643      | *          |
| 2012            | 36818                  | 63273       | 100091      | *          |
| 2013            | 60985                  | 154005      | 214990      | *          |
| 2014            | 71075                  | 79254       | 150329      | 7876       |
| 2015            | 44722                  | 54858       | 99580       | 5653       |
| 2016            | 60689                  | 39745       | 100434      | 5854       |
| 10 YEARS<br>AVG | 60550                  | 65226       | 125776      | 14018      |
| 10 YEARS<br>SD  | 13491                  | 34073       | 38111       | 6095       |
| 20 YEARS<br>AVG | 77653                  | 77541       | 155194      | 20378      |
| 20 YEARS<br>SD  | 24545                  | 36617       | 51768       | 9503       |

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the BMUS Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports).

#### Table 29. Summary of the available Bottomfish Management Unit Species (BMUS) catch time series: estimated lbs (expanded) from the boat and shore-based creel surveys and estimated total lbs from the commercial purchase system

| Year | Creel survey Estimates |             | Creel Total | Commercial |
|------|------------------------|-------------|-------------|------------|
|      | Boat-based             | Shore-based |             | landings   |
| 1980 |                        |             |             | *          |
| 1981 |                        |             |             | *          |
| 1982 | 20566                  |             | 20566       | *          |

| Year            | Creel survey Estimates |             | Creel Total | Commercial |
|-----------------|------------------------|-------------|-------------|------------|
|                 | Boat-based             | Shore-based |             | landings   |
| 1983            | 29226                  |             | 29226       | 6255       |
| 1984            | 5949                   |             | 5949        | 5329       |
| 1985            | 28558                  | 3229        | 31787       | *          |
| 1986            | 16815                  | 2853        | 19668       | *          |
| 1987            | 8868                   | 1181        | 10049       | *          |
| 1988            | 27550                  | 15921       | 43471       | *          |
| 1989            | 36465                  | 2016        | 38481       | *          |
| 1990            | 30041                  | 22311       | 52352       | 5653       |
| 1991            | 29430                  | 19614       | 49044       | 3061       |
| 1992            | 34318                  | 26164       | 60482       | 2994       |
| 1993            | 53657                  | 14512       | 68169       | 4621       |
| 1994            | 44164                  | 16020       | 60184       | *          |
| 1995            | 32694                  | 21273       | 53967       | 7054       |
| 1996            | 41915                  | 14583       | 56498       | 2069       |
| 1997            | 23402                  | 9474        | 32876       | 2519       |
| 1998            | 32333                  | 8944        | 41277       | 5008       |
| 1999            | 41148                  | 10081       | 51229       | 21385      |
| 2000            | 51493                  | 20405       | 71898       | 12793      |
| 2001            | 37953                  | 10406       | 48359       | 11376      |
| 2002            | 20888                  | 28024       | 48912       | 5907       |
| 2003            | 29681                  | 9398        | 39079       | *          |
| 2004            | 28170                  | 8834        | 37004       | 9715       |
| 2005            | 36797                  | 4622        | 41419       | 12527      |
| 2006            | 32251                  | 6901        | 39152       | 8371       |
| 2007            | 19614                  | 4865        | 24479       | *          |
| 2008            | 36629                  | 978         | 37607       | *          |
| 2009            | 37105                  | 3468        | 40573       | *          |
| 2010            | 23930                  | 491         | 24421       | *          |
| 2011            | 51405                  | 558         | 51963       | *          |
| 2012            | 16795                  | 2152        | 18947       | *          |
| 2013            | 26919                  | 5284        | 32203       | *          |
| 2014            | 15624                  | 973         | 16597       | 1585       |
| 2015            | 12659                  | 2641        | 15300       | 781        |
| 2016            | 24417                  | 1036        | 25453       | 1483       |
| 10 YEARS<br>AVG | 26510                  | 2245        | 28754       | 5041       |
| 10 YEARS<br>SD  | 11393                  | 1684        | 11142       | 3109       |
| 20 YEARS<br>AVG | 29961                  | 6977        | 36937       | 7150       |
| 20 YEARS        | 10620                  | 6790        | 13558       | 4875       |

| Year | Creel survey Esti | mates                  | Creel Total | Commercial |
|------|-------------------|------------------------|-------------|------------|
|      | Boat-based        | Boat-based Shore-based |             | landings   |
| SD   |                   |                        |             |            |

**Calculations:** Estimated landings are based on a pre-determined list of species (Appendix 3) identified as the CREMUS Complex regardless of the gear used, for each data collection (shore-based creel, boat-based creel and the commercial purchase reports).

# Table 30. Summary of the predefined "coral reef fishery" catch time series (for a discrete list of species – taken from CB lbs and CS lbs from the CREMUS module) from the boat and shore-based creel surveys and the commercial purchase system.

| Year | Creel survey Est  | imates      | Creel Total | Commercial |
|------|-------------------|-------------|-------------|------------|
|      | <b>Boat-based</b> | Shore-based |             | Landings   |
| 1980 |                   |             |             | *          |
| 1981 |                   |             |             | *          |
| 1982 | 46561             |             | 46561       | *          |
| 1983 | 92713             |             | 92713       | 116807     |
| 1984 | 119010            |             | 119010      | 137771     |
| 1985 | 175832            | 2374045     | 2549877     | *          |
| 1986 | 89930             | 1835095     | 1925025     | *          |
| 1987 | 113979            | 1484359     | 1598338     | *          |
| 1988 | 156791            | 1230028     | 1386819     | *          |
| 1989 | 218795            | 1003600     | 1222395     | *          |
| 1990 | 186325            | 839544      | 1025869     | 55348      |
| 1991 | 261701            | 1644973     | 1906674     | 40575      |
| 1992 | 176364            | 1125829     | 1302193     | 45712      |
| 1993 | 222195            | 650902      | 873097      | 37139      |
| 1994 | 219728            | 1047164     | 1266892     | *          |
| 1995 | 345397            | 1213100     | 1558497     | 19575      |
| 1996 | 512603            | 726651      | 1239254     | 51174      |
| 1997 | 302800            | 1276679     | 1579479     | 69075      |
| 1998 | 336480            | 1398251     | 1734731     | 163810     |
| 1999 | 495177            | 1793226     | 2288403     | 244562     |
| 2000 | 369625            | 872514      | 1242139     | 247287     |
| 2001 | 365769            | 1090670     | 1456439     | 259032     |
| 2002 | 181302            | 660796      | 842098      | 196277     |
| 2003 | 240647            | 782974      | 1023621     | *          |
| 2004 | 237517            | 606001      | 843518      | 140878     |
| 2005 | 122366            | 405779      | 528145      | 156459     |
| 2006 | 126254            | 574611      | 700865      | 160440     |
| 2007 | 104421            | 580832      | 685253      | *          |

| Year         | Creel survey Est | imates      | Creel Total | Commercial |
|--------------|------------------|-------------|-------------|------------|
|              | Boat-based       | Shore-based |             | Landings   |
| 2008         | 129091           | 382019      | 511110      | *          |
| 2009         | 177020           | 582288      | 759308      | *          |
| 2010         | 127761           | 400362      | 528123      | *          |
| 2011         | 304874           | 568827      | 873701      | *          |
| 2012         | 115199           | 1148788     | 1263987     | *          |
| 2013         | 127794           | 941109      | 1068903     | *          |
| 2014         | 217898           | 491628      | 709526      | 78399      |
| 2015         | 160479           | 685254      | 845733      | 55416      |
| 2016         | 135155           | 398139      | 533294      | 38388      |
| 10 YEARS AVG | 159969           | 617925      | 777894      | 108715     |
| 10 YEARS SD  | 57772            | 237296      | 232754      | 45465      |
| 20 YEARS AVG | 218881           | 782037      | 1000919     | 141693     |
| 20 YEARS SD  | 107173           | 375036      | 458242      | 62223      |

#### **1.2.6.2** Expanded catch estimates by fishing methods

Catch information is provided for the top shore-based and boat-based fishing methods that contribute 88% and 83% of the annual catch, respectively.

**Calculations:** The creel survey time series of catch will be the sum of the estimated weight by selected gear in all strata for all species except for trolling which would exclude PMUS and any pelagic species complex.

| Table 31. Expanded catch time series estimates using boat and shore-based creel survey |
|--|
| data sets by gear type.  |

| Year | Shore-ba               | ased metho | ods      |        |       |       | Boat-bas | ed metho | ods   |        |
|------|------------------------|------------|----------|--------|-------|-------|----------|----------|-------|--------|
|      | Throw<br>/ Cast<br>net | H&L        | Gill net | Spear  | SCUBA | H&G   | Bottom   | Spear    | SCUBA | Troll  |
| 1982 |                        |            |          |        |       |       | 41328    | 420      | 3135  | 123937 |
| 1983 |                        |            |          |        |       |       | 50416    | 1355     | 4400  | 163369 |
| 1982 |                        |            |          |        |       |       | 41328    | 420      | 3135  | 123937 |
| 1983 |                        |            |          |        |       |       | 50416    | 1355     | 4400  | 163369 |
| 1984 |                        |            |          |        |       |       | 57412    | 14108    | 5460  | 31381  |
| 1985 | 290483                 | 139928     | 232855   | 332730 | 27599 | 27599 | 88045    | 18737    | 12761 | 83121  |
| 1986 | 277966                 | 122886     | 324764   | 142553 | 14328 | 14328 | 34515    | 12545    | 5145  | 113431 |
| 1987 | 267033                 | 103228     | 299575   | 126602 | 8303  | 8303  | 44459    | 12448    | 7474  | 88728  |
| 1988 | 92198                  | 160109     | 330751   | 176295 | 27279 | 27279 | 67037    | 24712    | 10649 | 355895 |
| 1989 | 145294                 | 145870     | 160742   | 53740  | 33068 | 33068 | 79972    | 30930    | 20839 | 109347 |
| 1990 | 128098                 | 168286     | 146771   | 41514  | 7255  | 7255  | 61401    | 28871    | 22273 | 147962 |
| 1991 | 410511                 | 121397     | 202099   | 72128  | 14529 | 14529 | 60753    | 27898    | 37027 | 425439 |
| 1992 | 147088                 | 139635     | 266640   | 105519 | 5772  | 5772  | 78175    | 35162    | 25226 | 95229  |

| Year                | Shore-ba         | ased metho     | ds             |               |              |               | Boat-based methods |                |                 |                  |
|---------------------|------------------|----------------|----------------|---------------|--------------|---------------|--------------------|----------------|-----------------|------------------|
|                     | Throw            | H&L            | Gill net       | Spear         | SCUBA        | H&G           | Bottom             | Spear          | SCUBA           | Troll            |
|                     | / Cast           |                |                |               |              |               |                    |                |                 |                  |
| 1993                | <b>net</b> 78743 | 45678          | 82728          | 123739        | 16160        | 16160         | 107130             | 39434          | 22848           | 240017           |
| 1993                | 165445           | 115773         | 106061         | 123739        | 13361        | 13361         | 107130             | 37555          | 27244           | 147642           |
| 1994                | 287102           | 58430          | 93047          | 155562        | 8333         | 8333          | 101073             | 40554          | 74734           | 335980           |
| 1995                | 171267           | 73516          | 51514          | 57594         | 7243         | 7243          | 129708             | 67447          | 91810           | 355081           |
| 1990                | 237621           | 121070         | 66400          | 83280         | 8635         | 8635          | 129708             | 37363          | 41920           | 294010           |
| 1997                | 184009           | 82907          | 59538          | 352543        | 79548        | 79548         | 99600              | 56443          | 68197           | 295881           |
| 1998                | 295979           | 82907          | 130957         | 301378        | 60545        | 60545         | 122930             | 45200          | 82024           | 293881           |
| 2000                | 138629           | 101432         | 111422         | 122105        | 5569         | 5569          | 115836             | 43200          | 82024<br>116071 | 102066           |
| 2000                | 166037           | 22968          | 67707          | 169649        | 45798        | 45798         | 123975             | 74369          | 65103           | 196609           |
| 2001                | 131417           | 74898          | 38422          | 87072         | 2258         | 2258          | 55448              | 21711          | 34766           | 190009           |
| 2002                | 131417           | 34153          | 50897          | 227898        | 692          | 692           | 82223              | 22649          | 42685           | 107422           |
| 2003                | 148783           | 43657          | 25673          | 109541        | 718          | 718           | 61874              | 33601          | 42083<br>51237  | 235905           |
| 2004                | 68579            | 43037          | 74238          | 31830         | 31318        | 31318         | 62651              | 15037          | 32375           | 116860           |
| 2003                | 102484           | 135472         | 27367          | 72457         | 2938         | 2938          | 89865              | 12796          | 6359            | 175517           |
| 2008                | 102484           | 12255          | 71283          | 52123         | 2938         |               | 57750              | 24704          | 29989           |                  |
| 2007                | 64624            | 36078          | 71283          | 12466         | 1412         | 22273<br>1412 | 59639              | 31433          | 29989           | 266034<br>116201 |
| 2008                | 156016           | 50998          | 25513          | 9101          | 56180        | 56180         | 89997              | 22669          | 37424           |                  |
| 2009                | 24197            | 31116          | 23313          | 7865          | 2891         | 2891          | 56164              | 23635          | 32608           | 172007<br>291813 |
| 2010                | 140072           | 77521          | 10274          | 6019          | 1496         | 1496          | 88694              | 25055          | 67431           | 94869            |
| 2011                | 383167           | 11231          | 10274          | 26238         | 28741        | 28741         | 40214              | 23986          | 14087           | 79554            |
|                     |                  |                |                |               |              |               | 40214              |                | 5390            |                  |
| 2013<br>2014        | 166400<br>138068 | 92097<br>25777 | 105630<br>2252 | 25492<br>3647 | 16324<br>687 | 16324<br>687  | 42601<br>69300     | 20816<br>28088 | 36140           | 190162           |
|                     |                  |                | 32549          |               | 7018         |               |                    |                |                 | 207655<br>182096 |
| 2015                | 191145           | 41323          |                | 183589        |              | 7018<br>2864  | 29395              | 22371          | 34607           |                  |
| 2016                | 44818            | 50373          | 32240          | 102581        | 2864         |               | 51010              | 28277          | 21728           | 190980<br>179137 |
| 10<br>YEAR<br>S AVG | 141841           | 42877          | 70298          | 42912         | 13989        | 13989         | 58476              | 25246          | 30485           | 1/913/           |
| 10<br>YEAR<br>S SD  | 95774            | 24826          | 62477          | 54971         | 16907        | 16907         | 18756              | 3100           | 15709           | 65085            |
| 20<br>YEAR<br>S AVG | 150556           | 57275          | 67780          | 99344         | 18895        | 18895         | 75426              | 30702          | 42280           | 185037           |
| 20<br>YEAR<br>S SD  | 81578            | 35751          | 49829          | 98684         | 23310        | 23310         | 27725              | 14274          | 26078           | 66160            |

#### **1.2.6.3** Top species in the catch for the boat and shore-based fisheries

The time series for catch is an indicator of fishery performance. Fluctuations in the catch can be attributed to various factors and there is no single explanatory variable for the trends. The 10 species group in the boat and shore-based catch for the coral reef fishery make up 67% and 76%, respectively, of the total annual catches.

**Calculations:** Catch by species complex is tallied directly from the boat-based expanded species composition data combining all gear types and species, for all strata.

The averages for the table this year were calculated from catch estimates from the entire time series for each of the CREMUS groupings. The average catch for each grouping is ranked from the highest to lowest catch. The dominant groups that make up more than 50% of the catch are reported.

# Table 32. Catch time series of the 11 managed species complexes (rank ordered bymanagement importance and average catch of recent 10 years) from the boat-based creeldata. The CREMUS complex comprise > 67% of the total boat based landing

| Year | Boat-bas | ed (Estim | ated Poun | ds)   |       |       |        |       |      |       |        |
|------|----------|-----------|-----------|-------|-------|-------|--------|-------|------|-------|--------|
|      | BF       | BMUS      | Atul      | Empr  | Surg  | Jack  | Parrot | Grpr  | Snap | Goat  | Rabbit |
| 1982 | 36818    | 24033     | 204       | 8167  | 372   | 6708  | 5550   | 197   | 11   | 15482 | 55     |
| 1983 | 45456    | 38794     | 28099     | 10008 | 805   | 3525  | 6573   | 1049  | 0    | 25350 | 949    |
| 1984 | 47739    | 16203     | 37342     | 13615 | 377   | 2431  | 3414   | 1768  | 0    | 5343  | 1023   |
| 1985 | 69415    | 46576     | 51625     | 21458 | 1810  | 9617  | 11135  | 9014  | 140  | 24495 | 3792   |
| 1986 | 32798    | 19147     | 22004     | 4985  | 274   | 2597  | 12464  | 4819  | 60   | 12752 | 2559   |
| 1987 | 41456    | 27832     | 15086     | 16410 | 612   | 5984  | 8190   | 6074  | 104  | 10699 | 1431   |
| 1988 | 69816    | 43983     | 33015     | 17110 | 1404  | 9206  | 21395  | 9479  | 267  | 21330 | 7510   |
| 1989 | 78157    | 57578     | 60347     | 23040 | 4611  | 5022  | 13063  | 9910  | 1769 | 36766 | 13994  |
| 1990 | 76944    | 41653     | 9602      | 26622 | 6482  | 11987 | 9869   | 12651 | 2890 | 28466 | 19415  |
| 1991 | 68543    | 38252     | 34101     | 22456 | 5325  | 9075  | 8800   | 24141 | 925  | 28113 | 12797  |
| 1992 | 81372    | 48961     | 10077     | 22435 | 2722  | 12620 | 12724  | 22345 | 662  | 33492 | 20403  |
| 1993 | 88038    | 53460     | 29291     | 14546 | 10341 | 18740 | 14840  | 15689 | 2535 | 39912 | 12141  |
| 1994 | 95496    | 48621     | 4064      | 29001 | 3782  | 12925 | 20321  | 17515 | 1247 | 33248 | 16635  |
| 1995 | 93475    | 40231     | 52227     | 31910 | 9210  | 17330 | 19972  | 24169 | 3736 | 24207 | 39683  |
| 1996 | 136284   | 52486     | 98881     | 38313 | 6257  | 15045 | 44234  | 22113 | 4069 | 38692 | 56172  |
| 1997 | 84115    | 29766     | 33040     | 34447 | 7808  | 16178 | 16443  | 19358 | 2867 | 16676 | 28141  |
| 1998 | 78428    | 36965     | 31118     | 25408 | 7459  | 17235 | 14625  | 22108 | 5079 | 21121 | 47571  |
| 1999 | 110857   | 52531     | 135339    | 27982 | 10098 | 14615 | 31614  | 25786 | 3925 | 36644 | 44710  |
| 2000 | 132620   | 65682     | 14008     | 44050 | 9056  | 17020 | 25512  | 30770 | 5147 | 46038 | 52732  |
| 2001 | 116039   | 50371     | 7979      | 48878 | 3775  | 15352 | 19296  | 27856 | 8545 | 32508 | 31109  |
| 2002 | 70639    | 23806     | 438       | 29821 | 5166  | 6900  | 18256  | 16497 | 3072 | 15662 | 20462  |
| 2003 | 107974   | 41567     | 502       | 22651 | 2990  | 24610 | 39145  | 18237 | 1553 | 21568 | 18640  |
| 2004 | 99664    | 36008     | 1768      | 20096 | 1009  | 12873 | 38664  | 19616 | 731  | 26895 | 35195  |
| 2005 | 71661    | 36432     | 160       | 12210 | 3656  | 10709 | 18156  | 8953  | 156  | 30586 | 18382  |
| 2006 | 72315    | 37705     | 1155      | 10845 | 4732  | 7899  | 23508  | 2222  | 204  | 30063 | 4258   |
| 2007 | 50968    | 26558     | 848       | 14724 | 1274  | 6721  | 13558  | 7968  | 19   | 15965 | 8695   |
| 2008 | 65449    | 36844     | 10335     | 11157 | 6599  | 9634  | 11735  | 7524  | 1486 | 32923 | 24395  |
| 2009 | 75241    | 38342     | 11337     | 23750 | 2355  | 13896 | 8182   | 7988  | 272  | 29187 | 24717  |
| 2010 | 55038    | 26821     | 5887      | 14076 | 1460  | 7115  | 10554  | 6788  | 485  | 21807 | 11518  |

| Year             | Boat-bas | ed (Estim | ated Poun | ds)   |      |       |        |       |      |       |        |
|------------------|----------|-----------|-----------|-------|------|-------|--------|-------|------|-------|--------|
|                  | BF       | BMUS      | Atul      | Empr  | Surg | Jack  | Parrot | Grpr  | Snap | Goat  | Rabbit |
| 2011             | 83372    | 58342     | 120801    | 15626 | 565  | 7563  | 12935  | 4394  | 304  | 47213 | 12235  |
| 2012             | 36646    | 21718     | 24936     | 10018 | 2470 | 3547  | 8333   | 5206  | 1349 | 14748 | 3313   |
| 2013             | 60122    | 29742     | 19878     | 12591 | 972  | 8064  | 25088  | 9458  | 1167 | 14365 | 9817   |
| 2014             | 70802    | 23465     | 4077      | 31276 | 8399 | 7834  | 14992  | 8856  | 3808 | 13342 | 10376  |
| 2015             | 44265    | 13531     | 28707     | 8861  | 3145 | 4543  | 19012  | 1440  | 782  | 10238 | 4966   |
| 2016             | 60330    | 26093     | 2526      | 11577 | 1922 | 4871  | 23654  | 11461 | 895  | 19401 | 7643   |
| 10<br>YRS<br>AVG | 30146    | 60223     | 22933     | 15366 | 2916 | 7379  | 14804  | 7108  | 1057 | 21919 | 11768  |
| 10<br>YRS<br>SD  | 11573    | 13505     | 33865     | 6605  | 2435 | 2789  | 5658   | 2691  | 1027 | 10835 | 6909   |
| 20<br>YRS<br>AVG | 35614    | 77327     | 22742     | 21502 | 4246 | 10859 | 19663  | 13124 | 2092 | 24848 | 20944  |
| 20<br>YRS<br>SD  | 12772    | 24512     | 36759     | 11331 | 2925 | 5281  | 8743   | 8540  | 2186 | 10360 | 14582  |

**Calculations:** Catch by species complex is tallied directly from the boat-based expanded species composition data combining all gear types and species, for all strata.

The averages for the table below were calculated from catch estimates from the entire time series for each of the CREMUS grouping. The average catch is ranked from the highest to lowest catch. The dominant groups that make up more than 60% of the catch are reported.

# Table 33. Catch time series of the 10 managed species complexes (rank ordered bymanagement importance and average catch of recent 10 years) from the shore-based creeldata. The CREMUS complex comprise > 91% of the total boat based landing

| Year | Shore-ba | ased Estim | ated Pound | ls    |        |        |        |       |        |        |
|------|----------|------------|------------|-------|--------|--------|--------|-------|--------|--------|
|      | Surg     | Rabbit     | Mlsk       | Atul  | Goat   | Jack   | Mull   | Empr  | Rudd   | Parrot |
| 1980 | 698      | 105        | 0          | 5332  | 12510  | 407    | 0      | 0     | 926    | 14     |
| 1981 | 2820     | 0          | 0          | 8018  | 19249  | 96     | 0      | 0     | 70     | 37     |
| 1982 | 5653     | 8669       | 414        | 6861  | 18222  | 495    | 11     | 25    | 135    | 139    |
| 1983 | 34318    | 11778      | 827        | 16434 | 54027  | 1450   | 38     | 187   | 1187   | 1165   |
| 1984 | 43729    | 19070      | 414        | 33938 | 38358  | 3273   | 133    | 448   | 2099   | 494    |
| 1985 | 374473   | 142181     | 71263      | 76633 | 278452 | 246847 | 177322 | 22991 | 286183 | 373434 |
| 1986 | 89960    | 49849      | 139056     | 71480 | 239287 | 58606  | 37333  | 28433 | 382068 | 25654  |
| 1987 | 148870   | 41787      | 166094     | 88453 | 174345 | 126864 | 115241 | 24246 | 396359 | 20245  |
| 1988 | 90062    | 102633     | 104438     | 60679 | 177759 | 114181 | 90894  | 61693 | 308414 | 34060  |
| 1989 | 107157   | 61963      | 115613     | 58533 | 184158 | 99911  | 87718  | 32840 | 201279 | 38474  |
| 1990 | 75174    | 65266      | 66677      | 44337 | 163914 | 93498  | 72854  | 42150 | 146628 | 25137  |
| 1991 | 654449   | 64853      | 59335      | 83141 | 135822 | 158248 | 81845  | 88228 | 120733 | 165172 |

| Year                | Shore-ba | ased Estim | ated Poun | ds     |        |        |        |       |        |        |
|---------------------|----------|------------|-----------|--------|--------|--------|--------|-------|--------|--------|
|                     | Surg     | Rabbit     | Mlsk      | Atul   | Goat   | Jack   | Mull   | Empr  | Rudd   | Parrot |
| 1992                | 35800    | 97424      | 58022     | 26928  | 178428 | 154378 | 107371 | 51948 | 257963 | 46443  |
| 1993                | 69434    | 58201      | 47650     | 35108  | 137306 | 67838  | 37682  | 16652 | 76592  | 39821  |
| 1994                | 67787    | 84956      | 35097     | 51274  | 165098 | 155192 | 114900 | 3148  | 166932 | 59713  |
| 1995                | 207512   | 101497     | 48436     | 61417  | 110475 | 129118 | 71848  | 15242 | 379147 | 43424  |
| 1996                | 175387   | 88301      | 29975     | 78665  | 100500 | 116383 | 75547  | 27632 | 226029 | 34044  |
| 1997                | 279690   | 91219      | 28987     | 86126  | 121223 | 125406 | 84064  | 23876 | 159474 | 31845  |
| 1998                | 128020   | 112397     | 36176     | 97609  | 159228 | 132918 | 57841  | 89410 | 282705 | 125761 |
| 1999                | 338931   | 80679      | 42143     | 247500 | 171479 | 217003 | 107578 | 22790 | 350313 | 95443  |
| 2000                | 109941   | 99154      | 43045     | 67386  | 140325 | 138928 | 90729  | 46031 | 165407 | 30468  |
| 2001                | 130344   | 133032     | 32759     | 158486 | 133812 | 101052 | 62539  | 8059  | 159220 | 85651  |
| 2002                | 77101    | 77890      | 22117     | 77967  | 78161  | 96909  | 69751  | 63881 | 96130  | 19996  |
| 2003                | 114647   | 58936      | 24383     | 90896  | 82292  | 121470 | 60424  | 11038 | 175732 | 77380  |
| 2004                | 145363   | 43707      | 12625     | 124872 | 92269  | 67583  | 36187  | 15313 | 153563 | 27612  |
| 2005                | 89801    | 35394      | 26751     | 80365  | 41684  | 33136  | 14946  | 7798  | 86794  | 48914  |
| 2006                | 112414   | 26976      | 32644     | 67658  | 24894  | 60494  | 44158  | 47455 | 150512 | 20048  |
| 2007                | 126325   | 53375      | 6926      | 95832  | 65468  | 59927  | 47520  | 6852  | 126525 | 31517  |
| 2008                | 83398    | 27226      | 9225      | 69813  | 36179  | 62540  | 33575  | 10021 | 116257 | 10899  |
| 2009                | 287492   | 44460      | 9299      | 67315  | 46494  | 87111  | 26251  | 11616 | 89147  | 62106  |
| 2010                | 46279    | 31096      | 8870      | 42708  | 43356  | 94261  | 30564  | 35424 | 175183 | 10594  |
| 2011                | 356739   | 47440      | 22256     | 132707 | 33655  | 78417  | 28030  | 1258  | 78623  | 10428  |
| 2012                | 773398   | 45041      | 18001     | 69482  | 105037 | 30655  | 6622   | 6686  | 58198  | 39413  |
| 2013                | 317957   | 31367      | 36369     | 269702 | 70828  | 73827  | 51406  | 1796  | 109334 | 21017  |
| 2014                | 192250   | 94438      | 21325     | 97943  | 31480  | 52781  | 26881  | 16534 | 54198  | 3609   |
| 2015                | 96961    | 32157      | 45798     | 57761  | 21163  | 47439  | 25860  | 4142  | 95792  | 89898  |
| 2016                | 49038    | 29276      | 20594     | 48435  | 33191  | 66166  | 44890  | 4933  | 99168  | 24348  |
| 10<br>YEAR<br>S AVG | 43588    | 232984     | 19866     | 95170  | 48685  | 65312  | 32160  | 9926  | 100243 | 30383  |
| 10<br>YEAR<br>S SD  | 18994    | 209801     | 12124     | 63477  | 23784  | 18090  | 12428  | 9572  | 33385  | 25771  |
| 20<br>YEAR<br>S AVG | 59763    | 192804     | 25015     | 102528 | 76611  | 87401  | 47491  | 21746 | 139114 | 43347  |
| 20<br>YEAR<br>S SD  | 31303    | 164163     | 11784     | 58930  | 46248  | 42789  | 25404  | 22871 | 70446  | 33497  |

## **1.2.7** Catch Per Unit Effort (CPUE) Statistics

This section summarizes the estimates for catch-per-unit effort in the boat and shore-based fisheries. The boat-based fisheries include the bottomfishing (handline gear), spearfishing (SCUBA and snorkel), gillnets, and troll that comprise 83% of the total catch. Trolling method are primarily a pelagic fishing method but also catches coral reef fishes like jacks and gray

jobfish. The shore-based fisheries include the hook-and-line, throw or cast nets, gillnets, spear, and hook-and-gaff that comprise 88% of the total coral reef fish catch. CPUE is reported as pounds per gear-hours for the shore-based methods whereas in the boat-based methods it's pounds per trip.

**Calculations:** CPUE is calculated from interview data by gear type using  $\sum \operatorname{catch} / \sum$  (hours fished\*number of fishers) for boat based and  $\sum \operatorname{catch} / \sum$  (hours fished\*number of gears used) for shore based. If the value is blank (empty), then there was no interview collected for that method. Landings from interviews without fishing hours are excluded from the calculations.

#### Table 34. Catch per unit effort time series by dominant fishing methods from the shorebased fisheries. CPUE estimates were derived from the top three- to five-dominant taxonomic groups that make up more than 50% of the catch. The percentage of catch is shown in parenthesis beside the method.

| Year | Gear CPU | Gear CPUE (Lbs/Gear-hr) |          |        |                 |  |  |  |  |  |  |
|------|----------|-------------------------|----------|--------|-----------------|--|--|--|--|--|--|
|      | H&L      | Castnet                 | Gill Net | Spear  | Hooks and Gaffs |  |  |  |  |  |  |
| 1984 | 0.0106   | 0.1339                  | 0.3507   | 0.75   | 1.125           |  |  |  |  |  |  |
| 1985 | 0.0029   | 0.0224                  | 0.0509   | 0.0773 | 0.0975          |  |  |  |  |  |  |
| 1986 | 0.004    | 0.0224                  | 0.0441   | 0.0962 | 0.2393          |  |  |  |  |  |  |
| 1987 | 0.0074   | 0.0208                  | 0.0515   | 0.0747 | 0.0354          |  |  |  |  |  |  |
| 1988 | 0.0027   | 0.0213                  | 0.0764   | 0.0805 | 0.2444          |  |  |  |  |  |  |
| 1989 | 0.0022   | 0.0136                  | 0.0548   | 0.0627 | 0.2545          |  |  |  |  |  |  |
| 1990 | 0.0011   | 0.0171                  | 0.0309   | 0.059  | 0.0551          |  |  |  |  |  |  |
| 1991 | 0.0017   | 0.0128                  | 0.0305   | 0.0918 | 0.069           |  |  |  |  |  |  |
| 1992 | 0.0005   | 0.0122                  | 0.0255   | 0.0986 | 0.0327          |  |  |  |  |  |  |
| 1993 | 0.0003   | 0.006                   | 0.0181   | 0.1621 | 0.0347          |  |  |  |  |  |  |
| 1994 | 0.0004   | 0.016                   | 0.0208   | 0.037  | 0.0734          |  |  |  |  |  |  |
| 1995 | 0.0005   | 0.0064                  | 0.0117   | 0.0734 | 0.0313          |  |  |  |  |  |  |
| 1996 | 0.0003   | 0.0158                  | 0.022    | 0.0659 | 0.0938          |  |  |  |  |  |  |
| 1997 | 0.0004   | 0.006                   | 0.0134   | 0.0415 | 0.0544          |  |  |  |  |  |  |
| 1998 | 0.0005   | 0.0082                  | 0.0067   | 0.0544 | 0.1094          |  |  |  |  |  |  |
| 1999 | 0.0005   | 0.0076                  | 0.0124   | 0.0316 | 0.1925          |  |  |  |  |  |  |
| 2000 | 0.0004   | 0.0083                  | 0.0189   | 0.0476 | 0.0381          |  |  |  |  |  |  |
| 2001 | 0.0004   | 0.0045                  | 0.0204   | 0.0575 | 0.2946          |  |  |  |  |  |  |
| 2002 | 0.0007   | 0.0152                  | 0.0184   | 0.0906 | 0.45            |  |  |  |  |  |  |
| 2003 | 0.0007   | 0.0034                  | 0.0359   | 0.1844 | 0.0256          |  |  |  |  |  |  |
| 2004 | 0.001    | 0.0051                  | 0.029    | 0.1257 | 0.2222          |  |  |  |  |  |  |
| 2005 | 0.0005   | 0.0019                  | 0.0781   | 0.1333 | 0.2593          |  |  |  |  |  |  |
| 2006 | 0.0015   | 0.0169                  | 0.0373   | 0.1035 | 0.2889          |  |  |  |  |  |  |
| 2007 | 0.0007   | 0.0071                  | 0.1264   | 0.1555 | 0.4286          |  |  |  |  |  |  |
| 2008 | 0.0009   | 0.0064                  | 0.0738   | 0.0489 | 0.1333          |  |  |  |  |  |  |

| Year            | Gear CPU | JE (Lbs/Gear-l | ır)      |        |                 |
|-----------------|----------|----------------|----------|--------|-----------------|
|                 | H&L      | Castnet        | Gill Net | Spear  | Hooks and Gaffs |
|                 |          |                |          |        |                 |
| 2009            | 0.001    | 0.1468         | 0.1294   | 0.1222 | 0.3524          |
| 2010            | 0.0003   | 0.0138         | 0.2598   | 0.2708 | 0.2115          |
| 2011            | 0.0018   | 0.0203         | 0.1245   | 0.7429 | 0.52            |
| 2012            | 0.002    | 0.0188         | 0.1356   | 0.1527 | 0.2143          |
| 2013            | 0.0017   | 0.0438         | 0.1176   | 0.0988 | 0.2639          |
| 2014            | 0.003    | 0.0141         | 0.4388   | 0.4688 | 0.2857          |
| 2015            | 0.0102   | 0.0147         | 0.0673   | 0.3298 | 0.4231          |
| 2016            | 0.0006   | 0.0051         | 0.0269   | 0.029  | 0.4             |
| 10 YEARS<br>AVG | 0.0022   | 0.0291         | 0.15     | 0.2419 | 0.3233          |
| 10 YEARS<br>SD  | 0.0028   | 0.0406         | 0.1123   | 0.2107 | 0.1148          |
| 20 YEARS<br>AVG | 0.0014   | 0.0184         | 0.0885   | 0.1645 | 0.2584          |
| 20 YEARS<br>SD  | 0.0021   | 0.0308         | 0.1014   | 0.1712 | 0.1388          |

Table 35. Catch per unit effort time series by dominant fishing methods from the boatbased fisheries. CPUE estimates were derived from the top three to five dominant taxonomic groups that make up more than 50% of the catch. The percentage of catch is shown in parenthesis beside the method.

| Year | Boat_based Gear | · CPUE (Lbs/Fig | sher-hrs) |          |        |
|------|-----------------|-----------------|-----------|----------|--------|
|      | Bottomfishing   | Spear           | SCUBA     | Gill Net | Troll  |
| 1982 | 0.0293          | 0.48            | 0         |          | 0.0162 |
| 1983 | 0.0293          | 0.2198          | 0.3956    |          | 0.0154 |
| 1984 | 0.023           | 0.1159          | 0.3553    | 3        | 0.0135 |
| 1985 | 0.0099          | 0.2025          | 0.1598    | 0.5357   | 0.0098 |
| 1986 | 0.021           | 0.2915          | 0.4402    | 0.5      | 0.0092 |
| 1987 | 0.0223          | 0.2312          | 0.555     | 0.3195   | 0.0086 |
| 1988 | 0.0114          | 0.1518          | 0.2097    | 0.6465   | 0.0057 |
| 1989 | 0.0106          | 0.1194          | 0.2343    | 0.405    | 0.0048 |
| 1990 | 0.0116          | 0.1515          | 0.6306    | 0.3795   | 0.0037 |
| 1991 | 0.0116          | 0.1691          | 0.4482    | 0.311    | 0.0051 |
| 1992 | 0.0106          | 0.0794          | 0.1164    | 0.2381   | 0.0034 |
| 1993 | 0.0102          | 0.0637          | 0.4413    | 0.6389   | 0.0041 |
| 1994 | 0.0109          | 0.0766          | 0.3632    | 0.3262   | 0.0039 |
| 1995 | 0.0029          | 0.0568          | 0.2424    | 0.1213   | 0.0032 |
| 1996 | 0.0035          | 0.0586          | 0.2149    | 0.4762   | 0.0034 |
| 1997 | 0.0029          | 0.0706          | 0.446     | 0.2965   | 0.004  |
| 1998 | 0.0027          | 0.0252          | 0.3077    | 0.1199   | 0.0035 |

| Year         | Boat_based Gear | · CPUE (Lbs/Fis | sher-hrs) |          |        |
|--------------|-----------------|-----------------|-----------|----------|--------|
|              | Bottomfishing   | Spear           | SCUBA     | Gill Net | Troll  |
| 1999         | 0.0035          | 0.0353          | 0.2839    | 0.6192   | 0.0031 |
| 2000         | 0.0052          | 0.0532          | 0.2772    | 0.0661   | 0.0042 |
| 2001         | 0.0071          | 0.1912          | 0.3202    | 0.3005   | 0.0069 |
| 2002         | 0.0069          | 0.0857          | 0.5128    | 0.4275   | 0.0117 |
| 2003         | 0.0172          | 0.188           | 0.7129    | 1.8968   | 0.0176 |
| 2004         | 0.0144          | 0.2008          | 0.786     | 1.0195   | 0.0174 |
| 2005         | 0.0171          | 0.0848          | 0.7361    | 0.4407   | 0.0104 |
| 2006         | 0.023           | 0.1134          | 0.3905    | 1.75     | 0.0114 |
| 2007         | 0.0226          | 0.2217          | 4.0816    | 0.5214   | 0.0136 |
| 2008         | 0.0162          | 0.1087          | 0.6206    | 1.5606   | 0.0102 |
| 2009         | 0.0164          | 0.0795          | 1.7182    | 0.2311   | 0.0083 |
| 2010         | 0.0081          | 0.0828          | 0.3333    | 0.3787   | 0.0067 |
| 2011         | 0.027           | 0.2714          | 2.6571    | 0.5      | 0.0095 |
| 2012         | 0.0341          | 0.8788          | 3         | 10.3504  | 0.0185 |
| 2013         | 0.0254          | 0.1598          | 0.9375    | 0.4643   | 0.0147 |
| 2014         | 0.0185          | 0.1629          | 1.5469    | 1.3313   | 0.0109 |
| 2015         | 0.0163          | 0.1729          | 0.5435    | 0.9467   | 0.0125 |
| 2016         | 0.0132          | 0.0961          | 0.0828    | 0.1993   | 0.0075 |
| 10 YEARS AVG | 0.0198          | 0.2235          | 1.5522    | 1.6484   | 0.0112 |
| 10 YEARS SD  | 0.0072          | 0.2263          | 1.251     | 2.9332   | 0.0035 |
| 20 YEARS AVG | 0.0149          | 0.1641          | 1.0147    | 1.171    | 0.0101 |
| 20 YEARS SD  | 0.0086          | 0.1763          | 1.0437    | 2.173    | 0.0046 |

#### **1.2.8 Effort Statistics**

This section summarizes the effort trends in the coral reef and bottomfish fishery. Fishing effort trends provide insights on the level of fishing pressure through time. Effort information is provided for the top shore-based and boat-based fishing methods that contribute 88% and 83% of the annual catch. Trolling method is included in this report because coral reef MUS is also caught using trolling method. Pelagic MUS caught using trolling method is reported in the Pelagic Annual/SAFE report module.

**Calculations:** Effort estimates (hours) are generated by summing the effort data collected from interviews by gear type. For the Shore-based estimates, data collection started in 1985

Table 36. Time series of effort estimates from the coral reef and bottomfish fisheries. Shore-based fisheries are expressed in gear-hours (expanded total number of hours fishing by total number of gears used). The boat-based fisheries are expressed in number of trips for bottomfish and number of gear hours for spear, SCUBA, gillnet and troll)

| Year     SB gear-hours     BB gear-hours |
|--|
|--|

|               | Cast<br>net | H&L     | Gill<br>net | Spear | H&G  | Bottom  | Spear | SCUBA | Gill<br>net | Troll    |
|---------------|-------------|---------|-------------|-------|------|---------|-------|-------|-------------|----------|
| 1982          | 15          | 400     | 0           | 208   | 0    | 88550   | 75    | 1     | 0           | 1861110  |
| 1983          |             |         |             |       |      | 68800   | 182   | 589   | 0           | 1748790  |
| 1984          | 224         | 2914    | 345         | 24    | 8    | 151267  | 6723  | 805   | 10          | 1871282  |
| 1985          | 5673        | 82992   | 10658       | 15096 | 400  | 585078  | 4554  | 5712  | 84          | 3269504  |
| 1986          | 3430        | 52899   | 14378       | 3410  | 117  | 113442  | 2065  | 368   | 6           | 2068560  |
| 1987          | 4902        | 18204   | 8550        | 9964  | 4779 | 119574  | 2379  | 600   | 435         | 2554810  |
| 1988          | 8487        | 34662   | 9735        | 6264  | 225  | 319473  | 7565  | 2360  | 99          | 7232525  |
| 1989          | 15810       | 42120   | 6336        | 2184  | 224  | 355365  | 5244  | 3150  | 200         | 5766054  |
| 1990          | 13534       | 253492  | 20240       | 2679  | 272  | 282736  | 2574  | 1248  | 880         | 9510114  |
| 1991          | 13932       | 368466  | 17835       | 1862  | 1638 | 246468  | 2856  | 2856  | 1479        | 8943480  |
| 1992          | 13900       | 739440  | 30000       | 1440  | 490  | 243650  | 9047  | 10106 | 966         | 10802475 |
| 1993          | 12604       | 796708  | 18040       | 1666  | 1701 | 305844  | 12772 | 3780  | 612         | 9979501  |
| 1994          | 6048        | 978945  | 21070       | 7520  | 722  | 446641  | 11834 | 4816  | 1300        | 10291332 |
| 1995          | 19840       | 673200  | 40608       | 7221  | 384  | 1309914 | 30481 | 20482 | 12535       | 15871259 |
| 1996          | 4875        | 939333  | 8601        | 2684  | 96   | 1392174 | 34580 | 17028 | 6726        | 18120337 |
| 1997          | 19760       | 1120575 | 31692       | 5328  | 294  | 1168608 | 14384 | 4888  | 5180        | 11946651 |
| 1998          | 21976       | 795960  | 73066       | 15006 | 448  | 1629306 | 81400 | 12699 | 6603        | 14680458 |
| 1999          | 14351       | 1234925 | 52116       | 26010 | 504  | 1278000 | 26730 | 15120 | 2193        | 10276416 |
| 2000          | 14157       | 838240  | 27930       | 9416  | 315  | 655120  | 18630 | 13432 | 14000       | 9082690  |
| 2001          | 15125       | 827519  | 16464       | 3968  | 224  | 467290  | 6390  | 6240  | 1584        | 5223522  |
| 2002          | 7614        | 227813  | 14691       | 2352  | 20   | 321768  | 7128  | 1248  | 648         | 2089907  |
| 2003          | 18900       | 345598  | 2950        | 1394  | 195  | 125473  | 4698  | 1961  | 378         | 1212228  |
| 2004          | 7885        | 195202  | 4662        | 1050  | 36   | 184616  | 2346  | 1131  | 154         | 1789470  |
| 2005          | 9400        | 167334  | 1242        | 360   | 54   | 192185  | 5628  | 576   | 506         | 1834694  |
| 2006          | 6336        | 96074   | 2091        | 425   | 45   | 141240  | 4020  | 169   | 4           | 2189499  |
| 2007          | 2948        | 343952  | 546         | 418   | 70   | 109200  | 1800  | 49    | 140         | 2062099  |
| 2008          | 5976        | 164300  | 1720        | 266   | 15   | 130548  | 9047  | 340   | 462         | 2361090  |
| 2009          | 4026        | 185298  | 255         | 180   | 210  | 255834  | 7920  | 110   | 874         | 4655826  |
| 2010          | 7313        | 141860  | 408         | 144   | 156  | 343568  | 6000  | 6     | 816         | 6355728  |
| 2011          | 5184        | 103653  | 988         | 70    | 25   | 137268  | 2240  | 210   | 2           | 3450020  |
| 2012          | 6006        | 122850  | 1128        | 550   | 70   | 31610   | 528   | 70    | 117         | 1078093  |
| 2013          | 4221        | 81774   | 672         | 729   | 72   | 45567   | 2115  | 32    | 2968        | 2766308  |
| 2014          | 4544        | 130062  | 196         | 224   | 28   | 130130  | 3696  | 64    | 483         | 3398538  |
| 2015          | 5858        | 227766  | 3358        | 1980  | 156  | 70328   | 3234  | 425   | 169         | 4144434  |
| 2016          | 14040       | 183219  | 4717        | 5520  | 20   | 170868  | 6930  | 17480 | 567         | 6854544  |
| 10 YRS<br>AVG | 6012        | 168473  | 1399        | 1008  | 82   | 142492  | 4351  | 1879  | 660         | 3712668  |
| 10 YRS<br>SD  | 2925        | 71281   | 1421        | 1594  | 65   | 90781   | 2764  | 5202  | 819         | 1746096  |
| 20 YRS<br>AVG | 9781        | 376699  | 12045       | 3770  | 148  | 379426  | 10743 | 3813  | 1892        | 4872611  |

| Year         | SB gear     | -hours |             |       |     | BB gear-hours |       |       |             |         |
|--------------|-------------|--------|-------------|-------|-----|---------------|-------|-------|-------------|---------|
|              | Cast<br>net | H&L    | Gill<br>net | Spear | H&G | Bottom        | Spear | SCUBA | Gill<br>net | Troll   |
| 20 YRS<br>SD | 5692        | 356721 | 19366       | 6304  | 143 | 442579        | 17348 | 5724  | 3271        | 3770303 |

#### **1.2.9** Participants

This section summarizes the estimated number of participants in each fishery type. The information presented here can be used in the impact analysis of potential amendments in the FEPs associated with the bottomfish and coral reef fisheries. The trend in the number of participants over time can also be used as an indicator for fishing pressure.

#### **Calculations:**

For Boat-based: Estimated number of participants is calculated by using and average number of fishers out fishing per day multiplied by the numbers of dates in the calendar year by gear type. The total is a combination of weekend and weekday stratum estimates.

For Shore-based: Estimated number of participants is calculated by using and average number of fishers out fishing per day multiplied by the numbers of dates in the calendar year by gear type. The total is a combination of weekend, weekday, day and night stratum estimates.

# Table 37. Number of boats participating in the bottomfish fishery and number of gear in the boat and shore-based coral reef fishery. Cells marked with \* indicates data is confidential due to less than three entities surveyed or reported.

| Year | Bottom                | fish            | Coral I | Reef BB |             |       | Coral R    | Reef SB Fi | shery     |       |          |
|------|-----------------------|-----------------|---------|---------|-------------|-------|------------|------------|-----------|-------|----------|
|      | No. of<br>fisher<br>s | No. of<br>Gears | Spear   | SCUBA   | Gill<br>net | Troll | H&L        | Throw      | Gill      | Spear | H&G      |
| 1982 | 865                   | 798             | 1095    | 365     |             | 920   |            |            |           |       | NUL<br>L |
| 1983 | 820                   | 709             | 852     | 533     |             | 955   |            |            |           |       | NUL<br>L |
| 1984 | 977                   | 847             | 1519    | 701     | 732         | 1022  | 10101<br>6 | 18141      | 1852<br>3 | 7065  | 2101     |
| 1985 | 971                   | 883             | 1326    | 852     | 1460        | 952   | 12056<br>2 | 32345      | 3790<br>4 | 21282 | 3931     |
| 1986 | 918                   | 794             | 913     | 1049    | 1095        | 975   | 90441      | 21308      | 4699<br>6 | 19236 | 2072     |
| 1987 | 874                   | 829             | 712     | 830     | 1095        | 964   | 10851<br>1 | 25715      | 4938<br>1 | 18297 | 1978     |
| 1988 | 975                   | 903             | 987     | 864     | 824         | 1151  | 98891      | 23518      | 4264<br>5 | 25360 | 5242     |
| 1989 | 931                   | 869             | 1156    | 1065    | 730         | 1122  | 12542<br>1 | 26558      | 2850<br>5 | 10985 | 4310     |
| 1990 | 1002                  | 883             | 1338    | 1116    | 1004        | 1247  | 10180<br>0 | 23666      | 3299<br>1 | 11233 | 2896     |
| 1991 | 1049                  | 843             | 1241    | 1136    | 962         | 1287  | 21567<br>4 | 39177      | 6448<br>3 | 15087 | 6002     |
| 1992 | 1067                  | 886             | 1330    | 1243    | 1098        | 1335  | 18693      | 38170      | 7674      | 18606 | 3673     |

| Year               | Bottom                | fish            | Coral I | Reef BB |             |       | Coral R    | Reef SB Fi | shery     |       |      |
|--------------------|-----------------------|-----------------|---------|---------|-------------|-------|------------|------------|-----------|-------|------|
|                    | No. of<br>fisher<br>s | No. of<br>Gears | Spear   | SCUBA   | Gill<br>net | Troll | H&L        | Throw      | Gill      | Spear | H&G  |
|                    |                       |                 |         |         |             |       | 9          |            | 0         |       |      |
| 1993               | 1028                  | 910             | 1191    | 1359    | 776         | 1236  | 18989<br>1 | 41884      | 4672<br>0 | 19527 | 6296 |
| 1994               | 1103                  | 947             | 1204    | 1278    | 791         | 1217  | 21799<br>6 | 33762      | 4389<br>1 | 18615 | 4015 |
| 1995               | 1327                  | 1275            | 1062    | 1362    | 1137        | 1239  | 24653<br>1 | 37900      | 4826<br>9 | 21453 | 7956 |
| 1996               | 1609                  | 1562            | 1074    | 1311    | 864         | 1253  | 25266<br>4 | 24115      | 3265<br>0 | 16408 | 7127 |
| 1997               | 1816                  | 1581            | 1033    | 1406    | 1000        | 1215  | 21004<br>4 | 27784      | 2922<br>2 | 12944 | 2550 |
| 1998               | 1393                  | 1305            | 1046    | 1396    | 960         | 1164  | 15846<br>0 | 37500      | 5430<br>0 | 22920 | 6780 |
| 1999               | 1441                  | 1387            | 1181    | 1426    | 1121        | 1121  | 21745<br>4 | 24670      | 4689<br>2 | 37939 | 8116 |
| 2000               | 1391                  | 1321            | 1075    | 1303    | 1236        | 1103  | 12940<br>7 | 18666      | 2316<br>3 | 17202 | 3712 |
| 2001               | 1043                  | 1078            | 1178    | 1309    | 1235        | 1090  | 12003<br>9 | 18980      | 1783<br>9 | 12957 | 3513 |
| 2002               | 1197                  | 1037            | 1019    | 1294    | 986         | 1030  | 90023      | 17893      | 1230<br>1 | 7688  | 1258 |
| 2003               | 924                   | 1092            | 1344    | 1488    | 1095        | 1127  | 89197      | 21763      | 1523<br>9 | 11908 | 958  |
| 2004               | 1229                  | 1121            | 990     | 1298    | 854         | 1011  | 80756      | 13365      | 1700<br>1 | 10720 | 708  |
| 2005               | 974                   | 965             | 1019    | 1251    | 803         | 1114  | 75783      | 17109      | 1145<br>2 | 7574  | 3422 |
| 2006               | 918                   | 956             | 1153    | 949     | 730         | 1068  | 71494      | 21033      | 1469<br>1 | 12729 | 3376 |
| 2007               | 1217                  | 1034            | 1011    | 1278    | 730         | 1166  | 70126      | 15512      | 1063<br>1 | 8669  | 4152 |
| 2008               | 971                   | 950             | 1168    | 1220    | 961         | 1141  | 76860      | 14365      | 9150      | 7961  | 2287 |
| 2009               | 915                   | 1022            | 1173    | 1338    | 1049        | 954   | 89557      | 17194      | 1015<br>8 | 6477  | 4194 |
| 2010               | 964                   | 1040            | 1081    | 1095    | 1773        | 1024  | 72969      | 14491      | 9133      | 8760  | 2609 |
| 2011               | 1008                  | 1001            | 1363    | 1369    | 730         | 979   | 74916      | 14463      | 7026      | 6387  | 2601 |
| 2012               | 1001                  | 953             | 1007    | 1708    | 952         | 992   | 98008      | 15277      | 1489<br>5 | 7877  | 2721 |
| 2013               | 1113                  | 1150            | 1430    | 973     | 1209        | 925   | 73062      | 14538      | 1533<br>0 | 12814 | 1957 |
| 2014               | 1135                  | 1262            | 1417    | 973     | 1399        | 947   | 63891      | 12664      | 8950      | 10617 | 1857 |
| 2015               | 1180                  | 1095            | 1417    | 2281    | 1186        | 956   | 53746      | 11771      | 1140<br>6 | 11041 | 1962 |
| 2016               | 1213                  | 1175            | 1127    | 3311    | 1412        | 902   | 53253      | 11529      | 1011<br>1 | 12215 | 3065 |
| 10<br>YEARS<br>AVG | 1068                  | 1072            | 1219    | 1555    | 1140        | 999   | 72639      | 14180      | 1067<br>9 | 9282  | 2741 |

| Year               | Bottom                | fish            | Coral I | Coral Reef BB |             |       |       | Coral Reef SB Fishery |           |       |      |
|--------------------|-----------------------|-----------------|---------|---------------|-------------|-------|-------|-----------------------|-----------|-------|------|
|                    | No. of<br>fisher<br>s | No. of<br>Gears | Spear   | SCUBA         | Gill<br>net | Troll | H&L   | Throw                 | Gill      | Spear | H&G  |
| 10<br>YEARS<br>SD  | 96                    | 107             | 163     | 692           | 310         | 84    | 13299 | 1659                  | 2482      | 2158  | 803  |
| 20<br>YEARS<br>AVG | 1126                  | 1152            | 1162    | 1433          | 1071        | 1051  | 98452 | 18028                 | 1744<br>5 | 12370 | 3090 |
| 20<br>YEARS<br>SD  | 164                   | 221             | 148     | 514           | 257         | 89    | 45707 | 6087                  | 1223<br>0 | 6997  | 1749 |

#### **1.2.10** Bycatch estimates

This section focuses on MSA § 303(a)(11), which requires that all FMPs establish a standardized reporting methodology to assess the amount and type of bycatch occurring in the fishery, and include conservation and management measures that, to the extent practicable, minimize bycatch and bycatch mortality. The MSA § 303(a)(11) standardized reporting methodology is commonly referred to as a "Standardized Bycatch Reporting Methodology" (SBRM) and was added to the MSA by the Sustainable Fisheries Act of 1996 (SFA). The Council implemented omnibus amendments to FMPs in 2003 to address MSA bycatch provisions and established SBRMs at that time.

The following are the recent bycatch estimates for the boat-based non-bottomfishing gear (Table 38), bottomfish fishery (Table 39), and shore-based fisheries with all gear-types combined (Table 40).

#### **Calculations:**

Numbers caught = Sum of the total number of fish or invertebrates found in the raw interview (catch) data, including bycatch.

Numbers kept = Sum of the total number of fish or invertebrates in the raw data that are not marked as bycatch.

Numbers released = caught - kept

% Bycatch = Sum of all bycatch divided by the total catch.

# Table 38. Time series of bycatch estimates in the boat-based non-bottomfishing gear typefisheries. Percent bycatch is calculated from the numbers caught and identified as bycatchversus all caught in the fishery.

| Year | Numbers caught | Kept | Released | % bycatch |
|------|----------------|------|----------|-----------|
| 1982 | 5388           | 5388 | 0        | 0         |
| 1983 | 3581           | 3581 | 0        | 0         |

| Year         | Numbers caught | Kept  | Released | % bycatch |
|--------------|----------------|-------|----------|-----------|
| 1984         | 5584           | 5584  | 0        | 0         |
| 1985         | 8138           | 8138  | 0        | 0         |
| 1986         | 4829           | 4829  | 0        | 0         |
| 1987         | 4895           | 4895  | 0        | 0         |
| 1988         | 8113           | 8113  | 0        | 0         |
| 1989         | 12393          | 12393 | 0        | 0         |
| 1990         | 7645           | 7645  | 0        | 0         |
| 1991         | 9338           | 9338  | 0        | 0         |
| 1992         | 7352           | 7352  | 0        | 0         |
| 1993         | 9398           | 9398  | 0        | 0         |
| 1994         | 9843           | 9843  | 0        | 0         |
| 1995         | 17776          | 17776 | 0        | 0         |
| 1996         | 20931          | 20931 | 0        | 0         |
| 1997         | 19108          | 19108 | 0        | 0         |
| 1998         | 16428          | 16428 | 0        | 0         |
| 1999         | 19827          | 19827 | 0        | 0         |
| 2000         | 23373          | 23335 | 38       | 0.0016    |
| 2001         | 10409          | 10344 | 65       | 0.0062    |
| 2002         | 5560           | 5520  | 40       | 0.0072    |
| 2003         | 8543           | 8538  | 5        | 0.0006    |
| 2004         | 5851           | 5839  | 12       | 0.0021    |
| 2005         | 4012           | 4006  | 6        | 0.0015    |
| 2006         | 7176           | 7172  | 4        | 0.0006    |
| 2007         | 5611           | 5538  | 73       | 0.013     |
| 2008         | 9341           | 9340  | 1        | 0.0001    |
| 2009         | 11710          | 11707 | 3        | 0.0003    |
| 2010         | 8588           | 8588  | 0        | 0         |
| 2011         | 21232          | 21231 | 1        | 0         |
| 2012         | 12199          | 12199 | 0        | 0         |
| 2013         | 11834          | 11806 | 28       | 0.0024    |
| 2014         | 8814           | 8789  | 25       | 0.0028    |
| 2015         | 8995           | 8995  | 0        | 0         |
| 2016         | 10678          | 10672 | 6        | 0.0006    |
| 10 YEARS AVG | 10900          | 10887 | 14       | 0.0019    |
| 10 YEARS SD  | 3921           | 3931  | 22       | 0.0038    |
| 20 YEARS AVG | 11464          | 11449 | 15       | 0.002     |
| 20 YEARS SD  | 5485           | 5489  | 22       | 0.0032    |

# Table 39. Time series of bycatch estimates in the bottomfish fishery. Percent bycatch is calculated from the numbers caught and identified as bycatch versus all caught in the fishery.

| Year         | Numbers caught | Kept | Released | % bycatch |
|--------------|----------------|------|----------|-----------|
| 1982         | 1597           | 1597 | 0        | 0         |
| 1983         | 1507           | 1507 | 0        | 0         |
| 1984         | 3347           | 3347 | 0        | 0         |
| 1985         | 4840           | 4840 | 0        | 0         |
| 1986         | 1624           | 1624 | 0        | 0         |
| 1987         | 2519           | 2519 | 0        | 0         |
| 1988         | 3002           | 3002 | 0        | 0         |
| 1989         | 3562           | 3562 | 0        | 0         |
| 1990         | 2870           | 2870 | 0        | 0         |
| 1991         | 2783           | 2783 | 0        | 0         |
| 1992         | 2527           | 2527 | 0        | 0         |
| 1993         | 2893           | 2893 | 0        | 0         |
| 1994         | 3730           | 3730 | 0        | 0         |
| 1995         | 4985           | 4985 | 0        | 0         |
| 1996         | 5244           | 5244 | 0        | 0         |
| 1997         | 4342           | 4342 | 0        | 0         |
| 1998         | 5138           | 5138 | 0        | 0         |
| 1999         | 4938           | 4938 | 0        | 0         |
| 2000         | 3905           | 3373 | 532      | 0.1362    |
| 2001         | 3896           | 3273 | 623      | 0.1599    |
| 2002         | 2504           | 2151 | 353      | 0.141     |
| 2003         | 1888           | 1697 | 191      | 0.1012    |
| 2004         | 1804           | 1682 | 122      | 0.0676    |
| 2005         | 1706           | 1640 | 66       | 0.0387    |
| 2006         | 2188           | 2043 | 145      | 0.0663    |
| 2007         | 1372           | 1233 | 139      | 0.1013    |
| 2008         | 1657           | 1536 | 121      | 0.073     |
| 2009         | 2851           | 2774 | 77       | 0.027     |
| 2010         | 2588           | 2559 | 29       | 0.0112    |
| 2011         | 2128           | 2083 | 45       | 0.0211    |
| 2012         | 924            | 887  | 37       | 0.04      |
| 2013         | 1222           | 1178 | 44       | 0.036     |
| 2014         | 2452           | 2283 | 169      | 0.0689    |
| 2015         | 1420           | 1350 | 70       | 0.0493    |
| 2016         | 1664           | 1617 | 47       | 0.0282    |
| 10 YEARS AVG | 1828           | 1750 | 78       | 0.0456    |
| 10 YEARS SD  | 610            | 605  | 46       | 0.0264    |

| Year         | Numbers caught | Kept | Released | % bycatch |
|--------------|----------------|------|----------|-----------|
| 20 YEARS AVG | 2529           | 2389 | 141      | 0.0583    |
| 20 YEARS SD  | 1225           | 1206 | 167      | 0.0471    |

# Table 40. Time series of bycatch estimates in the shore-based fishery (all gears combined).Percent bycatch is calculated from the numbers caught and identified as bycatch versus all<br/>caught in the fishery.

| Year | Numbers caught | Kept  | Released | % bycatch |
|------|----------------|-------|----------|-----------|
| 1984 | 1845           | 1845  | 0        | 0         |
| 1985 | 10200          | 10200 | 0        | 0         |
| 1986 | 9172           | 9169  | 3        | 0.0003    |
| 1987 | 9860           | 9860  | 0        | 0         |
| 1988 | 16199          | 16199 | 0        | 0         |
| 1989 | 8802           | 8802  | 0        | 0         |
| 1990 | 8817           | 8817  | 0        | 0         |
| 1991 | 9880           | 9880  | 0        | 0         |
| 1992 | 6753           | 6753  | 0        | 0         |
| 1993 | 30916          | 30916 | 0        | 0         |
| 1994 | 6013           | 6013  | 0        | 0         |
| 1995 | 8360           | 8360  | 0        | 0         |
| 1996 | 3385           | 3385  | 0        | 0         |
| 1997 | 9233           | 9216  | 17       | 0.0018    |
| 1998 | 11589          | 11580 | 9        | 0.0008    |
| 1999 | 12503          | 12441 | 62       | 0.005     |
| 2000 | 7861           | 7831  | 30       | 0.0038    |
| 2001 | 8653           | 8593  | 60       | 0.0069    |
| 2002 | 3122           | 3114  | 8        | 0.0026    |
| 2003 | 5364           | 5345  | 19       | 0.0035    |
| 2004 | 2655           | 2611  | 44       | 0.0166    |
| 2005 | 2684           | 2654  | 30       | 0.0112    |
| 2006 | 3928           | 3851  | 77       | 0.0196    |
| 2007 | 3361           | 3238  | 123      | 0.0366    |
| 2008 | 5359           | 5282  | 77       | 0.0144    |
| 2009 | 3254           | 3160  | 94       | 0.0289    |
| 2010 | 4321           | 4222  | 99       | 0.0229    |
| 2011 | 5262           | 5187  | 75       | 0.0143    |
| 2012 | 5590           | 5559  | 31       | 0.0055    |
| 2013 | 3300           | 2893  | 407      | 0.1233    |
| 2014 | 4732           | 4622  | 110      | 0.0232    |
| 2015 | 4823           | 4775  | 48       | 0.01      |
| 2016 | 3904           | 3782  | 122      | 0.0313    |

| 10 YEARS AVG | 4391 | 4272 | 119 | 0.031  |
|--------------|------|------|-----|--------|
| 10 YEARS SD  | 850  | 913  | 100 | 0.0321 |
| 20 YEARS AVG | 5575 | 5498 | 77  | 0.0191 |
| 20 YEARS SD  | 2821 | 2848 | 84  | 0.026  |

#### **1.2.11** Number of federal permit holders

In Guam, the following Federal permits are required for fishing in the EEZ:

#### 1.2.11.1 Guam Large Vessel Bottomfish Permit

This permit is required for any large vessel (50 feet or longer in overall length) fishing for, landing, or transshipping bottomfish management unit species in the EEZ seaward of the Territory of Guam. The permit expires one year after the date of issuance. Large vessels are prohibited from bottomfishing in the Guam large vessel prohibited area. Vessel operators must submit a logbook to NMFS within 72 hours after landing.

#### 1.2.11.2 Special Coral Reef Ecosystem Permit

The coral reef ecosystem special permit is required for anyone fishing for coral reef ecosystem management unit species in a low-use MPA, fishing for species on the list of Potentially Harvested Coral Reef Taxa, or using fishing gear not specifically allowed in the regulations. The permit expires one year after the date of issuance. Permit holder must submit a logbook to NMFS within 30 days of each landing of coral reef harvest.

A transshipment permit is required for any receiving vessel used to land or transship potentially harvested coral reef taxa, or any coral reef ecosystem management unit species caught in a low-use MPA. Exceptions to this permit requirement are made for anyone issued a permit to fish under the other western Pacific fishery management plans (pelagic, bottomfish and seamount groundfish, crustacean, or precious corals) who catch coral reef management unit species incidentally while fishing for the management unit species covered by the permit they possess. Permit holders must submit a logbook to NMFS within seven days following the date the vessel arrived in port to land transshipped fish. Regulations governing this fishery can be found in the Code of Federal Regulations, Title 50, Part 665.

#### **1.2.11.3 Western Pacific Precious Corals Permit**

This permit is required for anyone harvesting or landing black, bamboo, pink, red, or gold corals in the EEZ in the western Pacific. The permit expires one year from the date of issuance. Permit holders must submit a logbook to NMFS within 72 hours of landing. Specific conditions are associated with various established, provisional, and exploratory areas throughout the region.

#### **1.2.11.4** Western Pacific Crustaceans Permit (Lobster or Deepwater Shrimp)

A permit is required by the owner of a U.S. fishing vessel used to fish for lobster or deepwater shrimp in the EEZ around American Samoa, Guam, Hawaii, and the Pacific Remote Islands Areas, and in the EEZ seaward of 3 nautical miles of the shoreline of the Northern Mariana Islands. The permit expires one year after the date of issuance. Permit holders must submit a

logbook to NMFS within 72 hours of landing (except when fishing in the Pacific Remote Island Areas – those reports are due within 30 days).

There is no record of special coral reef or precious coral fishery permits issued for the EEZ around Guam since 2007. Table 41 provides the number of permits issued for Guam fisheries between 2007 and 2017. Historical data are from the PIFSC accessed on February 9, 2017 and 2017 data are from the PIRO Sustainable Fisheries Division permits program as of February 3, 2017.

Table 41. Number of federal permits holders between 2007 and 2017 for the crustacean and bottomfish fisheries of Guam

| Guam<br>Fisheries | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
|-------------------|------|------|------|------|------|------|------|------|------|------|------|
| Lobster           | 1    | 6*   | *4   |      |      |      |      |      |      | 1**  |      |
| Shrimp            |      |      |      | 2*   | *1   |      |      |      |      | 1    |      |
| Bottomfish        | 1    | 2    | 2    | 1    | 1    | 4    | 2    | 2    | 1    | 1    | 1    |

\*Permits apply to multiple areas and may include American Samoa, Guam, CNMI, and PRIA.

\*\*Area 5 CNMI and Guam

## **1.2.12 Status Determination Criteria**

## 1.2.12.1 Bottomfish Fishery

Overfishing criteria and control rules are specified and applied to individual species within the multi-species stock whenever possible. When this is not possible, they are based on an indicator species for the multi-species stock. It is important to recognize that individual species would be affected differently based on this type of control rule, and it is important that for any given species fishing, mortality does not currently exceed a level that would result in excessive depletion of that species. No indicator species are being used for the bottomfish multi-species stock complexes and the coral reef species complex. Instead, the control rules are applied to each stock complex as a whole.

The MSY control rule is used as the maximum fishing mortality threshold (MFMT). The MFMT and minimum stock size threshold (MSST) are specified based on recommendations in Restrepo et al. (1998) and both are dependent on the natural mortality rate (M) (Table 42). The value of M used to determine the reference point values are not specified in this document. The latest estimate, published annually in the SAFE report, is used and the value is occasionally re-estimated using the best available information. The range of M among species within a stock complex is taken into consideration when estimating and choosing the M to be used for the purpose of computing the reference point values.

In addition to the thresholds MFMT and MSST, a warning reference point,  $B_{FLAG}$ , is specified at some point above the MSST to provide a trigger for consideration of management action prior to B reaching the threshold. MFMT, MSST, and  $B_{FLAG}$  are specified as indicated in Table 44.

| MFMT   | MSST                       | B <sub>FLAG</sub> |  |  |  |
|--|----------------------------|-------------------|--|--|--|
| $F(B) = \frac{F_{MSY}B}{c B_{MSY}} \text{ for } B \le c B_{MSY}$ $F(B) = F_{MSY} \text{ for } B > c B_{MSY}$ | с В <sub>мsy</sub>         | В <sub>мзу</sub>  |  |  |  |
|  | where $c = \max(1-M, 0.5)$ |                   |  |  |  |

# Table 42. Overfishing threshold specifications for the bottomfish management unit species in Guam

Standardized values of fishing effort (E) and catch-per-unit-effort (CPUE) are used as proxies for F and B, respectively, so  $E_{MSY}$ , CPUE<sub>MSY</sub>, and CPUE<sub>FLAG</sub> are used as proxies for  $F_{MSY}$ ,  $B_{MSY}$ , and  $B_{FLAG}$ , respectively.

In cases where reliable estimates of  $CPUE_{MSY}$  and  $E_{MSY}$  are not available, they will be estimated from catch and effort times series, standardized for all identifiable biases.  $CPUE_{MSY}$  would be calculated as half of a multi-year average reference CPUE, called  $CPUE_{REF}$ . The multi-year reference window would be objectively positioned in time to maximize the value of  $CPUE_{REF}$ .  $E_{MSY}$  would be calculated using the same approach or, following Restrepo et al. (1998), by setting  $E_{MSY}$  equal to  $E_{AVE}$ , where  $E_{AVE}$  represents the long-term average effort prior to declines in CPUE. When multiple estimates are available, the more precautionary one is used.

Since the MSY control rule specified here applies to multi-species stock complexes, it is important to ensure that no particular species within the complex has a mortality rate that leads to excessive depletion. In order to accomplish this, a secondary set of reference points is specified to evaluate stock status with respect to recruitment overfishing. A secondary "recruitment overfishing" control rule is specified to control fishing mortality with respect to that status. The rule applies only to those component stocks (species) for which adequate data are available. The ratio of a current spawning stock biomass proxy (SSB<sub>Pt</sub>) to a given reference level (SSB<sub>PREF</sub>) is used to determine if individual stocks are experiencing recruitment overfishing. SSBP is CPUE scaled by percent mature fish in the catch. When the ratio SSB<sub>Pt</sub>/SSB<sub>PREF</sub>, or the "SSBP ratio" (SSBPR) for any species drops below a certain limit (SSBPR<sub>MIN</sub>), that species is considered to be recruitment overfished and management measures will be implemented to reduce fishing mortality on that species. The rule applies only when the SSBP ratio drops below the SSBPR<sub>MIN</sub>, but it will continue to apply until the ratio achieves the "SSBP ratio recovery target" (SSBPR<sub>TARGET</sub>), which is set at a level no less than SSB<sub>PRMIN</sub>. These two reference points and their associated recruitment overfishing control rule, which prescribe a target fishing mortality rate (F<sub>RO-REBUILD</sub>) as a function of the SSBP ratio, are specified as indicated in Table 43. Again,  $E_{MSY}$  is used as a proxy for  $F_{MSY}$ .

#### Table 43. Rebuilding control rules for the bottomfish management unit species in Guam

| <b>F</b> <sub>RO-REBUILD</sub> <b>S</b> | <b>SSBPR</b> <sub>MIN</sub> | <b>SSBPR</b> <sub>TARGET</sub> |
|---|-----------------------------|--------------------------------|
|---|-----------------------------|--------------------------------|

| $ \begin{array}{c c} F(SSBPR) = 0.2 \ F_{MSY} & \text{for } 0.10 < SSBPR \leq SSBPR_{MIN} \\ F(SSBPR) = 0.5 \ F_{MSY} & \text{for } SSBPR_{MIN} < SSBPR \leq SSBPR_{TARGET} \end{array} $ |  | 0.20 | 0.30 |
|---|--|------|------|
|---|--|------|------|

#### **1.2.12.2 Coral Reef Fishery**

Available biological and fishery data are poor for all coral reef ecosystem management unit species in the Mariana Islands. There is scant information on the life histories, ecosystem dynamics, fishery impact, community structure changes, yield potential, and management reference points for many coral reef ecosystem species. Additionally, total fishing effort cannot be adequately partitioned between the various management unit species (MUS) for any fishery or area. Biomass, maximum sustainable yield, and fishing mortality estimates are not available for any single MUS. Once these data are available, fishery managers can establish limits and reference points based on the multi-species coral reef ecosystem as a whole.

When possible, the MSY control rule should be applied to the individual species in a multispecies stock. When this is not possible, MSY may be specified for one or more species; these values can then be used as indicators for the multi-species stock's MSY.

Individual species that are part of a multi-species complex will respond differently to an OYdetermined level of fishing effort ( $F_{OY}$ ). Thus, for a species complex that is fished at  $F_{OY}$ , managers still must track individual species' mortality rates in order to prevent species-specific population declines that would lead to depletion.

For the coral reef fishery, the multi-species complex as a whole is used to establish limits and reference points for each area. When possible, available data for a particular species are used to evaluate the status of individual MUS stocks in order to prevent recruitment overfishing. When better data and the appropriate multi-species stock assessment methodologies become available, all stocks will be evaluated independently, without proxy.

#### **Establishing Reference Point Values**

Standardized values of catch per unit effort (CPUE) and effort (E) are used to establish limit and reference point values, which act as proxies for relative biomass and fishing mortality, respectively. Limits and reference points are calculated in terms of  $CPUE_{MSY}$  and  $E_{MSY}$  included in Table 44.

| Value              | Proxy                    | Explanation   |
|--------------------|--------------------------|---|
| MaxFMT $(F_{MSY})$ | E <sub>MSY</sub>         | 0.91 CPUE <sub>MSY</sub>                            |
| F <sub>OY</sub>    | 0.75 E <sub>MSY</sub>    | suggested default scaling for target                |
| B <sub>MSY</sub>   | CPUE <sub>MSY</sub>      | operational counterpart                             |
| B <sub>OY</sub>    | 1.3 CPUE <sub>MSY</sub>  | simulation results from Mace (1994)                 |
| MinSST             | 0.7 CPUE <sub>MSY</sub>  | suggested default (1-M)B <sub>MSY</sub> with M=0.3* |
| B <sub>FLAG</sub>  | 0.91 CPUE <sub>MSY</sub> | suggested default (1-M)B <sub>OY</sub> with M=0.3*  |

#### Table 44. Status determination criteria for the coral reef management unit species using CPUE based proxies

When reliable estimates of  $E_{MSY}$  and  $CPUE_{MSY}$  are not available, they are generated from time series of catch and effort values, standardized for all identifiable biases using the best available analytical tools.  $CPUE_{MSY}$  is calculated as one-half a multi-year moving average reference CPUE ( $CPUE_{REF}$ ).

#### 1.2.12.3 Current Stock Status 1.2.12.3.1 Bottomfish

Biological and other fishery data are poor for all bottomfish species in the Mariana Archipelago. Generally, data are only available on commercial landings by species and catch-per-unit-effort (CPUE) for the multi-species complexes as a whole. At this time it is not possible to partition these effort measures among the various bottomfish MUS. The most recent stock assessment update (Yau et al. 2015) for the Guam bottomfish management unit species complex (comprised of 17 species of shallow and deep species of snapper, grouper, jacks, and emperors) was based on estimate of total catch, an abundance index derived from the nominal CPUE generated from the creel surveys, and a fishery-independent point estimate of MSY from the Our Living Oceans Report (Humphreys and Moffitt 1999, Moffitt & Humphreys 2009). The assessment utilized a state-space surplus production model with explicit process and observation error terms (Meyer and Millar 1999). Determinations of overfishing and overfished status can then be made by comparing current biomass and harvest rates to MSY level reference points. To date, the Guam BMUS is not subject to overfishing and is not overfished (Table 45).

| Parameter           | Value            | Notes                                      | Status                   |
|---------------------|------------------|--|--------------------------|
| MSY                 | $56.13\pm7.79$   | Expressed in 1000 lbs ( $\pm$ std error)   |                          |
| H <sub>2013</sub>   | 0.123            | Expressed in percentage                    |                          |
| H <sub>MSY</sub>    | $0.352\pm0.059$  | Expressed in percentage ( $\pm$ std error) |                          |
| H/H <sub>MSY</sub>  | 0.356            |  | No overfishing occurring |
| B <sub>2013</sub>   | 264.7            | Expressed in thousand pounds               |                          |
| B <sub>MSY</sub>    | $162.3 \pm 23.8$ | Expressed in 1000 lbs ( $\pm$ std error)   |                          |
| B/ B <sub>MSY</sub> | 1.63             |  | Not overfished           |

#### Table 45. Stock assessment parameters for the Guam BMUS complex (Yau et al 2015)

## 1.2.12.3.2 Coral reef

The application of the SDCs for the management unit species in the coral reef fisheries is limited due to various challenges. First, the thousands of species included in the coral reef MUS makes the SDC and status determination impractical. Second, the CPUE derived from the creel survey is based on the fishing method and there is no species-specific CPUE information available. In order to allocate the fishing method level CPUE to individual species, the catch data (the value of catch is derived from CPUE hence there is collinearity) will have to be identified to species level and CPUE will be parsed out by species composition. The third challenge is that there is very little species-level identification applied to the creel surveys. There has been no attempt to estimate MSY for the coral reef MUS until the 2007 re-authorization of MSA that requires the Council to specify ACLs for species in the FEPs.

For ACL specification purposes, MSYs in the coral reef fisheries are determined by using the Biomass-Augmented Catch-MSY approach (Sabater and Kleiber 2014). This method estimates MSY using plausible combination rates of population increase (denoted by r) and carrying capacity (denoted by k) assumed from the catch time series, resilience characteristics (from FishBase), and biomass from existing underwater census surveys done by the Pacific Island Fisheries Science Center. This method was applied to species complexes grouped by taxonomic families. The most recent MSY estimates are found in Table 46. The SSC utilized the MSYs for the coral reef MUS complexes as the OFLs.

| Coral Reef MUS Complex                           | MSY (lbs) |
|--|-----------|
| Selar crumenophthalmus – atulai or bigeye scad   | 61,300    |
| Acanthuridae – surgeonfish                       | 118,000   |
| Carangidae – jacks                               | 31,700    |
| Crustaceans – crabs                              | 8,600     |
| Holocentridae – squirrelfish                     | 13,900    |
| Kyphosidae – chubs/rudderfish                    | 10,300    |
| Labridae – wrasses <sup>1</sup>                  | 28,500    |
| Lethrinidae – emperors                           | 78,000    |
| Lutjanidae – snappers                            | 21,800    |
| Mollusks – turbo snail; octopus; giant clams     | 29,000    |
| Mugilidae – mullets                              | 26,200    |
| Mullidae – goatfish                              | 16,400    |
| Scaridae – parrotfish <sup>2</sup>               | 87,100    |
| Serranidae – groupers                            | 28,600    |
| Siganidae – rabbitfish                           | 19,700    |
| All Other CREMUS Combined                        | 211,300   |
| - Other CRE-finfish                              |           |
| - Other invertebrates                            |           |
| - Misc. bottomfish                               |           |
| - Misc. reef fish                                |           |
| - Misc. shallow bottomfish                       |           |
| Cheilinus undulatus – humphead (Napoleon) wrasse | N.A.      |
| Bolbometopon muricatum – bumphead parrotfish     | N.A.      |
| Carcharhinidae – reef sharks                     | 2,900     |

#### Table 46. Best available MSY estimates for the coral reef MUS in Guam

# **1.2.13** Overfishing Limit, Acceptable Biological Catch, and Annual Catch Limits **1.2.13.1** Brief description of the ACL process

The Council developed a Tiered system of control rules to guide the specification of ACLs and Accountability Measures (AMs) (WPRFMC 2011). The process starts with the use of the best scientific information available (BSIA) in the form of, but not limited to, stock assessments, published paper, reports, or available data. These information are classified to the different Tiers in the control rule ranging from Tier 1 (most information available typically an assessment) to Tier 5 (catch-only information). The control rules are applied to the BSIA. Tiers 1 to 3 would involve conducting a Risk of Overfishing Analysis (denoted by P\*) to quantify the scientific

uncertainties around the assessment to specify the Acceptable Biological Catch (ABC). This would lower the ABC from the OFL (MSY-based). A Social, Ecological, Economic, and Management (SEEM) Uncertainty Analysis is performed to quantify the uncertainties from the SEEM factors. The buffer is used to lower the ACL from the ABC. For Tier 4 which are stocks with MSY estimates but no active fisheries, the control rule is 91% of MSY. For Tier 5 which has catch-only information, the control rule is a third reduction in the median catch depending on the qualitative evaluation on what the stock status is based on expert opinion. ACL specification can choose from a variety of method including the above-mentioned SEEM analysis or a percentage buffer (% reduction from ABC based on expert opinion) or the use of an Annual Catch Target. Specifications are done on an annual basis but the Council normally specifies a multi-year specification.

The Accountability Measure for the coral reef and bottomfish fisheries in Guam is an overage adjustment. The ACL is downward adjusted with the amount of overage from the ACL based on a three-year running average.

#### 1.2.13.2 Current OFL, ABC, ACL, and recent catch

The most recent multiyear specification of OFL, ABC, and ACL for the coral reef fishery was completed in the 160<sup>th</sup> Council meeting on June 25 to 27, 2014. The specification covers fishing year 2015, 2016, 2017, and 2018 for the coral reef MUS complexes. A P\* and SEEM analysis was performed for this multiyear specification (NMFS 2015). For the bottomfish, it was a roll over from the previous specification since an assessment update was not available for fishing year 2015.

| Fishery        | MUS                                  | OFL     | ABC     | ACL    | Catch  |
|----------------|--------------------------------------|---------|---------|--------|--------|
| Bottomfish     | Bottomfish multi-species complex     | 71,000  | 66,800  | 66,800 | 22,727 |
| Crustacean     | Deepwater shrimp                     | N.A.    | 48,488  | 48,488 |        |
|                | Spiny lobster                        | 4,600   | 3,300   | 3,135  | 876    |
|                | Slipper lobster                      | N.A.    | 20      | 20     | 0      |
|                | Kona crab                            | N.A.    | 1,900   | 1,900  |        |
| Precious coral | Black coral                          | 8,250   | 700     | 700    |        |
|                | Precious coral in CNMI expl. area    | N.A.    | 2,205   | 2,205  |        |
| Coral Reef     | Selar crumenophthalmus               | 61,300  | 52,300  | 50,200 | 16,423 |
|                | Acanthuridae-surgeonfish             | 118,000 | 101,700 | 97,600 | 20,146 |
|                | Carangidae-jacks                     | 31,700  | 29,900  | 21,201 | 26,607 |
|                | Crustaceans-crabs                    | 8,600   | 7,600   | 7,300  | 1,320  |
|                | Holocentridae-squirrelfish           | 13,900  | 12,000  | 11,400 | 2,631  |
|                | Kyphosidae-rudderfish                | 10,300  | 9,800   | 9,600  | 6,249  |
|                | Labridae-wrasse                      | 28,500  | 25,800  | 25,200 | 2,168  |
|                | Lethrinidae-emperors                 | 78,000  | 58,000  | 53,000 | 21,141 |
|                | Lutjanidae-snappers                  | 21,800  | 18,600  | 18,000 | 5,783  |
|                | Mollusk-turbo snails; octopus; clams | 29,000  | 25,000  | 23,800 | 10,708 |
|                | Mugilidae-mullets                    | 26,200  | 19,400  | 17,900 | 831    |
|                | Mullidae-goatfish                    | 16,400  | 15,600  | 15,300 | 9,370  |

#### Table 47. Mariana Archipelago – Guam ACL table with 2016 catch (values are in pounds)

| Scaridae-parrotfish        | 87,100  | 75,000  | 71,600  | 8,269  |
|----------------------------|---------|---------|---------|--------|
| Serranidae-groupers        | 28,600  | 23,700  | 22,500  | 6,281  |
| Siganidae-rabbitfish       | 19,700  | 19,500  | 18,600  | 7,043  |
| All other CREMUS combined  | 211,300 | 191,300 | 185,000 | 49,402 |
| Cheilinus undulatus        | N.A.    | 1,960   | 1,960   | 106    |
| Bolbometopon muricatum     | N.A.    | 797     | 797*    |        |
| Carcharhinidae-reef sharks | 2,900   | 2,000   | 1,900   | 1,578  |

NOTE: \*The ACL for *B. muricatum* is shared with CNMI (1 ACL for the whole archipelago)

The catch shown in Table 47 takes the average of the recent three years as recommended by the Council at its 160<sup>th</sup> meeting to avoid large fluctuations in catch due to data quality and outliers. NAF indicates no active fisheries as of date.

The ACL for jacks was reduced from 29,300 lbs in 2015 to 21,201 lbs for 2016 due to the overage in 2015 of 8,099 lbs because of the spike in catch in 2013 of 59,468 lbs. NMFS applied the reduction to the ACL by the amount of the overage (82 FR 5517 2017-01-18) based on the Council's accountability measure for this data poor stock.

#### **1.2.14** Best scientific information available

#### 1.2.14.1 Bottomfish fishery

#### 1.2.14.1.1 Stock assessment benchmark

The benchmark stock assessment for the Territory Bottomfish Management Unit Species complex was developed and finalized in October 2007 (Moffitt et al. 2007). This benchmark utilized a Bayesian statistical framework to estimate parameters of a Schaefer model fit to a time series of annual CPUE statistics. The surplus production model included process error in biomass production dynamics and observation error in the CPUE data. This was an improvement to the previous approach of using index-based proxies for  $B_{MSY}$  and  $F_{MSY}$ . Best available information for the bottomfish stock assessment is as follows:

Input data: The CPUE and catch data used were from the Guam off-shore creel survey. The catch and CPUE were expanded on an annual level. CPUE was expressed in line-hours. The data was screened for trips that landed more than 50% BMUS species using the handline gear.

Model: state-space model with explicit process and observation error terms (see Meyer and Millar, 1999).

Fishery independent source for biomass: point estimate of MSY from the Our Living Oceans Report (Humphreys and Moffitt 1999, Moffitt & Humphreys 2009)

#### 1.2.14.1.2 Stock assessment updates

Updates to the 2007 benchmark done in 2012 (Brodziak et al. 2012) and 2015 (Yau et al. 2015). These included a three-year stock projection table used for selecting the level of risk the fishery will be managed under ACLs. Yau et al. (2015) is considered the best scientific information available for the Territory bottomfish MUS complex after undergoing a WPSAR Tier 3 panel review (Franklin et al. 2015). This was the basis for the P\* analysis and SEEM analysis that determined the risk levels to specify ABCs and ACLs.

### 1.2.14.1.3 Other information available

Approximately every five years PIFSC administers a socioeconomic survey to small boat fishermen in Guam. This survey consists of about 60 questions regarding a variety of topics, including fishing experiences, market participation, vessels and gear, demographics and household income, and fishermen perspectives. The survey requests participants to identify which MUS they primarily targeted during the previous 12 months, by percentage of trips. Full reports of these surveys can be found at the PIFSC Socioeconomics webpage (Hospital and Beavers 2011)

### **1.2.14.2** Coral reef fishery

### 1.2.14.2.1 Stock assessment benchmark

No stock assessment has been generated for the coral reef fisheries. The SDCs using index-based proxies were tested for its applicability in the different MUS in the coral reef fisheries (Hawhee 2007). This analysis was done on a gear level. It paints a dire situation for the shore-based fishery with 43% of the gear/species combination falling below Bflag and 33% below MSST with most catch and CPUE trends showing a decline over time. The off-shore fisheries were shown to be less dire with 50% of the gear/species combination falling below Bflag and 38% below MSST - but the catch and CPUE trends were increasing over time. The inconsistency in the CPUE and catch trends with the SDC results makes this type of assessment to be unreliable.

The first attempt to use a model-based approach in assessing the coral reef MUS complexes was done in 2014 using a biomass-based population dynamics model (Sabater and Kleiber 2014). This model was based on the original Martell and Froese (2012) model but was augmented with biomass information to relax the assumption behind carrying capacity. It estimates MSY based on a range of rate of population growth (r) and carrying capacity (K) values. The best available information for the coral reef stock assessment is as follows:

Input data: The catch data was derived from the inshore and off-shore creel surveys. Commercial receipt book information was also used in combination with the creel data. A downward adjustment was done to address for potential overlap due to double reporting.

Model: Biomass Augmented Catch MSY approach based on the original catch-MSY model (Martell and Froese 2012; Sabater and Kleiber 2014).

Fishery independent source for biomass: biomass density from the Rapid Assessment and Monitoring Program of NMFS-CREP was expanded to the hard bottom habitat from 0-30 m (Williams 2010).

This model had undergone a CIE review in 2014 (Cook 2014; Haddon 2014; Jones 2014). This was the basis for the P\* analysis that determined the risk levels to specify ABCs

#### 1.2.14.2.2 Stock assessment updates

No updates available for the coral reef MUS complex. However, NMFS-PIFSC is finalizing a length-based model for estimating sustainable yield levels and various biological reference points (Nadon et al. 2015). This can be used on a species level. The Council is also working with a contractor to enhance the BAC-MSY model to incorporate catch, biomass, CPUE, effort, and length-based information in an integrated framework (Martell 2015)

#### 1.2.14.2.3 Other information available

Approximately every five years PIFSC administers a socioeconomic survey to small boat fishermen in Guam. This survey consists of about 60 questions regarding a variety of topics, including fishing experiences, market participation, vessels and gear, demographics and household income, and fishermen perspectives. The survey requests participants to identify which MUS they primarily targeted during the previous 12 months, by percentage of trips. Full reports of these surveys can be found at the PIFSC Socioeconomics webpage (Hospital and Beavers 2011).

PIFSC and the Council conducted a workshop with various stakeholders in CNMI to identify factors and quantify uncertainties associated with the social, economic, ecological, and management of the coral reef fisheries (Sievanen and McCaskey 2014). This was the basis for the SEEM analysis that determined the risk levels to specify ACLs.

#### **1.2.15** Harvest capacity and extent

The MSA defines the term "optimum," with respect to the yield from a fishery, as the amount of fish which:

- will provide the greatest overall benefit to the Nation, particularly with respect to food production and recreational opportunities, and taking into account the protection of marine ecosystems.
- is prescribed on the basis of the MSY from the fishery, as reduced by any relevant social, economic, or ecological factor.
- in the case of an overfished fishery, provides for rebuilding to a level consistent with producing the MSY in such a fishery [50 CFR §600.310(f)(1)(i)].

Optimum yield in the coral reef and bottomfish fisheries is prescribed based on the MSY from the stock assessment and the best available scientific information. In the process of specifying ACLs, social, economic, and ecological factors were considered and the uncertainties around those factors defined the management uncertainty buffer between the ABC and ACL. OY for the bottomfish and coral reef fish MUS complexes is defined to be the level of harvest equal to the ACL consistent with the goals and objectives of the Fishery Ecosystem Plans and used by the Council to manage the stock.

The Council recognizes that MSY and OY are long-term values whereas the ACLs are yearly snapshots based on the level of fishing mortality at  $F_{MSY}$ . There are situations when the long-term means around MSY are going to be lower than ACLs especially if the stock is known to be productive or relatively pristine or lightly fished. One can have catch levels and catch rates exceeding that of MSY over short-term enough to lower the biomass to a level around the estimated MSY and still not jeopardize the stock. This situation is true for the territory bottomfish multi-species complex.

The harvest extent, in this case, is defined as the level of catch harvested in a fishing year relative to the ACL or OY. The harvest capacity is the level of catch remaining in the annual catch limit that can potentially be used for TALLF. Table 48 summarizes the harvest extent and harvest capacity information for Guam in 2016.

Table 48. Mariana Archipelago – Guam proportion of harvest extent (values are in percentage), defined as the proportion of fishing year landing relative to the ACL or OY, and the harvest capacity, defined as the remaining portion of the ACL or OY that can potentially be harvested in a given fishing year.

| Fishery        | MUS                                     | ACL     | Catch  | Harvest<br>extent<br>(%) | Harvest<br>capacity<br>(%) |
|----------------|---|---------|--------|--------------------------|----------------------------|
| Bottomfish     | Bottomfish multi-species complex        | 66,800  | 22,727 | 34                       | 66                         |
| Crustacean     | Deepwater shrimp                        | 48,488  | N.A.F  | 0                        | 100                        |
|                | Spiny lobster                           | 3,135   | 743    | 24                       | 76                         |
|                | Slipper lobster                         | 20      | ND     |                          |                            |
|                | Kona crab                               | 1,900   | N.A.F  |                          |                            |
| Precious coral | Black coral                             | 700     | N.A.F  |                          |                            |
|                | Precious coral in CNMI expl. area       | 2,205   | N.A.F  |                          |                            |
| Coral Reef     | Selar crumenophthalmus                  | 50,200  | 16,423 | 33                       | 67                         |
|                | Acanthuridae-surgeonfish                | 97,600  | 20,146 | 21                       | 79                         |
|                | Carangidae-jacks                        | 29,300  | 26,607 | 125                      | -25                        |
|                | Crustaceans-crabs                       | 7,300   | 1,320  | 18                       | 82                         |
|                | Holocentridae-squirrelfish              | 11,400  | 2,631  | 23                       | 77                         |
|                | Kyphosidae-rudderfish                   | 9,600   | 6,249  | 65                       | 35                         |
|                | Labridae-wrasse                         | 25,200  | 2,168  | 9                        | 91                         |
|                | Lethrinidae-emperors                    | 53,000  | 21,141 | 40                       | 60                         |
|                | Lutjanidae-snappers                     | 18,000  | 5,783  | 32                       | 68                         |
|                | Mollusk-turbo snails; octopus;<br>clams | 23,800  | 10,708 | 45                       | 55                         |
|                | Mugilidae-mullets                       | 17,900  | 831    | 5                        | 95                         |
|                | Mullidae-goatfish                       | 15,300  | 9,370  | 61                       | 39                         |
|                | Scaridae-parrotfish                     | 71,600  | 8,269  | 12                       | 88                         |
|                | Serranidae-groupers                     | 22,500  | 6,281  | 28                       | 72                         |
|                | Siganidae-rabbitfish                    | 18,600  | 7,043  | 38                       | 62                         |
|                | All other CREMUS combined               | 185,000 | 49,402 | 27                       | 73                         |
|                | Cheilinus undulatus                     | 1,960   | 106    | 5                        | 95                         |
|                | Bolbometopon muricatum                  | 797*    | ND     |                          |                            |
|                | Carcharhinidae-reef sharks              | 1,900   | 1,578  | 83                       | 17                         |

# **1.2.16** Other relevant ocean uses and fishery-related information **1.2.16.1** Marine preserves

Guam has 5 locally managed Marine Preserves (MPAs); Achang Reef Flat, in Merizo, Sasa Bay in Piti, Piti Bombholes in Piti, Tumon Bay in Tumon, and Pati Point, located in Yigo. A total of 11.8% of Guam's coastline is within the MPAs.

In 2016, there were 9 cases of illegal fishing in the MPAs involving 17 persons. There was also one illegal fishing arrest, involving spearing of lobsters..

### **1.2.16.2 Local environmental co-variates**

There were 111 high surf advisory days in 2016, a decrease from 122 in 2015. 21 of these dates were during August, normally a month with relatively calm weather conditions.

In early 2010, the U.S. military began exercises in an area south and southeast of Guam designated W-517. W-517 is a special use airspace (SUA) (approximately 14,000 nm2) that overlays deep open ocean approximately 50 miles south-southwest of Guam. Exercises in W-517 generally involve live fire and/or pyrotechnics When W-517 is in use, a notice to mariners (NTM) is issued, and vessels attempting to use the area are advised to be cautious of objects in the water and other small vessels. This discourages access to virtually all banks south of Guam, including Galvez, Santa Rosa, White Tuna, and other popular fishing areas. From 1982-2015, DAWR surveys recorded more than 2930 trolling and bottom fishing trips to these southern banks, an average of more than 83 trips per year. The number of NTM in 2016 was 64, equaling 123 closure days. This compares to 109 closure days in 2015. This certainly impacted the number of available fishing days south of Guam.

### 1.2.17 Administrative and Regulatory Actions

No actions have been taken for Guam fisheries since the April 2016 Joint FEP Plan Team meeting, as reported to the 166th to 168th Western Pacific Fishery Management Council meetings held June 2016, October 2016, and March 2017. One proposed rule was published as described below.

January 18, 2017 (82 FR 5517). **Pacific Island 2016 Annual Catch Limits and Accountability Measures**. NMFS proposed annual catch limits (ACLs) for Pacific Island bottomfish, crustacean, precious coral, and coral reef ecosystem fisheries, and accountability measures (AMs) to correct or mitigate any overages of catch limits. The proposed ACLs and AMs would be effective for fishing year 2016. The fishing year for each fishery begins on January 1 and ends on December 31, except for precious coral fisheries, which begin July 1 and end on June 30 the following year. Although the 2016 fishing year has ended for most stocks, NMFS evaluates 2016 catches against the 2016 ACLs when data become available in mid-2017. The proposed ACLs and AMs support the long-term sustainability of fishery resources of the U.S. Pacific Islands. The comment period ended February 2, 2017.

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## 2 ECOSYSTEM CONSIDERATIONS

#### 2.1 CORAL REEF ECOSYSTEM PARAMETERS

#### 2.1.1 Regional Reef Fish Biomass

**Description:** 'Reef fish biomass' is mean biomass of reef fishes per unit area derived from visual survey data (details of survey program below) between 2009 and 2015.

#### Category:

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

#### Timeframe: Triennial

#### Jurisdiction:

- ✓ American Samoa
- ✓ Guam
- ✓ Commonwealth of Northern Mariana Islands
- ✓ Main Hawaiian Islands
- ✓ Northwest Hawaiian Islands
- ✓ Pacific Remote Island Areas

#### **Spatial Scale:**

- ✓ Regional
- □ Archipelagic
- $\Box$  Island
- □ Site

**Data Source:** Data used to generate biomass estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (<u>http://www.pifsc.noaa.gov/cred/pacific\_ramp.php</u>). Survey methods are described in detail elsewhere

(http://www.pifsc.noaa.gov/library/pubs/admin/PIFSC\_Admin\_Rep\_15-07.pdf), but in brief involve teams of divers conducting stationary point count cylinder (SPC) surveys within a target domain of <30m hard-bottom habitat at each island, stratified by depth zone and, for larger islands, by section of coastline. For consistency among islands, only data from forereef habitats is used here. At each SPC, divers record the number, size and species of all fishes within or passing through paired 15m-diameter cylinders in the course of a standard count procedure. Fish sizes and abundance are converted to biomass using standard length-to-weight conversion parameters, taken largely from FishBase (http://www.fishbase.org), and converted to biomass per unit area, by dividing by the area sampled per survey. Site-level data were pooled into islandscale values by first calculating mean and variance within strata, and then calculating weighted island-scale mean and variance using the formulas given in (Smith et al., 2011), with strata weighted by their respective sizes. **<u>Rationale</u>**: Reef Fish biomass, i.e. the weight of fish per unit area has been widely used as an indicator of relative status, and has repeatedly been shown to be changes in fishing pressure, habitat quality, and oceanographic regime.

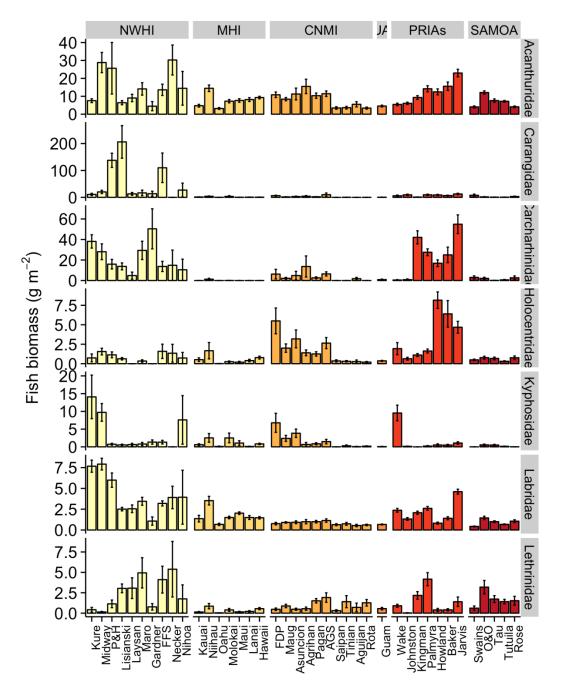
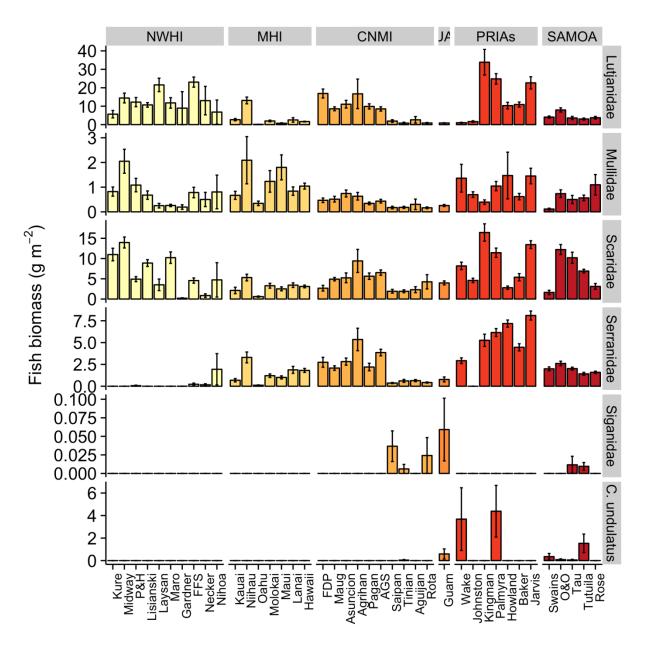


Figure 1. Mean fish biomass by Coral Reef Management Unit Species (CREMUS) grouping per US Pacific reef area. Mean fish biomass (± standard error) per CREMUS grouping per reef area pooled across survey years (2009-2015). Islands ordered within region by latitude. Continues to next page.



#### 2.1.2 CNMI Reef Fish Biomass

**Description:** 'Reef fish biomass' is mean biomass of reef fishes per unit area derived from visual survey data (details of survey program below) between 2009 and 2015.

#### **Category:**

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

#### **Timeframe:** Triennial

#### Jurisdiction:

- American Samoa
- Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

#### Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate biomass estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (<u>http://www.pifsc.noaa.gov/cred/pacific\_ramp.php</u>). Survey methods are described in detail elsewhere

(http://www.pifsc.noaa.gov/library/pubs/admin/PIFSC\_Admin\_Rep\_15-07.pdf), but in brief involve teams of divers conducting stationary point count cylinder (SPC) surveys within a target domain of <30m hard-bottom habitat at each island, stratified by depth zone and, for larger islands, by section of coastline. For consistency among islands, only data from forereef habitats is used here. At each SPC, divers record the number, size and species of all fishes within or passing through paired 15m-diameter cylinders in the course of a standard count procedure. Fish sizes and abundance are converted to biomass using standard length-to-weight conversion parameters, taken largely from FishBase (http://www.fishbase.org), and converted to biomass per unit area, by dividing by the area sampled per survey. Site-level data were pooled into islandscale values by first calculating mean and variance within strata, and then calculating weighted island-scale mean and variance using the formulas given in (Smith et al., 2011), with strata weighted by their respective sizes.

**Rationale:** Reef Fish biomass, i.e. the weight of fish per unit area, has been widely used as an indicator of relative status, and has repeatedly been shown to be changes in fishing pressure, habitat quality, and oceanographic regime.

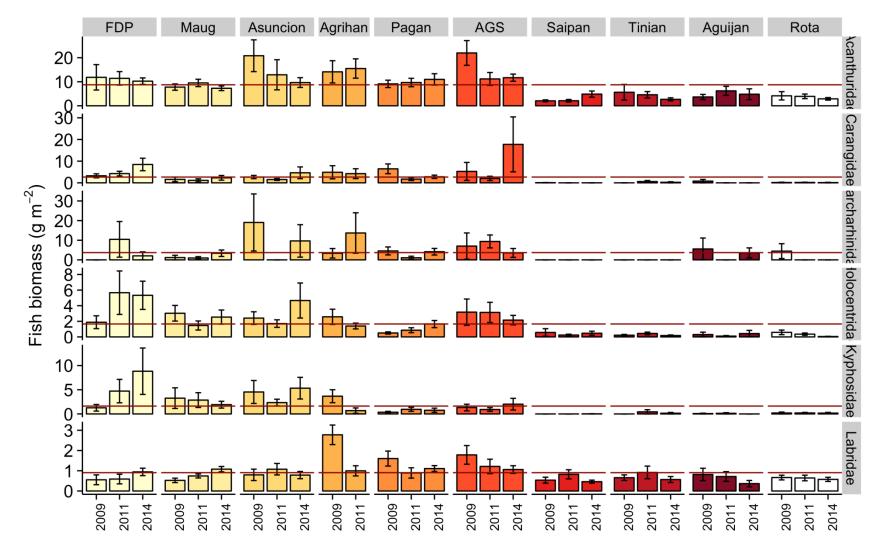
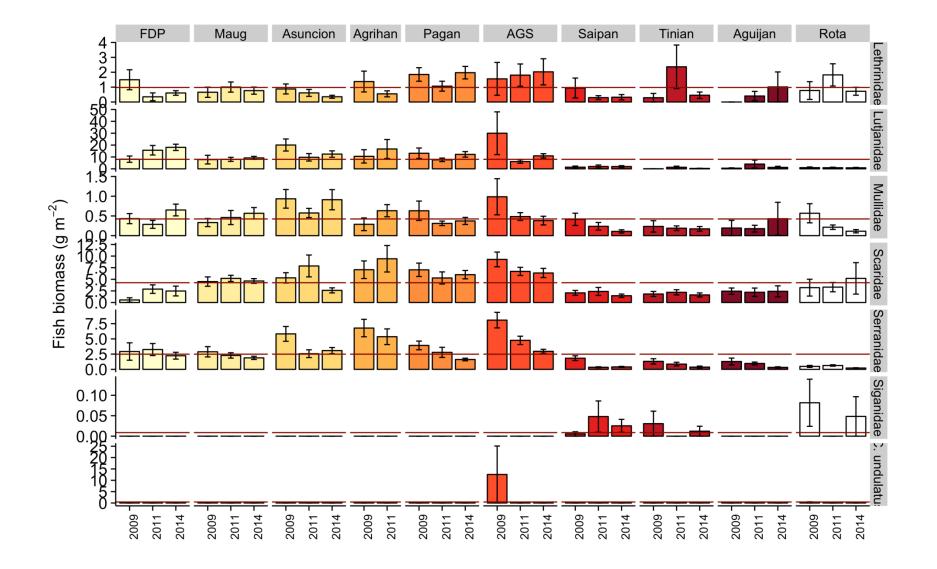


Figure 2. Mariana archipelago mean reef fish biomass. Anatahan, Guguan, and Sarigan have been grouped. Continues to next page.



#### 2.1.3 CNMI Archipelagic Mean Fish Size

**Description:** 'Mean fish size' is mean size of reef fishes > 10 cm TL (i.e. excluding small fishes) derived from visual survey data (details of survey program below) between 2009 and 2015.

#### **Category:**

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

### Timeframe: Triennial

#### Jurisdiction:

- □ Regional
- □ American Samoa
- 🗆 Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- $\Box$  Pacific Remote Island Areas

#### Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate mean size estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (<u>http://www.pifsc.noaa.gov/cred/pacific\_ramp.php</u>). Survey methods are described in detail elsewhere

(http://www.pifsc.noaa.gov/library/pubs/admin/PIFSC\_Admin\_Rep\_15-07.pdf), but in brief involve teams of divers conducting stationary point count cylinder (SPC) surveys within a target domain of <30m hard-bottom habitat at each island, stratified by depth zone and, for larger islands, by section of coastline. For consistency among islands, only data from forereef habitats is used here. At each SPC, divers record the number, size (total length, TL) and species of all fishes within or passing through paired 15m-diameter cylinders in the course of a standard count procedure. Fishes smaller than 10 cm TL are excluded so that the fish assemblage measured more closely reflects fishes that are potentially fished, and so that mean sizes are not overly influenced by variability in space and time of recent recruitment. Site-level data were pooled into island-scale values by first calculating mean and variance within strata, and then calculating weighted island-scale mean and variance using the formulas given in (Smith et al., 2011), with strata weighted by their respective sizes. **Rationale:** Mean size is important as mean size is widely used as an indicator of fishing pressure – not only do fishers sometimes preferentially target large individuals, but also because one effect of fishing is to reduce the number of fishes reaching older (and larger) size classes. Large fishes also contribute disproportionately to community fecundity and can have important ecological roles – for example, excavating bites by large parrotfishes probably have a longer lasting impact on reef benthos than bites by smaller fishes.



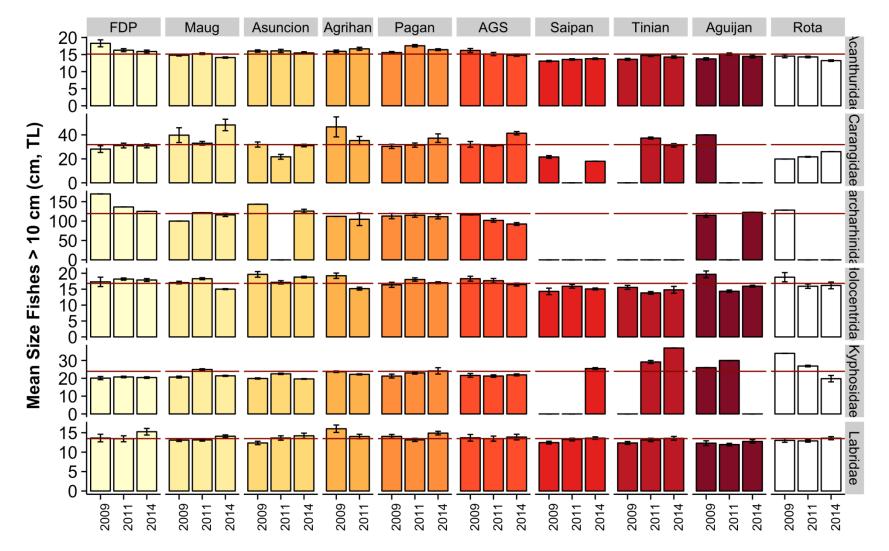
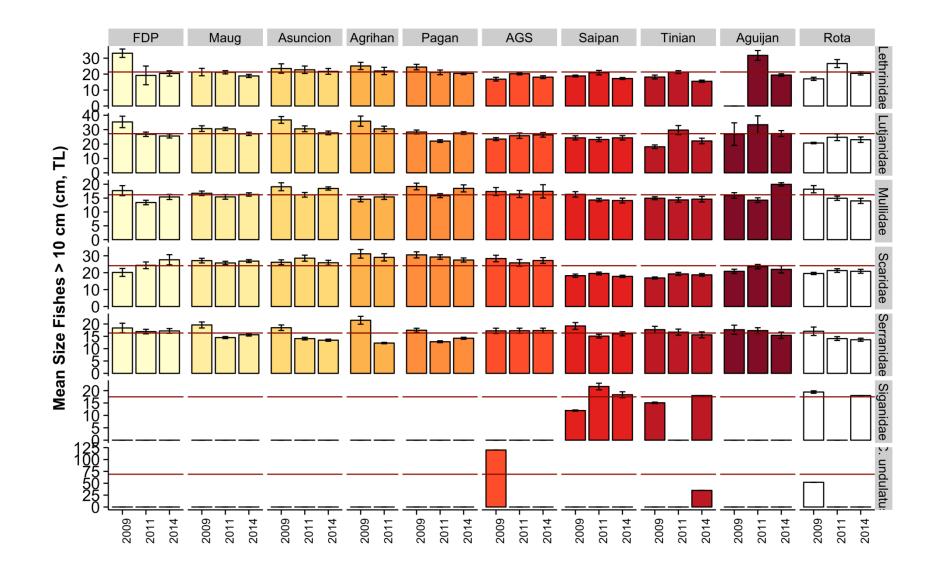


Figure 3. Mariana Archipelago mean fish size. anatahan, Guguan, and Sarigan have been grouped. Continues to next page.



Ecosystem Considerations

### 2.1.4 CNMI Reef Fish Population Estimates

**Description:** Reef fish population estimates are made by multiplying mean biomass per unit area by estimated area of hardbottom in a consistent habitat across all islands (specifically, the area of hardbottom forereef habitat in < 30m water).

### **Category:**

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

## Timeframe: Triennial

#### Jurisdiction:

- □ Regional
- □ American Samoa
- 🗆 Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

## Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate mean size estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (http://www.pifsc.noaa.gov/cred/pacific\_ramp.php). Survey methods and sampling design, and methods to generate reef fish biomass are described above (SECTION: REEF FISH BIOMASS). Those estimates are converted to population estimates by multiplying biomass (g/m2) per island by the estimated area of hardbottom habitat <30m deep at the island, which is the survey domain for the monitoring program that biomass data comes from. Estimated habitat areas per island are derived from GIS bathymetry and habitat maps maintained by NOAA Coral Reef Ecosystems Program. It is important to recognize that many reef fishes taxa are present in other habitats and in deeper water than is surveyed by that program, and even that some taxa likely have the majority of their populations in deeper water. Additionally, fish counts have the potential to be biased by the nature of fish responses to divers: curious fishes, particularly in locations where divers are not perceived as a threat, will tend to be overcounted by visual survey, and skittish fishes will tend to be undercounted. Likely numbers of jacks and sharks in some locations (particularly the NWHI) are overcounted by visual survey.

Nevertheless, in spite of these issues, the data shown here are consistently gathered across space and time.

**<u>Rationale</u>**: These data have utility in understanding the size of populations from which fishery harvests are extracted.

#### Table 49. Reef fish population estimates for CNMI.

Note: Fish species are pooled by CREMUS groupings. Estimated population biomass is for 0-30 m hardbottom habitat only. (n) is number of sites surveyed per island. Each site is surveyed by means of two to four, 7.5 m diameter SPCs. However, those are not considered to be independent samples, so data from those is pooled to site level before other analysis. 'AGS' is a combined value for Alamagan, Guguan, and Sarigan. Those three small islands situated between Saipan and Pagan are treated as a single unit by the monitoring program which is the source of visual survey data here.

|             | Total<br>Area of |     | ESTIMATED    | POPULATION | N BIOMASS (me<br>HARDB | tric Tonnes) in S<br>OTTOM | URVEY DOMA | AIN OF <30 |
|-------------|------------------|-----|--------------|------------|------------------------|----------------------------|------------|------------|
| ISLAND      | reef (Ha)        | Ν   | Acanthuridae | Carangidae | Carcharhinids          | Holocentridae              | Kyphosidae | Labridae   |
| Farallon de |                  |     |              |            |                        |                            |            |            |
| Pajaros     | 138.5            | 23  | 15.0         | 8.8        | 8.7                    | 7.6                        | 9.4        | 1.1        |
| Maug        | 313.9            | 70  | 26.4         | 5.4        | 6.8                    | 6.3                        | 7.5        | 2.9        |
| Asuncion    | 248.6            | 41  | 28.0         | 7.7        | 12.0                   | 7.9                        | 9.5        | 2.3        |
| Agrihan     | 850.6            | 20  | 131.9        | 36.0       | 116.4                  | 11.9                       | 5.8        | 8.5        |
| Pagan       | 1,512.9          | 72  | 156.3        | 34.2       | 39.6                   | 19.0                       | 13.0       | 15.1       |
| AGS         | 743.9            | 57  | 85.0         | 73.6       | 48.0                   | 19.7                       | 11.0       | 8.5        |
| Saipan      | 4,846.6          | 78  | 168.5        | 0.3        | -                      | 17.3                       | 0.7        | 31.2       |
| Tinian      | 1,414.2          | 38  | 51.4         | 5.9        | -                      | 4.4                        | 4.2        | 10.5       |
| Aguijan     | 405.6            | 23  | 22.4         | -          | 7.2                    | 1.1                        | 0.3        | 2.2        |
| Rota        | 1,331.4          | 52  | 45.4         | 2.1        | -                      | 2.7                        | 2.5        | 8.1        |
| TOTAL       | 11,806.1         | 474 | 689.4        | 164.1      | 186.0                  | 95.5                       | 63.5       | 88.8       |
|             | Total            |     |              |            |                        |                            |            |            |
| ISLAND      | Area of          | NT  | Lethrinidae  | T          | Marth: da a            | C                          | C          | Cinomida.  |
| Farallon de | reef (Ha)        | Ν   | Lethrinidae  | Lutjanidae | Mullidae               | Scaridae                   | Serranidae | Siganidae  |
| Pajaros     | 138.5            | 23  | 0.7          | 23.4       | 0.6                    | 3.7                        | 3.8        | -          |
| Maug        | 313.9            | 70  | 2.8          | 27.0       | 1.6                    | 15.4                       | 6.5        | -          |
| Asuncion    | 248.6            | 41  | 1.2          | 27.5       | 1.8                    | 13.0                       | 7.0        | -          |
| Agrihan     | 850.6            | 20  | 4.7          | 142.1      | 5.4                    | 80.1                       | 45.6       | -          |
| Pagan       | 1,512.9          | 72  | 22.9         | 149.6      | 5.2                    | 85.3                       | 33.3       | -          |
| AGS         | 743.9            | 57  | 14.3         | 63.5       | 3.2                    | 48.6                       | 28.7       | -          |
| Saipan      | 4,846.6          | 78  | 14.9         | 94.4       | 8.4                    | 93.1                       | 17.8       | 1.8        |
| Tinian      | 1,414.2          | 38  | 19.9         | 11.7       | 2.6                    | 27.1                       | 8.7        | 0.1        |
| Aguijan     | 405.6            | 23  | 2.9          | 10.7       | 1.2                    | 9.4                        | 2.6        | -          |
| Rota        | 1,331.4          | 52  | 16.9         | 11.9       | 2.2                    | 56.6                       | 5.6        | 0.3        |

|  | TOTAL | 11,806.1 474 | 102.1 | 508.8 | 30.5 | 405.3 | 140.4 | 2.3 |
|--|-------|--------------|-------|-------|------|-------|-------|-----|
|--|-------|--------------|-------|-------|------|-------|-------|-----|

Note (1): No *Bolbometopon muricatum* were observed during these surveys in CNMI (2) *Cheilinus undulatus* were recorded at Tinian (0.7 t)

#### 2.1.5 Guam Reef Fish Biomass

**Description:** 'Reef fish biomass' is mean biomass of reef fishes per unit area derived from visual survey data (details of survey program below) between 2009 and 2015.

#### **Category:**

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

#### Timeframe: Triennial

#### Jurisdiction:

- □ American Samoa
- ✓ Guam
- □ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

#### Scale:

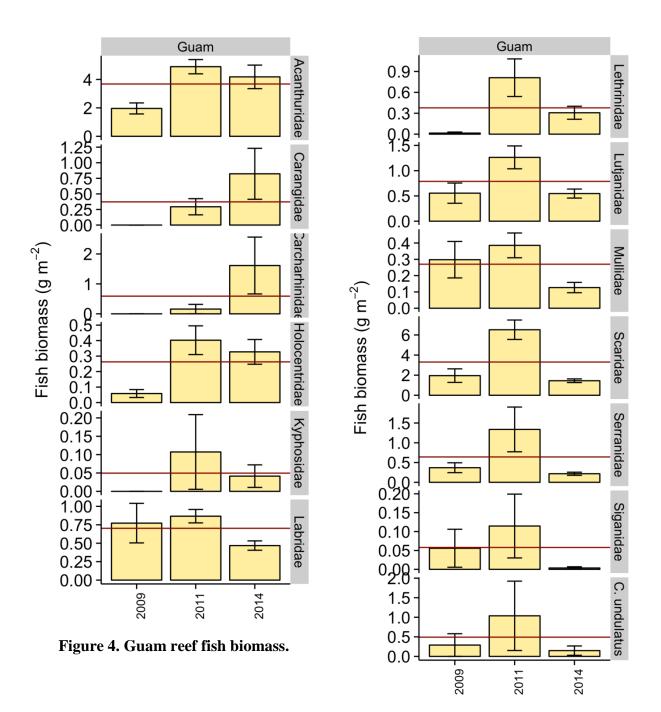
- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate biomass estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (<u>http://www.pifsc.noaa.gov/cred/pacific\_ramp.php</u>). Survey methods are described in detail elsewhere

(http://www.pifsc.noaa.gov/library/pubs/admin/PIFSC\_Admin\_Rep\_15-07.pdf), but in brief involve teams of divers conducting stationary point count cylinder (SPC) surveys within a target domain of <30m hard-bottom habitat at each island, stratified by depth zone and, for larger islands, by section of coastline. For consistency among islands, only data from forereef habitats is used here. At each SPC, divers record the number, size and species of all fishes within or passing through paired 15m-diameter cylinders in the course of a standard count procedure. Fish sizes and abundance are converted to biomass using standard length-to-weight conversion parameters, taken largely from FishBase (http://www.fishbase.org), and converted to biomass per

unit area, by dividing by the area sampled per survey. Site-level data were pooled into islandscale values by first calculating mean and variance within strata, and then calculating weighted island-scale mean and variance using the formulas given in (Smith et al., 2011), with strata weighted by their respective sizes.

**Rationale:** Reef Fish biomass, i.e. the weight of fish per unit area has been widely used as an indicator of relative status, and has repeatedly been shown to be changes in fishing pressure, habitat quality, and oceanographic regime.



#### 2.1.6 Guam Archipelagic Mean Size

**Description:** 'Mean fish size' is mean size of reef fishes > 10 cm TL (i.e. excluding small fishes) derived from visual survey data (details of survey program below) between 2009 and 2015.

#### **Category:**

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

### Timeframe: Triennial

#### Jurisdiction:

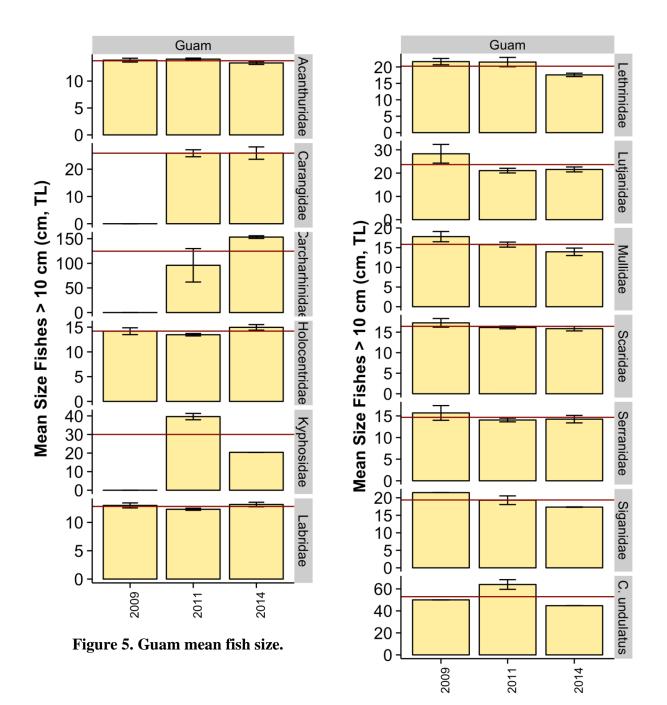
- □ Regional
- □ American Samoa
- ✓ Guam
- □ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

#### Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate mean size estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (<u>http://www.pifsc.noaa.gov/cred/pacific\_ramp.php</u>). Survey methods are described in detail elsewhere

(http://www.pifsc.noaa.gov/library/pubs/admin/PIFSC\_Admin\_Rep\_15-07.pdf), but in brief involve teams of divers conducting stationary point count cylinder (SPC) surveys within a target domain of <30m hard-bottom habitat at each island, stratified by depth zone and, for larger islands, by section of coastline. For consistency among islands, only data from forereef habitats is used here. At each SPC, divers record the number, size (total length, TL) and species of all fishes within or passing through paired 15m-diameter cylinders in the course of a standard count procedure. Fishes smaller than 10 cm TL are excluded so that the fish assemblage measured more closely reflects fishes that are potentially fished, and so that mean sizes are not overly influenced by variability in space and time of recent recruitment. Site-level data were pooled into island-scale values by first calculating mean and variance within strata, and then calculating weighted island-scale mean and variance using the formulas given in (Smith et al., 2011), with strata weighted by their respective sizes. **Rationale:** Mean size is important as\mean size is widely used as an indicator of fishing pressure – not only do fishers sometimes preferentially target large individuals, but also because one effect of fishing is to reduce the number of fishes reaching older (and larger) size classes. Large fishes also contribute disproportionately to community fecundity and can have important ecological roles – for example, excavating bites by large parrotfishes probably have a longer lasting impact on reef benthos than bites by smaller fishes.



## 2.1.7 Guam Reef Fish Population Estimates

**Description:** Reef fish population estimates are made by multiplying mean biomass per unit area by estimated area of hardbottom in a consistent habitat across all islands (specifically, the area of hardbottom forereef habitat in < 30m water).

### Category:

- ✓ Fishery independent
- □ Fishery dependent
- □ Biological

# Timeframe: Triennial

### Jurisdiction:

- □ Regional
- □ American Samoa
- ✓ Guam
- Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

## Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Data used to generate mean size estimates comes from visual surveys conducted by NOAA PIFSC Coral Reef Ecosystem and partners, as part of the Pacific Reef Assessment and Monitoring Program (http://www.pifsc.noaa.gov/cred/pacific\_ramp.php). Survey methods and sampling design, and methods to generate reef fish biomass are described above (SECTION: REEF FISH BIOMASS). Those estimates are converted to population estimates by multiplying biomass (g/m2) per island by the estimated area of hardbottom habitat <30m deep at the island, which is the survey domain for the monitoring program that biomass data comes from. Estimated habitat areas per island are derived from GIS bathymetry and habitat maps maintained by NOAA Coral Reef Ecosystems Program. It is important to recognize that many reef fishes taxa are present in other habitats and in deeper water than is surveyed by that program, and even that some taxa likely have the majority of their populations in deeper water. Additionally, fish counts have the potential to be biased by the nature of fish responses to divers: curious fishes, particularly in locations where divers are not perceived as a threat, will tend to be overcounted by visual survey, and skittish fishes will tend to be undercounted. Likely numbers of jacks and

sharks in some locations (particularly the NWHI) are overcounted by visual survey. Nevertheless, in spite of these issues, the data shown here are consistently gathered across space and time.

**<u>Rationale</u>**: These data have utility in understanding the size of populations from which fishery harvests are extracted.

Table 50. Reef fish population estimates for Guam. Fish species are pooled by CREMUS groupings. Estimated population biomass is for 0-30 m hardbottom habitat only. (n) is number of sites surveyed per island. Each site is surveyed by means of two to four 7.5 m diameter SPCs. However, those are not considered to be independent samples, so data from those is pooled to site level before other analysis.

|        | Total Area   |     | ESTIMATED    | POPULATION | N BIOMASS (me<br><30m HARDE | etric Tonnes) in S<br>BOTTOM | SURVEY DON | AAIN OF   |
|--------|--------------|-----|--------------|------------|-----------------------------|------------------------------|------------|-----------|
| ISLAND | of reef (Ha) | Ν   | Acanthuridae | Carangidae | Carcharhinids               | Holocentridae                | Kyphosidae | Labridae  |
| Guam   | 7,295.7      | 238 | 331.1        | 40.7       | 64.6                        | 26.6                         | 5.4        | 48.7      |
|        |              |     | Lethrinidae  | Lutjanidae | Mullidae                    | Scaridae                     | Serranidae | Siganidae |
|        |              |     | 40.8         | 66.0       | 18.7                        | 290.6                        | 56.7       | 4.3       |

Note (1): No *Bolbometopon muricatum* were observed during these surveys in Guam (2) *Cheilinus undulatus* were recorded at Guam (43.2 t)

# 2.2 LIFE HISTORY AND LENGTH DERIVED PARAMETERS

The SAFE Report will serve as the repository of available life history information for the Western Pacific region. Life history data - particularly age and growth information - inform the stock assessment on fish productivity and population dynamics. Some assessments - particularly for data-poor stocks like coral reefs - utilize information from other areas that introduce errors and uncertainties in the population estimates. An archipelago-specific life history parameter ensures accuracy in the input parameters used in the assessment. The NMFS BioSampling Program allows for significant collection of life history samples like otoliths and gonads from priority species in the bottomfish and coral reef fisheries. These life history samples, once processed and data extracted, will contribute to the body of scientific information for the two data-poor fisheries in the region. The life history information available from the region will be monitored by the Fishery Ecosystem Plan Team and will be tracked through this section of the report.

This section will be divided into two fisheries: 1) coral reef; and 2) bottomfish. Within each fishery, the available life history information will be described under the age, growth, and reproductive maturity section. The section labelled fish length-derived parameters summarizes available information derived from sampling the fish catch or the market. Monitoring length information provides insight on the state of the fish stock where the change in length can be used as an indicator of population level mortality. Length-weight conversion coefficients provide area-specific values to convert length from fishery dependent and fishery independent data collection to weight or biomass.

## 2.2.1 CNMI Coral Reef Ecosystem – Reef Fish Life History

## 2.2.1.1 Age & Growth and Reproductive Maturity

**Description:** Age determination is based on counts of yearly growth marks (annuli) and/or daily growth increments (DGIs) internally visible within transversely-cut, thin sections of sagittal otoliths. Validated age determination, particularly for long-lived ( $\geq$ 30 years) fish, is based on an environmental signal (bomb radiocarbon <sup>14</sup>C) produced during previous atmospheric thermonuclear testing in the Pacific and incorporated into the core regions of sagittal otolith and other aragonite-based calcified structures such as hermatypic corals. This technique relies on developing a regionally-based aged coral core reference series for which the rise, peak, and decline of <sup>14</sup>C values is available over the known age series of the coral core. Estimates of fish age are determined by projecting the <sup>14</sup>C otolith core values back in time from its capture date to where it intersects with the known age  $^{14}$ C coral reference series. This technique provides age estimates independent of age estimates based on visual counts of annuli or DGIs. The relation between age and fish length is evaluated by fitting this data to a von Bertalanffy growth function based on statistical analyses. The resulting von Bertalanffy growth function predicts the pattern of growth over time for that particular species. This function typically uses three coefficients  $(L_{\infty}, k, and t_0)$  which together characterize the shape of the length-at-age growth relationship. The <sup>14</sup>C derived ages typically provide more accurate estimates of older ages ( $\geq$ 30 years) and hence more realistic values of  $T_{max}$  compared to annuli or DGI-based counts of otolith sections.

Length at reproductive maturity is based on the histological analyses of small tissue samples of gonad material that are typically collected along with otoliths when a fish is processed for life history studies. The gonad tissue sample is preserved then subsequently cut into five micron sections, stained, and sealed onto a glass slide for subsequent examination. Based on standard cell structure features and developmental stages within ovaries and testes, the gender,

developmental stage, and maturity status (immature or mature) is determined via microscopic evaluation. The percent of mature samples for a given length interval are assembled for each sex and these data are fitted to a three- or four-parameter logistic function to determine the best fit of these data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish have achieved reproductive maturity ( $L_{50}$ ). For species that undergo sex reversal (primarily female to male in the tropical Pacific region) - such as groupers and deeper-water emperors among the bottomfishes, and for parrotfish, shallow-water emperors, and wrasses among the coral reef fishes - standard histological criteria are used to determine gender and reproductive developmental stages that indicate the transitioning or completed transition from one sex to another. These data are similarly analyzed using a three or fourparameter logistic function to determine the best fit of the data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish of a particular species have or are undergoing sex reversal ( $L\Delta_{50}$ ).

Age at 50% maturity ( $A_{50}$ ) and 50% sex reversal ( $A\Delta_{50}$ ) is typically derived by referencing the von Bertalanffy growth function for that species and using the corresponding  $L_{50}$  and  $L\Delta_{50}$  values to obtain the corresponding age value from this growth function. In studies where both age & growth and reproductive maturity are concurrently determined, estimates of  $A_{50}$  and  $A\Delta_{50}$  are derived directly by fitting the percent of mature samples for each age (one-year) interval to a three- or four-parameter logistic function using statistical analyses. The mid-point of this fitted logistic function provides a direct estimate of the age at which 50% of fish of a particular species have achieved reproductive maturity ( $A_{50}$ ) and sex reversal ( $A\Delta_{50}$ ).

## **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

## Timeframe: N/A

#### Jurisdiction:

- □ American Samoa
- 🗆 Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

## **Spatial Scale:**

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Sources of data are directly derived from market samples collected by the CNMI contracted bio-sampling team which samples the catch of fishermen and local fish vendors. Laboratory analyses and data generated from these analyses reside with the PIFSC Life History Program. Refer to the "Reference" column in Table 49 for specific details on data sources by species.

## Parameter definitions:

 $T_{max}$  (maximum age) – The maximum observed age revealed from an otolith-based age determination study.  $T_{max}$  values can be derived from ages determined by annuli counts of sagittal otolith sections and/or bomb radiocarbon (<sup>14</sup>C) analysis of otolith core material.

 $L_{\infty}$  (asymptotic length) – One of three coefficients of the von Bertalanffy growth function (VBGF) that measures the mean maximum length at which the growth curve plateaus and no longer increases in length with increasing age. This coefficient reflects the mean maximum length and not the observed maximum length.

*k* (growth coefficient) – One of three coefficients of the VBGF that measures the shape and steepness by which the initial portion of the growth function approaches its mean maximum length ( $L_{\infty}$ ).

 $t_0$  (hypothetical age at length zero) – One of three coefficients of the VBGF whose measure is highly influenced by the other two VBGF coefficients (k and  $L_{\infty}$ ) and typically assumes a negative value when specimens representing early growth phases (0+ to 1+ ages) are not available for age determination.

M (natural mortality) – This is a measure of mortality rate for a fish stock not under the influence of fishing pressure and is considered to be directly related to stock productivity (i.e., high M indicates high productivity and low M indicates low stock productivity). M can be derived through use of various equations that link M to  $T_{max}$  and k, or in some instances, by calculating the value of the slope from a regression fit to a declining catch curve (regression of the natural logarithm of abundance versus age class) derived from fishing an unfished or lightly fished population.

 $A_{50}$  (age at 50% maturity) – Age at which 50% of the sampled stock under study has attained reproductive maturity. This parameter is best determined based on studies that concurrently determine both age (otolith-based age data) and reproductive maturity status (logistic function fitted to percent mature by age class with maturity determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A_{50}$  is to use an existing  $L_{50}$ estimate to find the corresponding age ( $A_{50}$ ) from an existing VBGF curve.

 $A\Delta_{50}$  (age of sex switching) – Age at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal. This parameter is best determined based on studies that concurrently determines both age (otolith-based age data) and reproductive sex reversal status (logistic function fitted to percent sex reversal by age class with sex reversal determined via microscopic analyses of gonad histology preparations). A more

approximate means of estimating  $A\Delta_{50}$  is to use an existing  $L\Delta_{50}$  estimate to find the corresponding age ( $A\Delta_{50}$ ) from the VBGF curve.

 $L_{50}$  (length at which 50% of a fish species are capable of spawning) – Length (usually in terms of fork length) at which 50% of the females of a sampled stock under study has attained reproductive maturity; this is the length associated with  $A_{50}$  estimates. This parameter is derived using a logistic function to fit the percent mature data by length class with maturity status best determined via microscopic analyses of gonad histology preparations).  $L_{50}$  information is typically more available than  $A_{50}$  since  $L_{50}$  estimates do not require knowledge of age & growth.

 $L\Delta_{50}$  (length of sex switching) – Length (usually in terms of fork length) at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal; this is the length associated with  $A\Delta_{50}$  estimates. This parameter is derived using a logistic function to fit the percent sex reversal data by length class with sex reversal status best determined via microscopic analyses of gonad histology preparations).  $L\Delta_{50}$  information is typically more available than  $A\Delta_{50}$  since  $L\Delta_{50}$  estimates do not require knowledge of age & growth.

**Rationale:** These nine life-history parameters provide basic biological information at the species level to evaluate the productivity of a stock - an indication of the capacity of a stock to recover once it has been depleted. Currently, the assessment of coral reef fish resources in American Samoa is data-limited. Knowledge of these life-history parameters support current efforts to characterize the resilience of these resources and also provide important biological inputs for future stock assessment efforts and enhance our understanding of the specieslikely role and status as a component of the overall ecosystem. Furthermore, knowledge of life histories across species at the taxonomic level of families or among different species that are ecologically or functionally similar can provide important information on the diversity of life histories and the extent to which species can be grouped (based on similar life histories) for future multi-species assessments.

Table 51. Available age, growth, and reproductive maturity information for coral reef species targeted for life history sampling (otoliths and gonads) in CNMI. Parameter estimates are for females unless otherwise noted (F=females, M=males). Parameters  $T_{max}$ ,  $t_0$ ,  $A_{50}$ , and  $A\Delta_{50}$  are in units of years;  $L_{\infty}$ ,  $L_{50}$ , and  $L\Delta_{50}$  are in units of mm fork length (FL); k in units of year<sup>-1</sup>; X=parameter estimate too preliminary or Y=published age and growth parameter estimates based on DGI numerical integration technique and likely to be inaccurate; NA=not applicable. Superscript letters indicate status of parameter estimate (see footnotes below table). Published or in press publications (<sup>d</sup>) are denoted in "Reference" column.

| Species   | A         | Age, growth, and reproductive maturity parameters |   |       |   |          |                |                  |                |           |  |
|-----------|-----------|---|---|-------|---|----------|----------------|------------------|----------------|-----------|--|
| Species   | $T_{max}$ | $L_{\infty}$                                      | k | $t_0$ | М | $A_{50}$ | $A\Delta_{50}$ | $L_{50}$         | $L\Delta_{50}$ | Reference |  |
| Calotomus |           |   |   |       |   |          |                |                  |                |           |  |
| carolinus |           |   |   |       |   |          |                |                  |                |           |  |
| Chlorurus |           |   |   |       |   |          |                |                  |                |           |  |
| spilurus  |           |   |   |       |   |          |                |                  |                |           |  |
| Lethrinus |           |   |   |       |   |          |                | 213 <sup>b</sup> | X <sup>a</sup> |           |  |

| atkinsoni         |                 |                   |                  |                   |                   |                  |         |                  |         |                             |
|-------------------|-----------------|-------------------|------------------|-------------------|-------------------|------------------|---------|------------------|---------|-----------------------------|
| Lethrinus         |                 |                   |                  |                   |                   | 3.8 (f),         |         | 22.9 (f),        |         |                             |
| obsoletus         | 1 od            | or id             | o d              | 3.0               | o aad             | 2.8              | * * 9   | 19.9             | ***     | <sup>d</sup> Taylor et. al. |
|                   | 13 <sup>d</sup> | 25.1 <sup>d</sup> | 0.6 <sup>d</sup> | $(L_0)^d$         | 0.32 <sup>d</sup> | $(m)^d$          | $X^{a}$ | $(m)^d$          | $X^{a}$ | (2016)                      |
| Mulloidichthys    |                 |                   |                  |                   |                   |                  |         |                  |         |                             |
| flavolineatus     |                 |                   |                  |                   |                   |                  |         |                  |         |                             |
| Naso unicornis    |                 |                   |                  |                   |                   |                  | NA      | 238 <sup>b</sup> | NA      |                             |
| Parupeneus        |                 |                   |                  |                   |                   |                  |         |                  |         |                             |
| barberinus        |                 |                   |                  |                   |                   |                  | NA      |                  | NA      |                             |
| Sargocentron      |                 |                   |                  |                   |                   |                  |         |                  |         |                             |
| tiere             |                 |                   |                  |                   |                   |                  | NA      |                  | NA      |                             |
| Siganus argenteus |                 |                   |                  |                   |                   |                  |         |                  |         | <sup>d</sup> Taylor et. al. |
|                   | 7 <sup>d</sup>  | 274 <sup>d</sup>  | 0.9 <sup>d</sup> | -0.3 <sup>d</sup> | $0.56^{d}$        | 1.3 <sup>d</sup> | NA      | 218 <sup>d</sup> | NA      | (2016)                      |

<sup>a</sup> signifies estimate pending further evaluation in an initiated and ongoing study

<sup>b</sup> signifies a preliminary estimate taken from ongoing analyses

<sup>c</sup> signifies an estimate documented in an unpublished report or draft manuscript

<sup>d</sup> signifies an estimate documented in a finalized report or published journal article (including in press)

### 2.2.1.2 Fish Length Derived Parameters

**Description:** The NMFS Commercial Fishery BioSampling Program started in 2009. This program has two components: First is the Field/Market Sampling Program and the second is the Life History Program, details of which are described in a separate section of this report. The goals of the Field/Market Sampling Program are:

- Broad scale look at commercial landings (by fisher/trip, gear & area fished)
- Length and weight frequencies of whole commercial landings per fisher-trip (with an effort to also sample landings not sold commercially)
- Accurate species identification
- Develop accurate local length-weight curves

In CNMI, the BioSampling is focused on the commercial coral reef spear fishery with occasional sampling of the bottomfish fishery occurring locally and less frequently at the northern islands. Sampling is conducted in partnership with the fish vendors. The Market Sampling information includes (but not limited to): 1) fish length; 2) fish weight; 3) species identification; and 4) basic effort information. Specific for CNMI, the program collects Daily Vendor Logs for reef fish that includes basic catch and effort information.

#### **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

#### Timeframe: N/A

#### Jurisdiction:

- American Samoa
- Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

### **Spatial Scale:**

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

Data Source: NMFS BioSampling Program

#### Parameter definitions:

 $L_{max}$  – maximum fish length is the longest fish per species recorded in the BioSampling Program from the commercial spear fishery. This value is derived from measuring the fork length of individual samples for species occurring in the spear fishery.

 $L_{bar}$  – *mean length* is the average value of all lengths recorded from the commercial spear fishery. This can be influenced by gear selectivity since the commercial spear fishery has a typical size target based on customer demand. This can also be influenced by size regulations.

 $n - sample \ size$  is the total number of samples accumulated for each species recorded in the commercial spear fishery.

 $N_{L-W}$  – sample size for L-W regression is the number of samples used to generate the a & b coefficients.

a & b - length-weight coefficients are the coefficients derived from the regression line fitted to all length- and weight-measured per species in the commercial spear fishery. These values are used to convert length information to weight. Values are influenced by the life history characteristics of the species, geographic location, population status, and nature of the fisheries from which the species are harvested.

**Rationale:** Length-derived information is being used as an indicator of population status particularly for data poor stocks like coral reef fish. Average length ( $L_{bar}$ ) was used as a principal stock assessment indicator variable for exploited reef fish population (Nadon et al 2015). Average length was also shown to be correlated with population size (Kerr and Dickle 2001). Maximum length ( $L_{max}$ ), typically coupled with maximum age, is typically used as a proxy for fish longevity which has implications on the productivity and susceptibility of a species to fishing pressure. The length-weight coefficients (a & b values) are used to convert length to

weight for fishery-dependent and fishery-independent data collection where length are typically recorded but weight is the factor being used for management. This section of the report presents the best available information for the length-derived variables for the CNMI coral reef and bottomfish fisheries.

| Species                      | Lengt | h derive | ed paran | neters |        |        | Reference |
|------------------------------|-------|----------|----------|--------|--------|--------|-----------|
|                              | Lmax  | Lbar     | N        | L-W    | a      | b      |           |
| Naso lituratus               | 30.1  | 20.26    | 17,478   | 3,813  | 0.0167 | 3.1022 |           |
| Acanthurus lineatus          | 23.5  | 18.33    | 15,772   | 4,901  | 0.0383 | 2.8718 |           |
| Siganus argenteus            | 34.1  | 20.82    | 11,867   | 3,662  | 0.0133 | 3.1007 |           |
| Mulloidichthys flavolineatus | 31.4  | 18.08    | 9,596    | 2,357  | 0.0137 | 3.0547 |           |
| Naso unicornis               | 53.6  | 29.62    | 8,323    | 4,349  | 0.0266 | 2.9115 |           |
| Siganus spinus               | 25.6  | 16.64    | 7,685    | 1,078  | 0.0118 | 3.1459 |           |
| Parupeneus barberinus        | 37.3  | 21.73    | 7,597    | 2,706  | 0.0175 | 3.0119 |           |
| Selar crumenophthalmus       | 26.5  | 19.08    | 4922     | 2654   | 0.0051 | 3.3958 |           |
| Scarus ghobban               | 38.1  | 24.07    | 4,964    | 1,502  | 0.0124 | 3.1271 |           |
| Lethrinus atkinsoni          | 35.1  | 21.06    | 4,306    | 2,095  | 0.0163 | 3.0971 |           |
| Lethrinus obsoletus          | 29.0  | 21.10    | 3,673    | 1,472  | 0.0171 | 3.0313 |           |
| Mulloidichthys vanicolensis  | 28.0  | 18.94    | 3233     | 701    | 0.0103 | 3.1948 |           |
| Scarus rubroviolaceus        | 52.6  | 34.49    | 3141     | 1,791  | 0.0087 | 3.2447 |           |
| Chlorurus sordidus           | 30.8  | 22.33    | 3346     | 956    | 0.0173 | 3.0795 |           |
| Siganus punctatus            | 34.8  | 20.82    | 2798     | 833    | 0.0129 | 3.1911 |           |
| Sargocentron spiniferum      | 34.6  | 20.31    | 2589     | 684    | 0.0245 | 2.9780 |           |
| Myripristis murdjan          | 22.3  | 16.84    | 2488     | 823    | 0.1699 | 2.3426 |           |
| Scarus psittacus             | 28.9  | 21.24    | 2466     | 771    | 0.0212 | 2.9928 |           |
| Acanthurus nigricauda        | 26.3  | 20.07    | 2354     | 799    | 0.0217 | 3.0583 |           |
| Cheilinus trilobatus         | 35.2  | 24.06    | 2223     | 1,196  | 0.0470 | 2.7156 |           |
| Hipposcarus longiceps        | 52.0  | 29.10    | 2194     | 615    | 0.0149 | 3.0624 |           |
| Panulirus penicillatus       | 17.0  | 9.05     | 2043     | 1,119  | 1.4849 | 2.6925 |           |
| Leptoscarus vaigiensis       | 35.2  | 26.31    | 1982     | 807    | 0.0234 | 2.8648 |           |
| Calotomus carolinus          | 31.0  | 24.21    | 1734     | 662    | 0.0156 | 3.1012 |           |
| Myripristis violacea         | 20.6  | 15.54    | 1796     | 514    | 0.1361 | 2.4356 |           |

| Table 52. Available length-derived | information for various | coral reef species in CNMI. |
|------------------------------------|-------------------------|-----------------------------|
| Tuble 221 II anabie length dell'ed | mior manon for various  |                             |

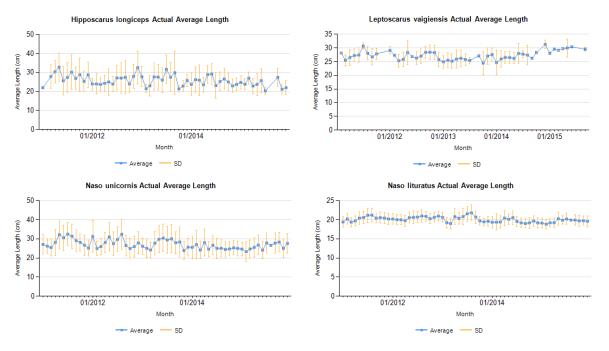
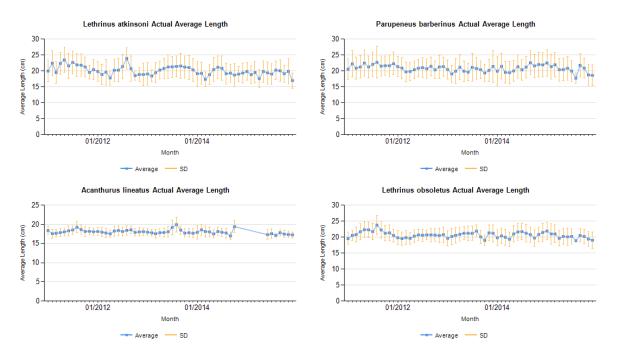
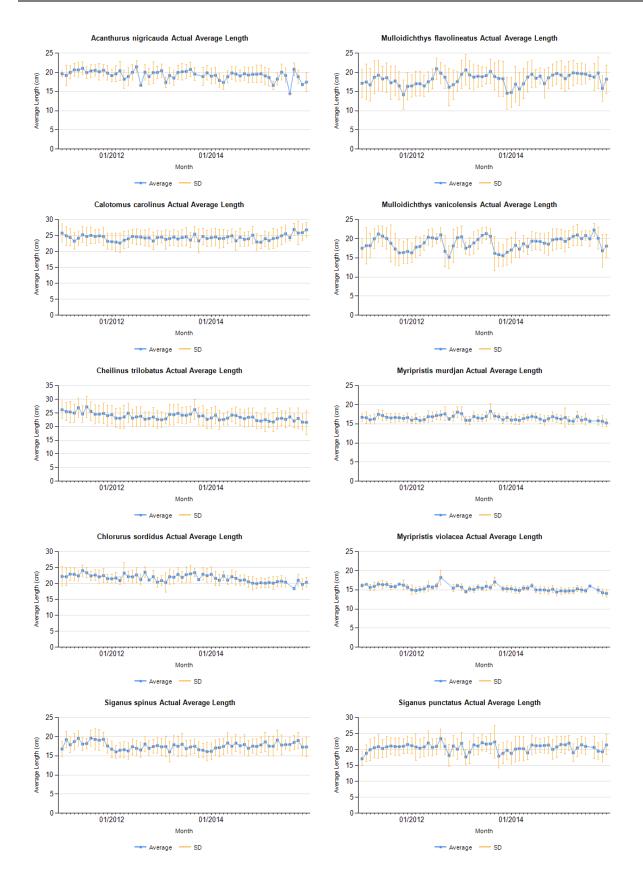
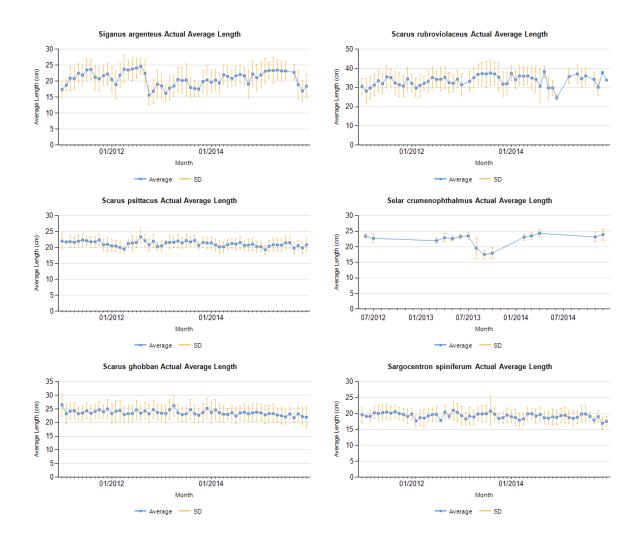


Figure 6. Average length over time of representative CNMI coral reef fish management unit species derived from the BioSampling Program. Continues to next two pages.







## 2.2.2 CNMI Bottomfish Ecosystem – Bottomfish Life History

# 2.2.2.1 Age & Growth and Reproductive Maturity

**Description:** Age determination is based on counts of yearly growth marks (annuli) and/or daily growth increments (DGIs) internally visible within transversely-cut, thin sections of sagittal otoliths. Validated age determination, particularly for long-lived ( $\geq$ 30 years) fish, is based on an environmental signal (bomb radiocarbon <sup>14</sup>C) produced during previous atmospheric thermonuclear testing in the Pacific and incorporated into the core regions of sagittal otolith and other aragonite-based calcified structures such as hermatypic corals. This technique relies on developing a regionally-based aged coral core reference series for which the rise, peak, and decline of <sup>14</sup>C values is available over the known age series of the coral core. Estimates of fish age are determined by projecting the  ${}^{14}$ C otolith core values back in time from its capture date to where it intersects with the known age  ${}^{14}$ C coral reference series. This technique provides age estimates independent of age estimates based on visual counts of annuli or DGIs. The relation between age and fish length is evaluated by fitting this data to a von Bertalanffy growth function based on statistical analyses. The resulting von Bertalanffy growth function predicts the pattern of growth over time for that particular species. This function typically uses three coefficients  $(L_{\infty}, k, and t_0)$  which together characterize the shape of the length-at-age growth relationship. The <sup>14</sup>C derived ages typically provide more accurate estimates of older ages ( $\geq$ 30 years) and hence more realistic values of  $T_{max}$  compared to annuli or DGI-based counts of otolith sections.

Length at reproductive maturity is based on the histological analyses of small tissue samples of gonad material that are typically collected along with otoliths when a fish is processed for life history studies. The gonad tissue sample is preserved then subsequently cut into five-micron sections, stained, and sealed onto a glass slide for subsequent examination. Based on standard cell structure features and developmental stages within ovaries and testes, the gender, developmental stage, and maturity status (immature or mature) is determined via microscopic evaluation. The percent of mature samples for a given length interval are assembled for each sex and these data are fitted to a three- or four-parameter logistic function to determine the best fit of these data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish have achieved reproductive maturity  $(L_{50})$ . For species that undergo sex reversal (primarily female to male in the tropical Pacific region), such as groupers and deeper-water emperors among the bottomfishes, and for parrotfish, shallow-water emperors, and wrasses among the coral reef fishes, standard histological criteria are used to determine gender and reproductive developmental stages that indicate the transitioning or completed transition from one sex to another. These data are similarly analyzed using a 3- or 4-parameter logistic function to determine the best fit of the data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish of a particular species have or are undergoing sex reversal ( $L\Delta_{50}$ ).

Age at 50% maturity ( $A_{50}$ ) and 50% sex reversal ( $A\Delta_{50}$ ) is typically derived by referencing the von Bertalanffy growth function for that species and using the corresponding  $L_{50}$  and  $L\Delta_{50}$  values to obtain the corresponding age value from this growth function. In studies where both age & growth and reproductive maturity are concurrently determined, estimates of  $A_{50}$  and  $A\Delta_{50}$  are derived directly by fitting the percent of mature samples for each age (one-year) interval to a three- or four-parameter logistic function using statistical analyses. The mid-point of this fitted logistic function provides a direct estimate of the age at which 50% of fish of a particular species have achieved reproductive maturity ( $A_{50}$ ) and sex reversal ( $A\Delta_{50}$ ).

### **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

# Timeframe: N/A

## Jurisdiction:

- American Samoa
- Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

# Spatial Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Sources of data are directly derived from field samples collected at sea on NOAA research vessels and from the CNMI contracted bio-sampling team which samples the catch of fishermen and local fish vendors. Laboratory analyses and data generated from these analyses reside with the PIFSC Life History Program. Refer to the "Reference" column in Table 51 for specific details on data sources by species.

## Parameter definitions:

 $\overline{T_{max}}$  (maximum age) – The maximum observed age revealed from an otolith-based age determination study.  $T_{max}$  values can be derived from ages determined by annuli counts of sagittal otolith sections and/or bomb radiocarbon (<sup>14</sup>C) analysis of otolith core material.

 $L_{\infty}$  (asymptotic length) – One of three coefficients of the von Bertalanffy growth function (VBGF) that measures the mean maximum length at which the growth curve plateaus and no longer increases in length with increasing age. This coefficient reflects the mean maximum length and not the observed maximum length.

*k* (growth coefficient) – One of three coefficients of the VBGF that measures the shape and steepness by which the initial portion of the growth function approaches its mean maximum length ( $L_{\infty}$ ).

 $t_0$  (hypothetical age at length zero) – One of three coefficients of the VBGF whose measure is highly influenced by the other two VBGF coefficients (*k* and  $L_{\infty}$ ) and typically assumes a

negative value when specimens representing early growth phases (0+ to 1+ ages) are not available for age determination.

M (natural mortality) – This is a measure of mortality rate for a fish stock not under the influence of fishing pressure and is considered to be directly related to stock productivity (i.e., high M indicates high productivity and low M indicates low stock productivity). M can be derived through use of various equations that link M to  $T_{max}$  and k, or in some instances, by calculating the value of the slope from a regression fit to a declining catch curve (regression of the natural logarithm of abundance versus age class) derived from fishing an unfished or lightly fished population.

 $A_{50}$  (age at 50% maturity) – Age at which 50% of the sampled stock under study has attained reproductive maturity. This parameter is best determined based on studies that concurrently determine both age (otolith-based age data) and reproductive maturity status (logistic function fitted to percent mature by age class with maturity determined via microscopic analyses of gonad histology preparations. A more approximate means of estimating  $A_{50}$  is to use an existing  $L_{50}$ estimate to find the corresponding age ( $A_{50}$ ) from an existing VBGF curve.

 $A\Delta_{50}$  (age of sex switching) – Age at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal. This parameter is best determined based on studies that concurrently determines both age (otolith-based age data) and reproductive sex reversal status (logistic function fitted to percent sex reversal by age class with sex reversal determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A\Delta_{50}$  is to use an existing  $L\Delta_{50}$  estimate to find the corresponding age ( $A\Delta_{50}$ ) from the VBGF curve.

 $L_{50}$  (length at which 50% of a fish species are capable of spawning) – Length (usually in terms of fork length) at which 50% of the females of a sampled stock under study has attained reproductive maturity; this is the length associated with  $A_{50}$  estimates. This parameter is derived using a logistic function to fit the percent mature data by length class with maturity status best determined via microscopic analyses of gonad histology preparations.  $L_{50}$  information is typically more available than  $A_{50}$  since  $L_{50}$  estimates do not require knowledge of age & growth.

 $L\Delta_{50}$  (length of sex switching) – Length (usually in terms of fork length) at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal; this is the length associated with  $A\Delta_{50}$  estimates. This parameter is derived using a logistic function to fit the percent sex reversal data by length class with sex reversal status best determined via microscopic analyses of gonad histology preparations.  $L\Delta_{50}$  information is typically more available than  $A\Delta_{50}$  since  $L\Delta_{50}$  estimates do not require knowledge of age & growth.

**Rationale:** These nine life history parameters provide basic biological information at the species level to evaluate the productivity of a stock - an indication of the capacity of a stock to recover once it has been depleted. Currently, the assessment of coral reef fish resources in American Samoa is data-limited. Knowledge of these life history parameters support current efforts to characterize the resilience of these resources and also provide important biological inputs for future stock assessment efforts and enhance our understanding of the species likely role and

status as a component of the overall ecosystem. Furthermore, knowledge of life histories across species at the taxonomic level of families or among different species that are ecologically or functionally similar can provide important information on the diversity of life histories and the extent to which species can be grouped (based on similar life histories) for future multi-species assessments.

Table 53. Available age, growth, and reproductive maturity information for bottomfish species targeted for life history sampling (otoliths and gonads) in CNMI. Parameter estimates are for females unless otherwise noted (F=females, M=males). Parameters  $T_{max}$ ,  $t_0$ ,  $A_{50}$ , and  $A\Delta_{50}$  are in units of years;  $L_{\infty}$ ,  $L_{50}$ , and  $L\Delta_{50}$  are in units of mm fork length (FL); k in units of year<sup>-1</sup>; X=parameter estimate too preliminary or Y=published age and growth parameter estimates based on DGI numerical integration technique and likely to be inaccurate; NA=not applicable. Superscript letters indicate status of parameter estimate (see footnotes below table). Published or in press publications (<sup>d</sup>) are denoted in "Reference" column.

| Species               | Age,             | growth       | r, and | repro | oducti | ive ma   | iturity p      | oaram    | eters          | Reference       |  |
|-----------------------|------------------|--------------|--------|-------|--------|----------|----------------|----------|----------------|-----------------|--|
| Species               | T <sub>max</sub> | $L_{\infty}$ | k      | $t_0$ | M      | $A_{50}$ | $A\Delta_{50}$ | $L_{50}$ | $L\Delta_{50}$ | Kelerence       |  |
| Aphareus rutilans     |                  |              |        |       |        |          |                |          |                | Y-Ralston &     |  |
|                       | Y                | Y            | Y      | Y     |        |          | NA             |          | NA             | Williams (1988) |  |
| Aprion virescens      |                  |              |        |       |        |          | NA             |          | NA             |                 |  |
| Etelis carbunculus    |                  |              |        |       |        |          | NA             |          | NA             |                 |  |
| Etelis coruscans      |                  |              |        |       |        |          |                |          |                | Y-Ralston &     |  |
|                       | Y                | Y            | Y      | Y     |        |          | NA             |          | NA             | Williams (1988) |  |
| Monotaxis grandoculis |                  |              |        |       |        |          |                |          |                |                 |  |
| Pristipomoides        |                  |              |        |       |        |          |                |          |                | Y-Ralston &     |  |
| auricilla             | Y                | Y            | Y      | Y     |        |          | NA             |          | NA             | Williams (1988) |  |
| Pristipomoides        |                  |              |        |       |        |          |                |          |                |                 |  |
| filamentosus          |                  |              |        |       |        |          | NA             |          | NA             |                 |  |
| Pristipomoides        |                  |              |        |       |        |          |                |          |                |                 |  |
| flavipinnis           |                  |              |        |       |        |          | NA             |          | NA             |                 |  |
| Pristipomoides        |                  |              |        |       |        |          |                |          |                | Y-Ralston &     |  |
| sieboldii             | Y                | Y            | Y      | Y     |        |          | NA             |          | NA             | Williams (1988) |  |
| Pristpomoides zonatus |                  |              |        |       |        |          |                |          |                | Y-Ralston &     |  |
|                       | Y                | Y            | Y      | Y     |        |          | NA             |          | NA             | Williams (1989) |  |
| Variola louti         |                  |              |        |       |        |          |                |          |                |                 |  |

<sup>a</sup> signifies estimate pending further evaluation in an initiated and ongoing study

<sup>b</sup> signifies a preliminary estimate taken from ongoing analyses

<sup>c</sup> signifies an estimate documented in an unpublished report or draft manuscript

<sup>d</sup> signifies an estimate documented in a finalized report or published journal article (including in press)

## 2.2.2.2 Fish Length Derived Parameters

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Life History Program, details of which are described in a separate section of this report. The goals of the Field/Market Sampling Program are:

- Broad scale look at commercial landings (by fisher/trip, gear & area fished)
- Length and weight frequencies of whole commercial landings per fisher-trip (with an effort to also sample landings not sold commercially)
- Accurate species identification
- Develop accurate local length-weight curves

In CNMI, the BioSampling is focused on the commercial coral reef spear fishery with occasional sampling of the bottomfish fishery occurring locally and less frequently at the northern islands. Sampling is conducted in partnership with the fish vendors. The Market Sampling information includes (but not limited to): 1) fish length; 2) fish weight; 3) species identification; and 4) basic effort information. Specific for CNMI, the program collects Daily Vendor Logs for bottomfish that includes basic catch and effort information.

### Category:

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

## Timeframe: N/A

#### Jurisdiction:

- □ American Samoa
- Guam
- ✓ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

## Spatial Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

## Data Source: NMFS BioSampling Program

#### Parameter definitions:

 $L_{max}$  – maximum fish length is the longest fish per species recorded in the BioSampling Program from the commercial bottomfish fishery. This value is derived from measuring the fork length of individual samples for species occurring in the spear fishery.  $L_{bar}$  – *mean length* is the average value of all lengths recorded from the commercial spear fishery. This can be influenced by gear selectivity since the commercial bottomfish fishery has a typical size target based on customer demand. This can also be influenced by size regulations.

 $n - sample \ size$  is the total number of samples accumulated for each species recorded in the commercial bottomfish fishery.

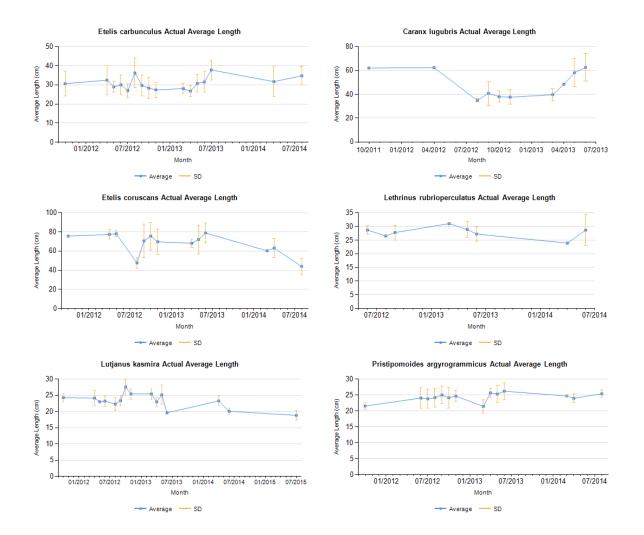
 $N_{L-W}$  – sample size for L-W regression is the number of samples used to generate the a & b coefficients.

a & b - length-weight coefficients are the coefficients derived from the regression line fitted to all length and weight measured per species in the commercial bottomfish fishery. These values are used to convert length information to weight. Values are influenced by the life history characteristics of the species, geographic location, population status, and nature of the fisheries from which the species are harvested from.

**Rationale:** Length-derived information is being used as an indicator of population status particularly for data poor stocks like coral reef fish. Average length ( $L_{bar}$ ) was used as a principal stock assessment indicator variable for exploited reef fish population (Nadon et al 2015). Average length was also shown to be correlated with population size (Kerr and Dickle 2001). Maximum length ( $L_{max}$ ), typically coupled with maximum age, is typically used as a proxy for fish longevity which has implications on the productivity and susceptibility of a species to fishing pressure. The length-weight coefficients (a & b values) are used to convert length to weight for fishery-dependent and fishery-independent data collection where length are typically recorded but weight is the factor being used for management. This section of the report presents the best available information for the length-derived variables for the bottomfish fisheries.

| Species                        | Lengt | h deriv | ed paran | neters |        |        | Reference |  |
|--------------------------------|-------|---------|----------|--------|--------|--------|-----------|--|
|                                | Lmax  | Lbar    | N        | L-W    | a      | b      |           |  |
| Lethrinus rubrioperculatus     | 38.0  | 28.01   | 1,353    | 1,021  | 0.0185 | 2.9897 |           |  |
| Etelis carbunculus             | 53.5  | 30.18   | 685      | 685    | 0.0150 | 3.0430 |           |  |
| Pristipomoides auricilla       | 39.5  | 28.59   | 465      | 465    | 0.0189 | 3.0060 |           |  |
| Pristipomoides zonatus         | 45.4  | 32.99   | 371      | 370    | 0.0180 | 3.0411 |           |  |
| Etelis coruscans               | 96.4  | 72.50   | 325      | 325    | 0.0716 | 2.6147 |           |  |
| Lutjanus kasmira               | 32.5  | 24.84   | 258      | 258    | 0.0087 | 3.2307 |           |  |
| Pristipomoides flavipinnis     | 51.5  | 37.05   | 168      | 168    | 0.0133 | 3.0762 |           |  |
| Pristipomoides argyrogrammicus | 31.6  | 24.44   | 150      | 150    | 0.0174 | 3.0464 |           |  |
| Pristipomoides filamentosus    | 58.5  | 39.97   | 123      | 123    | 0.0773 | 2.5914 |           |  |
| Caranx lugubris                | 82.5  | 46.07   | 122      | 122    | 0.0309 | 2.8768 |           |  |

Table 54. Available length-derived information for various bottomfish species in CNMI.



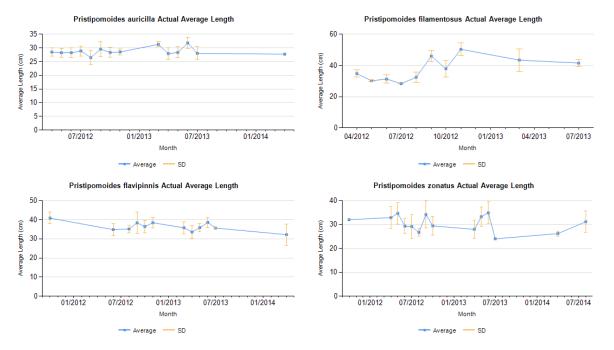


Figure 7. Average length over time of representative CNMI bottomfish management unit species derived from the BioSampling Program.

#### 2.2.2.3 References

- Nadon, Marc O., et al. "Length-based assessment of coral reef fish populations in the Main and northwestern Hawaiian islands." *PloS one* 10.8 (2015): e0133960.
- Kerr, Stephen R., and Lloyd Merlin Dickie. *The biomass spectrum: a predator-prey theory of aquatic production*. Columbia University Press, 2001.

# 2.2.3 Guam Coral Reef Ecosystem – Reef Fish Life History

# 2.2.3.1 Age & Growth and Reproductive Maturity

**Description:** Age determination is based on counts of yearly growth marks (annuli) and/or daily growth increments (DGIs) internally visible within transversely-cut thin sections of sagittal otoliths. Validated age determination, particularly for long-lived ( $\geq$ 30 years) fish, is based on an environmental signal (bomb radiocarbon <sup>14</sup>C) produced during previous atmospheric thermonuclear testing in the Pacific and incorporated into the core regions of sagittal otolith and other aragonite-based calcified structures such as hermatypic corals. This technique relies on developing a regionally-based aged coral core reference series for which the rise, peak, and decline of <sup>14</sup>C values is available over the known age series of the coral core. Estimates of fish age are determined by projecting the  ${}^{14}$ C otolith core values back in time from its capture date to where it intersects with the known age  ${}^{14}$ C coral reference series. This technique provides age estimates independent of age estimates based on visual counts of annuli or DGIs. The relation between age and fish length is evaluated by fitting this data to a von Bertalanffy growth function based on statistical analyses. The resulting von Bertalanffy growth function predicts the pattern of growth over time for that particular species. This function typically uses three coefficients  $(L_{\infty}, k, and t_0)$  which together characterize the shape of the length-at-age growth relationship. The <sup>14</sup>C derived ages typically provide more accurate estimates of older ages ( $\geq$ 30 years) and hence more realistic values of  $T_{max}$  compared to annuli or DGI-based counts of otolith sections.

Length at reproductive maturity is based on the histological analyses of small tissue samples of gonad material that are typically collected along with otoliths when a fish is processed for life history studies. The gonad tissue sample is preserved then subsequently cut into five-micron sections, stained, and sealed onto a glass slide for subsequent examination. Based on standard cell structure features and developmental stages within ovaries and testes, the gender, developmental stage, and maturity status (immature or mature) is determined via microscopic evaluation. The percent of mature samples for a given length interval are assembled for each sex and these data are fitted to a three- or four-parameter logistic function to determine the best fit of these data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish have achieved reproductive maturity  $(L_{50})$ . For species that undergo sex reversal (primarily female to male in the tropical Pacific region), such as groupers and deeper-water emperors among the bottomfishes, and for parrotfish, shallow-water emperors, and wrasses among the coral reef fishes, standard histological criteria are used to determine gender and reproductive developmental stages that indicate the transitioning or completed transition from one sex to another. These data are similarly analyzed using a 3- or 4-parameter logistic function to determine the best fit of the data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish of a particular species have or are undergoing sex reversal ( $L\Delta_{50}$ ).

Age at 50% maturity ( $A_{50}$ ) and 50% sex reversal ( $A\Delta_{50}$ ) is typically derived by referencing the von Bertalanffy growth function for that species and using the corresponding  $L_{50}$  and  $L\Delta_{50}$  values to obtain the corresponding age value from this growth function. In studies where both age & growth and reproductive maturity are concurrently determined, estimates of  $A_{50}$  and  $A\Delta_{50}$  are derived directly by fitting the percent of mature samples for each age (one-year) interval to a three- or four-parameter logistic function using statistical analyses. The mid-point of this fitted logistic function provides a direct estimate of the age at which 50% of fish of a particular species have achieved reproductive maturity ( $A_{50}$ ) and sex reversal ( $A\Delta_{50}$ ).

### **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

# Timeframe: N/A

## Jurisdiction:

- American Samoa
- ✓ Guam
- Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

# **Spatial Scale:**

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Sources of data are directly derived from market samples collected by the Guam contracted bio-sampling team which samples the catch of fishermen and local fish vendors. Laboratory analyses and data generated from these analyses reside with the PIFSC Life History Program. Refer to the "Reference" column in Table 53 for specific details on data sources by species.

## Parameter definitions:

 $\overline{T_{max}}$  (maximum age) – The maximum observed age revealed from an otolith-based age determination study.  $T_{max}$  values can be derived from ages determined by annuli counts of sagittal otolith sections and/or bomb radiocarbon (<sup>14</sup>C) analysis of otolith core material.

 $L_{\infty}$  (asymptotic length) – One of three coefficients of the von Bertalanffy growth function (VBGF) that measures the mean maximum length at which the growth curve plateaus and no longer increases in length with increasing age. This coefficient reflects the mean maximum length and not the observed maximum length.

*k* (growth coefficient) – One of three coefficients of the VBGF that measures the shape and steepness by which the initial portion of the growth function approaches its mean maximum length ( $L_{\infty}$ ).

 $t_0$  (hypothetical age at length zero) – One of three coefficients of the VBGF whose measure is highly influenced by the other two VBGF coefficients (*k* and  $L_\infty$ ) and typically assumes a

negative value when specimens representing early growth phases (0+ to 1+ ages) are not available for age determination.

M (natural mortality) – This is a measure of mortality rate for a fish stock not under the influence of fishing pressure and is considered to be directly related to stock productivity (i.e., high M indicates high productivity and low M indicates low stock productivity). M can be derived through use of various equations that link M to  $T_{max}$  and k, or in some instances, by calculating the value of the slope from a regression fit to a declining catch curve (regression of the natural logarithm of abundance versus age class) derived from fishing an unfished or lightly fished population.

 $A_{50}$  (age at 50% maturity) – Age at which 50% of the sampled stock under study has attained reproductive maturity. This parameter is best determined based on studies that concurrently determine both age (otolith-based age data) and reproductive maturity status (logistic function fitted to percent mature by age class with maturity determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A_{50}$  is to use an existing  $L_{50}$ estimate to find the corresponding age ( $A_{50}$ ) from an existing VBGF curve.

 $A\Delta_{50}$  (age of sex switching) – Age at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal. This parameter is best determined based on studies that concurrently determines both age (otolith-based age data) and reproductive sex reversal status (logistic function fitted to percent sex reversal by age class with sex reversal determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A\Delta_{50}$  is to use an existing  $L\Delta_{50}$  estimate to find the corresponding age ( $A\Delta_{50}$ ) from the VBGF curve.

 $L_{50}$  (length at which 50% of a fish species are capable of spawning) – Length (usually in terms of fork length) at which 50% of the females of a sampled stock under study has attained reproductive maturity; this is the length associated with  $A_{50}$  estimates. This parameter is derived using a logistic function to fit the percent mature data by length class with maturity status best determined via microscopic analyses of gonad histology preparations).  $L_{50}$  information is typically more available than  $A_{50}$  since  $L_{50}$  estimates do not require knowledge of age & growth.

 $L\Delta_{50}$  (length of sex switching) – Length (usually in terms of fork length) at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal; this is the length associated with  $A\Delta_{50}$  estimates. This parameter is derived using a logistic function to fit the percent sex reversal data by length class with sex reversal status best determined via microscopic analyses of gonad histology preparations.  $L\Delta_{50}$  information is typically more available than  $A\Delta_{50}$  since  $L\Delta_{50}$  estimates do not require knowledge of age & growth.

**<u>Rationale</u>**: These nine life history parameters provide basic biological information at the species level to evaluate the productivity of a stock - an indication of the capacity of a stock to recover once it has been depleted. Currently, the assessment of coral reef fish resources in American Samoa is data-limited. Knowledge of these life history parameters support current efforts to characterize the resilience of these resources and also provide important biological inputs for future stock assessment efforts and enhance our understanding of the species-likely role and

status as a component of the overall ecosystem. Furthermore, knowledge of life histories across species at the taxonomic level of families or among different species that are ecologically or functionally similar can provide important information on the diversity of life histories and the extent to which species can be grouped (based on similar life histories) for future multi-species assessments.

Table 55. Available age, growth, and reproductive maturity information for coral reef species targeted for life history sampling (otoliths and gonads) in Guam. Parameter estimates are for females unless otherwise noted (F=females, M=males). Parameters  $T_{max}$ ,  $t_0$ ,  $A_{50}$ , and  $A\Delta_{50}$  are in units of years;  $L_{\infty}$ ,  $L_{50}$ , and  $L\Delta_{50}$  are in units of mm fork length (FL); k in units of year<sup>-1</sup>; X=parameter estimate too preliminary or Y=published age and growth parameter estimates based on DGI numerical integration technique and likely to be inaccurate; NA=not applicable. Superscript letters indicate status of parameter estimate (see footnotes below table). Published or in press publications (<sup>d</sup>) are denoted in "Reference" column.

| <b>C</b>            | Age              | , grow           | th, and           | d reprod            | ucti | ve mat            | turity p       | arame            | eters                 | D - f                                 |
|---------------------|------------------|------------------|-------------------|---------------------|------|-------------------|----------------|------------------|-----------------------|---------------------------------------|
| Species             | T <sub>max</sub> | $L_{\infty}$     | k                 | $t_0$               | M    | $A_{50}$          | $A\Delta_{50}$ | $L_{50}$         | $L\Delta_{50}$        | Reference                             |
| Calatomus           |                  |                  |                   |                     |      |                   |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| carolinus           | 3 <sup>d</sup>   | 263 <sup>d</sup> | 0.91 <sup>d</sup> | -0.065 <sup>d</sup> |      | 1.14 <sup>d</sup> |                | 168 <sup>d</sup> | 213 <sup>d</sup>      | (2014)                                |
| Oxycheilinus        |                  |                  |                   |                     |      |                   |                |                  |                       |                                       |
| unifasciatus        |                  |                  |                   |                     |      |                   |                |                  |                       |                                       |
| Chlorurus frontalis |                  |                  |                   |                     |      |                   |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| -                   | 11 <sup>d</sup>  | 372 <sup>d</sup> | 0.71 <sup>d</sup> | -0.058 <sup>d</sup> |      | 1.55 <sup>d</sup> |                | 240 <sup>d</sup> | 343 <sup>d</sup>      | (2014)                                |
| Chlorurus           |                  |                  |                   |                     |      |                   |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| microrhinos         | 11 <sup>d</sup>  | 457 <sup>d</sup> | 0.34 <sup>d</sup> | -0.097 <sup>d</sup> |      | 3.7 <sup>d</sup>  |                | 308 <sup>d</sup> | 378 <sup>d</sup>      | (2014)                                |
| Chlorurus spilurus  | od               | • t od           | o o <b>-</b> d    | o o <b>-</b> -d     |      | 1 ad              |                | d                | <b>a</b> a <b>a</b> d | <sup>d</sup> Taylor & Choat           |
|                     | 9 <sup>d</sup>   | 218 <sup>d</sup> | 0.95 <sup>d</sup> | -0.075 <sup>d</sup> |      | 1.3 <sup>d</sup>  |                | 144 <sup>d</sup> | 207 <sup>d</sup>      | (2014)                                |
| Hipposcarus         |                  |                  |                   | ,                   |      |                   |                |                  |                       |                                       |
| longiceps           | 10 <sup>b</sup>  | 433 <sup>b</sup> | 0.81 <sup>b</sup> | -0.029 <sup>b</sup> |      |                   |                |                  |                       | 4                                     |
| Naso lituratus      |                  |                  |                   |                     |      |                   |                | 145              |                       | <sup>d</sup> Taylor et. al.           |
|                     |                  |                  |                   |                     |      | 2.4               |                | (f),<br>178      |                       | (2014)                                |
|                     | 13d              | 204 <sup>d</sup> | 0.93 <sup>d</sup> | -0.30 <sup>d</sup>  |      | $(m)^d$           |                | $(m)^d$          |                       |                                       |
| Naso unicornis      |                  |                  |                   |                     |      | 4.0               |                | 292              |                       | <sup>d</sup> Taylor et. al.           |
|                     |                  |                  |                   |                     |      | (f),              |                | (f),             |                       | (2014)                                |
|                     | d                | 493              | đ                 | d                   |      | 3.2               |                | 271              |                       |                                       |
|                     | 23 <sup>d</sup>  | d                | 0.22 <sup>d</sup> | -0.48 <sup>d</sup>  |      | $(m)^d$           |                | $(m)^d$          |                       | den 1 o cr                            |
| Scarus altipinnis   | 14 <sup>d</sup>  | 339 <sup>d</sup> | 0.66 <sup>d</sup> | -0.069 <sup>d</sup> |      | 2.89 <sup>d</sup> |                | 251 <sup>d</sup> | 337 <sup>d</sup>      | <sup>d</sup> Taylor & Choat<br>(2014) |
| Scarus forsteni     |                  |                  |                   | ,                   |      | ,                 |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| -                   | 12 <sup>d</sup>  | 281 <sup>d</sup> | 0.88 <sup>d</sup> | -0.062 <sup>d</sup> |      | 1.79 <sup>d</sup> |                | 216 <sup>d</sup> | 271 <sup>d</sup>      | (2014)                                |
| Scarus psittacus    | 6 <sup>d</sup>   | 207 <sup>d</sup> | 0.91 <sup>d</sup> | -0.083 <sup>d</sup> |      | 1.36 <sup>d</sup> |                | 103 <sup>d</sup> | 193 <sup>d</sup>      | <sup>d</sup> Taylor & Choat<br>(2014) |
| Scarus              |                  |                  |                   |                     |      |                   |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| rubroviolaceus      | 6 <sup>d</sup>   | 376 <sup>d</sup> | $0.66^{d}$        | -0.062 <sup>d</sup> |      | 1.91 <sup>d</sup> |                | 271 <sup>d</sup> | 329 <sup>d</sup>      | (2014)                                |
| Scarus schlegeli    |                  |                  |                   |                     |      |                   |                |                  |                       | <sup>d</sup> Taylor & Choat           |
| 0                   | 8 <sup>d</sup>   | 252 <sup>d</sup> | 1.03 <sup>d</sup> | -0.06 <sup>d</sup>  |      | 1.99 <sup>d</sup> |                | 197 <sup>d</sup> | 220 <sup>d</sup>      | (2014)                                |
| Siganus punctatus   |                  |                  |                   |                     |      |                   | NA             |                  | NA                    |                                       |

<sup>a</sup> signifies estimate pending further evaluation in an initiated and ongoing study

<sup>b</sup> signifies a preliminary estimate taken from ongoing analyses

<sup>c</sup> signifies an estimate documented in an unpublished report or draft manuscript

<sup>d</sup> signifies an estimate documented in a finalized report or published journal article (including in press)

#### 2.2.3.2 Fish Length Derived Parameters

**Description:** The NMFS Commercial Fishery BioSampling Program started in 2009. This program has two components: First is the Field/Market Sampling Program and the second is the Life History Program, details of which are described in a separate section of this report. The goals of the Field/Market Sampling Program are:

- Broad scale look at commercial landings (by fisher/trip, gear & area fished)
- Length and weight frequencies of whole commercial landings per fisher-trip (with an effort to also sample landings not sold commercially)
- Accurate species identification
- Develop accurate local length-weight curves

In American Samoa, the BioSampling is focused on the commercial coral reef spear fishery with occasional sampling of the bottomfish fishery occurring locally and less frequently at the banks. Sampling is conducted in direct partnership with the spear fisherman. The Market Sampling information includes (but not limited to): 1) fish length; 2) fish weight; 3) species identification; and 4) basic effort information.

#### **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

#### Timeframe: N/A

#### Jurisdiction:

- □ American Samoa
- ✓ Guam
- □ Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

#### **Spatial Scale:**

- □ Regional
- □ Archipelagic

- ✓ Island
- □ Site

#### Data Source: NMFS BioSampling Program

#### Parameter definition:

 $L_{max}$  – maximum fish length is the longest fish per species recorded in the BioSampling Program from the commercial spear fishery. This value is derived from measuring the fork length of individual samples for species occurring in the spear fishery.

 $L_{bar}$  – *mean length* is the average value of all lengths recorded from the commercial spear fishery. This can be influenced by gear selectivity since the commercial spear fishery has a typical size target based on customer demand. This can also be influenced by size regulations.

 $n - sample \ size$  is the total number of samples accumulated for each species recorded in the commercial spear fishery.

 $N_{L-W}$  – sample size for L-W regression is the number of samples used to generate the a & b coefficients.

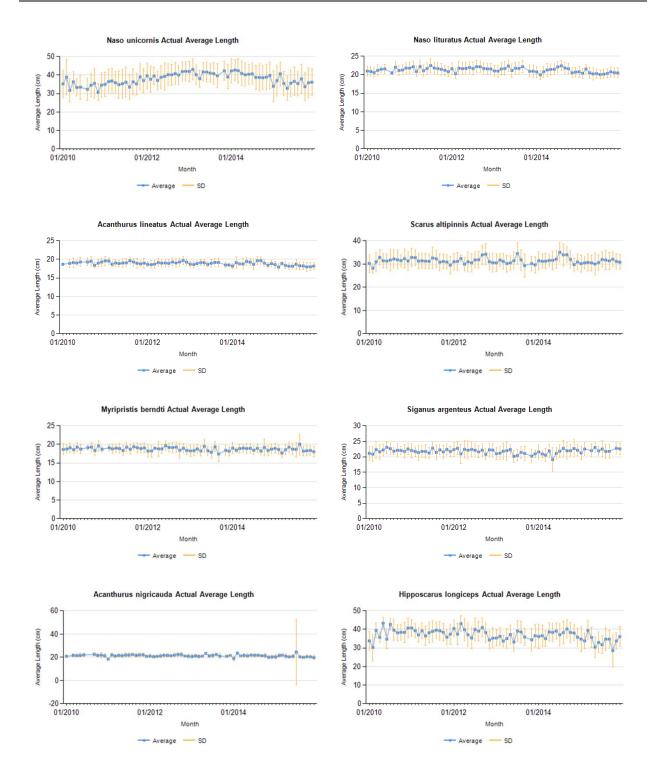
a & b - length-weight coefficients are the coefficients derived from the regression line fitted to all length and weight measured per species in the commercial spear fishery. These values are used to convert length information to weight. Values are influenced by the life history characteristics of the species, geographic location, population status, and nature of the fisheries from which the species are harvested

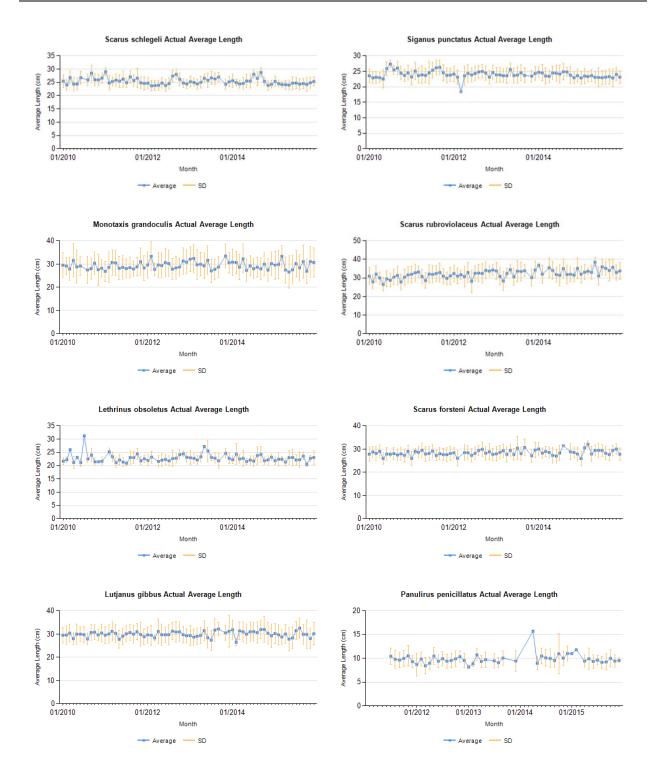
**Rationale:** Length-derived information is being used as an indicator of population status particularly for data-poor stocks like coral reef fish. Average length ( $L_{bar}$ ) was used as a principal stock assessment indicator variable for exploited reef fish population (Nadon et al 2015). Average length was also shown to be correlated with population size (Kerr and Dickle 2001). Maximum length ( $L_{max}$ ), typically coupled with maximum age, is typically used as a proxy for fish longevity which has implications on the productivity and susceptibility of a species to fishing pressure. The length-weight coefficients (a & b values) are used to convert length to weight for fishery dependent and fishery independent data collection where length are typically recorded but weight is the factor being used for management. This section of the report presents the best available information for the length-derived variables for the CNMI coral reef and bottomfish fisheries.

| Species        | Lengt            |                  |       | Reference |        |        |                               |
|----------------|------------------|------------------|-------|-----------|--------|--------|-------------------------------|
|                | L <sub>max</sub> | L <sub>bar</sub> |       |           |        |        |                               |
| Naso unicornis | 57.2             | 38.02            | 15461 |           | 0.0278 | 2.9135 | 2010-2015 Guam<br>Biosampling |

#### Table 56. Available length derived information for various coral reef species in Guam.

|                        |      |       |       |        |        | Database |
|------------------------|------|-------|-------|--------|--------|----------|
| Naso lituratus         | 29.6 | 21.35 | 16702 | 0.0223 | 3.0264 |          |
| Acanthurus lineatus    | 28.9 | 19.04 | 4325  | 0.0473 | 2.8110 |          |
| Scarus altipinnis      | 46.4 | 31.16 | 3913  | 0.0207 | 3.0040 |          |
| Myripristis bendti     | 29.4 | 18.63 | 3903  | 0.0858 | 2.5911 |          |
| Siganus argenteus      | 34.5 | 21.71 | 3653  | 0.0163 | 3.0428 |          |
| Acanthurus nigricauda  | 29.1 | 21.40 | 3500  | 0.0511 | 2.7811 |          |
| Hipposcarus longiceps  | 51.4 | 37.30 | 3149  | 0.0172 | 3.0320 |          |
| Scarus schlegeli       | 36.2 | 25.19 | 2787  | 0.0205 | 3.0033 |          |
| Siganus punctatus      | 32.0 | 23.97 | 2619  | 0.0199 | 3.0690 |          |
| Monotaxis grandoculis  | 48.9 | 29.17 | 2388  | 0.0440 | 2.8384 |          |
| Scarus rubroviolaceus  | 47.8 | 31.91 | 2192  | 0.0114 | 3.1812 |          |
| Lethrinus obsoletus    | 34.7 | 22.15 | 2273  | 0.0169 | 3.0471 |          |
| Scarus forsteni        | 39.1 | 28.13 | 1801  | 0.0149 | 3.1169 |          |
| Lutjanus gibbus        | 43.5 | 29.99 | 1687  | 0.0195 | 3.0274 |          |
| Panulirus penicillatus |      |       |       |        |        |          |
| Parupeneus insularis   | 28.5 | 21.89 | 1560  | 0.0178 | 3.0865 |          |
| Siganus spinus         | 27.5 | 16.53 | 1670  | 0.0353 | 2.7886 |          |
| Lethrinus atkinsoni    | 33.7 | 21.93 | 1644  | 0.0215 | 3.0217 |          |
| Chlorurus microrhinus  | 50.5 | 32.54 | 1527  | 0.0187 | 3.0520 |          |
| Chlorurus sordidus     | 33.1 | 22.39 | 1234  | 0.0208 | 3.0293 |          |
| Kyphosus cinerascens   | 50.7 | 29.94 | 1146  | 0.0323 | 2.9267 |          |





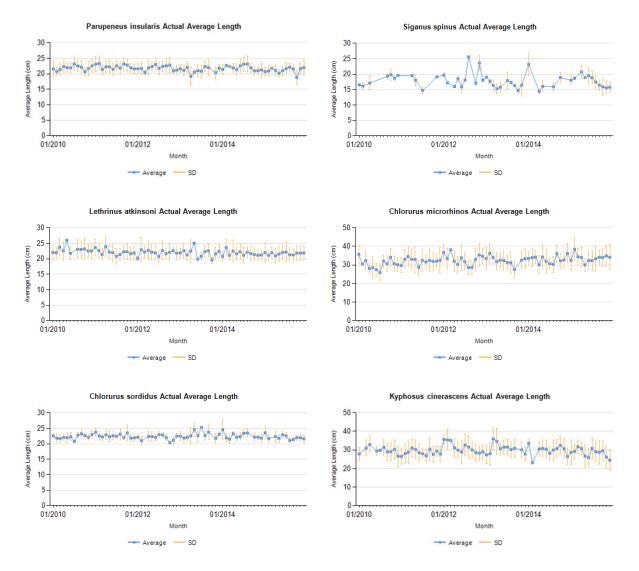


Figure 8. Average length over time of representative Guam coral reef fish management unit species derived from the BioSampling Program.

#### 2.2.4 Guam Bottomfish Ecosystem – Bottomfish Life History

#### 2.2.4.1 Age & Growth and Reproductive Maturity

**Description:** Age determination is based on counts of yearly growth marks (annuli) and/or daily growth increments (DGIs) internally visible within transversely-cut thin sections of sagittal otoliths. Validated age determination, particularly for long-lived ( $\geq$ 30 years) fish, is based on an environmental signal (bomb radiocarbon <sup>14</sup>C) produced during previous atmospheric thermonuclear testing in the Pacific and incorporated into the core regions of sagittal otolith and other aragonite-based calcified structures such as hermatypic corals. This technique relies on developing a regionally-based aged coral core reference series for which the rise, peak, and decline of <sup>14</sup>C values is available over the known age series of the coral core. Estimates of fish age are determined by projecting the <sup>14</sup>C otolith core values back in time from its capture date to where it intersects with the known age <sup>14</sup>C coral reference series. This technique provides age estimates independent of age estimates based on visual counts of annuli or DGIs. The relation

between age and fish length is evaluated by fitting this data to a von Bertalanffy growth function based on statistical analyses. The resulting von Bertalanffy growth function predicts the pattern of growth over time for that particular species. This function typically uses three coefficients ( $L_{\infty}$ , k, and  $t_0$ ) which together characterize the shape of the length-at-age growth relationship. The <sup>14</sup>C derived ages typically provide more accurate estimates of older ages ( $\geq$ 30 years) and hence more realistic values of  $T_{max}$  compared to annuli or DGI-based counts of otolith sections.

Length at reproductive maturity is based on the histological analyses of small tissue samples of gonad material that are typically collected along with otoliths when a fish is processed for life history studies. The gonad tissue sample is preserved then subsequently cut into five micron sections, stained, and sealed onto a glass slide for subsequent examination. Based on standard cell structure features and developmental stages within ovaries and testes, the gender, developmental stage, and maturity status (immature or mature) is determined via microscopic evaluation. The percent of mature samples for a given length interval are assembled for each sex and these data are fitted to a three- or four-parameter logistic function to determine the best fit of these data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish have achieved reproductive maturity  $(L_{50})$ . For species that undergo sex reversal (primarily female to male in the tropical Pacific region), such as groupers and deeper-water emperors among the bottomfishes, and for parrotfish, shallow-water emperors, and wrasses among the coral reef fishes, standard histological criteria are used to determine gender and reproductive developmental stages that indicate the transitioning or completed transition from one sex to another. These data are similarly analyzed using a three- or fourparameter logistic function to determine the best fit of the data based on statistical analyses. The mid-point of this fitted function provides an estimate of the length at which 50% of fish of a particular species have or are undergoing sex reversal  $(L\Delta_{50})$ .

Age at 50% maturity ( $A_{50}$ ) and 50% sex reversal ( $A\Delta_{50}$ ) is typically derived by referencing the von Bertalanffy growth function for that species and using the corresponding  $L_{50}$  and  $L\Delta_{50}$  values to obtain the corresponding age value from this growth function. In studies where both age & growth and reproductive maturity are concurrently determined, estimates of  $A_{50}$  and  $A\Delta_{50}$  are derived directly by fitting the percent of mature samples for each age (one-year) interval to a three- or four-parameter logistic function using statistical analyses. The mid-point of this fitted logistic function provides a direct estimate of the age at which 50% of fish of a particular species have achieved reproductive maturity ( $A_{50}$ ) and sex reversal ( $A\Delta_{50}$ ).

## **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

## Timeframe: N/A

## Jurisdiction:

- □ American Samoa
- ✓ Guam

- Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands:
- □ Pacific Remote Island Areas

## Spatial Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

**Data Source:** Sources of data are directly derived from field samples collected at sea on NOAA research vessels and from the Guam contracted bio-sampling team which samples the catch of fishermen and local fish vendors. Laboratory analyses and data generated from these analyses reside with the PIFSC Life History Program. Refer to the "Reference" column in Table 55 for specific details on data sources by species.

## Parameter definitions:

 $\overline{T_{max}}$  (maximum age) – The maximum observed age revealed from an otolith-based age determination study.  $T_{max}$  values can be derived from ages determined by annuli counts of sagittal otolith sections and/or bomb radiocarbon (<sup>14</sup>C) analysis of otolith core material.

 $L_{\infty}$  (asymptotic length) – One of three coefficients of the von Bertalanffy growth function (VBGF) that measures the mean maximum length at which the growth curve plateaus and no longer increases in length with increasing age. This coefficient reflects the mean maximum length and not the observed maximum length.

*k* (growth coefficient) – One of three coefficients of the VBGF that measures the shape and steepness by which the initial portion of the growth function approaches its mean maximum length ( $L_{\infty}$ ).

 $t_0$  (hypothetical age at length zero) – One of three coefficients of the VBGF whose measure is highly influenced by the other two VBGF coefficients (k and  $L_{\infty}$ ) and typically assumes a negative value when specimens representing early growth phases (0+ to 1+ ages) are not available for age determination.

M (natural mortality) – This is a measure of mortality rate for a fish stock not under the influence of fishing pressure and is considered to be directly related to stock productivity (i.e., high M indicates high productivity and low M indicates low stock productivity). M can be derived through use of various equations that link M to  $T_{max}$  and k, or in some instances, by calculating the value of the slope from a regression fit to a declining catch curve (regression of the natural logarithm of abundance versus age class) derived from fishing an unfished or lightly-fished population.

 $A_{50}$  (age at 50% maturity) – Age at which 50% of the sampled stock under study has attained reproductive maturity. This parameter is best determined based on studies that concurrently determine both age (otolith-based age data) and reproductive maturity status (logistic function fitted to percent mature by age class with maturity determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A_{50}$  is to use an existing  $L_{50}$ estimate to find the corresponding age ( $A_{50}$ ) from an existing VBGF curve.

 $A\Delta_{50}$  (age of sex switching) – Age at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal. This parameter is best determined based on studies that concurrently determines both age (otolith-based age data) and reproductive sex reversal status (logistic function fitted to percent sex reversal by age class with sex reversal determined via microscopic analyses of gonad histology preparations). A more approximate means of estimating  $A\Delta_{50}$  is to use an existing  $L\Delta_{50}$  estimate to find the corresponding age ( $A\Delta_{50}$ ) from the VBGF curve.

 $L_{50}$  (length at which 50% of a fish species are capable of spawning) – Length (usually in terms of fork length) at which 50% of the females of a sampled stock under study has attained reproductive maturity; this is the length associated with  $A_{50}$  estimates. This parameter is derived using a logistic function to fit the percent mature data by length class with maturity status best determined via microscopic analyses of gonad histology preparations).  $L_{50}$  information is typically more available than  $A_{50}$  since  $L_{50}$  estimates do not require knowledge of age & growth.

 $L\Delta_{50}$  (length of sex switching) – Length (usually in terms of fork length) at which 50% of the immature and adult females of the sampled stock under study is undergoing or has attained sex reversal; this is the length associated with  $A\Delta_{50}$  estimates. This parameter is derived using a logistic function to fit the percent sex reversal data by length class with sex reversal status best determined via microscopic analyses of gonad histology preparations).  $L\Delta_{50}$  information is typically more available than  $A\Delta_{50}$  since  $L\Delta_{50}$  estimates do not require knowledge of age & growth.

**Rationale:** These nine life history parameters provide basic biological information at the species level to evaluate the productivity of a stock - an indication of the capacity of a stock to recover once it has been depleted. Currently, the assessment of coral reef fish resources in American Samoa is data-limited. Knowledge of these life history parameters support current efforts to characterize the resilience of these resources and also provide important biological inputs for future stock assessment efforts and enhance our understanding of the species-likely role and status as a component of the overall ecosystem. Furthermore, knowledge of life histories across species at the taxonomic level of families or among different species that are ecologically or functionally similar can provide important information on the diversity of life histories and the extent to which species can be grouped (based on similar life histories) for future multi-species assessments.

Table 57. Available age, growth, and reproductive maturity information for bottomfish species targeted for life history sampling (otoliths and gonads) in Guam. Parameter estimates are for females unless otherwise noted (F=females, M=males). Parameters  $T_{max}$ ,  $t_0$ ,  $A_{50}$ , and  $A\Delta_{50}$  are in units of years;  $L_{\infty}$ ,  $L_{50}$ , and  $L\Delta_{50}$  are in units of mm fork length (FL); k in units of year<sup>-1</sup>; X=parameter estimate too preliminary or Y=published age and growth

#### parameter estimates based on DGI numerical integration technique and likely to be inaccurate; NA=not applicable. Superscript letters indicate status of parameter estimate (see footnotes below table). Published or in press publications (<sup>d</sup>) are denoted in "Reference" column.

| Emocios                     | Age, growth, and reproductive maturity parameters |              |   |       |   |          |                |                  | Reference      |           |
|-----------------------------|---|--------------|---|-------|---|----------|----------------|------------------|----------------|-----------|
| Species                     | T <sub>max</sub>                                  | $L_{\infty}$ | k | $t_0$ | M | $A_{50}$ | $A\Delta_{50}$ | $L_{50}$         | $L\Delta_{50}$ | Kelerence |
| Aphareus rutilans           |   |              |   |       |   |          | NA             |                  | NA             |           |
| Aprion virescens            |   |              |   |       |   |          | NA             |                  | NA             |           |
| Etelis carbunculus          |   |              |   |       |   |          | NA             |                  | NA             |           |
| Etelis coruscans            |   |              |   |       |   |          | NA             |                  | NA             |           |
| Monotaxis grandoculis       |   |              |   |       |   |          |                | 228 <sup>b</sup> | X <sup>a</sup> |           |
| Pristipomoides auricilla    |   |              |   |       |   |          | NA             |                  | NA             |           |
| Pristipomoides filamentosus |   |              |   |       |   |          | NA             |                  | NA             |           |
| Pristipomoides flavipinnis  |   |              |   |       |   |          | NA             |                  | NA             |           |
| Pristipomoides sieboldii    |   |              |   |       |   |          | NA             |                  | NA             |           |
| Pristpomoides zonatus       |   |              |   |       |   |          | NA             |                  | NA             |           |
| Variola louti               |   |              |   |       |   |          |                | 220 <sup>b</sup> | X <sup>a</sup> |           |

<sup>a</sup> signifies estimate pending further evaluation in an initiated and ongoing study

<sup>b</sup> signifies a preliminary estimate taken from ongoing analyses

<sup>c</sup> signifies an estimate documented in an unpublished report or draft manuscript

<sup>d</sup> signifies an estimate documented in a finalized report or published journal article (including in press)

#### 2.2.4.2 Fish Length Derived Parameters

**Description:** The NMFS Commercial Fishery BioSampling Program started in 2009. This program has two components: First is the Field/Market Sampling Program and the second is the Life History Program, details of which are described in a separate section of this report. The goals of the Field/Market Sampling Program are:

- Broad scale look at commercial landings (by fisher/trip, gear & area fished)
- Length and weight frequencies of whole commercial landings per fisher-trip (with an effort to also sample landings not sold commercially)
- Accurate species identification
- Develop accurate local length-weight curves

In Guam, the BioSampling is focused on the commercial fishery. Sampling is conducted in partnership with the Guam Fisherman's Cooperative Association (GFCA). The Market Sampling information includes (but not limited to): 1) fish length; 2) fish weight; 3) species identification; and 4) basic effort information. More specific fishery information such as gear information, species composition and total catch information is recorded through the log book system implemented by GFCA and transcribed into the database maintained by the Western Pacific Fishery Information Network.

#### **Category:**

- □ Fishery independent
- □ Fishery dependent
- ✓ Biological

## Timeframe: N/A

#### Jurisdiction:

- □ American Samoa
- ✓ Guam
- Commonwealth of Northern Mariana Islands
- □ Main Hawaiian Islands
- □ Northwest Hawaiian Islands
- □ Pacific Remote Island Areas

## Spatial Scale:

- □ Regional
- □ Archipelagic
- ✓ Island
- □ Site

## Data Source: NMFS BioSampling Program

## Parameter definition:

 $L_{max}$  – maximum fish length is the longest fish per species recorded in the BioSampling Program from the commercial spear fishery. This value is derived from measuring the fork length of individual samples for species occurring in the spear fishery.

 $L_{bar}$  – *mean length* is the average value of all lengths recorded from the commercial spear fishery. This can be influenced by gear selectivity since the commercial spear fishery has a typical size target based on customer demand. This can also be influenced by size regulations.

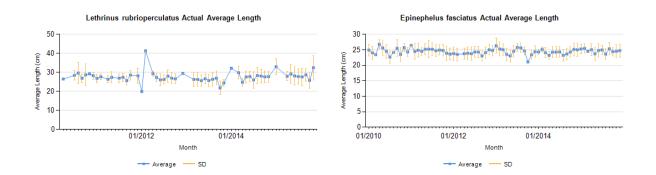
 $n - sample \ size$  is the total number of samples accumulated for each species recorded in the commercial spear fishery.

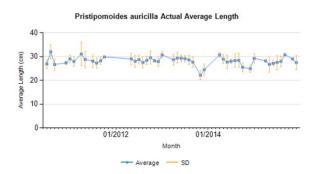
 $N_{L-W}$  – sample size for L-W regression is the number of samples used to generate the a & b coefficients

a & b - length-weight coefficients are the coefficients derived from the regression line fitted to all length and weight measured per species in the commercial spear fishery. These values are used to convert length information to weight. Values are influenced by the life history characteristics of the species, geographic location, population status, and nature of the fisheries from which the species are harvested from.

**Rationale:** Length-derived information is being used as an indicator of population status particularly for data-poor stocks like coral reef fish. Average length ( $L_{bar}$ ) was used as a principal stock assessment indicator variable for exploited reef fish population (Nadon et al 2015). Average length was also shown to be correlated with population size (Kerr and Dickle 2001). Maximum length ( $L_{max}$ ), typically coupled with maximum age, is typically used as a proxy for fish longevity which has implications on the productivity and susceptibility of a species to fishing pressure. The length-weight coefficients (a & b values) are used to convert length to weight for fishery dependent and fishery independent data collection where length are typically recorded but weight is the factor being used for management. This section of the report presents the best available information for the length-derived variables for the CNMI coral reef and bottomfish fisheries.

| Species                    | Lengt            | h derive         | Reference |     |        |        |   |
|----------------------------|------------------|------------------|-----------|-----|--------|--------|---|
|                            | L <sub>max</sub> | L <sub>bar</sub> | n         | L-W | a      | b      |   |
| Lethrinus rubrioperculatus | 46.6             | 27.10            | 3374      |     | 0.0248 | 2.9158 | 2010-2015 Guam<br>Biosampling<br>Database |
| Epinephelus fasciatus      | 35.8             | 24.01            | 3033      |     | 0.0141 | 3.0303 |   |
| Pristipomoides auricilla   | 39.0             | 28.18            | 1732      |     | 0.0152 | 3.0742 |   |





# Figure 9. Average length over time of representative Guam bottomfish management unit species derived from the BioSampling Program

## 2.3 SOCIOECONOMICS

This section outlines the pertinent economic, social, and community information available for assessing the successes and impacts of management measures or the achievements of the Fishery Ecosystem Plan for the Marianas Archipelago (Western Pacific Regional Fishery Management Council, 2016). It meets the objective "Support Fishing Communities" adopted at the 165<sup>th</sup> Council meeting; specifically, it identifies the various social and economic groups within the region's fishing communities and their interconnections. The section begins with an overview of the socioeconomic context for the region, then provides a summary of relevant studies and data for CNMI and Guam, followed by summaries of relevant studies and data for each fishery in CNMI and Guam.

In 1996, the Magnuson-Stevens Fishery Conservation and Management Act's National Standard 8 (NS8) specified that conservation and management measures take into account the importance of fishery resources to fishing communities, to provide for their sustained participation in fisheries and to minimize adverse economic impacts, provided that these considerations do not compromise the achievement of conservation. Unlike other regions of the U.S., the settlement of the Western Pacific region was intimately tied to the sea (Figure 10), which is reflected in local culture, customs, and traditions.

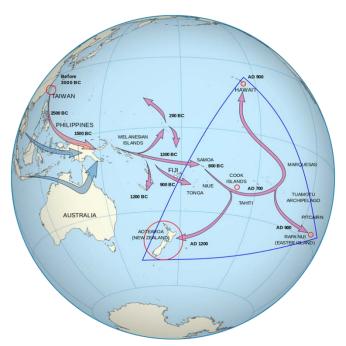


Figure 10. Settlement of the Pacific Islands, courtesy Wikimedia Commons, https://commons.wikimedia.org/wiki/File:Polynesian\_Migration.svg.

Polynesian voyagers relied on the ocean and marine resources on their long voyages in search of new islands, as well as in sustaining established island communities. Today, the population of the region also represents many Asian cultures from Pacific Rim countries, which reflect similar importance of marine resources. Thus, fishing and seafood are integral local community ways of life. This is reflected in the amount of seafood eaten in the region in comparison to the rest of the United States, as well as the language, customs, ceremonies, and community events. It can also

affect seasonality in prices of fish. Because fishing is such an integral part of the culture, it is difficult to cleanly separate commercial from non-commercial fishing, with most trips involving multiple motivations and multiple uses of the fish caught. While economics are an important consideration, fishermen report other motivations such as customary exchange as being equally, if not more, important. Due to changing economies and westernization, recruitment of younger fishermen is becoming a concern for the sustainability of fishing and fishing traditions in the region.

The Marianas Archipelago consists of the Commonwealth of the Northern Mariana Islands (CNMI) at the northern end of the archipelago and Guam, the southernmost island. These are typically treated as two jurisdictions, which will be presented separately in the rest of this chapter.

#### 2.3.1 Response to Previous Council Recommendations

At its 165<sup>th</sup> meeting held in Honolulu, HI, the Council approved modifications to the FEP objectives, one of which is to identify the various social and economic groups within the region's fishing communities and their interconnections, in support of fishing communities. This chapter meets this objective.

At its 166<sup>th</sup> meeting held in Tumon, Guam, the Council recommended NMFS Pacific Islands Fisheries Science Center (PIFSC) to conduct an economic survey in the CNMI to determine the expense and expenditure differences in fisheries between Saipan, Tinian, Rota and Guam to determine the differences between the islands as well as between the fishery sectors. The Council also recommended NMFS PIFSC design and implement a socio-economic survey to determine the fisheries opportunities and impacts of increased recent development in the CNMI, given the new hotels and casinos in Saipan. A small boat cost-earnings survey is scheduled for the Marianas in 2018-2019 that will address both of these recommendations.

In addition, the Council directed staff to develop a brief report identifying data sources, quality and coverage for each required socioeconomic parameter in the annual/SAFE reports, as resources permit. This report should also identify the quality and coverage of this data, as well as any gaps. This data synthesis was conducted and used to guide the development of this chapter.

The Council also directed the Plan Team to consider for future Annual/SAFE reports:

- to include the human perspective, the importance of the community, and the extended cultural and social values of fishing in the dashboard summary format. This chapter is the first effort at including the importance of community and extended cultural and social values into a SAFE report in this region.
- to break out trip costs by island for the CNMI sections of the report, as trip costs vary by island. This chapter provides a reference to existing data on island-specific trip costs.
- to explore partnering with the CNMI Department of Commerce on efforts to address socioeconomic data gaps in the CNMI SAFE/annual report. The CNMI Department of Commerce Statistical Yearbook was reviewed in the development of this chapter. Information on fishing as an occupation is only reported in aggregate with farming and forestry. In addition, fishing in CNMI is a continuum of commercial to non-commercial activities that many do not consider a profession. For these reasons, occupational

information was not included in this chapter. The other section relevant to fishing summarizes the amounts and values of commercial fish landings, which is already reported by PIFSC. In addition, the yearbook has not received new data on fish and fisheries since 2004.

• to include enhanced information on social, economic and cultural impacts of a changing climate and increased pressure on the ocean and its resources. PIFSC developed a Regional Action Plan and Climate Science Strategy as a first step in providing this information (Polovina et al., 2016).

## 2.3.2 CNMI

## 2.3.2.1 Introduction

An overview of CNMI history, culture, geography, and relationship with the U.S. is described in Section 1.3 of the Fishery Ecosystem Plan for the Mariana Archipelago (Western Pacific Regional Fishery Management Council, 2016). Over the past decade, a number of studies have synthesized more specifics about the role of fishing and marine resources across CNMI, as well as information about the people who engage in the fisheries or use fishery resources.

The ancestors of the indigenous Chamorros first arrived in the Marianas around 3,500 years ago and relied on seafood as their principal source of protein (see Chapter 1, Allen and Amesbury 2012, and Grace McCaskey 2014). Similar to other archipelagos in the Western Pacific, fish and marine resources have played a central role in shaping the social, cultural, and economic fabric of CNMI that continues today. They fished for both reef and pelagic species, collected mollusks and other invertebrates and caught sea turtles. The occupation of CNMI by foreign nations dramatically changed the island's ecosystems, reshaped communities, and disrupted fishing traditions. In the 17<sup>th</sup> and 18<sup>th</sup> centuries, Spanish colonizers destroyed the Chamorros' seagoing canoes, suppressed offshore fishing practices, and relocated populations from their traditional home. CNMI was briefly occupied by Germany from 1899 to the beginning of WWII. During WWII, CNMI was occupied by the Japanese military, and then was captured by the United States. Throughout this time, fishing has remained an important activity. Later immigrants to the islands from East and Southeast Asia also possessed a strong fishing tradition. Today, only Saipan, Rota, and Tinian are permanently inhabited, with 90% of the population on the island of Saipan.

## 2.3.2.2 People who Fish

Allen and Amesbury (2012) summarized results of studies that demonstrated the sociocultural importance of fishing to Saipan residents. In a 2005 study, most of the active or commercial fishermen who responded to the survey had fished more than 10 years. They most often participated in snorkel spear fishing at night (participated in by 73% of the fishermen) and snorkel spear fishing during daytime (58% of the fishermen), followed by hook-and-line less than 100 ft. deep (36%), trolling (21%) cast net (talaya; 14%) hook-and-line more than 100 ft. deep (9%), trapping (octopus, crabs, etc.; 19%), foraging the reef (8%); 18% said they participated in one or more other techniques. Less than a third (30%) said they owned a boat. Their primary reasons for fishing were social and cultural, including that they just really like fishing (32%), they need the fish to feed their family (23%), giving catch to family and friends strengthened social bonds (13%), their family has always fished (12%), and it strengthens bonds

with their children/family (6%). Only 4% said they needed the money from the fish they sold. Other motivations included strengthening the bond with their fellow fishermen, fishing to catch fish for fiestas/parties, and seasonal fishing for manahak, ti'ao, and i'e (2% each).

The fishermen reported fishing an average of 71 days a year, with 26% going once every 2-3 days and 24% fishing once every 2 weeks. They also reported a decrease in their amount of fishing over time, fishing an average of 93 days a year 10 years ago. Saipan reef fish were the most frequently caught species (caught by 54% of the fishermen), followed by shallow-water bottomfish (23%) and reef invertebrates such as octopus, shellfish and crabs (14%).

As in other parts of the region, much of their catch was consumed by themselves and immediate family (70%), with another 20% consumed by extended family and friends. Only 8% of the catch was sold. Only 18 respondents identified themselves as commercial fishermen. They reported a median monthly income of \$200 from fishing, with a mean (average) of just over \$1,000. Costs exceeded sales for almost every income category of fishermen, suggesting that for most fishermen, fishing is not a business but rather that they sell their catch simply to recover some of the costs.

While fish remains an important part of the local diet and an integral part of the people's history and culture, adaptation to and integration with a more westernized lifestyle appears to have changed people's diets on Saipan. Nearly half (45%) of the survey respondents reported eating "somewhat less fish" than they did 10 years ago, although the majority still ate fish between 1 and 3 times a week. The majority also purchased their fish from a store or restaurant (40%) while 31% purchase fish from roadside vendors. Less common was acquiring fish from an extended relative/friend (13%) or their own catch (11%). Most of the fish consumed came from the U.S. mainland (41%), while the next most important source was from inside Saipan's reef (31%), deep water or pelagic fish caught off Saipan (23%), or imported from other Pacific islands such as Chuuk (10%).

Few other surveys have been conducted on fishing in general in CNMI. A household survey conducted in 2012 found that 37% of respondents said they or someone else in their household was a fisherman (Kotowicz and Allen, 2015). Respondents from fishing households tended to be younger, have lower education levels, and have a higher rate of unemployment than respondents from non-fishing households.

While proportionally few residents own a boat, more than 400 vessels were registered in the CNMI small boat fleet between 2010 and 2011 (see Allen and Amesbury, 2012 for review). More than 200 of the vessels were active and operating in CNMI waters, and more than 100 of the vessels were involved in fishing activities. The active small boat fleet targets tunas, other small pelagics (through trolling), and bottomfish, although with the increases in the price of gas, pelagic fishing has dropped off somewhat. The fish are marketed locally, given away to family and friends, or used for ceremonial purposes such as parties, culturally significant fiestas, and each village's patron saint's day.

On Saipan, fisheries managers estimated the active small boat fleet at approximately 100 vessels in 2010-2011. Full-time commercial fishing is primarily conducted by ethnic nonindigenous minorities, namely Filipino residents (who fish primarily as independent owners and/or

operators) and recent immigrants from the Federated States of Micronesia (who are primarily employed for wages). Chamorro and Carolinians, in contrast, primarily fish for recreational and subsistence purposes, selling catch to recoup costs. A few vessel owner operators are considered "pescadors", a term used to refer to fishermen who provide fish for important community and familial events. Pescadors customarily provide 100-200 lbs. of reef fish for cooked dishes and pelagic species for kelaguen (a raw fish dish) for community and family celebrations. The system of seafood distribution underwent significant changes from approximately 2000-2010 with the establishment of large seafood vendors. In contrast to individual fishermen/vendors who only market their own catch, large vendors typically own and operate a number of vessels and purchase catch from independent fishermen to sell, which is reportedly depressing prices. In addition, increases in fuel prices, low market prices for fish, and downturns in the domestic economy have led to a general decline in participation in this fishery since 2000, with respect to numbers of fishermen, trips, landings, and seafood purchasers. The Saipan Fishermen's Association (SFA) is a nonprofit organization established in 1985 that holds annual fishing derbies and participated in community involvement projects, such as beach cleanup.

On Tinian, estimates of fleet size range from 15 to 20 vessels in 2010-2011. An estimated 1-3 fishermen fished consistently with the primary intent of selling fish. Respondents suggested that fishing and eating of fish was more habitual, rather than geared toward a particular event. Increasing fuel prices have reportedly led to the decline in number of active fishermen, and fishermen frequently sell fish to cover fuel costs. Three restaurants and two stores in Tinian purchase fish, although fishermen also sell house to house and commonly have an established clientele. A few charter boats serve tourist clientele, however they do not land much catch and even trolling trips serve more as photo opportunities. Charter boats are reportedly owned by nonlocal residents and target tourists from their country of origin (Japan, China, or Korea).

On Rota fishermen target pelagic species when in season, fishing for bottomfish the rest of the year. Like on the other islands, the number and activity of fishermen have declined as a result of increased fuel prices. Family members will often make requests for certain kinds of fish, but they will also contribute money to purchase fuel for a fishing trip. In addition, fishermen will often check demand with local restaurants, based on fuel prices. In 2010-2011, fishermen sold catch to 3 restaurants, or to neighbors and friends within the community (door to door or from a cooler on the roadside). One general store in sold fish caught by a family member, who fishes specifically to sell. Rota holds one fishing derby in celebration of San Francisco, the saint of their island.

A survey of the small boat fleet was also conducted in 2011 (see Hospital and Beavers, 2014 for full report). On average, respondents were 41 years old and had been boat fishing for an average of 15 years, providing evidence of a deep tradition of boat fishing in the CNMI. They were more likely to identify themselves as Chamorro relative to the general population of the CNMI, although they were equally likely to have been born in the CNMI. In general, fishermen were more educated then the general population and of comparable affluence. Pelagic trolling as the most popular gear type, followed by deepwater bottomfish fishing, shallow-water bottomfish, and spear fishing. Most (71%) fishermen reported fishing at a Fish Aggregating Device (FAD) during the past 12 months, and on nearly 22% of their fishing trips. A high degree of seasonal fishing effort was reported across most subgroups of the fleet, although fishermen on Tinian and Rota were more likely to fish year-round.

A majority of fishermen (74%) reported selling at least a portion of their catch in the past 12 months. However, less than half (43%) of survey respondents indicated that they could always sell all the fish that they wanted. A significant percentage of fish caught was consumed at home (28%) or given away to relatives, friends, or for cultural events (38%), reflecting the strong family and social connections associated with fishing in the CNMI. Approximately 29% of fish catch was sold, with the remaining catch either released (2%) or exchanged for goods and services (3%). Even fishermen who regularly sell fish still retain approximately 22% of their catch for home consumption and participation in traditional fish-sharing networks and customary exchange. Additionally, 91% consider the bottomfish they catch to be an important source of food, and 93% consider reef fish to be an important source of food. These findings validate the importance of fishing in building and maintaining social and community networks, perpetuating fishing traditions, and providing fish to local communities as a source of food security.

Fishing in the CNMI is a social activity; only 3% of fishermen reported to fish alone, while 70% reported that their boat is used without them on occasion. In addition, the majority of fishermen (57%) agreed that as a fisherman, they are respected by the greater community. While nearly a third of respondents were neutral (27%) and some were hesitant to express an opinion or simply did not know (13%), the study found that very few (3%) felt that they were not respected by the community.

The designation of the Marianas Trench Marine National Monument (the Monument) in 2009 has resulted in concerns about loss of fishing access (see Richmond and Kotowicz, 2015, Kotowicz and Richmond, 2013, and Kotowicz and Allen, 2015). Despite long distance, high cost, and inconvenience, travel to the areas now protected by the Monument were rare but culturally significant events, and fishing was an essential component.

Overall, the CNMI small boat fisheries are a complex mix of subsistence, cultural, recreational, and quasi-commercial fishermen whose fishing behaviors provide evidence of the importance of fishing to the people of the CNMI. For nearly all fishery participants, the social and cultural motivations for fishing far outweigh any economic prospects. Nearly all fishermen supplement their income with other jobs and are predominantly subsistence fishermen, selling occasionally to recover trip expenses.

## 2.3.2.3 Costs of Fishing

Since 2009, the PIFSC Socioeconomics Program has maintained a continuous economic data collection program on Saipan through collaboration with the PIFSC Western Pacific Fisheries Information Network (WPacFIN). The economic data collection program gathers fishing expenditure data for boat-based reef fish, bottomfish, and pelagic fishing trips on an ongoing basis. Data for fishing trip expenses include; gallons of fuel used, price per gallon of fuel, cost of ice used, cost of bait & chum used, cost of fishing gear lost, and the engine type of the boat. These economic data are collected from same subset of fishing trips as the boat-based creel survey carried out by the local fisheries management agencies and WPacFIN.

These data are currently under PIFSC editorial review and future versions of this report will include a time-series of Saipan boat-based trip costs by target species and/or gear. Metadata for these data are available online (PIFSC Socioeconomics Program, 2016).

Island-specific (Saipan, Tinian, and Rota) trip cost estimates from 2011 for bottomfish and reeffish fishing trips are available in Hospital and Beavers (2014). Other relevant cost information in Hospital and Beavers (2014) include estimates of annual fishing expenditures (fixed costs) and levels of investment in the fishery.

## 2.3.2.4 CNMI Bottomfish Fishery

Bottomfish was one of the gear types included in the 2011 Small Boat Survey (Hospital and Beavers, 2014). Overall fisher demographics and catch disposition were summarized in section 2.3.2.2. Approximately 68% of respondents reported fishing for deepwater bottomfish and 65% for shallow-water bottomfish, with 41% identifying deepwater bottomfish as their primary target and 49% identifying shallow-water bottomfish as their primary target. Approximately 37% of trips included some form of bottomfish fishing. In general, deepwater bottomfish fishing appears to be associated with more commercially-motivated fishermen. Fishers who primarily targeted bottomfish sold over half of their catch (52%) to friends, neighbors, and co-workers. They self-identified primarily as subsistence fishers (58% selected this category) and recreational expense fishers (41%), although respondents spanned all response categories (full-time commercial, part-time commercial, recreational expense, purely recreational, subsistence, and cultural). Almost half identified multiple motivations (49%).

## 2.3.2.4.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the CNMI bottomfish fishery. Supporting figures and tables will be added in future reports.

## 2.3.2.5 CNMI Crustacean Fishery

There is currently no socioeconomics information specific to this fishery. Subsequent reports will include new data as resources allow.

## 2.3.2.5.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the CNMI crustacean fishery. Supporting figures and tables will be added in future reports.

## 2.3.2.6 CNMI Coral Reef Fishery

Coral reef fish were also included in the 2011 Small Boat Survey (Hospital and Beavers, 2014). Overall fisher demographics and catch disposition were summarized in section 2.3.2.2. Not surprisingly, fishermen targeting reef fish, on average, are slightly younger than others, likely due to the physical requirements of reef fishing (primarily spear fishing). Approximately 54% of respondents reported atulai fishing, 50% spearfishing, and 12% net fishing. Atulai was identified as the primary target by 46% while 38% indicated spearfishing, and 14% net fishing as their primary gear type. Fishers who primarily targeted reef fish sold almost half of their catch (45%) to friends, neighbors, and co-workers. They self-identified primarily as subsistence fishers (44% selected this category) and cultural fishers (38%), although respondents spanned all response categories (full-time commercial, part-time commercial, recreational expense, purely recreational, subsistence, and cultural). Over one-third identified multiple motivations (38%).

In addition to playing an important role in subsistence and cultural fishing, coral reef ecosystems have been estimated at a value of \$61 million (Saipan only), 70% of which is accounted for by tourism (Grace McCaskey, 2014).

## 2.3.2.6.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the CNMI coral reef fishery. Supporting figures and tables will be added in future reports.

## 2.3.2.7 CNMI Precious Coral Fishery

There is currently no socioeconomics information specific to this fishery. Subsequent reports will include new data as resources allow.

## 2.3.2.7.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the CNMI precious coral fishery. Supporting figures and tables will be added in future reports.

## 2.3.3 Guam

## 2.3.3.1 Introduction

An overview of Guam's history, culture, geography, and relationship with the U.S. is described in Section 1.3 of the Fishery Ecosystem Plan for the Mariana Archipelago (Western Pacific Regional Fishery Management Council, 2016b). Guam is the largest and southernmost island of the archipelago. It is also the largest and most heavily populated island in Micronesia. Over the past decade, a number of studies have synthesized more specifics about the role of fishing and marine resources across Guam, as well as information about the people who engage in the fisheries or use fishery resources.

The ancestors of the indigenous Chamorros first arrived in the Marianas around 3,500 years ago and were expert fishermen and seafarers, relying on seafood as their principal source of protein (see Chapter 1, Allen and Bartram, 2008, Grace McCaskey 2014, Hospital and Beavers 2012). They fished on the high seas in large sailing canoes (proas) and used numerous methods to catch reef and bottomfish from boats Similar to other archipelagos in the Western Pacific, fish and marine resources have played a central role in shaping the social, cultural, and economic fabric of Guam that continues today. Chamorros fished for both reef and pelagic species, collected mollusks and other invertebrates and caught sea turtles.

The occupation of Guam by foreign nations dramatically changed the island's ecosystems, reshaped communities, and disrupted fishing traditions. In the 17<sup>th</sup> and 18<sup>th</sup> centuries, Spanish colonizers destroyed the Chamorros' seagoing canoes, suppressed offshore fishing practices, and relocated populations from their traditional home. Following the Spanish-American War in 1898, the U.S. Navy took control of Guam, until it was occupied by Japan from 1941 to 1944. Guam became a U.S. territory in 1950, and the U.S. military is currently in the process of building up an even greater presence on the island. Throughout this time, fishing has remained an important activity, although by the beginning of the American period in 1898, the indigenous inhabitants had lost many of their seafaring and fishing skills and even the native names of many of the

offshore species. Later immigrants to the islands from East and Southeast Asia also possessed a strong fishing tradition. In 2000, for Guam's population that identified as a single ethnicity 37% were Chamorro, followed by 32% Asian (about 80% of whom were Filipino), 17% other Pacific Islander, 7% white and 1% black. Despite rapid socioeconomic change, households still reflect the traditional pattern of extended families with multigenerational clustering of relatives, especially in Guam's southern villages. Social occasions such as neighborhood parties, wedding and baptismal parties, wakes and funerals, and especially the village fiestas that follow the religious celebrations of village patron saints all require large quantities of fish and other traditional foods, reflecting the role of fish in maintaining social ties and cultural identities. Sometimes fish are also sold to earn money to buy gifts for friends and relatives on important Catholic religious occasions such as novenas, births and christenings, and other holidays.

Since the late 1970s, Guam's most important commercial fisheries activity has been its role as a major regional fish transshipment center and resupply base for domestic and foreign tuna fishing fleets. Services provided include fueling, provisioning, unloading, air and sea transshipment, net and vessel repairs, crew repatriation, medical care, and warehousing. Among Guam's advantages as a home port are well-developed and highly efficient port facilities in Apra Harbor; an availability of relatively low-cost vessel fuel; a well-established marine supply/repair industry; and recreational amenities for crew shore leave. In addition, the territory is exempt from the Nicholson Act, which prohibits foreign ships from landing their catches in U.S. ports. Initially, the majority of vessels calling in Apra Harbor to discharge frozen tuna for transshipment were Japanese purse seine boats and carrier vessels. In the late 1980s, Guam became an important port for Japanese and Taiwanese longline fleets, but port calls have steadily declined and the transshipment volume has also declined accordingly. By the early 1990s, an air transshipment operation was also established on Guam. Fresh tuna was flown into Guam from the Federated States of Micronesia and elsewhere on air cargo planes and out of Guam to the Japanese market on wide-body passenger planes. Further, vessels from Japan and Taiwan also landed directly into Guam where their fish was packed and transshipped by air to Japan. A second air transshipment operation began in the mid-1990s; it was transporting to Europe fish that did not meet Japanese sashimi market standards, but this has since ceased operations. Moreover, the entire transshipment industry has contracted markedly with only a few operators still making transshipments to Japan. Annual volumes of tuna transshipped of between 2007 and 2011 averages about 3,400 mt, with a 2012 estimate of 2,222 mt, compared to over 12,000 mt at the peak of operations between 1995 and 2001. As early as 2006, it was noted that the Port of Guam had lost much of its competitive advantage compared to alternative transshipment locations in the western Pacific and elsewhere, a trend that may not be reversible.

Otherwise, commercial fisheries have a relatively minor contribution to Guam's economy; the social and cultural importance of fisheries in Guam dwarfs their commercial value. Nearly all Guam domestic fishermen hold jobs outside the fishery, with fishing typically supplementing family subsistence. High value is placed on sharing one's fish catch with relatives and friends, and this social obligation extends to part-time and full-time commercial fishermen alike. A 2005 survey of Guam households found that nearly one-quarter (24 percent) of the fish consumed was caught by the respondent or an immediate family member, and an additional 14 percent was caught by a friend or extended family member (Allen and Bartram, 2008). However, a little more than half (51 percent) of the fish consumed was purchased at a store or restaurant and 9 percent was purchased at a flea market or from a roadside stand. The same study found that annual

seafood consumption in Guam is estimated to be about 60 lbs. per capita, with approximately 43% imported from the U.S.

The Westernization of Guam, particularly since World War II, not only resulted in a transition from a subsistence to wage-based economy but also contributed to dramatic changes in eating patterns, including lower seafood consumption. Indeed, recent years have seen steady declines in the market demand for fresh local fish across Guam (Hospital and Beavers, 2012). While some families continue to supplement their diet by fishing and farming, no existing communities are completely dependent on local fishing as a source of food. A household survey conducted in 2016 found that only 29% of respondents participate in fishing (National Coral Reef Monitoring Program, 2016a).

Allen and Bartram (2008) reviewed the history of shoreline and inshore fishing on Guam. They noted that the number of people engaged in shore fishing in the 1970s was surprisingly large, given that about 90% of the food consumed on the island was imported. A study conducted in 1975 found that 65% of households reported some participation in fishing, which was presumably shore fishing as a result of the low level of boat ownership at the time. Creel surveys conducted by the Guam Division of Aquatic and Wildlife Resources indicated that catch-perunit-effort (CPUE) in Guam's shore-based fisheries for reef fish (pole, spear, cast net, surround and gill net) declined sharply in the 1980s and had not recovered by 2008. Offshore (boat-based) catches of reef-associated fish were relatively constant between1992 and 2008, whereas inshore catches that accounted for the majority of the reef fish harvest during the 1990s accounted for the minority of the total harvest by 2008. Much of the traditional harvest targets seasonal runs of juvenile rabbitfish, goatfish, bigeye scad (atulai, Selar Crumenophthalmus) and jacks family (i'e, family Carangidae). A study in 2007 estimated that Guam's coral reef resources were valued at close to \$127 million per year, primarily driven by the island's important tourism industry (Grace McCaskey, 2014). Nearly 1.2 million people visited Guam in 2010, many of them attracted by reef-related activities, such as snorkeling and scuba diving.

As recently as the early 1970s, relatively few people in Guam fished offshore, because boats and deep-sea fishing equipment were prohibitively expensive (Allen and Bartram, 2008). During the economic boom from the late 1980s through most of the 1990s, Guam developed a small boat fishery that conducts trolling and bottomfishing, mostly within 30 miles of shore.

The Guam Fishermen's Cooperative Association (GFCA) plays an important role in preserving important fishing traditions. It began operations in 1976 and was incorporated in 1977. In 2006 its membership included 164 full-time and part-time fishermen from every district on Guam, and it processed and marketed approximately 80% of the local commercial catch. In addition, it plays a role in fisheries data collection, marine education and training, and fisheries conservation and management. The GFCA strives to provide benefits not just to fishermen but to residents throughout Guam, benefitting the broader Guam community. It utilizes a Hazard Analysis and Critical Control Point (HACCP) system to ensure safe seafood, and tests fish for potential toxins or whenever requested by the Guam Department of Health and Sanitation. It has also become a focal point for community activities such as the Guam Marianas International Fishing Derby, cooking competitions, the Guam Fishermen's Festival, dissemination of educational materials on marine resources, vessel safety and seafood preparation, public meetings on resource management issues, and communications via radio base to relay information and coordinate

rescues. It also has adopted a policy of purchasing local origin products that benefits 40 small businesses on Guam, regularly donates seafood for village functions and charitable activities, and provides assistance to victims of periodic typhoons with emergency supplies of ice and fuel. In addition, the GFCA has become a voice for Guam fishermen in the policy arena to ensure that concerns of fishermen are incorporated into issues such as the military buildup and loss of fishing grounds due to establishment of Marine Preserve Areas.

Fishing in Guam continues to be important not only in contributing to the subsistence needs of the Chamorro and other residents but in preserving their histories and identities. Knowledge of how fish are distributed and consumed locally is crucial to understanding the social and cultural significance of fishing on Guam.

## 2.3.3.2 People who Fish

Few studies have been conducted on fishing in Guam in general. A household survey conducted in 2012 found that 35% of respondents said they or someone else in their household was a fisherman (Kotowicz and Allen, 2015). Respondents from fishing households tended to have lower education levels and have a higher rate of unemployment than respondents from non-fishing households.

As described in Allen and Bartram (2008), in 1999 a detailed study of the inshore fishing behaviors and spatial patterns was conducted for the three largest resident fishing cultures on Guam: Chamorro, Micronesian and Filipino. At that time, Chamorros comprised about 3/4 of the fishing parties encountered, while Micronesians constituted about 17 percent of the fishing parties and Filipinos about 7 percent. A number of contemporary reef fishing methods on Guam were observed, including gleaning, hand line, rod and reel, talaya (cast net), tekken (gill net), chenchulu (surround net), and spearfishing. Explicit rules governing permanent marine ownership were not observed, but Chamorro fishermen maintained a strong identification with village and municipal space. This village relationship included the reef during the early part of the 20th century but that has since largely disappeared. Instead, a system of "pliant tenure" (a vestige of traditional marine tenure) was recognized: while any reef area is publicly accessible, fishermen act according to a system of temporary ownership or pliant tenure of reef area. These rules were understood and incorporated by Chamorro and immigrant fishers alike. Respondents voiced concern about the loss of fishing grounds through designation of marine reserves and tourist watercraft activities. They viewed reduced coastal access as threatening the perpetuation of cultural identity and practice by reducing ability to teach and practice traditions such as communal harvests and distribution of the catches, which reinforce family cohesion and communal identity. These practices were further jeopardized by the U.S. buildup of military personnel and families in recent years.

In the mid-1980s Guam fisheries were characterized as including (1) a small number of true commercial fishermen, (2) subsistence/recreational fishermen who regularly sell part of their catch, (3) a large number of subsistence fishermen who rarely sell any of their catch, and (4) a substantial number of recreational fishermen. Approximately 60% of catch was noncommercial, with fish sales primarily used to generate revenue to pay for fuel costs. A similar pattern continues in recent years.

In 2011, a survey was conducted of the small boat fleet, which included questions about trolling, bottomfishing, and reef fishing. On average, fishermen responding to the survey were 44 years old and reported to have been boat fishing for an average of 20 years. Respondents were also more educated and more affluent then the general population. The majority of respondents described themselves as Chamorro (72%) followed by white (23%) with relatively small proportions of Filipinos (6%), Micronesians (6%), other ethnicities (5%), and Carolinians (1%). There was considerable evidence of co-ownership and sharing of fishing vessels. In addition, fishermen reported the use of multiple gear types, with pelagic trolling as the most popular gear type followed by shallow-water bottomfish fishing and deepwater bottomfish fishing. Almost all (96%) fishermen reported fishing at a Fish Aggregating Device (FAD) during the past 12 months, and on nearly half (53%) of their fishing trips. Fishing for bottomfish and reef fish was highly seasonal compared to pelagics; whereas over half of the survey respondents (54%) fished all year for pelagics, only 16% fished year-round for bottomfish and reef fish.

Approximately 70% of fishermen reported selling at least a portion of their fish, and 82% of could always sell all the fish that they wanted to sell. However, nearly 30% reported that they had not sold any fish in the past 12 months, and nobody reported selling all the fish they caught. Instead, cost recovery was cited as the primary motivation for the sale of fish, with fish sales contributing very little to personal income for the majority (59%). In fact, 64% of fishermen reporting the sale of fish earned fishing revenues of less than \$1000, which would not cover overall trip expenditures for the year. Sale of pelagic fish contributes to nearly 67% of fishing income, with another 20% from bottomfish revenues, and the rest from reef fish.

While respondents sold approximately 24% of their total catch, 29% was consumed at home, while 42% was given away. The remaining catch was either released (2%) or exchanged for goods and services (3%). This diversity of catch disposition extends to fishermen who regularly sell fish, as they still retain approximately 30% of their catch for home consumption and participation in traditional fish-sharing networks and customary exchange. Additionally, 78% consider the pelagic fish they catch to be an important source of food, 79% for bottomfish, and 85% for reef fish. These findings validate the importance of fishing in terms of building and maintaining social and community networks, perpetuating fishing traditions, and providing food security to local communities.

Like with CNMI, fishing on Guam is a social activity. Only 7% of fishermen reported fishing alone, and 45% reported that their boat is used without them on occasion. In addition, 61% reported to be a member of a fishing club, association or group. The majority of fishermen (60%) also agreed that as a fisherman, they are respected by the Guam community. Very few felt that they were not respected by the community.

There was also an open-ended portion of the survey that asked for comments. The two most prevalent themes were that of a rising population and rising fuel costs. Many believed that the expanding population would increase the demand for fish and number of fishermen, yet at the same time, others noted that fuel costs and economic considerations could restrict fishing. In addition, there was concern about the designation of Marianas Trench Marine National Monument, especially since respondents felt that the Marine Preserve Areas established in 1997 had already displaced them from their traditional fishing grounds. Military exercises also affected fishing trips. Other studies have also documented concerns about fishing access related to the designation of the Monument (see Richmond and Kotowicz, 2015, Kotowicz and Richmond 2013, and Kotowicz and Allen, 2015). Despite long distance, high cost, and inconvenience, travel to the areas now protected by the Monument were rare but culturally significant events, and fishing was an essential component.

Similar to CNMI, Guam's small boat fisheries are a complex mix of subsistence, cultural, recreational, and quasi-commercial fishermen whose fishing behaviors provide evidence of the importance of fishing to the island of the Guam. For nearly all fishery participants, the social and cultural motivations for fishing far outweigh any economic prospects. Nearly all fishermen supplement their income with other jobs and are predominantly subsistence fishermen, selling occasionally to recover trip expenses.

## 2.3.3.3 Costs of Fishing

Since 2012, the PIFSC Socioeconomics Program has maintained a continuous economic data collection program on Guam through collaboration with the PIFSC Western Pacific Fisheries Information Network (WPacFIN). The economic data collection gathers fishing expenditure data for boat-based reef fish, bottomfish, and pelagic fishing trips on an ongoing basis. Data for fishing trip expenses include; gallons of fuel used, price per gallon of fuel, cost of ice used, cost of bait & chum used, cost of fishing gear lost, and the engine type of the boat. These economic data are collected from same subset of fishing trips as the boat-based creel survey carried out by the local fisheries management agencies and WPacFIN.

These data are currently under PIFSC editorial review and future versions of this report will include a time-series of Guam boat-based trip costs by target species and/or gear. Metadata for these data are available online (PIFSC Socioeconomics Program, 2016).

Guam trip cost estimates from 2011 for bottomfish and reef-fish fishing trips are available in Hospital and Beavers (2012). Other relevant cost information in Hospital and Beavers (2012) include estimates of annual fishing expenditures (fixed costs) and levels of investment in the fishery.

## 2.3.3.4 Guam Bottomfish Fishery

Allen and Bartram (2008) reviewed the history of the bottomfish fishery on Guam, which consists of a shallow-water and deepwater complex. They noted that during the 1980s and 1990s, bottomfish fishing was a highly seasonal small-scale commercial, subsistence, and recreational fishery. The majority of the participants operated vessels less than 25 ft. long and targeted the shallow-water bottomfish complex because of the lower expenditure and relative ease of fishing close to shore. The commercially oriented vessels tended to be longer than 25 ft., concentrating effort on the deepwater bottomfish complex. Both deepwater and shallow-water bottomfish are also important target species of the charter fishing fleet, and charter trips accounted for about 15–20% of all bottomfishing trips from 1995 through 2000. In 1998, the charter fleet attracted approximately 3% of visitors to Guam and consisted of about 12 core boats.

Bottomfish was one of the gear types included in the 2011 Small Boat Survey (Hospital and Beavers, 2014). Overall fisher demographics and catch disposition were summarized in section 2.3.3.2. Approximately 57% of respondents reported fishing for deepwater bottomfish and 59% for shallow-water bottomfish, with 52% identifying deepwater bottomfish as their primary target

and 49% identifying shallow-water bottomfish as their primary target. Fishers who primarily targeted bottomfish their catch mainly through the Guam Fisherman's Cooperative Association (55%), or to friends, neighbors, and co-workers (41%). For the most part, they self-identified as recreational expense fishers (40% selected this category), cultural fishers (35%), subsistence fishers (35%), purely recreational fishers (30%), although respondents spanned all response categories except full-time commercial (i.e., part-time commercial, recreational expense, purely recreational, subsistence, and cultural). Half identified multiple motivations (54%).

## 2.3.3.4.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the Guam bottomfish fishery. Supporting figures and tables will be added in future reports.

## 2.3.3.5 Guam Crustacean Fishery

There is currently no socioeconomics information specific to this fishery. Subsequent reports will include new data as resources allow.

#### 2.3.3.5.1 Commercial Participation, Landings, Revenues, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the Guam crustacean fishery. Supporting figures and tables will be added in future reports.

## 2.3.3.6 Guam Coral Reef Fishery

Coral reef fish were also included in the 2011 Small Boat Survey (Hospital and Beavers, 2014). Overall fisher demographics and catch disposition were summarized in section 2.3.3.2. Approximately 33% of respondents reported atulai fishing, 32% spearfishing, and 8% net fishing. Atulai was identified as the primary target by 31% while 20% indicated spearfishing, and 4% net fishing as their primary gear type. Fishers who primarily targeted reef fish sold their catch mainly through the Guam Fisherman's Cooperative Association (37%) to friends, neighbors, and co-workers (51%). For the most part, they self-identified as subsistence fishers (46% selected this category), purely recreational fishers (46%), cultural fishers (38.5%), and recreational expense fishers (31%) although respondents spanned all response categories except full-time commercial (i.e., part-time commercial, recreational expense, purely recreational, subsistence, and cultural). Over half identified multiple motivations (54%).

## 2.3.3.6.1 Commercial Participation, Landings, Revenue, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the Guam coral reef fishery. Supporting figures and tables will be added in future reports.

## 2.3.3.7 Guam Precious Coral Fishery

There is currently no socioeconomics information specific to this fishery. Subsequent reports will include new data as resources allow.

## 2.3.3.7.1 Commercial Participation, Landings, Revenues, Prices

This section will describe trends in commercial participation, landings, revenues and prices, as data allows, for the Guam precious coral fishery. Supporting figures and tables will be added in future reports.

## 2.3.4 Ongoing Research and Information Collection

Social indicators are being compiled for CNMI and Guam, in accordance with a national project to describe and evaluate community well-being in terms of social, economic, and psychological welfare (https://www.st.nmfs.noaa.gov/humandimensions/social-indicators/index). In addition, a web-based tool is being developed to compile relevant socioeconomic data into a "Community Snapshot" by Census County Division or equivalent. An update to the CNMI Fishing Community Profile is also in preparation. Efforts are underway to update the 2011 Marianas Archipelago Small Boat Cost-Earnings Survey and PIFSC hopes to field a new survey in the coming years.

## 2.3.5 Relevant PIFSC Economics and Human Dimensions Publications: 2016

No publications specific to the Marianas Archipelago were produced in 2016.

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## 2.4 PROTECTED SPECIES

This section of the report summarizes information on protected species interactions in fisheries managed under the Mariana FEP. Protected species covered in this report include sea turtles, seabirds, marine mammals, sharks, and corals. Most of these species are protected under the Endangered Species Act (ESA), the Marine Mammal Protection Act (MMPA), and/or the Migratory Bird Treaty Act (MBTA). A list of protected species found in or near Mariana Archipelago waters and a list of critical habitat designations in the Pacific Ocean are included in Appendix B.

#### 2.4.1 Indicators for Monitoring Protected Species Interactions in the Marianas FEP Fisheries

This report monitors the status of protected species interactions in the Marianas FEP fisheries using proxy indicators such as fishing effort, and changes in gear types as these fisheries do not have observer coverage. Creel surveys and logbook programs are not expected to provide reliable data about protected species interactions. Discussion of protected species interactions is focused on fishing operations in federal waters and associated transit through Territorial waters. No interactions with protected species have been documented to date.

## 2.4.1.1 FEP Conservation Measures

Bottomfish, precious coral, coral reef and crustacean fisheries managed under this FEP have not had reported interactions with protected species, and no specific regulations are in place to mitigate protected species interactions. Destructive gear such as bottom trawls, bottom gillnets, explosives and poisons are prohibited under this FEP, and these provide benefit to protected species by preventing potential interactions with non-selective fishing gear.

## 2.4.1.2 ESA Consultations

ESA consultations were conducted by NMFS and the U.S. Fish and Wildlife Service (for species under their jurisdiction) to ensure ongoing fisheries operations managed under the Marianas FEP are not jeopardizing the continued existence of any listed species or adversely modifying critical habitat. The results of these consultations conducted under section 7 of the ESA are briefly described below and summarized in Table 59.

| Fishery    | Consultation | Consultation      | Outcome <sup>b</sup> | Species                                    |
|------------|--------------|-------------------|----------------------|--|
|            | date         | type <sup>a</sup> |                      |  |
| Bottomfish | 3/8/2008     | BiOp              | NLAA                 | Loggerhead sea turtle                      |
| (CNMI &    | 6/3/2008     | LOC               | NLAA                 | Green sea turtle, olive ridley sea         |
| Guam)      |              |                   |                      | turtle, hawksbill sea turtle,              |
|            |              |                   |                      | leatherback sea turtle, blue whale, fin    |
|            |              |                   |                      | whale, humpback whale, sei whale           |
|            |              |                   |                      | sperm whale                                |
| Coral reef | 3/7/2002     | LOC               | NLAA                 | Loggerhead sea turtle, leatherback sea     |
| ecosystem  |              |                   |                      | turtle, olive ridley sea turtle, green sea |
| (CNMI &    |              |                   |                      | turtle, hawksbill sea turtle, humpback     |
| Guam)      |              |                   |                      | whale, blue whale, fin whale, sei          |
|            |              |                   |                      | whale, sperm whale                         |

| Fishery                                | Consultation date | Consultation<br>type <sup>a</sup> | Outcome <sup>b</sup>             | Species   |
|--|-------------------|-----------------------------------|----------------------------------|---|
|  | 5/22/2002         | LOC<br>(USFWS)                    | NLAA                             | Green, hawksbill, leatherback,<br>loggerhead and olive ridley turtles,<br>Newell's shearwater, short-tailed<br>albatross, Laysan duck, Laysan finch,<br>Nihoa finch, Nihoa millerbird,<br>Micronesian megapode, 6 terrestrial<br>plants |
| Coral reef<br>ecosystem<br>(CNMI)      | 6/3/2008          | LOC                               | NLAA                             | Green sea turtle, olive ridley sea<br>turtle, hawksbill sea turtle,<br>leatherback sea turtle, blue whale, fin<br>whale, humpback whale, sei whale,<br>sperm whale  |
| Crustaceans<br>(CNMI &<br>Guam)        | 9/28/2007         | LOC                               | NLAA                             | Green sea turtle, loggerhead sea<br>turtle, olive ridley sea turtle,<br>hawksbill sea turtle, leatherback sea<br>turtle, blue whale, humpback whale,<br>sei whale, sperm whale  |
| Precious<br>corals<br>(CNMI &<br>Guam) | 10/4/1978         | BiOp                              | Does not<br>constitute<br>threat | Sperm whale, leatherback sea turtle   |
| Precious<br>corals<br>(Guam)           | 12/20/2000        | LOC                               | NLAA                             | Humpback whale, green sea turtle,<br>hawksbill sea turtle   |
| All fisheries                          | 4/29/2015         | BE & LOC                          | NLAA                             | Reef-building corals, scalloped<br>hammerhead shark (Indo-west Pacific<br>DPS)  |

<sup>a</sup> BiOp = Biological Opinion; LOC = Letter of Concurrence; BE = Biological Evaluation

<sup>b</sup> LAA = likely to adversely affect; NLAA = not likely to adversely affect.

#### Bottomfish Fishery

In a Biological Opinion issued on March 8, 2002, NMFS concluded that the ongoing operation of the Western Pacific Region's bottomfish and seamount fisheries was not likely to jeopardize the continued existence of any threatened or endangered species under NMFS's jurisdiction or destroy or adversely modify any critical habitat. An informal consultation completed by NMFS on June 3, 2008 concluded that Mariana Archipelago bottomfish fisheries are not likely to adversely affects four sea turtle species (leatherback, olive ridley, green and hawksbill turtles) and five marine mammal species (humpback, blue, fin, sei and sperm whales). NMFS also concluded in an informal consultation dated April 29, 2015 that fisheries managed under the Mariana Archipelago FEP are not likely to adversely affect the Indo-West Pacific DPS of scalloped hammerhead shark and ESA-listed reef-building corals.

#### Crustacean Fishery

An informal consultation completed by NMFS on September 28, 2007 concluded that Mariana Archipelago crustacean fisheries are not likely to adversely affect five sea turtle species (loggerhead, leatherback, olive ridley, green and hawksbill turtles) and five marine mammal

species (humpback, blue, fin, sei and sperm whales). NMFS also concluded in an informal consultation dated April 29, 2015 that fisheries managed under the Mariana Archipelago FEP are not likely to adversely affect the Indo-West Pacific DPS of scalloped hammerhead shark and ESA-listed reef-building corals.

#### Coral Reef Fishery

An informal consultation completed by NMFS on March 7, 2002 concluded that fishing activities conducted under the Coral Reef Ecosystems FMP are not likely to adversely affect endangered or threatened species or critical habitat under NMFS's jurisdiction. On May 22, 2002, the USFWS concurred with the determination of NMFS that the activities conducted under the Coral Reef Ecosystems FMP are not likely to adversely affect listed species under USFWS's exclusive jurisdiction (i.e., seabirds and terrestrial plants) and listed species shared with NMFS (i.e., sea turtles).

An informal consultation completed by NMFS in June 3, 2008 concluded that CNMI coral reef fisheries are not likely to adversely affect adversely affects four sea turtle species (leatherback, olive ridley, green and hawksbill turtles) and five marine mammal species (humpback, blue, fin, sei and sperm whales). NMFS also concluded in an informal consultation dated April 29, 2015 that fisheries managed under the Mariana Archipelago FEP are not likely to adversely affect the Indo-West Pacific DPS of scalloped hammerhead shark and ESA-listed reef-building corals.

#### Precious Coral Fishery

In a Biological Opinion issued on October 4, 1978, NMFS concluded that the ongoing operation of the Western Pacific Region's precious coral fisheries was not likely to jeopardize the continued existence of any threatened or endangered species under NMFS's jurisdiction or destroy or adversely modify critical habitat. An informal consultation completed by NMFS on December 20, 2000 concluded that Mariana Archipelago precious coral fisheries are not likely to adversely affect humpback whales, green turtles or hawksbill turtles. NMFS also concluded in an informal consultation dated April 29, 2015 that fisheries managed under the Mariana Archipelago FEP are not likely to adversely affect the Indo-West Pacific DPS of scalloped hammerhead shark and ESA-listed reef-building corals.

## 2.4.1.3 Non-ESA Marine Mammals

The MMPA requires NMFS to annually publish a List of Fisheries (LOF) that classifies commercial fisheries in one of three categories based on the level of mortality and serious injury of marine mammals associated with that fishery. According to the 2017 LOF (82 FR 3655, January 12, 2017), the Guam and CNMI bottomfish fisheries operating under the Marianas FEP are classified as Category III fisheries (i.e. a remote likelihood of or no known incidental mortality and serious injury of marine mammals).

## **2.4.2** Status of Protected Species Interactions in the Marianas FEP Fisheries *Bottomfish Fishery*

There are no observer data available for the Guam and CNMI bottomfish fisheries. However based on current ESA consultations, these fisheries are not expected to interact with any ESAlisted species in Federal waters around Guam or CNMI. NMFS has also concluded that the Mariana Archipelago bottomfish commercial fisheries will not affect marine mammals in any manner not considered or authorized under the Marine Mammal Protection Act. Based on fishing effort and other characteristics described in Chapter 1 of this report, no notable changes have been observed in the fishery. There is no other information to indicate that impacts to protected species from this fishery have changed in recent years.

#### Crustacean Fishery

There are currently no crustacean fisheries operating in federal waters around Guam or CNMI. However based on current ESA consultations, crustacean fisheries are not expected to interact with any ESA-listed species in Federal waters around Guam or CNMI. NMFS has also concluded that the Mariana Archipelago crustacean commercial fisheries will not affect marine mammals in any manner not considered or authorized under the Marine Mammal Protection Act.

## Coral Reef Fishery

There are no observer data available for the Guam and CNMI coral reef fisheries. However based on current ESA consultations, these fisheries are not expected to interact with any ESAlisted species in Federal waters around Guam or CNMI. NMFS has also concluded that the Mariana Archipelago coral reef commercial fisheries will not affect marine mammals in any manner not considered or authorized under the Marine Mammal Protection Act.

Based on fishing effort and other characteristics described in Chapter 1 of this report, no notable changes have been observed in the fishery. There is no other information to indicate that impacts to protected species from this fishery have changed in recent years.

## Precious Coral Fishery

There are currently no precious coral fisheries operating in federal waters around Guam and CNMI. However based on current ESA consultations, precious coral fisheries are not expected to interact with any ESA-listed species in Federal waters around Guam or CNMI. NMFS has also concluded that the Mariana Archipelago precious coral commercial fisheries will not affect marine mammals in any manner not considered or authorized under the Marine Mammal Protection Act.

## 2.4.3 Identification of Emerging Issues

Several species are currently candidates for listing under the ESA, and several more ESA-listed species are being evaluated for critical habitat designation (**Table 60**). If these species are listed or critical habitat are designated, they will be included in this SAFE report and impacts from FEP-managed fisheries will be evaluated under applicable mandates.

## Table 60. Candidate ESA species, and ESA-listed species being evaluated for critical habitat designation.

| Sp                           | Species                    |   | Listing process  | 6   | Post-listin                                     | ng activity   |
|------------------------------|----------------------------|---|--|---|---|---|
| Common<br>name               | Scientific<br>name         | 90-day<br>finding   | 12-month<br>finding /<br>Proposed<br>rule  | Final rule  | Critical<br>Habitat                             | Recovery<br>Plan  |
| Oceanic<br>whitetip<br>shark | Carcharhinus<br>longimanus | Positive (81<br>FR 1376,<br>1/12/2016)                      | Positive,<br>threatened<br>(81 FR<br>96304,<br>12/29/2016)   | Public<br>comment<br>period<br>closed<br>3/29/2017,<br>final rule<br>expected<br>12/29/2017 | N/A   | N/A   |
| Pacific<br>bluefin<br>tuna   | Thunnus<br>orientalis      | Positive (81<br>FR 70074,<br>10/11/2016)                    | In progress,<br>expected<br>6/2017   | N/A   | N/A   | N/A   |
| Chambered<br>nautilus        | Nautilus<br>pompilius      | Positive (81<br>FR 58895,<br>8/26/2016)                     | In progress,<br>expected<br>5/2017   | N/A   | N/A   | N/A   |
| Giant<br>manta ray           | Manta<br>birostris         | Positive (81<br>FR 8874,<br>2/23/2016)                      | Positive,<br>threatened<br>(82 FRN<br>3694,<br>1/12/2017)  | Public<br>comment<br>period<br>closed<br>3/13/2017,<br>final rule<br>expected<br>1/2018     | N/A   | N/A   |
| Reef manta<br>ray            | Manta alfredi              | Positive (81<br>FR 8874,<br>2/23/2016)                      | Not<br>warranted<br>(82 FRN<br>3694,<br>1/12/2017)   | N/A   | N/A   | N/A   |
| Corals                       | N/A                        | Positive for<br>82 species<br>(75 FR<br>6616,<br>2/10/2010) | Positive for<br>66 species<br>(77 FR<br>73219,<br>12/7/2012)                                       | 20 species<br>listed as<br>threatened<br>(79 FR<br>53851,<br>9/10/2014)                     | In<br>development,<br>proposal<br>expected 2017 | In<br>development,<br>expected<br>TBA, interim<br>recovery<br>outline in<br>place |
| Green sea<br>turtle          | Chelonia<br>mydas          | Positive (77<br>FR 45571,<br>8/1/2012)                      | Identification<br>of 11 DPSs,<br>endangered<br>and<br>threatened<br>(80 FR<br>15271,<br>3/23/2015) | 11 DPSs<br>listed as<br>endangered<br>and<br>threatened<br>(81 FR<br>20057,<br>4/6/2016)    | In<br>development,<br>proposal<br>expected 2017 | TBA   |

#### 2.4.4 Identification of research, data and assessment needs

The following research, data and assessment needs for insular fisheries were identified by the Council's Protected Species Advisory Committee and Plan Team:

- Improve the precision of non-commercial fisheries data to improve understanding of potential protected species impacts.
- Define and evaluate innovative approaches to derive robust estimates of protected species interactions in insular fisheries.

## 2.5 CLIMATE AND OCEANIC INDICATORS

## 2.5.1 Introduction

The 2016 Annual Report includes a chapter on indicators of current and changing climate and related oceanic conditions in the geographic areas for which the Western Pacific Regional Fishery Management Council has responsibility. There are a number of reasons for the Council's decision to provide and maintain an evolving discussion of climate conditions as an integral and continuous consideration in their deliberations, decisions and reports:

- Emerging scientific and community understanding of the impacts of changing climate conditions on fishery resources, the ecosystems that sustain those resources and the communities that depend upon them;
- Recent Federal Directives including the 2010 implementation of a National Ocean Policy that identified Resiliency and Adaptation to Climate Change and Ocean Acidification as one of nine National priorities; the development of a Climate Science Strategy by the National Marine Fisheries Service (NMFS) in 2015 and the ongoing development of Pacific Regional Climate Science program;
- The Council's own engagement with the National Oceanic and Atmospheric Administration (NOAA) as well as jurisdictional fishery management agencies in American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii as well as fishing industry representatives and local communities in those jurisdictions; and
- Deliberations of the Council's Marine Planning and Climate Change Committee.

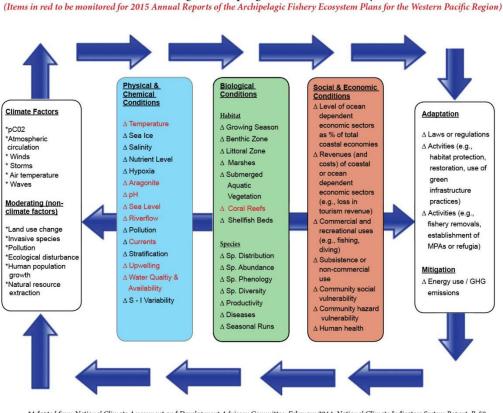
Beginning with the 2015 Report, the Council and its partners have described changes in a series of climate and oceanic indicators that will grow and evolve over time as they become available and their relevance to Western Pacific fishery resources becomes clear.

#### 2.5.2 Conceptual Model

In developing this chapter, the Council relied on a number of recent reports conducted in the context of the U.S. National Climate Assessment including, most notably, the 2012 Pacific Islands Regional Climate Assessment (PIRCA) and the Ocean and Coasts chapter of the 2014 report on a Pilot Indicator System prepared by the National Climate Assessment and Development Advisory Committee (NCADAC).

The Advisory Committee Report presented a possible conceptual framework designed to illustrate how climate factors can connect to and interact with other ecosystem components to ocean and coastal ecosystems and human communities. The Council adapted this model with considerations relevant to the fishery resources of the Western Pacific Region:

Indicators of Change to Archipelagic Coastal and Marine Systems\*



#### \*Adapted from National Climate Assessment and Development Advisory Committee. February 2014. National Climate Indicators System Report. B-59.

## Figure 11. Indicators of change to archipelagic coastal and marine systems.

As described in the 2014 NCADAC report, the conceptual model represents a "simplified representation of climate and non-climate stressors in coastal and marine ecosystems." For the purposes of this Annual Report, the modified Conceptual Model allows the Council and its partners to identify indicators of interest to be monitored on a continuing basis in coming years. The indicators shown in red were considered for inclusion in the 2016 Annual Report; the specific indicators used in the Report are listed in Section 2.3.

Other indicators will be added over time as datasets become available and understanding of the nature of the causal chain from stressors to impacts emerges.

The Council also hopes that this Conceptual Model can provide a guide for future monitoring and research that will enable the Council and its partners to move from observations and correlations to understanding the specific nature of interactions and developing capabilities to predict future changes of importance in developing, evaluating and adapting ecosystem-fishery plans in the Western Pacific Region.

### 2.5.3 Selected Indicators

The primary goal for selecting the Indicators used in this (and future reports) is to provide fisheries-related communities, resource managers and businesses with a climate-related situational awareness. In this context, Indicators were selected to:

- Be fisheries-relevant and informative
- Build intuition about current conditions in light of changing climate
- Provide historical context and
- Recognize patterns and trends.

For the 2016 report on Western Pacific Pelagic resources, the Council has included the following climate and oceanic indicators:

**Atmospheric Carbon Dioxide** (at Mauna Loa Observatory) --Increasing atmospheric CO<sub>2</sub> is a primary measure of anthropogenic climate change.

**Ocean pH** (at Station ALOHA) – Ocean pH provides a measure of ocean acidification. Increasing ocean acidification limits the ability of marine organisms to build shells and other hard structures.

**Oceanic Niño Index (ONI)** – Sea surface temperature anomaly from Niño 3.4 region  $(5^{\circ}N - 5^{\circ}S, 120^{\circ} - 170^{\circ}W)$ . This index is used to determine the phase of the El Niño – Southern Oscillation (ENSO), which has implications across the region affecting migratory patterns of key commercial fish stocks which, in turn, affect the location, safety and costs of commercial fishing.

**Pacific Decadal Oscillation (PDO)** – Sea surface temperature anomaly from Niño 3.4 region ( $5^{\circ}N - 5^{\circ}S$ ,  $120^{\circ} - 170^{\circ}W$ ). This index is used to determine the phase of the El Niño – Southern Oscillation (ENSO), which has implications across the region affecting migratory patterns of key commercial fish stocks which, in turn, affect the location, safety and costs of commercial fishing.

**Sea Surface Temperature** – Monthly sea surface temperature anomaly from 2003-2015 from the AVHRR instrument aboard the NOAA Polar Operational Environmental Satellite (POES). Sea surface temperature is one of the most directly observable measures we have for tracking increasing ocean temperature.

**Sea Surface Temperature Anomaly** – Sea surface temperature anomaly highlights long term trends. Filtering out seasonal cycle is one of the most directly observable measures we have for tracking increasing ocean temperature.

**Degree Heating Weeks (DHW)** – DHW from the CoralReefWatch team provide the best available metric to track exposure of coral reef ecosystems to anomalously high temperature events

**Sea Level (Sea Surface Height) and Anomaly** – Rising sea levels can result in a number of coastal impacts, including inundation of infrastructure, increased damage resulting from storm-driven waves and flooding, and saltwater intrusion into freshwater supplies. NOTE that no water level gauges are available in Pacific Remote Island Areas (PRIA) so only regional information on this indicator are included.

**Heavy Weather (Tropical Cyclones)** – Measures of tropical cyclone occurrence, strength, and energy. Tropical cyclones have the potential to significantly impact fishing operations.

**Wave Data** – To describe patterns in wave forcing, we present data from the Wave Watch 3 global wave model run by the Department of Ocean and Resources Engineering at the University of Hawai'i in collaboration with NOAA/NCEP and NWS Honolulu. Wave forcing can have major implications for both coastal ecosystems and pelagic fishing operations.

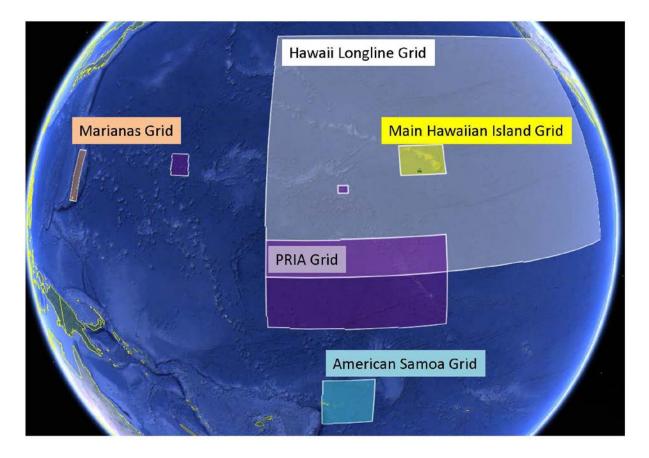


Figure 12. Regional Spatial Grids.

| Indicator  | Indicator Definition and Rationale  |  |  |
|--|---|--|--|
| Atmospheric<br>Concentration of Carbon<br>Dioxide (CO <sub>2</sub> ) | Atmospheric concentration $CO_2$ at Mauna Loa<br>Observatory. Increasing atmospheric $CO_2$ is a primary<br>measure of anthropogenic climate change.  | Trend: increasing exponentially<br>2017: time series maximum<br>406.43 ppm   |  |
| Oceanic pH   | Ocean surface pH at Station ALOHA. Ocean pH<br>provides a measure of ocean acidification. Increasing<br>ocean acidification limits the ability of marine<br>organisms to build shells and other hard structures.  | Trend: pH is decreasing at a rate<br>of 0.039 pH units per year,<br>equivalent to 0.4% increase in<br>acidity per year   |  |
| Oceanic Niño Index<br>(ONI)  | Sea surface temperature anomaly from Niño 3.4 region (5°N - 5°S, 120° - 170°W). This index is used to determine the phase of the El Niño – Southern Oscillation (ENSO), which has implications across the region, affecting migratory patterns of key commercial fish stocks which in turn affect the location, safety, and costs of commercial fishing.                      | 2015: Strong El Niño<br>2016: weak La Niña dissipating,<br>potential rapid return to El Niño   |  |
| Pacific Decadal<br>Oscillation (PDO)                                 | The Pacific Decadal Oscillation (PDO) Index is<br>defined as the leading principal component of North<br>Pacific monthly sea surface temperature variability<br>(poleward of 20N for the 1900-93 period).   | 2016: Strong Positive Phase  |  |
| Sea Surface<br>Temperature <sup>1</sup> (SST)                        | Satellite remotely-sensed sea surface temperature.<br>SST is projected to rise, and impacts phenomena<br>ranging from winds to fish distribution.   | 2013 & 2014 showed high<br>temperature anomalies relative<br>to record.  |  |
| Degree Heating Weeks<br>(DHW)  | Satellite remotely-sensed sea surface temperature,<br>transformed to a metric relevant for coral bleaching.<br>Each degree heating week indicates a one degree<br>excess over long term summer means (Maximum<br>Monthly Mean SST), that persists for a week. At 4<br>DHW, bleaching is expected, at 8 DHW bleaching is<br>expected to be widespread and to induce mortality. | 2013, 2014, and 2016 showed<br>extreme high temperature<br>anomalies, with values<br>surpassing 8 DHW in 2013 &<br>2014, and 4 DHW in 2016.                                |  |
| Tropical Cyclones  | Measures of tropical cyclone occurrence, strength, and<br>energy. Tropical cyclones have the potential to   | Eastern Pacific, 2016: 21 named<br>storms, 11 hurricanes, 5 major.<br>Central Pacific, 2016: 7 named   |  |
|  | significantly impact fishing operations.  | Western Pacific 2016: 26 named<br>storms, 13 typhoons, 6 major   |  |
| Sea Level/Sea Surface<br>Height                                      | Monthly mean sea level time series, including<br>extremes. Data from satellite altimetry & in situ tide<br>gauges. Rising sea levels can result in a number of<br>coastal impacts, including inundation of infrastructure,<br>increased damage resulting from storm-driven waves<br>and flooding, and saltwater intrusion into freshwater                                     | As measured by tide gauges in<br>Apra Harbor, Guam, sea level<br>showed a decline for most of<br>2015 reaching approximately<br>0.15 below average at its lowest<br>point. |  |

| Table 61 | Climate and | Ocean | Indicator | Summary | - Marianas. |
|----------|-------------|-------|-----------|---------|-------------|
|----------|-------------|-------|-----------|---------|-------------|

<sup>&</sup>lt;sup>1</sup> 2016 data are incomplete.

|             | supplies.  |   |
|-------------|--|---|
|             |  |   |
| Wave Energy | <ul> <li>WaveWatch III (WW3) Global Wave Model" run by<br/>UH Department of Ocean Resources &amp; Engineering in<br/>collaboration with NOAA/NCEP &amp; NOAA/NWS-<br/>Pacific</li> <li>Wave forcing can have major implications for both<br/>coastal ecosystems and pelagic fishing operations.</li> </ul> | Significant wave heights varied<br>throughout the Mariana<br>Archipelago from between 1.0-<br>1.5m for Guam and Saipan to<br>between 2.0-2.5m in Pagan &<br>Maug. |

**2.5.3.1** Atmospheric Concentration of Carbon Dioxide  $(CO_2)$ ) Mauna Loa. Description: Monthly mean atmospheric carbon dioxide at Mauna Loa Observatory, Hawaii in ppm from March 1958 to present. The carbon dioxide data is measured as the mole fraction in dry air, on Mauna Loa. A dry mole fraction is defined as the number of molecules of carbon dioxide divided by the number of molecules of dry air multiplied by one million (ppm). This constitutes the longest record of direct measurements of  $CO_2$  in the atmosphere. The measurements were started by C. David Keeling of the Scripps Institution of Oceanography in March of 1958 at a facility of the National Oceanic and Atmospheric Administration [Keeling, 1976]. NOAA started its own  $CO_2$  measurements in May of 1974, and they have run in parallel with those made by Scripps since then [Thoning, 1989].

The observed increase in monthly average carbon dioxide data is due primarily to  $CO_2$  emissions from fossil fuel burning. Carbon dioxide remains in the atmosphere for a very long time, and emissions from any location mix throughout the atmosphere in about one year. The annual oscillations at Mauna Loa, Hawaii are due to the seasonal imbalance between the photosynthesis and respiration of plants on land. During the summer photosynthesis exceeds respiration and  $CO_2$  is removed from the atmosphere, whereas outside the growing season respiration exceeds photosynthesis and  $CO_2$  is returned to the atmosphere. The seasonal cycle is strongest in the northern hemisphere because of the presence of the continents. The difference in  $CO_2$  between Mauna Loa and the South Pole has increased over time as the global rate of fossil fuel burning, most of which takes place in the northern hemisphere, has accelerated.

### Timeframe: Yearly (by month)

**Region/Location:** Hawaii but representative of global concentration of carbon dioxide.

### **Data Source:** "Full Mauna Loa CO<sub>2</sub> record" at

http://www.esrl.noaa.gov/gmd/ccgg/trends/, NOAA ESRL Global Monitoring Division. The National Oceanic and Atmospheric Administration (NOAA) Global Monitoring Division provides high-precision measurements of the abundance and distribution of long-lived greenhouse gases that are used to calculate global average concentrations.

# Measurement Platform: In-situ Station

**Rationale:** Atmospheric carbon dioxide is a measure of what human activity has already done to affect the climate system through greenhouse gas emissions. It provides quantitative information in a simplified, standardized format that decision makers can easily understand. This indicator demonstrates that the concentration (and, in turn, the warming influence) of greenhouse gases in the atmosphere has increased substantially over the last several decades. In January of 2017, the monthly mean concentration of  $CO_2$  was 406.43 ppm. In January of 1959, the onset year, it was 315.62 ppm. It passed 350 ppm in 1988.

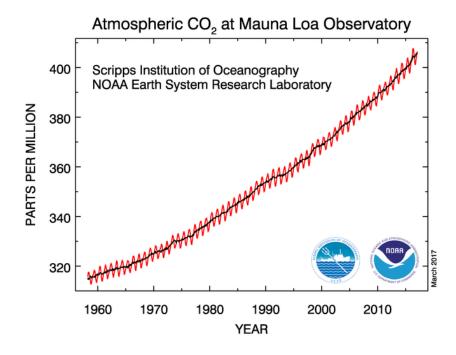
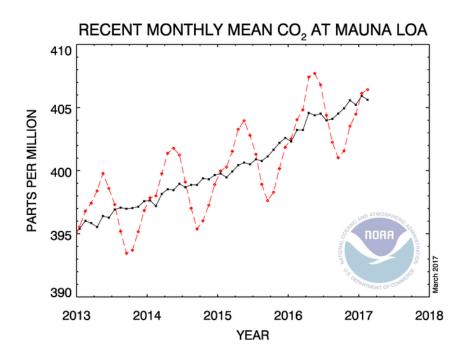
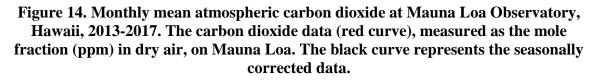


Figure 13. Monthly mean atmospheric carbon dioxide at Mauna Loa Observatory, Hawaii. The carbon dioxide data (red curve), measured as the mole fraction (ppm) in dry air, on Mauna Loa. The black curve represents the seasonally corrected data.





#### 2.5.3.2 Ocean pH:

**Description:** Trends in surface (0-10m) pH and pCO2 at Station ALOHA, North of Oahu (22° 45' N, 158° W), collected by the Hawai'i Ocean Time-series (HOT). Red dots represent directly measured pH, blue dots represent pH calculated from total alkalinity (TA) and dissolved inorganic carbon (DIC).

The 25+ year time-series at Station ALOHA represents the best available documentation of the significant downward trend of ocean pH since 1989. Actual ocean pH varies in both time and space, but over last 25 years, the HOTS Station ALOHA time series has shown a significant linear decrease of -0.0386 pH units, or roughly a 9% increase in acidity ([H+]) over that period. With the new year of data added since the last SAFE report (i.e. 2015 data), this declining trend continues.

Timeframe: Updated Monthly

Region/Location: North Oahu.

**Data Source/Responsible Party:** Hawai'i Ocean Time Series. (http://hahana.soest.hawaii.edu/hot/)

Measurement Platform: Oceanographic research station, shipboard collection.

**Rationale:** Increasing ocean acidification affects coral reef growth and health, which in turn affects the health of coral reef ecosystems and the ecosystems and resources that they sustain. Monitoring pH on a continuous basis provides a foundational basis for documenting, understanding and, ultimately, predicting the effects of ocean acidification.

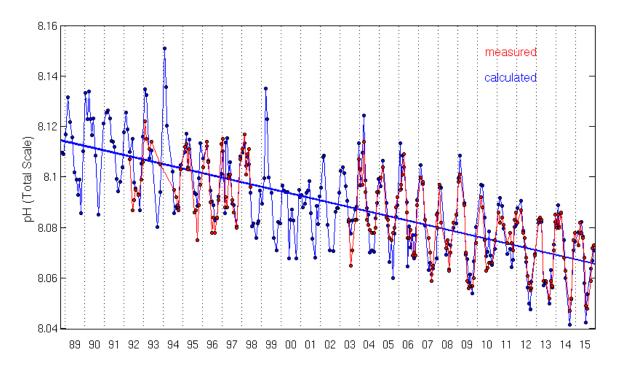


Figure 15. pH Trend at Station Aloha, 1989-2015.

#### 2.5.3.3 Oceanic Niño Index (ONI)

**Description:** Warm (red) and cold (blue) periods based on a threshold of +/- 0.5°C for the Oceanic Niño Index (ONI) [three-month running mean of ERSST.v4 SST anomalies in the Niño 3.4 region (5°N-5°S, 120°-170°W)], based on <u>centered 30-year base periods updated every five years</u>.

For historical purposes, periods of below and above normal sea surface temperatures (SSTs) are colored in blue and red when the threshold is met for a minimum of five consecutive overlapping seasons. The ONI is one measure of the El Niño-Southern Oscillation, and other indices can confirm whether features consistent with a coupled ocean-atmosphere phenomenon accompanied these periods.

Description was inserted from: http://www.cpc.ncep.noaa.gov/products/analysis\_monitoring/ensostuff/ensoyears.shtml

**Timeframe:** Every three months.

**Region/Location:** Niño 3.4 Region: 5°S - 5°N, 120°-170°W

**Data Source/Responsible Party:** NOAA NCEI Equatorial Pacific Sea Surface Temperatures (<u>www.ncdc.noaa.gov/teleconnections/enso/indicators/sst.php</u>)

Measurement Platform: In-situ Station, Satellite, Model, Other...

#### **Rationale:**

The ONI focuses on ocean temperature which has the most direct effect on those fisheries. The atmospheric half of this Pacific basin oscillation is measured using the Southern Oscillation Index.

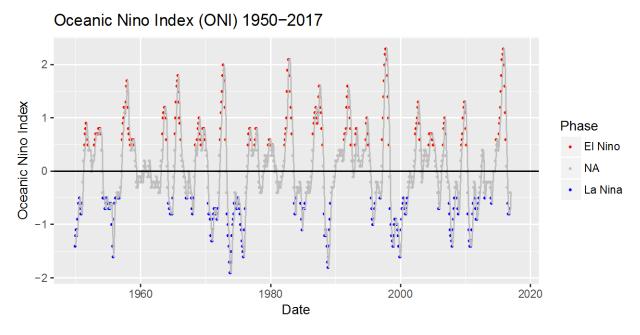


Figure 16. Oceanic Nino Index, 1950-2017.

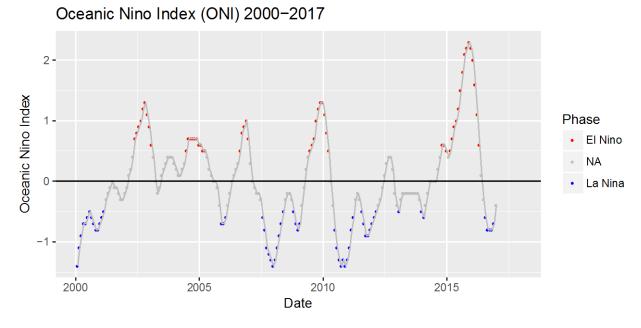


Figure 17. Oceanic Nino Index, 2000-2017.

### 2.5.3.4 Pacific Decadal Oscillation (PDO)

Description: The "Pacific Decadal Oscillation" (PDO) is a long-lived El Niño-like pattern of Pacific climate variability. While the two climate oscillations have similar spatial climate fingerprints, they have very different behavior in time. Fisheries scientist Steven Hare coined the term "Pacific Decadal Oscillation" (PDO) in 1996 while researching connections between Alaska salmon production cycles and Pacific climate (his dissertation topic with advisor Robert Francis). Two main characteristics distinguish PDO from El Niño/Southern Oscillation (ENSO): first, 20th century PDO "events" persisted for 20-to-30 years, while typical ENSO events persisted for 6 to 18 months; second, the climatic fingerprints of the PDO are most visible in the North Pacific/North American sector, while secondary signatures exist in the tropics - the opposite is true for ENSO. Several independent studies find evidence for just two full PDO cycles in the past century: "cool" PDO regimes prevailed from 1890-1924 and again from 1947-1976, while "warm" PDO regimes dominated from 1925-1946 and from 1977 through (at least) the mid-1990's. Shoshiro Minobe has shown that 20th century PDO fluctuations were most energetic in two general periodicities, one from 15-to-25 years, and the other from 50-to-70 years.

Major changes in northeast Pacific marine ecosystems have been correlated with phase changes in the PDO; warm eras have seen enhanced coastal ocean biological productivity in Alaska and inhibited productivity off the west coast of the contiguous United States, while cold PDO eras have seen the opposite north-south pattern of marine ecosystem productivity.

Causes for the PDO are not currently known. Likewise, the potential predictability for this climate oscillation are not known. Some climate simulation models produce PDO-like oscillations, although often for different reasons. The mechanisms giving rise to PDO will determine whether skillful decades-long PDO climate predictions are possible. For example, if PDO arises from air-sea interactions that require 10 year ocean adjustment times, then aspects of the phenomenon will (in theory) be predictable at lead times of up to 10 years. Even in the absence of a theoretical understanding, PDO climate information improves season-to-season and year-to-year climate forecasts for North America because of its strong tendency for multi-season and multi-year persistence. From a societal impacts perspective, recognition of PDO is important because it shows that "normal" climate conditions can vary over time periods comparable to the length of a human's lifetime.

[Description inserted from: http://research.jisao.washington.edu/pdo/]

Timeframe: Monthly.

**Region/Location:** North Pacific

**Data Source/Responsible Party:** Joint Institute for the Study of the Atmosphere and Ocean (JISAO, UW) (http://research.jisao.washington.edu/pdo/PDO.latest.txt)

Measurement Platform: In-situ Station, Satellite, Model, Other...

**Rationale:** The Pacific Decadal Oscillation (PDO) Index is defined as the leading principal component of North Pacific monthly sea surface temperature variability (poleward of 20N for the 1900-93 period). Digital values of our PDO index are available from Nate Mantua's anonymous ftp directory (linked here). Please send email to Nate (nate.mantua@noaa.gov) or Steven Hare (hare@iphc.washington.edu) to let them know that you have obtained this data. Nate updates the PDO index every two or three months.

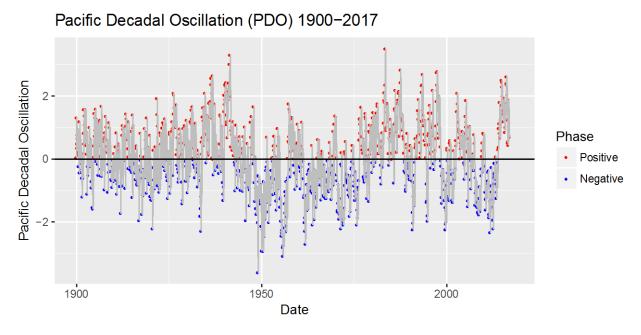


Figure 19. Pacific Decadal Oscillation, 1900-2017.

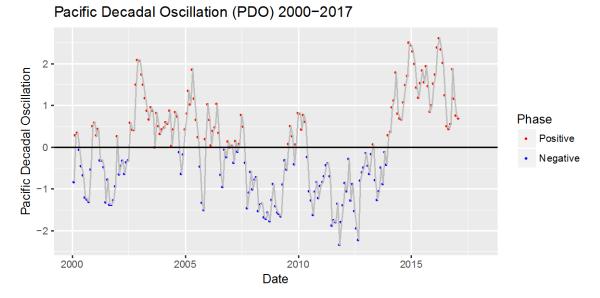
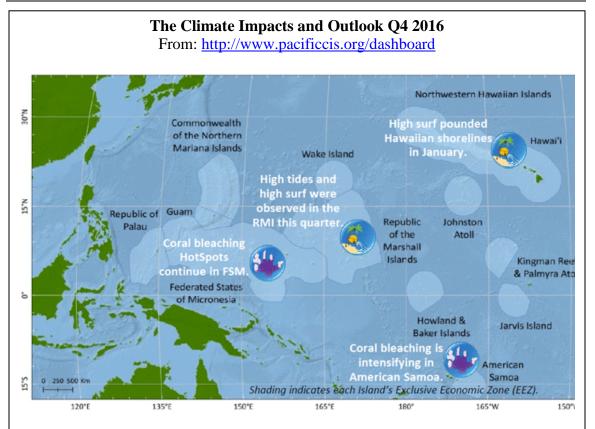


Figure 20. Pacific Decadal Oscillation, 2000-2017.



#### Significant Events and Archipelagic Impacts

Near-normal rainfall was recorded in parts of the Common wealth of the Northern Mariana Islands, while above-normal rainfall was reported in Guam. Much below normal rainfall was reported in Hawaii, while most of the Federated States of Micronesia, the Republic of Palau, and the Marshall Islands were above normal. Near normal rains were observed in American Samoa.

There were a total of 31 tropical cyclones in the western North Pacific during 2016.

*Facilities and Infrastructure* – A series of large NW swell events in early-to-mid November led to sharp erosion at Sunset Beach, north shore, Oahu. The high swell and morning high tide of 2016-11-14 allowed wave run-up to cross the highway in Waianae, west shore, Oahu and select sections of the coastal highway on the north shore of Oahu. Coastal wave run-up was also high enough to cross select sections of the highway on the north shore 2017-01-13, -25, and -30. Meanwhile, gale-force trade winds 2017-01-21 and -22 had seas to 17 feet as measured by a wave buoy off Kailua, Oahu. It caused minor coastal wave run-up.

*Water Resources* – Despite high surf, high tides, and higher than normal sea levels, long-period swell did not affect the capital of the RMI during the quarter. Water reservoir levels in the Majuro, FSM, and Koror remain adequate with regularly-occurring rains, however the northern Marshall Islands are very dry.

*Natural Resources* – Eddy kinetic energy near Hawaii has been unusually high over the last quarter. Eddies (gyres) have important biological implications in that they can drive upwelling of cooler, nutrient rich water that influences ocean temperatures and fuels a localized increase in phytoplankton production, an essential source of energy for higher trophic groups. In American Samoa, coral bleaching patterns are evident on the reef slope areas around 30-50ft along a large portion of the main island of Tutuila. Meanwhile, Hot Spots in the Northern Hemisphere remain concentrated around the Federated States of Micronesia (FSM) and the western Pacific, where a Bleaching Watch is in effect. In Fiji, water temperatures in the shallow back reefs have spiked to 34°C and bleaching is intensifying there.

### Figure 21. Q4 2016 Climate Impact and Outlook Infographic.

#### 2.5.3.5 Sea Surface Temperature

**Description:** Monthly sea surface temperature from 2003-2016 from the Advanced Very High Resolution Radiometer (AVHRR) instrument aboard the NOAA Polar Operational Environmental Satellite (POES). These data take us back to 2003. If we were to blend this record with Pathfinder, we could reach back to 1981.

**Background Below Inserted From** <u>CoastWatch West Coast Node</u>. We would like to acknowledge the NOAA CoastWatch Program and the NOAA NWS Monterey Regional Forecast Office.

**Short Description:** The global area coverage (GAC) data stream from NOAA | <u>NESDIS</u> | <u>OSDPD</u> provides a high-quality sea surface temperature product with very little cloud contamination. This data is used for a variety of fisheries management projects, including the <u>El Niño Watch Report</u>, which stress data quality over high spatial resolution.

**Technical Summary:** CoastWatch offers global sea surface temperature (SST) data from the Advanced Very High Resolution Radiometer (AVHRR) instrument aboard <u>NOAA's</u> <u>Polar Operational Environmental Satellites (POES)</u>. Two satellites are currently in use, NOAA-17 and NOAA-18. The AVHRR sensor is a five-channel sensor comprised of two visible radiance channels and three infrared radiance channels. During daytime satellite passes, all five radiance channels are used. During nighttime passes, only the infrared radiance channels are used.

The POES satellite stores a sub-sample of the AVHRR radiance measurements onboard, generating a global data set. The satellite downloads this dataset once it is within range of a receiving station. The sub-sampling reduces the resolution of the original data from 1.47km for the HRPT SST product to 11km for the global data product.

AVHRR radiance measurements are processed to SST by NOAA's National Environmental Satellite, Data, and Information Service (NESDIS), Office of Satellite Data Processing and Distribution (OSDPD) using the non-linear sea surface temperature (NLSST) algorithm detailed in *Walton et al., 1998.* SST values are accurate to within 0.5 degrees Celsius. Ongoing calibration and validation efforts by NOAA satellites and information provide for continuity of quality assessment and algorithm integrity (e.g., *Li et al., 2001a and Li et al., 2001b*). In addition, the CoastWatch West Coast Regional Node (WCRN) runs monthly validation tests for all SST data streams using data from the <u>NOAA National Weather Service</u> and <u>National Data Buoy Center (NDBC)</u>.

The data are cloud screened using the CLAVR-x method developed and maintained by NOAA Satellites and Information (e.g., *Stowe et al.*, *1999*). The data are mapped to an equal angle grid (0.1 degrees latitude by 0.1 degrees longitude) using a simple arithmetic mean to produce individual and composite images of various durations (e.g., 1, 3, 8, 14-day).

Timeframe: 2003-2016. Daily data available. Monthly means shown.

Region/Location: Global.

**Data Source:** "SST, POES AVHRR, GAC, Global, Day and Night (Monthly Composite)" <u>http://coastwatch.pfeg.noaa.gov/erddap/griddap/erdAGsstamday.html</u>.

#### Measurement Platform: AVHRR, POES Satellite

**Rationale:** Sea surface temperature is one of the most directly observable measures we have for tracking increasing ocean temperature.

**References:** Li, X., W. Pichel, E. Maturi, P. Clemente-Colón, and J. Sapper, 2001a. Deriving the operational nonlinear multi-channel sea surface temperature algorithm coefficients for NOAA-15 AVHRR/3, Int. J. Remote Sens., Volume 22, No. 4, 699 - 704.

Li, X, W. Pichel, P. Clemente-Colón, V. Krasnopolsky, and J. Sapper, 2001b. Validation of coastal sea and lake surface temperature measurements derived from NOAA/AVHRR Data, Int. J. Remote Sens., Vol. 22, No. 7, 1285-1303.

Stowe, L. L., P. A. Davis, and E. P. McClain, 1999. Scientific basis and initial evaluation of the CLAVR-1 global clear/cloud classification algorithm for the advanced very high resolution radiometer. J. Atmos. Oceanic Technol., 16, 656-681.

Walton C. C., W. G. Pichel, J. F. Sapper, D. A. May, 1998. The development and operational application of nonlinear algorithms for the measurement of sea surface temperatures with the NOAA polar-orbiting environmental satellites, J. Geophys. Res., 103: (C12) 27999-28012.

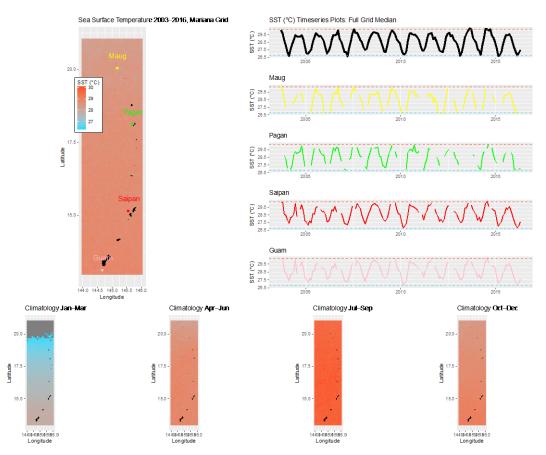


Figure 22. Sea surface temperature plots for the Mariana Regional Grid.

#### 2.5.3.6 Sea Surface Temperature Anomaly

**Description:** Monthly sea surface temperature anomaly from 2003-2016 from the AVHRR instrument aboard the NOAA Polar Operational Environmental Satellite (POES), compared against the Casey and Cornillon Climatology (Casey and Cornillion 1999). These data take us back to 2003. If we were to blend this record with Pathfinder, we could reach back to 1981.

# Background Below Inserted From <u>Coastwatch West Coast Node</u>:

[http://coastwatch.pfeg.noaa.gov/infog/AG\_tanm\_las.html]. We would like to acknowledge the NOAA CoastWatch Program and the NOAA NESDIS Office of Satellite Data Processing and Distribution.

#### Short Description:

The SST anomaly product is used to show the difference between the surface temperature at a given time and the temperature that is normal for that time of year. This effectively filters out seasonal cycles and allows one to view intra-seasonal and inter-annual signals in the data. The global SST anomaly product is produced by comparing the <u>AVHRR</u> <u>GAC SST</u> with a climatology by *Casey and Cornillon, 1999*, for the region and time period specified. The AVHRR GAC SST is a high quality data set provided by NOAA | <u>NESDIS</u> | <u>OSDPD</u>.

### **Technical Summary:**

SST anomaly data are distributed at 11km resolution. AVHRR GAC SST values are accurate to within plus or minus 0.5 degrees Celsius. The time-averaged SST from AVHRR GAC is compared to the climatological SST from *Casey and Cornillon, 1999*, for the specific time period and region. The data are mapped to an equal angle grid of 0.1 degrees latitude by 0.1 degrees longitude using a simple arithmetic mean to produce composite images of various duration (e.g., 1, 3, 8, 14-day).

**Reference:** Casey, K.S. and P. Cornillon. 1999. A comparison of satellite and in situ based sea surface temperature climatologies. J. Climate. Vol. 12, no. 6, 1848-1863.

**Timeframe:** 2003-2015. Daily data available. Monthly means shown.

### Region/Location: Global.

**Data Source:** "SST Anomaly, POES AVHRR, Casey and Cornillon Climatology, Global (Monthly Composite)" http://coastwatch.pfeg.noaa.gov/erddap/griddap/erdAGtanmmday\_LonPM180.html

### Measurement Platform: POES, AVHRR Satellite

**Rationale:** Sea surface temperature anomaly highlights long-term trends. Filtering out seasonal cycle is one of the most directly observable measures we have for tracking increasing ocean temperature.

**References:** Casey, K.S. and P. Cornillon. 1999. A comparison of satellite and in situ based sea surface temperature climatologies. J. Climate. Vol. 12, no. 6, 1848-1863.

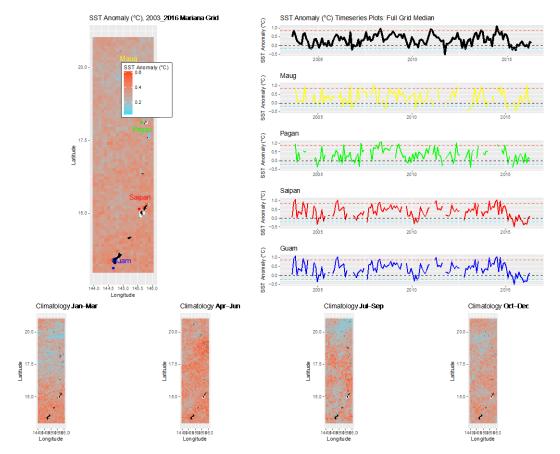


Figure 23. Sea surface temperature anomaly for the Mariana Regional Grid.

### 2.5.3.7 Degree Heating Weeks (Coral Bleaching)

**Description:** The NOAA Coral Reef Watch program's satellite data provide current reef environmental conditions to quickly identify areas at risk for <u>coral bleaching</u>, where corals lose the symbiotic algae that give them their distinctive colors. If a coral is severely bleached, disease and partial mortality become likely, and the entire colony may die.

Continuous monitoring of sea surface temperature at global scales provides researchers and stakeholders with tools to understand and better manage the complex interactions leading to coral bleaching. When bleaching conditions occur, these tools can be used to trigger bleaching response plans and support appropriate management decisions.

[Descriptions from: https://coralreefwatch.noaa.gov/satellite/index.php]

**Technical Summary:** The NOAA Coral Reef Watch (CRW) daily 5-km satellite coral bleaching Degree Heating Week (DHW) product presented here shows accumulated heat stress, which can lead to coral bleaching and death. The scale goes from 0 to 20 °C-weeks. The DHW product accumulates the instantaneous bleaching heat stress (measured by Coral Bleaching HotSpots) during the most-recent 12-week period. It is directly related to the timing and intensity of coral bleaching. Significant coral bleaching usually occurs when DHW values reach 4 °C-weeks. By the time DHW values reach 8 °C-weeks, widespread bleaching is likely and significant mortality can be expected.

**Timeframe:** 2013-2016. Weekly 5 km data.

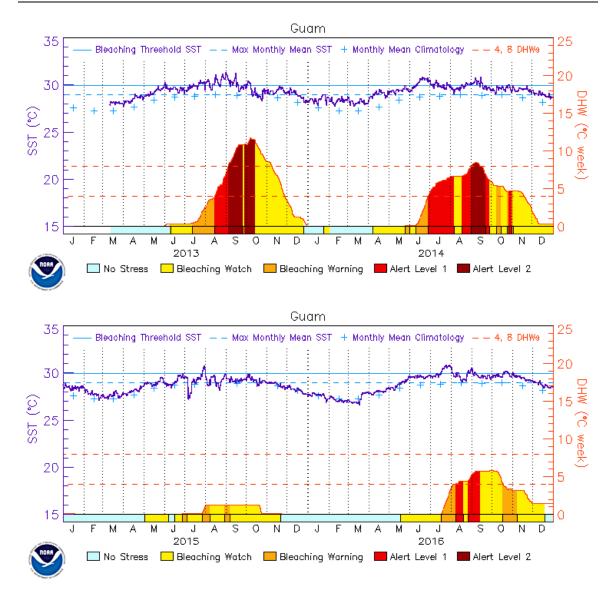
#### Region/Location: Global.

**Data Source:** NOAA Coral Reef Watch. 2013, updated daily. *NOAA Coral Reef Watch Daily Global 5-km Satellite Virtual Station Time Series Data for Southeast Florida*, Mar. 12, 2013-Mar. 11, 2014. College Park, Maryland, USA: NOAA Coral Reef Watch. Data set accessed 2017-03-21 at http://coralreefwatch.noaa.gov/vs/index.php

**Measurement Platform:** CRW operational near-real-time nighttime SST product: AVHRR,

**Rationale:** Degree Heating Weeks are the best available metric to track coral bleaching relevant high temperature exposure.

**References:** Liu, G., A.E. Strong, W.J. Skirving and L.F. Arzayus (2006). Overview of NOAA Coral Reef Watch Program's Near-Real-Time Satellite Global Coral Bleaching Monitoring Activities. *Proceedings of the 10th International Coral Reef Symposium, Okinawa*: 1783-1793.



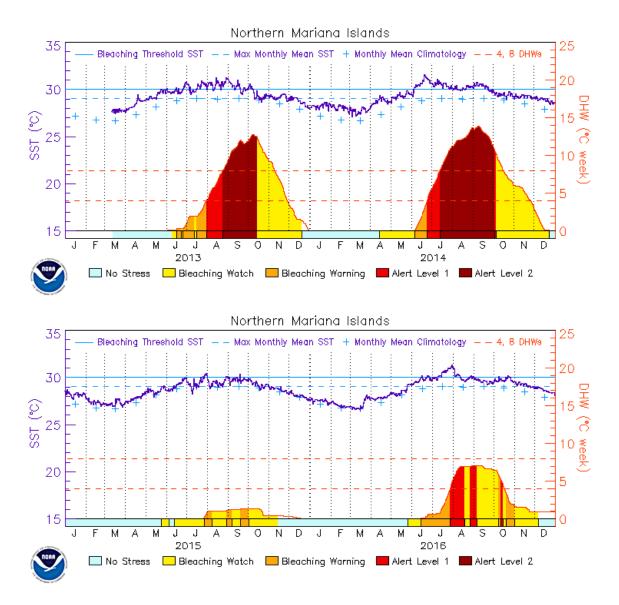


Figure 24. Degree Heating Weeks Timeseries in the Guam, 2013-2016.

Figure 25. Degree Heating Weeks Timeseries in the Commonwealth of the Northern Marianas, 2013-2016.

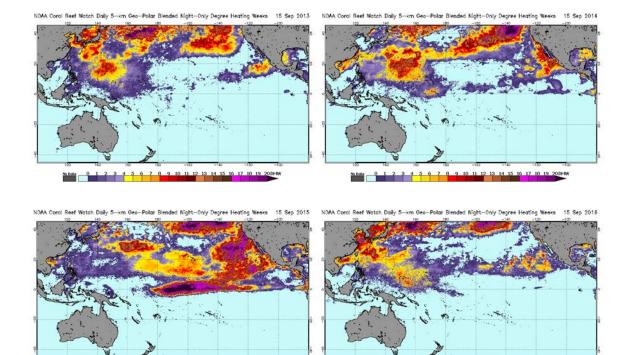


Figure 26. Degree Heating Weeks Maps, showing Annual DHW Maximum (Sep 15, 2013-2016) across the Pacific Ocean.

1

## 2.5.3.8 Heavy Weather (Tropical Cyclones)

**Description:** This indicator uses historical data from the National Oceanic and Atmospheric Administration's (NOAA) National Climatic Data Center (NCDC) International Best Track Archive for Climate Stewardship (IBTrACS) to track the number of tropical cyclones in the western, central, and south Pacific basins. This indicator also monitors the Accumulated Cyclone Energy (ACE) Index and the Power Dissipation Index (PDI) which are two ways of monitoring the frequency, strength, and duration of tropical cyclones based on wind speed measurements.

The annual frequency of storms passing through the western North Pacific basin is tracked and a stacked time series plot will show the representative breakdown of the Saffir-Simpson hurricane categories. Three solid lines across the graph will also be plotted representing a) the annual long-term average number of named storms, b) the annual average number of typhoons, and c) the annual average number of major typhoons (Cat 3 and above). Three more lines will also be shown (in light gray) representing the annual average number of named-storms for ENSO a) neutral, b) warm, and c) cool.

Every cyclone has an ACE Index value, which is a number based on the maximum wind speed measured at six-hourly intervals over the entire time that the cyclone is classified as at least a tropical storm (wind speed of at least 34 knot; 39 mph). Therefore, a storm's ACE Index value accounts for both strength and duration. This plot will show the historical ACE values for each typhoon season and will have a solid line representing the annual average ACE value. Three more lines will also be shown (in light gray) representing the annual average ACE values for ENSO a) neutral, b) warm, and c) cool.

#### Timeframe: Yearly

Region/Location: Hawaii and U.S. Affiliated Pacific Islands

**Data Source/Responsible Party:** NCDC's International Best Track Archive for Climate Stewardship (IBTrACS).

### Measurement Platform: Satellite

**Rationale**: The effects of tropical cyclones are numerous and well-known. At sea, storms disrupt and endanger shipping traffic as well as fishing effort and safety. The Hawaii longline fishery, for example, had serious problems between August and November 2015 with vessels dodging storms at sea, delayed departures and inability to make it safely back to Honolulu because of bad weather. When cyclones encounter land, their intense rains and high winds can cause severe property damage, loss of life, soil erosion, and flooding. The associated storm surge, the large volume of ocean water pushed toward shore by the cyclone's strong winds, can cause severe flooding and destruction.

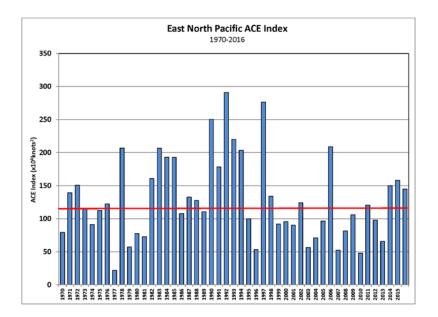


Figure 27. 2016 East Pacific Tropical Cyclone ACE 1970-2016. Source: NOAA's National Hurricane Center

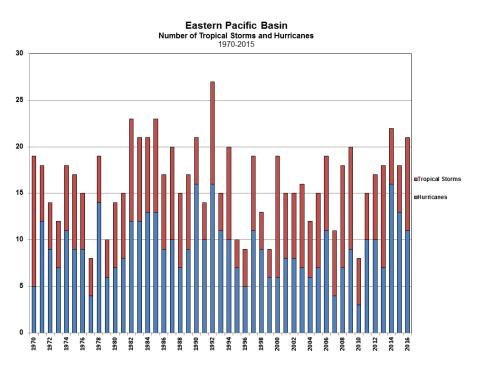
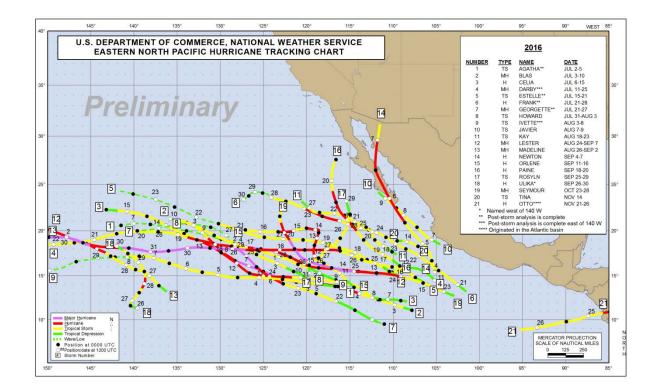


Figure 28. East Pacific tropical cyclone count 1970-2016. Source: NOAA's National Hurricane Center



### Figure 29. 2015 Eastern Pacific Tropical Cyclone Tracks. Source: NOAA's National Hurricane Center

The NOAA National Centers for Environmental Information, State of the Climate: Hurricanes and Tropical Storms for Annual 2015, published online January 2016, notes that "the 2015 East Pacific hurricane season had 18 named storms, including 13 hurricanes, nine of which became major. The 1981-2010 average number of named storms in the East Pacific is 16.5, with 8.9 hurricanes, and 4.3 major hurricanes. This is the first year since reliable record keeping began in 1971 that the eastern Pacific saw nine major hurricanes. The Central Pacific also saw an above-average tropical cyclone season, with 14 named storms, eight hurricanes, and five major hurricanes (Ignacio, Kilo and Jimena) were active across the two adjacent basins at the same time, the first time this occurrence has been observed. The ACE index for the East Pacific basin during 2015 was 158 (x10<sup>4</sup> knots<sup>2</sup>), which is above the 1981-2010 average of 132 (x10<sup>4</sup> knots<sup>2</sup>) and the highest since 2006. The Central Pacific basin ACE during 2015 was 124 (x10<sup>4</sup> knots<sup>2</sup>)."

Inserted from: http://www.ncdc.noaa.gov/sotc/tropical-cyclones/201513

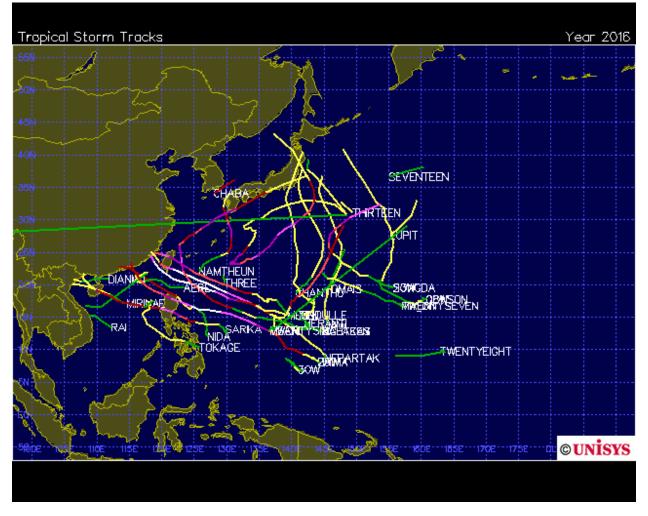


Figure 30. Western Pacific Cyclone Tracks 2016. Source: http://weather.unisys.com/hurricane/w\_pacific/2016

**References:** NOAA National Centers for Environmental Information, State of the Climate: Hurricanes and Tropical Storms for Annual 2016, published online January 2016, retrieved on August 5, 2016 from http://www.ncdc.noaa.gov/sotc/tropical-cyclones/201513.

### 2.5.3.9 Sea Level (Sea Surface Height and Anomaly)

**Description:** Monthly mean sea level time series, including extremes

#### **Timeframe:** Monthly

Region/Location: Observations from selected sites within the Hawaiian Archipelago

**Data Source/Responsible Party:** Basin-wide context from satellite altimetry: <u>http://www.aviso.altimetry.fr/en/data/products/ocean-indicators-products/el-nino-bulletin.html</u>

Quarterly time series of mean sea level anomalies from satellite altimetry: <u>http://sealevel.jpl.nasa.gov/science/elninopdo/latestdata/archive/index.cfm?y=2015</u>

Sea Surface Height and Anomaly from NOAA Ocean Service, Tides and Currents, Sea Level Trends <u>https://tidesandcurrents.noaa.gov/sltrends/sltrends\_station.shtml?stnid=1612340</u>

#### Measurement Platform: Satellite and in situ tide gauges

**Rationale:** Rising sea levels can result in a number of coastal impacts, including inundation of infrastructure, increased damage resulting from storm-driven waves and flooding, and saltwater intrusion into freshwater supplies.

#### 2.5.3.9.1 Basin-Wide Perspective

This image of the mean sea level anomaly for February 2016 compared to 1993-2013 climatology from satellite altimetry provides a glimpse into how the 2015-2016 El Niño continues to affect sea level across the Pacific Basin. The image captures the fact that sea level continues to be lower in the Western Pacific and higher in the Central and Eastern Pacific (a standard pattern during El Niño events.) This basin-wide perspective provides a context for the location-specific sea level/sea surface height images that follow.

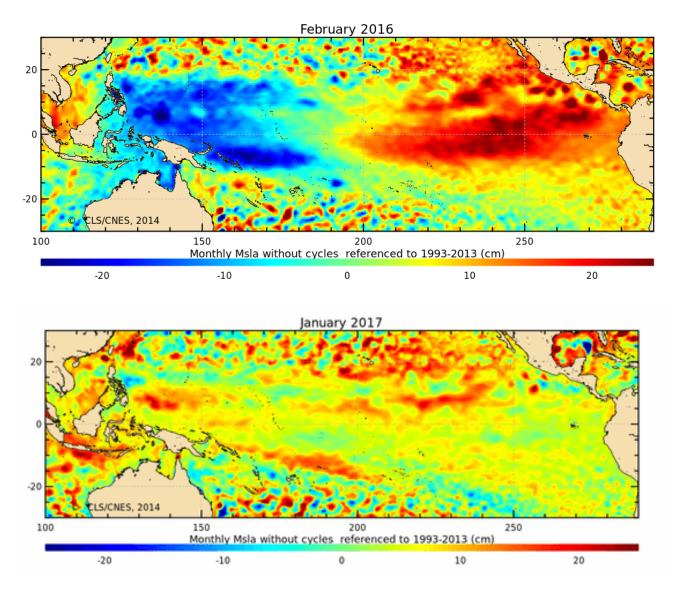
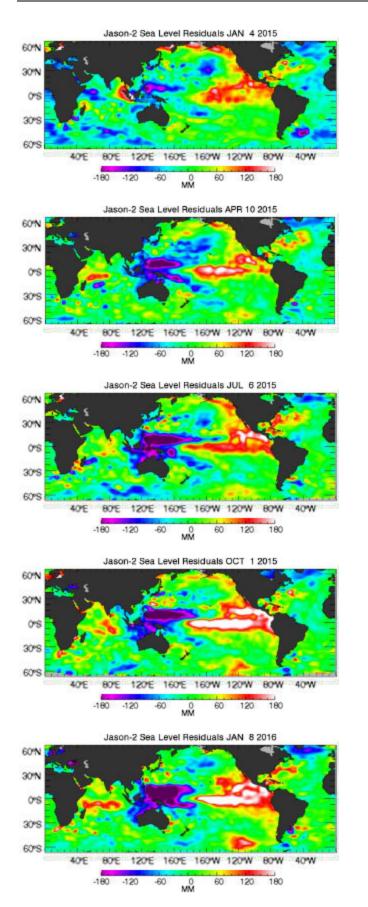
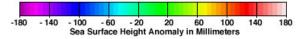


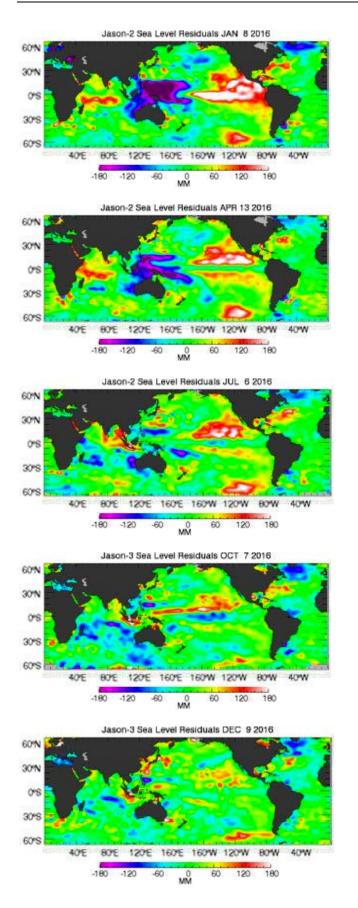
Figure 31. Comparing mean sea level anomaly for February 2016 (El Niño), and January 2017 (Neutral) .



Quarterly time series of mean sea level anomalies during 2015 provide a glimpse into the evolution of the 2015-2016 El Niño throughout the year using satellite altimetry measurements of sea level height

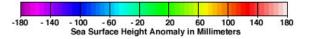
(http://sealevel.jpl.nasa.gov/science/elninopdo/late stdata/archive/index.cfm?y=2015)





Quarterly time series of mean sea level anomalies during 2016 provide a glimpse into the dissipation of the 2015-2016 El Niño throughout the year using satellite altimetry measurements of sea level height

(http://sealevel.jpl.nasa.gov/science/elninopdo/late stdata/archive/index.cfm?y=2016)



### 2.5.3.9.2 Local Sea Level

These time-series from *in situ* tide gauges provide a perspective on sea level trends within each Archipelago (Tide Station Time Series from NOAA/COOPS).

The following figures and descriptive paragraphs were inserted from <u>https://tidesandcurrents.noaa.gov/sltrends/sltrends\_station.shtml?stnid=1630000</u>.

Figure 32 shows the monthly mean sea level without the regular seasonal fluctuations due to coastal ocean temperatures, salinities, winds, atmospheric pressures, and ocean currents. The long-term linear trend is also shown, including its 95% confidence interval. The plotted values are relative to the most recent <u>Mean Sea Level datum established by CO-OPS</u>. The calculated trends for all stations are available as a <u>table in millimeters/year and in feet/century</u> (0.3 meters = 1 foot). If present, solid vertical lines indicate times of any major earthquakes in the vicinity of the station and dashed vertical lines bracket any periods of questionable data or datum shift.

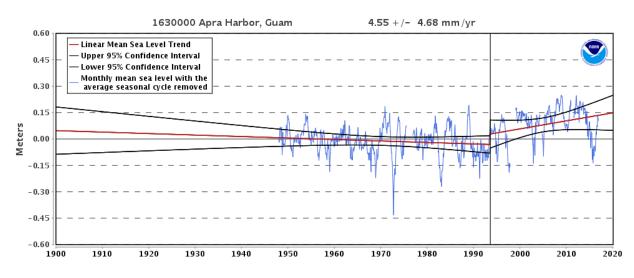


Figure 32. Local sea level at Apra Harbor, Guam, 1900-2016.

The monthly extreme water levels include a <u>Mean Sea Level</u> (MSL) trend of 8.45 millimeters/year with a 95% confidence interval of +/- 8.88 millimeters/year based on monthly MSL data from 1993 to 2006, which is equivalent to a change of 2.77 feet in 100 years. Figure 33 shows the monthly highest and lowest water levels with the 1%, 10%, 50%, and 99% annual exceedance probability levels in red, orange, green, and blue. The plotted values are in meters relative to the Mean Higher High Water (MHHW) or Mean Lower Low Water (MLLW) <u>datums</u> established by CO-OPS (1 foot = 0.3 meters). On average, the 1% level (red) will be exceeded in only one year per century, the 10% level (orange) will be exceeded in ten years per century, and the 50% level (green) will be exceeded in fifty years per century. The 99% level (blue) will be exceeded in all but one year per century, although it could be exceeded more than once in other years.



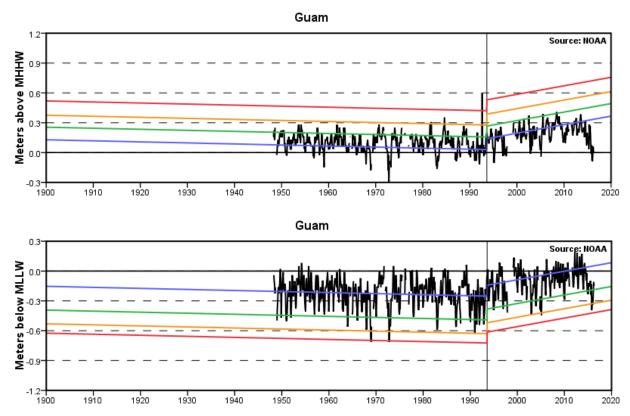


Figure 33. Monthly extreme water levels below and above MLLW and MHHW in Guam, 1900-2016.

### 2.5.3.10 Wave Watch 3 Global Wave Model

**Description:** To describe patterns in wave forcing, we present data from the Wave Watch 3 global wave model run by the Department of Ocean and Resources Engineering at the University of Hawai'i in collaboration with NOAA/NCEP and NWS Honolulu. PacIOOS describes the model at <a href="http://oos.soest.hawaii.edu/pacioos/focus/modeling/wave\_models.php">http://oos.soest.hawaii.edu/pacioos/focus/modeling/wave\_models.php</a>: "The global model is initialized daily and is forced with NOAA/NCEP's global forecast system (GFS) winds. This model is designed to capture the large-scale ocean waves, provide spectral boundary conditions for the Hawai'i and Mariana Islands regional WW3 model, and most importantly, the 7 day model outputs a 5 day forecast."

Data presented here come from the global model, but regional WW3 models with higher resolution exist for Hawaii, Marianas and Samoa, and in some cases, very high resolution SWAN models exist for islands within those groups.

Timeframe: 2010-2016, Daily data.

Region/Location: Global.

**Data Source:** "WaveWatch III (WW3) Global Wave Model": http://oos.soest.hawaii.edu/erddap/griddap/NWW3\_Global\_Best.html

### Measurement Platform: Global Forecast System Winds, WW3 model

**<u>Rationale</u>**: Wave forcing can have major implications for both coastal ecosystems and pelagic fishing operations.

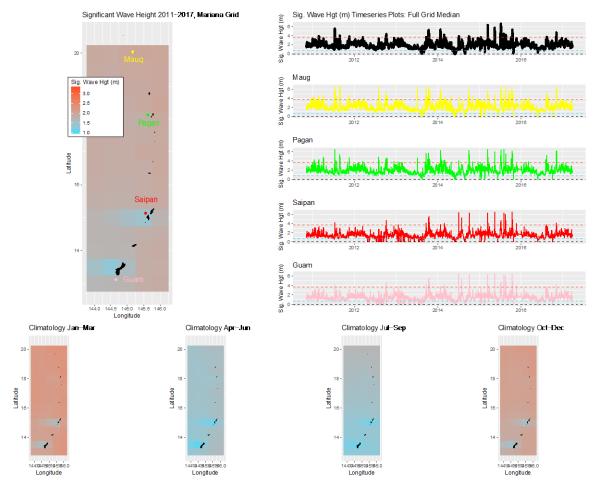


Figure 34. Wave watch summary for Mariana regional grid.

### 2.5.4 Observational and Research Needs

Through preparation of the 2016 Archipelagic Annual Reports, the Council has identified a number of observational and research needs that, if addressed, would improve the information content of future Climate and Ocean Indicators chapters. This information would provide fishery managers, fishing industry and community stakeholders with better understanding and predictive capacity vital to sustaining resilient and vibrant fishery systems in the Western Pacific.

- Emphasize the importance of continuing the climate and ocean indicators used in this report so that a consistent, long-term record can be maintained;
- Develop agreements among stakeholders and research partners to ensure the sustainability, availability and accessibility of climate and ocean indicators, their associated datasets and analytical methods used in this and future reports;
- Improve monitoring and understanding of the impacts of changes in ocean temperature, pH and ocean acidity, ocean oxygen content and hypoxia, and sea level rise through active collaboration by all fishery stakeholders and research partners;
- Develop, test and provide access to additional climate and ocean indicators that can improve the Archipelagic Conceptual Model;
- Explore the connections among sea surface conditions, stratification and mixing;
- Investigate the connections between climate variables and other indicators in the Archipelagic Conceptual Model to improve understanding of changes in physical, biochemical, biologic and socio-economic processes and their interactions in the regional ecosystem;
- Develop predictive models that can be used for scenario planning to account for unexpected changes and uncertainties in the regional ecosystem and fisheries;
- Foster applied research in ecosystem modeling to better describe current conditions and to better anticipate the future under alternative models of climate and ocean change including changes in expected human benefits and their variability;
- Improve understanding of the connections between PDO and fisheries ecosystems beyond the North Pacific;
- Improve understanding of mahi and swordfish size in relation to the orientation of the Transition Zone Chlorophyll Front (TZCF);
- Explore the biological implications of tropical cyclones;
- Standardize fish community size structure data for gear type;
- Clarify and elucidate the interactions among (1) changes in climate, (2) ecosystems and (3) social, economic and cultural impacts on fishing communities;
- Explore the implications and effectiveness of large marine protected areas including intergenerational losses of knowledge due to lack of access to traditional fishing areas;
- Cultural knowledge and practices for adapting to changing climate in the past and how they might contribute to future climate adaptation.
- Enhanced information on social, economic and cultural impacts of a changing climate and increased pressure on the ocean and its resources.
- Analysis of potential relationship between traditional runs of fish and climate change indicators.
- Explore the use of electronic monitoring and autonomous vehicles including small vessel prototypes.

- Explore additional and/or alternative climate and ocean that may have important effects on archipelagic fisheries systems including:
  - Ocean currents and anomalies;
  - Near-surface wind velocities and anomalies;
  - Wave forcing anomalies and wave power;
  - Storm frequency;
  - Estimates of phytoplankton abundance and size from satellite remotely-sensed SST and chlorophyll measurements;
  - Nutrients;
  - Eddy kinetic energy (EKE) which can be derived from satellite and remotelysensed sea surface height data and can be indicative of productivity-enhancing eddies;
  - Time series of species richness and diversity from catch data which could potentially provide insight into how the ecosystem is responding to physical climate influences;
  - Identifying and monitoring key socio-economic and cultural indicators of the impacts of changing climate on resources, fishing communities, operations and resilience and;
  - Cultural knowledge and practices for adapting to changing climate in the past and how they might contribute to future climate adaptation.

## 2.5.5 A Look to the Future

Future Annual Reports will include additional indicators as they become available and their relevance to the development, evaluation and revision of ecosystem-fishery plans becomes clear. Working with national and jurisdictional partners, the Council will make all datasets used in the preparation of this and future reports available and easily accessible.

## 2.6 ESSENTIAL FISH HABITAT

### 2.6.1 Introduction

The Magnuson-Stevens Fishery Conservation and Management Act includes provisions concerning the identification and conservation of essential fish habitat (EFH), and under the EFH final rule, habitat areas of particular concern (HAPC) (50 Code of Federal Regulations [CFR] 600.815). The Magnuson-Stevens Act defines EFH as "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." HAPC are those areas of EFH identified pursuant to 50 CFR 600.815(a)(8), and meeting one or more of the following considerations: (1) ecological function provided by the habitat is important; (2) habitat is sensitive to human-induced environmental degradation; (3) development activities are, or will be, stressing the habitat type; or (4) the habitat type is rare.

The National Marine Fisheries Service (NMFS) and regional Fishery Management Councils (Councils) must describe and identify EFH in fishery management plans (FMPs), minimize to the extent practicable the adverse effects of fishing on EFH, and identify other actions to encourage the conservation and enhancement of EFH. Federal agencies that authorize, fund, or undertake actions that may adversely affect EFH must consult with NMFS, and NMFS must provide conservation recommendations to federal and state agencies regarding actions that would adversely affect EFH. Councils also have the authority to comment on federal or state agency actions that would adversely affect the habitat, including EFH, of managed species.

The EFH Final Rule strongly recommends regional fisheries management councils and NMFS to conduct a review and revision of the EFH components of fisheries management plans every five years (600.815(a)(10)). The council's FEPs state that new EFH information should be reviewed, as necessary, during preparation of the annual reports by the Plan Teams. Additionally, the EFH Final Rule states: "Councils should report on their review of EFH information as part of the annual Stock Assessment and Fishery Evaluation (SAFE) report prepared pursuant to \$600.315(e)." The habitat portion of the annual report is designed to meet the FEP requirements and EFH Final Rule guidelines regarding EFH reviews.

National Standard 2 guidelines recommend that the SAFE report summarize the best scientific information available concerning the past, present, and possible future condition of EFH described by the FEPs.

# 2.6.1.1 EFH Information

The EFH components of fisheries management plans include the description and identification of EFH, lists of prey species and locations for each managed species, and optionally, habitat areas of particular concern. Impact-oriented components of FMPs include federal fishing activities that may adversely affect EFH; non-federal fishing activities that may adversely affect EFH; non-fishing activities that may adversely affect EFH; conservation and enhancement recommendations; and a cumulative impacts analysis on EFH. The last two components include the research and information needs section, which feeds into the Council's Five Year Research Priorities, and the EFH update procedure, which are described in the FEP but implemented in the annual report.

The Council has described EFH for five management unit species (MUS) under its management authority: pelagic (PMUS), bottomfish (BMUS), crustaceans (CMUS), coral reef ecosystem

(CREMUS), and precious corals (PCMUS). The Mariana FEP describes EFH for the BMUS, CMUS, CREMUS, and PCMUS.

EFH reviews of the biological components, including the description and identification of EFH, lists of prey species and locations, and HAPC, consist of three to four parts:

- Updated species descriptions, which can be found appended to the SAFE report. These can be used to directly update the FEP.
- Updated EFH levels of information tables, which can be found in Section 0.
- Updated research and information needs, which can be found in Section 2.6.5. These can be used to directly update the FEP.
- An analysis that distinguishes EFH from all potential habitats used by the species, which is the basis for an options paper for the Council. This part is developed if enough information exists to refine EFH.

# 2.6.1.2 Habitat Objectives of FEP

The habitat objective of the FEP is to refine EFH and minimize impacts to EFH, with the following subobjectives:

- a. Review EFH and HAPC designations every five years based on the best available scientific information and update such designations based on the best available scientific information, when available
- b. Identify and prioritize research to: assess adverse impacts to EFH and HAPC from fishing (including aquaculture) and non-fishing activities, including, but not limited to, activities that introduce land-based pollution into the marine environment.

This annual report reviews the precious coral EFH components and non-fishing impacts components, resetting the five-year timeline for review. The Council's support of non-fishing activities research is monitored through the program plan and five year research priorities, not the annual report.

## 2.6.1.3 Response to Previous Council Recommendations

At its 168<sup>th</sup> meeting held in Honolulu, HI, the Council adopted the EFH Agreement and directed staff to incorporate it into the Regional Operating Agreement, as necessary. The habitat expert on the plan team is ideally the PIFSC staffer with 5 year EFH responsibilities outlined in the EFH Agreement. The Plan Team reviews EFH information as necessary and recommends update to the Council.

# 2.6.2 Habitat Use by MUS and Trends in Habitat Condition

The Mariana Archipelago is a chain of islands in the western Pacific roughly oriented northsouth. It is anchored at the southern end by the relatively large island of Guam at 13.5° north latitude. The Commonwealth of the Northern Mariana Islands (CNMI) stretch off to the north. The entire chain is approximately 425 miles long. The archipelago was named by Spanish explorers in the 16<sup>th</sup> Century in honor of Spanish Queen Mariana of Austria.

The total land area of Guam is approximately 212 square miles and its EEZ is just over 84,000 square miles. The CNMI consists of 14 main islands. From north to south these are: Farallon de

Pajaros, Maug, Asuncion, Agrihan, Pagan, Alamagan, Guguan, Sarigan, Anatahan, Farallon de Medinilla, Saipan, Tinian, Aguijan, and Rota. Only Saipan, Rota, and Tinian are permanently inhabited, with 90% of the population residing on the island of Saipan. The total land area of the CNMI is 176.5 square miles and its EEZ is almost 300,000 square miles.

Guam and the southern islands of the CNMI are limestone, with level terraces and fringing coral reefs. The CNMI's northern islands are volcanic and sparsely inhabited, with active volcanoes on several islands, including Anatahan, Pagan, and Agrihan (the highest, at 3,166 feet). The archipelago has a tropical maritime climate moderated by seasonal northeast trade winds. While there is little seasonal temperature variation, there is a dry season (December to June) and a rainy season (July to November). The rainy season coincides with the northern hemisphere hurricane season, and the Mariana Archipelago is periodically impacted by powerful typhoons.

The Mariana Trench is located to the east of the chain. The trench includes the deepest point in the world's oceans. The vertical measurement from the seafloor to Saipan's highest point (Mount Tapotchau) is 37,752 ft.

Essential fish habitat in the Marianas for the four MUS comprises all substrate from the shoreline to the 700 m isobath. The entire water column is described as EFH from the shoreline to the 700 m isobath, and the water column to a depth of 400 m is described as EFH from the 700 m isobath to the limit or boundary of the exclusive economic zone (EEZ). While the coral reef ecosystems surrounding the islands in the Marianas have been the subject of a comprehensive monitoring program through the PIFSC Coral Reef Ecosystem Division (CRED) biennially since 2003, surveys are focused on the nearshore environments surrounding the islands, atolls and reefs (PIFSC 2011). Remote reefs and shoals were surveyed in some years.

The mission of the PIFSC Coral Reef Ecosystem Division (CRED) is to "provide high-quality, scientific information about the status of coral reef ecosystems of the U.S. Pacific islands to the public, resource managers, and policymakers on local, regional, national, and international levels" (PIFSC 2011). CRED's Reef Assessment and Monitoring Program (RAMP) conducts comprehensive ecosystem monitoring surveys at about 50 island, atoll, and shallow bank sites in the Western Pacific Region on a one to three year schedule (PIFSC 2008). CRED coral reef monitoring reports provide the most comprehensive description of nearshore habitat quality in the region. The benthic habitat mapping program provides information on the quantity of habitat.

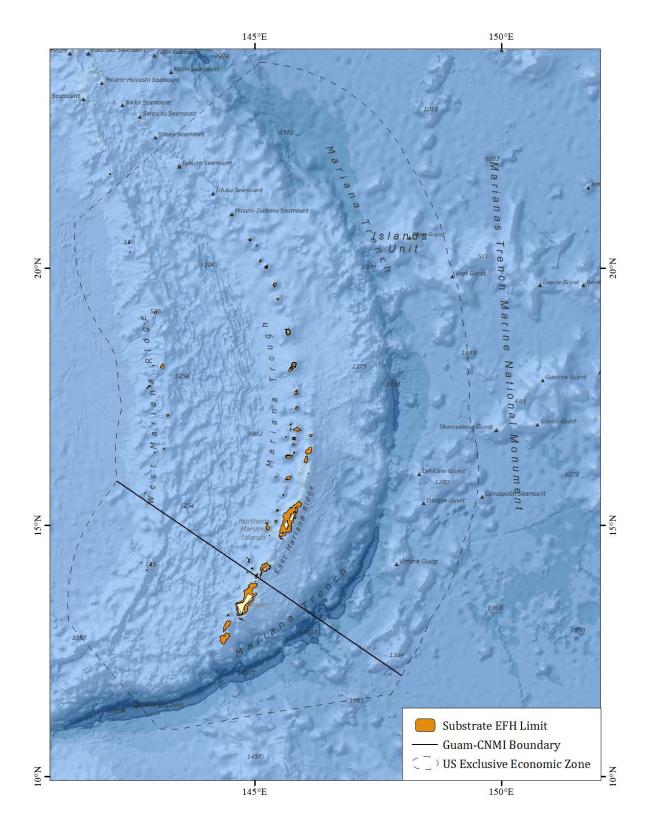


Figure 35. Substrate EFH Limit of 700 m isobath around the islands and surrounding banks of the Mariana Archipelago. Data Source: GMRT.

## 2.6.2.1 Habitat Mapping

Interpreted IKONOS benthic habitat maps in the 0-30 m depth range have been completed for all islands in the CNMI (CRCP 2011). Mapping products for the Marianas are available from the Pacific Islands Benthic Habitat Mapping Center.

| Depth     | Timeline/Mapping     | Progress             | Source          |
|-----------|----------------------|----------------------|-----------------|
| Range     | Product              |                      |                 |
| 0-30 m    | IKONOS Benthic       | All Islands          | CRCP 2011       |
|           | Habitat Maps         |                      |                 |
|           | 2000-2010            | 70%                  | DesRochers      |
|           | Bathymetry           |                      | 2016            |
|           | 2011-2015            | -                    | DesRochers      |
|           | Multibeam            |                      | 2016            |
|           | Bathymetry           |                      |                 |
|           | 2011-2015, Satellite | 15%                  | DesRochers      |
|           | Worldview 2          |                      | 2016            |
|           | Bathymetry           |                      |                 |
| 30-150 m  | 2000-2010            | 85%                  | DesRochers      |
|           | Bathymetry           |                      | 2016            |
|           | 2011-2015            | -                    | DesRochers      |
|           | Multibeam            |                      | 2016            |
|           | Bathymetry           |                      |                 |
| 15-2000 m | Multibeam            | Complete around      | Pacific Islands |
|           | Bathymetry           | all islands except   | Benthic Habitat |
|           |                      | Guam, Rota, and      | Mapping Center  |
|           |                      | Agrigan              |                 |
|           | Derived Products     | Backscatter          | Pacific Islands |
|           |                      | available for all 60 | Benthic Habitat |
|           |                      | m multibeam          | Mapping Center  |
|           |                      | Geomorphology        |                 |
|           |                      | products – see       |                 |
|           |                      | website              |                 |

## Table 62. Summary of habitat mapping in the Marianas

The land and seafloor area surrounding the islands of the Marianas as well as primary data coverage are reproduced from CRCP 2011 in Figure 36.

| ISLAND CODE                                   | GUA  | ROT                       | AGU | ΠN      | SAI    | FDM   | ANA | SAR | GUG | ALA | PAG | AGR | ASC | MAU | FDP | OFF  |
|---|------|---------------------------|-----|---------|--------|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|
| SHAPE &<br>RELATIVE<br>SIZE                   | ľ    | -                         | -   | 4       | k      | ,     | -   |     | •   | •   | ۶   | •   | •   | D   |     |      |
| LAND<br>AREA (km²)                            | 544  | 85                        | 7   | 101     | 119    | 1     | 34  | 4   | 4   | 13  | 48  | 44  | 8   | 2   | z   | 0    |
| SEA FLOOR AREA<br>0-30 m (km²)                | 96   | 21                        | ?   | 16      | 73     | 2     | 7   | 2   | 2   | 4   | 16  | 9   | 3   | 3   | 1   | 237* |
| SEA FLOOR AREA<br>30-150 m (km <sup>3</sup> ) | 83   | 27                        | ?   | 36      | 79     | 7     | 7   | 8   | 6   | 7   | 26  | 14  | 2   | 4   | 2   | 235  |
| BATHYMETRY<br>0-30 m (km²)                    | 57   | 4                         | -   | 16      | 63     | 2     | -   | 1   | 1   | 2   | 9   | 2   | 1   | 3   | 1   | 12   |
| BATHYMETRY<br>30-150 m (km²)                  | 50   | 26                        | -   | 36      | 79     | 347   |     | 8   | 6   | 7   | 25  | 12  | 7   | 4   | 2   | 157  |
| PTICAL COVERAGE<br>0-30 m (km)                | 54   | 26                        | 14  | 27      | 80     | 0     | 21  | 14  | 12  | 15  | 39  | 25  | 11  | 29  | 15  | 35   |
| PTICAL COVERAGE<br>30-150 m (km)              | 104  | 165                       | a   | 0       | 99     | o     | 0   | 99  | 101 | 105 | 0   | 90  | 103 | m   | 0   | 44   |
|   | — no | known<br>data<br>ibers re |     | area fr | om 0-1 | 150 m |     |     |     |     |     |     |     |     |     |      |

Figure 36. Marianas Land and Seafloor Area and Primary Data Coverage from CRCP 2011.

## 2.6.2.2 Benthic Habitat

Juvenile and adult life stages of coral reef MUS and crustaceans including spiny and slipper lobsters and Kona crab extends from the shoreline to the 100 m isobath (64 FR 19067, April 19, 1999). All benthic habitat is considered EFH for crustaceans species (64 FR 19067, April 19, 1999), while the type of bottom habitat varies by family for coral reef species (69 FR 8336, February 24, 2004). Juvenile and adult bottomfish EFH extends from the shoreline to the 400 m isobath (64 FR 19067, April 19, 1999), and juvenile and adult deepwater shrimp habitat extends from the 300 m isobath to the 700 m isobath (73 FR 70603, November 21, 2008).

## 2.6.2.2.1 RAMP Indicators

Benthic percent cover of coral, macroalgae, and crustose coralline algae from CRED are found in the following tables. CRED uses the benthic towed-diver survey method to monitor changes in benthic composition. In this method, "a pair of scuba divers (one collecting fish data, the other collecting benthic data) is towed about 1 m above the reef roughly 60 m behind a small boat at a constant speed of about 1.5 kt. Each diver maneuvers a towboard platform, which is connected to the boat by a bridle and towline and outfitted with a communications telegraph and various survey equipment, including a downward-facing digital SLR camera (Canon EOS 50D, Canon Inc., Tokyo). The benthic towed diver records general habitat complexity and type (e.g., spur and groove, pavement), percent cover by functional-group (hard corals, stressed corals, soft corals,

macroalgae, crustose coralline algae, sand, and rubble) and for macroinvertebrates (crown-of-thorns seastars, sea cucumbers, free and boring urchins, and giant clams).

Towed-diver surveys are typically 50 min long and cover about two to three km of habitat. Each survey is divided into five-min segments, with data recorded separately per segment to allow for later location of observations within the ~ 200-300 m length of each segment. Throughout each survey, latitude and longitude of the survey track are recorded on the small boat using a GPS; and after the survey, diver tracks are generated with the GPS data and a layback algorithm that accounts for position of the diver relative to the boat. (PIFSC Website, 2016).

|            | 2003  | 2005  | 2007  | 2009  | 2011  | 2014  |
|------------|-------|-------|-------|-------|-------|-------|
| Agrihan    | 16.03 | 15.45 | 13.68 | 16.03 | 19.83 |       |
| Aguijan    | 17.88 | 17.25 | 11.68 | 15.61 | 21.88 | 33.46 |
| Alamagan   | 18.23 | 17.39 | 22.21 | 23.34 | 30.28 | 27.58 |
| Anatahan   | 7.93  |       |       |       |       |       |
| Arakane    | 24.06 | 11.83 |       |       |       |       |
| Asuncion   | 18.15 | 15.58 | 15.66 | 18.57 | 28    | 40.56 |
| Farallon   | 10.13 | 4.82  | 4.94  | 11.28 | 11.69 | 16.45 |
| de Pajaros |       |       |       |       |       |       |
| Guam       | 19.58 | 23.3  | 11.72 | 13.71 | 19.06 | 17.58 |
| Guguan     | 23    | 10.18 | 26.58 | 24.97 | 30.23 | 37.23 |
| Maug       | 26.86 | 21.43 | 26.25 | 28.09 | 38    | 46.17 |
| Pagan      | 18.51 | 9.84  | 12.04 | 13.09 | 16.23 | 27.87 |
| Pathfinder | 24.17 | 24.75 |       |       |       |       |
| Rota       | 8.98  | 6.04  | 4.36  | 4.45  | 9.94  | 17.39 |
| Saipan     | 20.85 | 10.63 | 10.18 | 10.18 | 13.73 | 24.99 |
| Santa      | 7.31  | 7.8   |       |       |       |       |
| Rosa       |       |       |       |       |       |       |
| Sarigan    | 18.02 | 12.88 | 14.21 | 23.37 | 18.01 | 31.98 |
| Stingray   | 54.86 |       |       |       |       |       |
| Supply     | 38.75 |       |       |       |       |       |
| Tatsumi    | 7.92  |       |       |       |       |       |
| Tinian     | 12.46 | 8.99  | 8.08  | 9.33  | 12.02 | 17.37 |

# Table 63. Mean percent cover of live coral from RAMP sites collected from towed-diver surveys in the Marianas

# Table 64. Mean percent cover of macroalgae from RAMP sites collected from towed-diver surveys in the Marianas

|          | 2003  | 2005  | 2007  | 2009  | 2011  | 2014 |
|----------|-------|-------|-------|-------|-------|------|
| Agrihan  | 48.25 | 22.65 | 8.55  | 3.2   | 4.63  |      |
| Aguijan  | 44.56 | 38.81 | 28.31 | 20.8  | 21.52 | 25.1 |
| Alamagan | 41.21 | 26.03 | 15.65 | 15.47 | 12.81 | 8.33 |

| Anatahan   | 14.31 |       |       |       |       |       |
|------------|-------|-------|-------|-------|-------|-------|
| Arakane    | 52.26 | 45.75 |       |       |       |       |
| Asuncion   | 51.1  | 5.37  | 19.11 | 7.54  | 7.47  | 3.86  |
| Farallon   | 60.2  | 4.32  | 3.38  | 0.05  | 0.91  | 0.18  |
| de Pajaros |       |       |       |       |       |       |
| Guam       | 46.19 | 52.67 | 43.22 | 26.82 | 29.61 | 41.64 |
| Guguan     | 45    | 10.18 | 19.5  | 17    | 12.59 | 8.66  |
| Maug       | 45.91 | 27.2  | 8.17  | 3.26  | 4.37  | 12.01 |
| Pagan      | 45.96 | 18.4  | 16.74 | 9.84  | 7.36  | 19.3  |
| Pathfinder | 37.29 | 29    |       |       |       |       |
| Rota       | 54.34 | 56.05 | 38.76 | 30.95 | 35.16 | 29.33 |
| Saipan     | 48.57 | 30.75 | 31.87 | 20.39 | 15.26 | 25.18 |
| Santa      | 42.5  | 70.54 |       |       |       |       |
| Rosa       |       |       |       |       |       |       |
| Sarigan    | 42.23 | 23.95 | 16.47 | 12.51 | 9.41  | 11.55 |
| Stingray   | 33.89 |       |       |       |       |       |
| Supply     | 19.17 |       |       |       |       |       |
| Tatsumi    | 67.22 |       |       |       |       |       |
| Tinian     | 46.94 | 56.38 | 39.95 | 30.4  | 25.92 | 34.91 |

# Table 65. Mean percent cover of crustose coralline algae from RAMP sites collected from towed-diver surveys in the Marianas

|            | 2003  | 2005  | 2007  | 2009  | 2011  | 2014  |
|------------|-------|-------|-------|-------|-------|-------|
| Agrihan    | 8.64  | 5.7   | 9.94  | 5.57  | 3.91  |       |
| Aguijan    | 14.69 | 10.59 | 12.67 | 7.32  | 11.47 | 18.33 |
| Alamagan   | 7.63  | 4.85  | 10.29 | 5.33  | 4.29  | 6.25  |
| Anatahan   | 7.72  |       |       |       |       |       |
| Arakane    | 5.28  | 3.58  |       |       |       |       |
| Asuncion   | 7.96  | 8.99  | 9.53  | 3.67  | 4.62  | 2.19  |
| Farallon   | 3.44  | 8.03  | 5.39  | 2.94  | 2.29  | 0.05  |
| de Pajaros |       |       |       |       |       |       |
| Guam       | 12.75 | 4.04  | 8.54  | 6.13  | 9.39  | 6.9   |
| Guguan     | 17.13 | 15    | 12.95 | 14.59 | 7.35  | 9.91  |
| Maug       | 10.22 | 7.53  | 12.32 | 7.73  | 5.38  | 8.23  |
| Pagan      | 6.61  | 12.41 | 14.16 | 8.42  | 6.33  | 2.48  |
| Pathfinder | 5.56  | 10    |       |       |       |       |
| Rota       | 18.39 | 4.56  | 12.42 | 5.22  | 6.67  | 5.49  |
| Saipan     | 10.04 | 8.74  | 15.03 | 8.27  | 6.31  | 5.61  |
| Santa      | 7.13  | 0.55  |       |       |       |       |
| Rosa       |       |       |       |       |       |       |
| Sarigan    | 10.64 | 3.24  | 7.58  | 3.84  | 2.59  | 4.57  |
| Stingray   | 1.54  |       |       |       |       |       |

| Supply  | 35   |      |       |      |      |      |
|---------|------|------|-------|------|------|------|
| Tatsumi | 6.11 |      |       |      |      |      |
| Tinian  | 6.25 | 5.18 | 16.16 | 4.07 | 7.59 | 5.96 |

#### 2.6.2.3 Oceanography and Water Quality

The water column is also designated as EFH for selected MUS life stages at various depths. For larval stages of all species except deepwater shrimp, the water column is EFH from the shoreline to the EEZ. Coral reef species egg and larval EFH is to a depth of 100 m; crustaceans, 150m; and bottomfish, 400 m. Please see the Ecosystem and Climate Change section for information related to oceanography and water quality.

#### 2.6.3 Report on Review of EFH Information

Two EFH reviews were completed this year:

- Review of precious corals biological components (Appendix C)
- Omnibus review of non-fishing impacts to EFH, cumulative impacts, and conservation and enhancement recommendations (Appendix D)

#### 2.6.4 EFH Levels

NMFS guidelines codified at 50 C.F.R. § 600.815 recommend Councils organize data used to describe and identify EFH into the following four levels:

- 1. Level 1: Distribution data are available for some or all portions of the geographic range of the species.
- 2. Level 2: Habitat-related densities of the species are available.
- 3. Level 3: Growth, reproduction, or survival rates within habitats are available.
- 4. Level 4: Production rates by habitat are available.

The Council adopted a fifth level, denoted Level 0, for situations in which there is no information available about the geographic extent of a particular managed species' life stage. The existing level of data for individual MUS in each fishery are presented in tables per fishery. Each fishery section also includes the description of EFH, method used to assess the value of the habitat to the species, description of data sources used if there was analysis; and description of method for analysis.

### 2.6.4.1 Precious Corals

Essential Fish Habitat for precious corals was originally designated in Amendment 4 to the Precious Corals Fishery Management Plan (64 FR 19067, April 19, 1999), using the level of data found in the table.

# Table 66. Level of EFH information available for the Western Pacific precious corals management unit species complex.

Note: all observations are from the Hawaiian Islands.

| Species Pelagic phase (larval stage) | Benthic phase | Source(s) |
|--------------------------------------|---------------|-----------|
|--------------------------------------|---------------|-----------|

| Species  | Pelagic phase (larval stage) | Benthic phase | Source(s)                                       |
|--|------------------------------|---------------|---|
| Pink Coral<br>(Corallium)                                    |                              |               |   |
| Pleurocorallium<br>secundum (prev.<br>Corallium<br>secundum) | 0                            | 1             | Figueroa & Baco,<br>2014<br>HURL Database       |
| C. regale  | 0                            | 1             | HURL Database                                   |
| Hemicorallium<br>laauense (prev. C.<br>laauense)             | 0                            | 1             | HURL Database                                   |
| Gold Coral   |                              |               |   |
| Kulamanamana<br>haumeaae (prev.                              | 0                            | 1             | Sinniger, <i>et al.</i> (2013)<br>HURL Database |
| Callogorgia gilberti   | 0                            | 1             | HURL Database                                   |
| Narella spp.   | 0                            | 1             | HURL Database                                   |
| Bamboo Coral   |                              |               |   |
| Lepidisis olapa  | 0                            | 1             | HURL Database                                   |
| Acanella spp.  | 0                            | 1             | HURL Database                                   |
| Black Coral  |                              |               |   |
| Antipathes griggi<br>(prev. Antipathes<br>dichotoma)         | 0                            | 2             | Opresko, 2009<br>HURL Database                  |
| A. grandis   | 0                            | 1             | HURL Database                                   |
| Myriopathes ulex<br>(prev. A. ulex)                          | 0                            | 1             | Opresko, 2009<br>HURL Database                  |

### 2.6.4.2 Bottomfish and Seamount Groundfish

Essential Fish Habitat for bottomfish and seamount groundfish was originally designated in Amendment 6 to the Bottomfish and Seamount Groundfish FMP (64 FR 19067, April 19, 1999).

 Table 67. Level of EFH information available for Western Pacific bottomfish and seamount groundfish management unit species complex.

| Life History Stage                          | Eggs | Larvae | Juvenile | Adult |
|---|------|--------|----------|-------|
| Bottomfish: (scientific/english common)     |      |        |          |       |
| Aphareus rutilans (red snapper/silvermouth) | 0    | 0      | 0        | 2     |
| Aprion virescens (gray snapper/jobfish)     | 0    | 0      | 1        | 2     |
| Caranx ignoblis (giant trevally/jack)       | 0    | 0      | 1        | 2     |
| C lugubris (black trevally/jack)            | 0    | 0      | 0        | 2     |
| Epinephelus faciatus (blacktip grouper)     | 0    | 0      | 0        | 1     |
| E quernus (sea bass)                        | 0    | 0      | 1        | 2     |
| Etelis carbunculus (red snapper)            | 0    | 0      | 1        | 2     |
| <i>E coruscans</i> (red snapper)            | 0    | 0      | 1        | 2     |
| Lethrinus amboinensis (ambon emperor)       | 0    | 0      | 0        | 1     |
| L rubrioperculatus (redgill emperor)        | 0    | 0      | 0        | 1     |
| Lutjanus kasmira (blueline snapper)         | 0    | 0      | 1        | 1     |

| Life History Stage                            | Eggs | Larvae | Juvenile | Adult |
|---|------|--------|----------|-------|
| Pristipomoides auricilla (yellowtail snapper) | 0    | 0      | 0        | 2     |
| P filamentosus (pink snapper)                 | 0    | 0      | 1        | 2     |
| P flavipinnis (yelloweye snapper)             | 0    | 0      | 0        | 2     |
| P seiboldi (pink snapper)                     | 0    | 0      | 1        | 2     |
| P zonatus (snapper)                           | 0    | 0      | 0        | 2     |
| Pseudocaranx dentex (thicklip trevally)       | 0    | 0      | 1        | 2     |
| Seriola dumerili (amberjack)                  | 0    | 0      | 0        | 2     |
| Variola louti (lunartail grouper)             | 0    | 0      | 0        | 2     |
| Seamount Groundfish:                          |      |        |          |       |
| Beryx splendens (alfonsin)                    | 0    | 1      | 2        | 2     |
| Hyperoglyphe japonica (ratfish/butterfish)    | 0    | 0      | 0        | 1     |
| Pseudopentaceros richardsoni (armorhead)      | 0    | 1      | 1        | 3     |

#### 2.6.4.3 Crustaceans

Essential Fish Habitat for crustaceans MUS was originally designated in Amendment 10 to the Crustaceans FMP (64 FR 19067, April 19, 1999). EFH definitions were also approved for deepwater shrimp through an amendment to the Crustaceans FMP in 2008 (73 FR 70603, November 21, 2008).

#### Table 68. Level of EFH information available for the Western Pacific crustacean management unit species complex.

| Life History Stage                               | Eggs | Larvae | Juvenile | Adult |
|--|------|--------|----------|-------|
| Crustaceans: (english common\scientific)         |      |        |          |       |
| Spiny lobster (Panulirus marginatus)             | 2    | 1      | 1-2      | 2-3   |
| Spiny lobster (Panulirus pencillatus)            | 1    | 1      | 1        | 2     |
|  |      |        |          |       |
| Common slipper lobster (Scyllarides squammosus)  | 2    | 1      | 1        | 2-3   |
| Ridgeback slipper lobster (Scyllarides haanii)   | 2    | 0      | 1        | 2-3   |
| Chinese slipper lobster (Parribacus antarcticus) | 2    | 0      | 1        | 2-3   |
|  |      |        |          |       |
| Kona crab (Ranina ranina)                        | 1    | 0      | 1        | 1-2   |

## 2.6.4.4 Coral Reef

Essential Fish Habitat for coral reef ecosystem species was originally designated in the Coral Reef Ecosystem FMP (69 FR 8336, February 24, 2004). An EFH review of CREMUS will not be undertaken until the Council completes its process of redesignating certain CREMUS into the ecosystem component classification. Ecosystem component species do not require EFH designations, as they are not a managed species.

### 2.6.5 Research and Information Needs

Based, in part, on the information provided in the tables above the Council identified the following scientific data which are needed to more effectively address the EFH provisions:

### 2.6.5.1 All FMP Fisheries

• Distribution of early life history stages (eggs and larvae) of management unit species by habitat

- Juvenile habitat (including physical, chemical, and biological features that determine suitable juvenile habitat)
- Food habits (feeding depth, major prey species etc)
- Habitat-related densities for all MUS life history stages
- Growth, reproduction and survival rates for MUS within habitats

# 2.6.5.2 Bottomfish Fishery

- Inventory of marine habitats in the EEZ of the Western Pacific region
- Data to obtain a better SPR estimate for American Samoa's bottomfish complex
- Baseline (virgin stock) parameters (CPUE, percent immature) for the Guam/NMI deep-water and shallow-water bottomfish complexes
- High resolution maps of bottom topography/currents/water masses/primary productivity
- Habitat utilization patterns for different life history stages and species

# 2.6.5.3 Crustaceans Fishery

- Identification of post-larval settlement habitat of all CMUS
- Identification of "source/sink" relationships in the NWHI and other regions (ie, relationships between spawning sites settlement using circulation models, genetic techniques, etc)
- Establish baseline parameters (CPUE) for the Guam/Northern Marinas crustacean populations
- Research to determine habitat-related densities for all CMUS life history stages in American Samoa, Guam, Hawaii and NMI
- High resolution mapping of bottom topography, bathymetry, currents, substrate types, algal beds, habitat relief

# 2.6.5.4 Precious Corals Fishery

• Distribution, abundance and status of precious corals in the CNMI and Guam.

# 2.6.6 References

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- Coral Reef Ecosystem Program; Pacific Islands Fisheries Science Center 2016. Benthic Percent Cover Derived from Analysis of Benthic Images Collected during Towed-diver Surveys of the U.S. Pacific Reefs Since 2003 (NCEI Accession <uassigned>). NOAA National Centers for Environmental Information. Unpublished Dataset. April 5, 2016.
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- Pacific Islands Fisheries Science Center (2010) Coral reef ecosystems of the Mariana Archipelago: a 2003–2007 overview. NOAA Pacific Islands Fisheries Science Center, PIFSC Special Publication, SP-10-002, 38 p.
- Pacific Islands Fisheries Science Center (2012) Coral reef ecosystem monitoring report of the Mariana Archipelago: 2003-2007. NOAA Pacific Islands Fisheries Science Center, PIFSC Special Publication, SP-12-01, 1124 p.

# 2.7 MARINE PLANNING

### 2.7.1 Introduction

Marine planning is a science-based tool being utilized regionally, nationally and globally to identify and address issues of multiple human uses, ecosystem health and cumulative impacts in the coastal and ocean environment. The Council's efforts to formalize incorporation of marine planning in its actions began in response to Executive Order 13547, Stewardship of the Ocean, Our Coasts, and the Great Lakes. Executive Order 13158, Marine Protected Areas (MPAs), proposes that agencies strengthen the management, protection, and conservation of existing MPAs, develop a national system of MPAs representing diverse ecosystems, and avoid causing harm to MPAs through federal activities. MPAs, or marine managed areas (MMAs) are one tool used in fisheries management and marine planning.

At its 165<sup>th</sup> meeting in March 2016, in Honolulu, Hawai`i, the Council approved the following objective for the FEPs: Consider the Implications of Spatial Management Arrangements in Council Decision-making. The following sub-objectives apply:

- a. Identify and prioritize research that examines the positive and negative consequences of areas that restrict or prohibit fishing to fisheries, fishery ecosystems, and fishermen, such as the Bottomfish Fishing Restricted Areas, military installations, NWHI restrictions, and Marine Life Conservation Districts.
- b. Establish effective spatially-based fishing zones.
- c. Consider modifying or removing spatial-based fishing restrictions that are no longer necessary or effective in meeting their management objectives.
- d. As needed, periodically evaluate the management effectiveness of existing spatialbased fishing zones in Federal waters.

In order to monitor implementation of this objective, this annual report includes the Council's spatially-based fishing restrictions or marine managed areas (MMAs), the goals associated with those, and the most recent evaluation. Council research needs are identified and prioritized through the 5 Year Research Priorities and other processes, and are not tracked in this report.

In order to meet the EFH and National Environmental Policy Act (NEPA) mandates, this annual report tracks activities that occur in the ocean that are of interest to the Council, and incidents or facilities that may contribute to cumulative impact. The National Marine Fisheries Service (NMFS) is responsible for NEPA compliance, and the Council must assess the environmental effects of ocean activities for the FEP's EFH cumulative impacts section. These are redundant efforts; therefore, this report can provide material or suggest resources to meet both mandates.

## 2.7.2 Response to Previous Council Recommendations

At its 160<sup>th</sup> meeting, Regarding CNMI Fisheries, the Council:

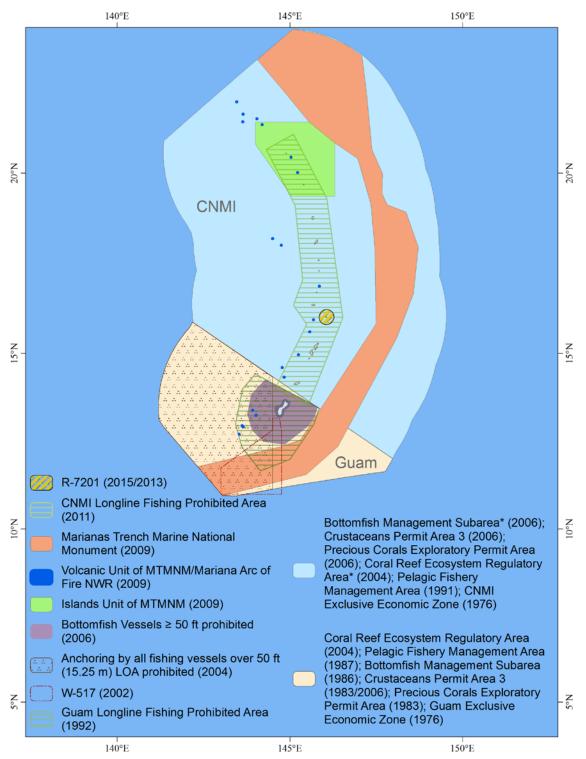
Directed staff to prepare the final amendment package for transmittal to NMFS with the proposed action being the removal of the 50 nautical mile closure for bottomfish vessels over 40

feet in length around the southern islands of Rota, Tinian, Aguijan and FDM and 10 nm around Alamagan.

NMFS approved Amendment 4 to the Marianas Archipelago FEP and published the final rule, removing the CNMI bottomfish vessel closures, on September 7, 2016.

## 2.7.3 Marine Managed Areas established under FEPs

Council-established marine managed areas (MMAs) were compiled in Table 69 from 50 CFR § 665, Western Pacific Fisheries, the Federal Register, and Council amendment documents. Geodesic areas were calculated in square kilometers in ArcGIS 10.2. All regulated fishing areas and large scale access restrictions, including the Mariana Trench Marine National Monument, are shown in Figure 37.



\* The Coral Reef Ecosystem Regulatory Area excluded the portion of EEZ waters 0-3 miles around the CNMI. The Bottomfish Management Subarea was divided in the CNMI Inshore Area, which was that portion of the EEZ shoreward of 3 nautical miles of the shoreline of CNMI, and the CNMI Offshore Area, which was that portion of the EEZ seaward of 3 nautical miles from the CNMI shoreline.

# Figure 37. Regulated fishing areas of the Mariana Archipelago, including large access restrictions.

| Table 69. MMAs established under FEPs from 50 CFR § 6 | 565. |
|---|------|
|---|------|

| Name                                    | FEP                    | Island | 50 CFR /FR<br>/Amendment<br>Reference                                     | Marine Area<br>(km²) | Fishing<br>Restriction   | Goals  | Most Recent<br>Evaluation | Review<br>Deadline |
|---|------------------------|--------|---|----------------------|--|--|---------------------------|--------------------|
|   |                        |        |   | Pelagic Restricti    | ons  |  |                           |                    |
| Guam Longline<br>Prohibited Area        | Pelagic                | Guam   | 665.806(a)(3)<br><u>57 FR 7661</u><br><u>Pelagic FMP Am. 5</u>            | 50,192.88            | Longline fishing<br>prohibited   | Prevent gear conflicts between<br>longline vessels and<br>troll/handline vessels   | 1992                      | -                  |
| CNMI Longline<br>Prohibited Area        | Pelagic                |        | 665.806(a)(4)<br><u>76 FR 37287</u>                                       | 88,112.68            | Longline fishing<br>prohibited   | Reduce potential for nearshore<br>localized fish depletion from<br>longline fishing, and to limit<br>catch<br>competition and gear conflicts<br>between<br>the CNMI-based longline and<br>trolling<br>fleets | 2011                      | -                  |
|   |                        |        |   | Bottomfish Restric   | ctions   |  |                           |                    |
| Guam Large<br>Vessel Prohibited<br>Area | Mariana<br>Archipelago | Guam   | 665.403(a)<br><u>71 FR 64474</u><br>Bottomfish FMP Am.<br>9               | 29,384.06            | Vessels ≥ 50<br>feet prohibited  | To maintain viable participation<br>and bottomfish catch rates by<br>small vessels in the fishery  | 2006                      | -                  |
|   | Other Restrictions     |        |   |                      |  |  |                           |                    |
| Guam No Anchor<br>Zone                  | Mariana<br>Archipelago | Guam   | 665.399<br><u>69 FR 8336</u><br><u>Coral Reef</u><br><u>Ecosystem FEP</u> | 138,992.51           | Anchoring by all<br>fishing vessels ≥<br>50 ft prohibited<br>on the offshore<br>southern banks<br>located in the<br>U.S. EEZ off<br>Guam | Minimize adverse human<br>impacts on coral reef resources  | 2004                      | -                  |

### 2.7.4 Fishing Activities and Facilities

The small-boat bottomfish fishery in Guam and the CNMI relies on boat ramp access. Recent activities to support the Guam fishery follow.

On Guam, the makeshift ramp at Ylig Bay was eliminated in 2010. Widening of the main road on the southeast coast of Guam will cause removal of the ramp. In December 2006, a new launch ramp and facility was opened in Acfayan Bay, located in the village on Inarajan on the southeast coast of Guam. Monitoring of this ramp for pelagic fishing activity began at the start of 2007. In early 2007, this facility was damaged by heavy surf and has yet to be repaired. Monitoring of this ramp is currently on hold until the ramp is repaired. The current financial situation in Guam makes it unlikely this ramp will be repaired in the near future. DAWR staff are meeting with land owners and Department of Public Works officials to develop a new boat launching facility in Talofofo Bay on the east side of Guam, and land ownership may determine final placement.

There are no offshore aquaculture projects in Federal waters, proposed or existing, in CNMI or Guam.

### 2.7.5 Non-Fishing Activities and Facilities

The following section includes activities or facilities associated with known uses and predicted future uses. The Plan Team will add to this section as new facilities are proposed and/or built.

### 2.7.5.1 Alternative energy facilities

There are no alternative energy facilities in Federal or local waters, proposed or existing, in Guam or CNMI.

## 2.7.5.2 Military training and testing activities and impacts

The Department of Defense major planning activities in the region are summarized below.

| Action  | Description   | Phase   | Impacts  |
|---|---|---|--|
| Guam and CNMI Military<br>Relocation SEIS                               | Relocate Marines to<br>Guam and build a<br>cantonment/family<br>housing unit on<br>Finegayan/AAFB, a live-<br>fire individual training<br>range complex at the<br>Ritidian Unit of the Guam<br>National Wildlife Refuge | ROD published August 29, 2015<br>Suit filed for segmentation and range of<br>reasonable alternatives under NEPA, requesting<br>that DON vacate the ROD. DOJ asked US<br>District Court for the NMI to dismiss the plaintiff's<br>complaint with prejudice to prevent refiling<br>(http://www.saipantribune.com/index.php/doj-<br>federal-court-lacks-jurisdiction/). Hearing<br>scheduled April 6<br>(http://www.civilbeat.org/2017/03/the-u-s-military-<br>wont-bomb-pagan-or-tinian-just-<br>yet/?mc_cid=1a464a317d&mc_eid=abaf3b9d93). | Surface danger zone<br>established at Ritidian – access<br>restricted during training.<br>Access will be negotiated<br>between the Navy and USFWS.<br>Northern District Wastewater<br>Treatment Plant is non-<br>compliant with NPDES permit;<br>until plant is upgraded,<br>increased wastewater discharge<br>associated with buildup will<br>significantly impact nearshore<br>water quality. DOD to fund plant<br>upgrades – see Economic<br>Adjustment Committee<br>Implementation Plan. |
| <u>Mariana Islands Training</u><br>and Testing                          | Continue Navy testing<br>and training activities;<br>include use of active<br>sonar and explosives<br>within the Mariana<br>Islands Range Complex;<br>pier-side sonar<br>maintenance and testing<br>in Apra Harbor      | ROD Published August 4, 2015  | Surface danger zones<br>established – access restricted<br>during training and testing<br>Explosives and anchoring may<br>damage shallow reef systems or<br>hard bottom habitat.   |
| <u>CNMI Joint Military</u><br><u>Training</u>                           | Establish unit and<br>combined level training<br>ranges on Tinian and<br>Pagan  | Supplemental Draft EIS expected in late 2018<br>with ROD in 2020.<br>See Relocation SEIS above.<br>(http://www.civilbeat.org/2017/03/the-u-s-military-<br>wont-bomb-pagan-or-tinian-just-<br>yet/?mc_cid=1a464a317d&mc_eid=abaf3b9d93).   | Significant access and habitat impacts.  |
| Divert Activities and<br>Exercises, Air Force,<br>Marianas              | Improve airports in CNMI<br>for expanding mission<br>requirements in Western<br>Pacific   | ROD published December 8, 2016.   | Adverse impacts to EFH<br>minimal; access near Port of<br>Tinian fuel transfer facility<br>affected  |
| Garapan Anchorage<br>June 2015 CNMI<br>Advisory Panel Meeting<br>Report | Military Pre-Positioned<br>Ships anchor and transit   | Expired Memorandum of Understanding with the<br>CNMI government. After transfer of submerged<br>lands to CNMI, CNMI may be able to charge<br>anchorage fees to the DOD. As of June 2015,<br>MOU had not been signed.  | Access, invasive species,<br>unmitigated damage to reefs   |
| Farallon de Medinilla   | Restricted airspace<br>covering the island to 12<br>nmi radius to conduct<br>military training scenarios<br>using air-to-ground<br>ordnance delivery, naval<br>gunfire, lasers and<br>special operations<br>training.   | Final rule published March 13, 2017, effective<br>June 22, 2017, designating a new area, R-<br>2701A, that surrounds existing R-2701,<br>encompassing airspace between a 3 nmi radius<br>and 12 nmi radius of FDM (47 FR 13389).<br>Proposed surface danger zone to 12 nmi.<br>Damage to submerged lands and fisheries to be<br>included within consultation establishing<br>continued US interest in the island and<br>compensation to the CNMI (Report to the<br>President on 902 Consultations, 2017)                                  | Access – to fishing grounds and<br>transit to fishing grounds - and<br>damage to submerged lands   |

### 2.7.6 Pacific Islands Regional Planning Body Report

The Council is a member of the Pacific Islands RPB and as such, the interests of the Council will be incorporated into the regional CMS plan. It is through the Council member that the Council may submit recommendations to the Pacific Islands RPB.

The Pacific Islands RPB met in Honolulu from February 15-16, 2017. The RPB's American Samoa Ocean Planning Team has developed its goals and objectives on which the RPB provided comments and endorsement. The RPB, by consensus, decided to:

- revise its charter with select Maritime Administration comments, a glossary or terms of reference, and handle standard operating procedure concerns through internal documentation rather than amendments to the Charter;
- kick off a Marianas Ocean Planning Team later in 2017; and
- defer the decision on beginning planning in the PRIA until an update is received on the Pacific Remote Islands Marine National Monument Management Plan at the next RPB teleconference.

The American Samoa Ocean Planning Team will continue its work concurrently with a stakeholder assessment. The Data Team will continue its work per the work plan developed in 2016.

### 2.7.7 References

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# **3 DATA INTEGRATION**

At the 2016 joint meeting of the Archipelagic and Pelagic Fishery Ecosystem Plan Team, the teams recommended the Council, in coordination with NMFS, organize a workshop in developing the Data Integration Chapter of the Annual/SAFE Report. The workshop was convened on November 30 and December 1, 2017. The goal of the workshop was to identify policy-relevant fishery ecosystem relationships, as well as analytical procedures that can be utilized to examine those relationships, that could be the bases of the data integration chapter ("Chapter 3") of the western Pacific region's (WPR) five annual Stock Assessment and Fishery Evaluation (SAFE) reports. Such variables include, for example, catch, number of fishing trips, primary productivity, and climate and weather attributes.

The Western Pacific Regional Fishery Management Council (Council) hosted the workshop. Participants included staff from the National Marine Fisheries Service (NMFS) Pacific Islands Fisheries Science Center (PIFSC) and Pacific Islands Regional Office (PIRO), the Council, and Triton Aquatics, a Hawaii-based consulting company.

| Name            | Affiliation | Name              | Affiliation     |
|-----------------|-------------|-------------------|-----------------|
| Keith Bigelow   | PIFSC       | Kevin Kelley      | Consultant/PIRO |
| Chris Boggs     | PIFSC       | Eric Kingma       | Council         |
| Rusty Brainard  | PIFSC       | Don Kobayashi     | PIFSC           |
| Paul Dalzell    | Council     | Tom Oliver        | PIFSC           |
| Joshua DeMello  | Council     | Michael Parke     | PIFSC           |
| Stefanie Dukes  | PIFSC       | Frank Parrish     | PIFSC           |
| Sarah Ellgen    | PIRO        | Marlowe Sabater   | Council         |
| Jamison Gove    | PIFSC       | Sylvia Spalding   | Council         |
| Justin Hospital | PIFSC       | Rebecca Walker    | Council         |
| Asuka Ishizaki  | Council     | Mariska Weijerman | PIFSC           |
| Ariel Jacobs    | PIRO        | Ivor Williams     | PIFSC           |

Several background presentations were given to contextualize the discussions. The following were the background presentations:

- 1. EBFM and adaptive management in the SAFE report process
- 2. Examples of fishery ecosystem integration efforts from other regions
- 3. FEP Objectives and Management Measures

4. Past attempts at Data Integration: Environmental, Social, and Economic Variables Known to Influence Fisheries

Following these background presentations and discussions, participants were segregated into two smaller working groups to brainstorm island and pelagic fishery and environmental/ecological relationships that may be of use in the context of Chapter 3. These relationships could be bivariate or multivariate. Several guided questions were provided for every combination of variables:

- 1. What can we reasonably expect to learn from or monitor with the results?
- 2. How does it inform Council decision-making, consistent with the purposes of the FEP?
- 3. Is it part of an ongoing research initiative?

The archipelagic fisheries group developed nearly 30 relationships to examine across bottomfish, coral reef, and crustacean fisheries, while the pelagic breakout group developed 11 relationships for pelagic fisheries, including protected species. The prioritized relationships are as follows:

| Relationships   | FEP | Score | Rank |
|---|-----|-------|------|
| bottomfish catch/effort/cpue/species composition and benthos/substrate (depth, structure)         | All | 22    | 3    |
| bottomfish catch/effort/cpue/species composition and PDO  | All | 20    | 3    |
| coral reef fish fishery/biomass and temperature-derived variable                                  | All | 20    | 3    |
| akule/opelu and rainfall (HI and GU)  | HI  | 20    | 3    |
| bottomfish catchability and wind speed  | All | 19    | 3    |
| reef fish catch and biomass and Chl-a (with phase lag)  | All | 19    | 3    |
| bottomfish catch and CPUE and moon phase  | All | 19    | 3    |
| bottomfish catch/effort/cpue/species composition and sea-level height (eddy feature)              | All | 18    | 2    |
| coral reef fish fishery/biomass and PDO   | All | 18    | 2    |
| green/red spiny lobster catch/cpue vertical relief  | HI  | 18    | 2    |
| green/red spiny lobster and PDO   | HI  | 18    | 2    |
| bottomfish catchability and fishing conditions (surface, subsurface current, speed and direction) | All | 17    | 2    |
| coral reef fish abundance and moon phase  | All | 17    | 2    |
| coral reef fish fishery/biomass and El Nino   | All | 17    | 2    |
| coral reef fish fishery/biomass and sea-level height  | All | 17    | 2    |
| coral reef fish fishery/biomass and pH  | All | 17    | 2    |
| bottomfish catch/effort/cpue/species composition and temperature-derived                          | All | 16    | 2    |

| variable (temp. at depth)  |     |    |   |
|--|-----|----|---|
| bottomfish catch/effort/cpue/species composition and Chl-a   | All | 16 | 2 |
| bottomfish catch/effort/cpue/species composition and rainfall  | All | 16 | 2 |
| coral reef fish catch and biomass (family; trophic guilds) and structural complexity and benthic habitat information | All | 16 | 2 |
| bottomfish catch/effort/cpue/species composition and DO  | All | 15 | 2 |
| coral reef fish fishery/biomass and rainfall   | All | 14 | 2 |
| bottomfish catch/effort/cpue/species composition and pH  | All | 13 | 2 |
| bottomfish CPUE and shark/predator biomass/abundance   | All | 12 | 2 |
| coral reef fish fishery/biomass and salinity   | All | 12 | 2 |
| coral reef fish fishery/biomass and DO   | All | 12 | 2 |
| bottomfish catch/effort/cpue/species composition and salinity  | All | 10 | 1 |

The development of the data integration chapter is work in progress that has a 2-3 year timeline. The workshop produced a long list of fishery and ecosystem variable combinations that comprise a significant workload that the participants could not currently take on. The Council hired a contractor that will conduct the exploratory data analysis on the different variable combinations and determine which relationships are worth using in Chapter 3. The contractor is expected to deliver the results at the end of 2017.

# Appendix A: Species lists for the Mariana Archipelago FEP

# CNMI

# 1. Bottomfish Multi-species Stock Complex (FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name                            | Scientific Name             |
|---------------------------------|---|-----------------------------|
| 214                             | red snapper, silvermouth (lehi)         | Aphareus rutilans           |
| 213                             | grey snapper, jobfish                   | Aprion virescens            |
| 112                             | giant trevally, jack                    | Caranx ignoblis             |
| 111                             | black trevally, jack                    | Caranx lugubris             |
| 231                             | blacktip grouper                        | Epinephelus fasciatus       |
| 241                             | lunartail grouper<br>(lyretail grouper) | Variola lauti               |
| 203                             | red snapper (ehu)                       | Etelis carbunculus          |
| 210                             | red snapper (onaga)                     | Etelis coruscans            |
| NONE                            | ambon emperor                           | Lethrinus amboinenis        |
| 350                             | redgill emperor                         | Lethrinus rubrioperculatus  |
| 253                             | blueline snapper                        | Lutjanus kasmira            |
| NONE                            | yellowtail snapper                      | Pristipomoides auricilla    |
| 212                             | pink snapper (paka)                     | Pristipomoides filamentosus |
| 209                             | yelloweye snapper                       | Pristipomoides flavipinnis  |
| 207                             | pink snapper (kalekale)                 | Pristipomoides seiboldi     |
| 204                             | flower snapper (gindai)                 | Pristipomoides zonatus      |
| 220                             | amberjack                               | Seriola dumerili            |

### 2. Crustacean deep-water shrimp complex (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name     | Scientific Name   |
|---------------------------------|------------------|-------------------|
| 508                             | deepwater shrimp | Heterocarpus spp. |

### 3. Crustacean spiny lobster complex (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name  | Scientific Name        |
|---------------------------------|---------------|------------------------|
| 504                             | spiny lobster | Panulirus marginatus   |
| 504                             | spiny lobster | Panulirus penicillatus |

### 4. Crustacean slipper lobster complex (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name    | Scientific Name |  |
|---------------------------------|-----------------|-----------------|--|
| 505                             | Slipper lobster | Scyllaridae     |  |

## 5. Crustacean Kona crab complex (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name | Scientific Name |
|---------------------------------|--------------|-----------------|
| 502                             | Kona crab    | Ranina ranina   |

| 6. Precious coral black coral | l complex (non-FSSI) |
|-------------------------------|----------------------|
|-------------------------------|----------------------|

| DFW<br>Creel<br>Species<br>Code | Species Name | Scientific Name      |  |
|---------------------------------|--------------|----------------------|--|
| none                            | Black Coral  | Anitpathes dichotoma |  |
| none                            | Black Coral  | Antipathes grandis   |  |
| none                            | Black Coral  | Antipathes ulex      |  |

## 7. Exploratory area precious coral (except black coral) (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name | Scientific Name      |  |
|---------------------------------|--------------|----------------------|--|
| none                            | Pink coral   | Corallium secundum   |  |
| none                            | Pink coral   | Corallium regale     |  |
| none                            | Pink coral   | Corallium laauense   |  |
| none                            | Bamboo coral | Lepidisis olapa      |  |
| none                            | Bamboo coral | Acanella spp.        |  |
| none                            | Gold Coral   | Gerardia spp.        |  |
| none                            | Gold Coral   | Callogorgia gilberti |  |
| none                            | Gold Coral   | Narella spp.         |  |
| none                            | Gold Coral   | Calyptrophora spp.   |  |

# 8. Coral reef ecosystem (non-FSSI)

| DFW<br>Creel<br>Species<br>Code | Species Name           | Scientific Name           | Grouping    |
|---------------------------------|------------------------|---------------------------|-------------|
| 357                             | Bigeye Emperor         | Monotaxis grandoculus     | Lethrinidae |
| 353                             | Blackspot Emperor      | Lethrinus harak           | Lethrinidae |
| 310                             | Emperor (mafute/misc.) | Lethrinus sp.             | Lethrinidae |
| 356                             | Flametail Emperor      | Lethrinus fulvus          | Lethrinidae |
| 351                             | Longnose Emperor       | Lethrinus olivaceus       | Lethrinidae |
| 352                             | Orangefin Emperor      | Lethrinus erythracanthus  | Lethrinidae |
| 361                             | Ornate Emperor         | Lethrinus ornatus         | Lethrinidae |
| 358                             | Stout Emperor          | Gymnocranius sp.          | Lethrinidae |
| 355                             | Yellowlips Emperor     | Lethrinus xanthochilis    | Lethrinidae |
| 359                             | Yellowspot emperor     | Gnathodentex aurolineatus | Lethrinidae |
| 354                             | Yellowstripe Emperor   | Lethrinus obsoletus       | Lethrinidae |
| 362                             | Yellowtail Emperor     | Lethrinus atkinsoni       | Lethrinidae |
| 115                             | Bigeye Trevally        | Caranx sexfasciatus       | Carangidae  |
| 113                             | Bluefin Trevally       | Caranx melampygus         | Carangidae  |
| 114                             | Brassy Trevally        | Caranx papuesis           | Carangidae  |
| 105                             | EE: Juvenile Jacks     | Canranx sp.               | Carangidae  |
| 104                             | Jacks (misc.)          | Caranx sp.                | Carangidae  |
| 101                             | Leatherback            | Scomberoides lysan        | Carangidae  |
| 103                             | Mackerel Scad          | Decapterus macarellus     | Carangidae  |
| 410                             | Rainbow Runner         | Elagatis bipinnulatus     | Carangidae  |
| 117                             | Small-spotted pompano  | Trachinotus bailloni      | Carangidae  |
| 116                             | Snubnose pompano       | Trachinotus blochii       | Carangidae  |

| 110 | Yellow Spotted Trevally | Carangoides orthogrammus    | Carangidae   |
|-----|-------------------------|-----------------------------|--------------|
| 380 | Bluebanded Surgeonfish  | Acanthurus lineatus         | Acanthuridae |
| 383 | Bluelined Surgeon       | Acanthurus nigroris         | Acanthuridae |
| 384 | Bluespine Unicornfish   | Naso unicornis              | Acanthuridae |
| 381 | Convict Tang            | Acanthurus triostegus       | Acanthuridae |
| 319 | Orangespine Unicornfish | Naso lituratus              | Acanthuridae |
| 318 | Surgeonfish (misc.)     | Acanthurus sp.              | Acanthuridae |
| 320 | Unicornfish (misc.)     | Naso sp.                    | Acanthuridae |
| 382 | Yellowfin Surgeonfish   | Acanthurus xanthopterus     | Acanthuridae |
| 102 | Bigeye Scad             | Selar crumenopthalmus       | Atulai       |
| 239 | Coral Grouper           | Epinephelus corallicola     | Serranidae   |
| 237 | Flagtail Grouper        | Cephalopholis urodeta       | Serranidae   |
| 206 | Grouper (misc.)         | Serannidae                  | Serranidae   |
| 233 | Highfin Grouper         | Epinephelus maculatus       | Serranidae   |
| 234 | Honeycomb Grouper       | Epinephelus merra           | Serranidae   |
| 235 | Marbled Grouper         | Epinephelus polyphekadion   | Serranidae   |
| 236 | Peacock Grouper         | Cephalopholis argus         | Serranidae   |
| 244 | Pink Grouper            | Saloptia powelli            | Serranidae   |
| 238 | Saddleback Grouper      | Plectropomus laevis         | Serranidae   |
| 242 | Tomato Grouper          | Cephanopholis sonnerati     | Serranidae   |
| 240 | White Lyretail Grouper  | Variola albimarginata       | Serranidae   |
| 243 | Yellow Banded Grouper   | Cephalopholis igarashiensis | Serranidae   |
| 316 | Snapper (misc. shallow) | Lutjanidae                  | Lutjanidae   |
| 250 | Humpback Snapper        | Lutjanus gibbus             | Lutjanidae   |

| 251 | Onespot Snapper          | Lutjanus monostigmus         | Lutjanidae     |
|-----|--------------------------|------------------------------|----------------|
| 254 | Red Snapper              | Lutjanus bohar               | Lutjanidae     |
| 208 | Smalltooth Jobfish       | Aphareus furca               | Lutjanidae     |
| 371 | Dash & Dot Goatfish      | Parupeneus barberrinus       | Mullidae       |
| 321 | Goatfish (juvenile-misc) | Mullidae                     | Mullidae       |
| 322 | Goatfish (misc.)         | Mullidae                     | Mullidae       |
| 323 | Sidespot Goatfish        | Parupeneus pleurostigma      | Mullidae       |
| 372 | Two-barred Goatfish      | Parupeneus bifasciatus       | Mullidae       |
| 370 | Yellowstripe Goatfish    | Mulloidichthys flavolineatus | Mullidae       |
| 314 | Parrotfish (misc.)       | Scarus sp.                   | Scaridae       |
| 315 | Seagrass Parrotfish      | Leptoscarus vaigiensis       | Scaridae       |
| 506 | Octopus                  | Octopus sp.                  | Mollusk        |
| 510 | Squid                    | Teuthida                     | Mollusk        |
| 516 | Trochus                  | Trochus sp.                  | Mollusk        |
| 522 | Clam/bivalve             | Bivalvia                     | Mollusk        |
| 106 | Mullet                   | Mugilidae                    | Mugilidae      |
| 304 | Rabbitfish (hitting)     | Siganus sp.                  | Siganidae      |
| 306 | Rabbitfish (h.feda)      | Siganus puntatus             | Siganidae      |
| 307 | Rabbitfish (menahac)     | Siganus sp.                  | Siganidae      |
| 308 | Rabbitfish (sesjun)      | Siganus spinus               | Siganidae      |
|     | Bolbometopon muricatum   | Bumphead parrotfish          |                |
| 391 | Cheilinus undulatus      | Napoleon wrasse              |                |
|     | Reef sharks (misc)       | Carcharhinidae               | Carcharhinidae |
|     | Hammerhead shark         | Sphyrnidae                   | Carcharhinidae |

| 338 | Angelfish            | Pomacanthidae                | Other CRE-Finfish |
|-----|----------------------|------------------------------|-------------------|
| 338 | Butterflyfish        | Chaetodontidae               | Other CRE-Finfish |
| 324 | Bigeye/glasseye      | Heteropriacanthus cruentatus | Other CRE-Finfish |
| 396 | Blue Razorfish       | Xyrichtys pavo               | Other CRE-Finfish |
| 397 | Bronzespot Razorfish | Xyrichtys celebicus          | Other CRE-Finfish |
| 260 | Cardinal Misc.       | Apogonidae                   | Other CRE-Finfish |
| 162 | Cornetfish           | Fistularia commersonii       | Other CRE-Finfish |
| 332 | Damselfish           | Pomacentridae                | Other CRE-Finfish |
| 341 | Filefish (misc)      | Monacanthidae                | Other CRE-Finfish |
| 340 | Flounder (misc)      | Bothus sp.                   | Other CRE-Finfish |
| 328 | Fusilier (misc.)     | Caesionidae                  | Other CRE-Finfish |
| 325 | Goggle-eye           | Priacanthus hamrur           | Other CRE-Finfish |
| 195 | Lizardfish misc.     | Synodontidae                 | Other CRE-Finfish |
| 180 | Milkfish             | Chanos chanos                | Other CRE-Finfish |
| 329 | Mojarra              | Gerres sp.                   | Other CRE-Finfish |
| 140 | Moray eel            | Muraenidae                   | Other CRE-Finfish |
| 170 | Needlefish           | Belonidae                    | Other CRE-Finfish |
| 343 | Picasso Trigger      | Rhinecanthus aculeatus       | Other CRE-Finfish |
| 348 | Pufferfish           | Tetraodontidae               | Other CRE-Finfish |
| 395 | Razorfish (misc)     | Tribe Novaculini             | Other CRE-Finfish |
| 130 | Scorpionfishes       | Scorpaenidae                 | Other CRE-Finfish |
| 330 | Sweetlips            | Plectorhinchus picus         | Other CRE-Finfish |
| 342 | Triggerfish (misc.)  | Balistidae                   | Other CRE-Finfish |
| 163 | Trumpetfish          | Aulostomus chinensis         | Other CRE-Finfish |

| 344 | Wedge Trigger             | Rhinecanthus rectangulus | Other CRE-Finfish           |
|-----|---------------------------|--------------------------|-----------------------------|
| 312 | Squirrelfish              | Holocentridae            | Squirrelfish                |
| 313 | Soldierfish (misc.)       | Holocentridae            | Squirrelfish                |
| 302 | Wrasse                    | Labridae                 | Wrasse                      |
| 390 | Tripletail Wrasse         | Cheilinus trilobatus     | Wrasse                      |
| 309 | Rudderfish (guilli)       | Kyphosus sp.             | Rudderfish                  |
| 373 | Highfin Rudderfish Silver | Kyphosus cinerascens     | Rudderfish                  |
| 374 | Highfin Rudderfish Brown  | Kyphosus sp.             | Rudderfish                  |
| 200 | Bottomfish (misc)         | n/a                      | Misc. Bottomfish            |
| 300 | Reef fish (misc)          | n/a                      | Misc. Reef Fish             |
|     | Shallow bottom            | n/a                      | Misc. Shallow<br>bottomfish |
| 501 | Crabs (misc)              | n/a                      | Crustaceans                 |
| 503 | Coconut Crab              | Birgus latro             | Crustaceans                 |
| 500 | Invertebrates             | n/a                      | Other Invertebrates         |
| 514 | Sea Cucumber              | Cucumariidae             | Other Invertebrates         |
| 600 | Seaweeds                  | n/a                      | Algae                       |
| 602 | Lemu                      | n/a                      | Algae                       |

# GUAM

# 1. Bottomfish Multi-species Stock Complex (FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name                    | Scientific Name             |
|----------------------------------|---------------------------------|-----------------------------|
| 32302                            | red snapper, silvermouth (lehi) | Aphareus rutilans           |
| 32303                            | grey snapper, jobfish           | Aprion virescens            |
| 31404                            | giant trevally, jack            | Caranx ignoblis             |
| 31405                            | black trevally, jack            | Caranx lugubris             |
| 28919                            | blacktip grouper                | Epinephelus fasciatus       |
| 28941                            | lunartail (lyretail) grouper    | Variola lauti               |
| 32304                            | red snapper (ehu)               | Etelis carbunculus          |
| 32305                            | red snapper (onaga)             | Etelis coruscans            |
| 32818                            | ambon emperor                   | Lethrinus amboinenis        |
| 32809                            | redgill emperor                 | Lethrinus rubrioperculatus  |
| 32310                            | blueline snapper                | Lutjanus kasmira            |
| 32317                            | yellowtail snapper              | Pristipomoides auricilla    |
| 32318                            | pink snapper (paka)             | Pristipomoides filamentosus |
| 32319                            | yelloweye snapper               | Pristipomoides flavipinnis  |
| 32320                            | pink snapper (kalekale)         | Pristipomoides seiboldi     |
| 32321                            | snapper (gindai)                | Pristipomoides zonatus      |
| 31414                            | amberjack                       | Seriola dumerili            |

# 2. Crustacean deep-water shrimp complex (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name     | Scientific Name    |  |
|----------------------------------|------------------|--------------------|--|
| 67600                            | deepwater shrimp | Heterocarpus spp.  |  |
| 67601                            | deepwater shrimp | Pandalus Unid sp 1 |  |
| 67602                            | deepwater shrimp | Pandalidae         |  |
| 67603                            | deepwater shrimp | Pandalidae         |  |

### 3. Crustacean spiny lobster complex (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name  | Scientific Name        |
|----------------------------------|---------------|------------------------|
| 67913                            | spiny lobster | Panulirus marginatus   |
| 67915                            | spiny lobster | Panulirus penicillatus |

### 4. Crustacean slipper lobster complex (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name    | Scientific Name |  |
|----------------------------------|-----------------|-----------------|--|
| 67954                            | slipper lobster | Scyllaridae     |  |
| 67955                            | slipper lobster | Scyllaridae     |  |

### 5. Crustacean Kona crab complex (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name | Scientific Name |  |
|----------------------------------|--------------|-----------------|--|
| 69150                            | Kona crab    | Ranina ranina   |  |

### 6. Precious coral black coral complex (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name | Scientific Name      |
|----------------------------------|--------------|----------------------|
| none                             | Black Coral  | Anitpathes dichotoma |
| none                             | Black Coral  | Antipathes grandis   |
| none                             | Black Coral  | Antipathes ulex      |

# 7. Exploratory area precious coral (except black coral) (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name | Scientific Name      |
|----------------------------------|--------------|----------------------|
| none                             | Pink coral   | Corallium secundum   |
| none                             | Pink coral   | Corallium regale     |
| none                             | Pink coral   | Corallium laauense   |
| none                             | Bamboo coral | Lepidisis olapa      |
| none                             | Bamboo coral | Acanella spp.        |
| none                             | Gold Coral   | Gerardia spp.        |
| none                             | Gold Coral   | Callogorgia gilberti |
| none                             | Gold Coral   | Narella spp.         |

| none | Gold Coral | Calyptrophora spp. |
|------|------------|--------------------|
|      |            |                    |

## 8. Coral reef ecosystem (non-FSSI)

| DAWR<br>Creel<br>Species<br>Code | Species Name               | Scientific Name         | Species grouping |
|----------------------------------|----------------------------|-------------------------|------------------|
| 41201                            | Achilles tang              | Acanthurus achilles     | Acanthuridae     |
| 41232                            | Bariene's surgeonfish      | Acanthurus bariene      | Acanthuridae     |
| 41207                            | Ringtail surgeonfish       | Acanthurus blochii      | Acanthuridae     |
| 41234                            | Chronixis surgeonfish      | Acanthurus chronixis    | Acanthuridae     |
| 41202                            | Eye-striped surgeonfish    | Acanthurus dussumieri   | Acanthuridae     |
| 41204                            | Whitespotted surgeonfish   | Acanthurus guttatus     | Acanthuridae     |
| 41239                            | Whitebar surgeonfish       | Acanthurus leucocheilus | Acanthuridae     |
| 41205                            | Palelipped surgeonfish     | Acanthurus leucopareius | Acanthuridae     |
| 41206                            | Blue-banded surgeonfish    | Acanthurus lineatus     | Acanthuridae     |
| 41235                            | White-Freckled surgeonfish | Acanthurus maculiceps   | Acanthuridae     |
| 41233                            | Elongate surgeonfish       | Acanthurus mata         | Acanthuridae     |
| 41203                            | Whitecheek surgeonfish     | Acanthurus nigricans    | Acanthuridae     |
| 41208                            | Blackstreak surgeonfish    | Acanthurus nigricauda   | Acanthuridae     |
| 41209                            | Brown surgeonfish          | Acanthurus nigrofuscus  | Acanthuridae     |
| 41210                            | Bluelined surgeonfish      | Acanthurus nigroris     | Acanthuridae     |
| 41240                            | Surgeonfish                | Acanthurus nubilus      | Acanthuridae     |
| 41211                            | Orangeband surgeonfish     | Acanthurus olivaceus    | Acanthuridae     |
| 41212                            | Mimic surgeonfish          | Acanthurus pyroferus    | Acanthuridae     |

| 41243 | Surgeonfishes/tangs       | Acanthuridae                | Acanthuridae |
|-------|---------------------------|-----------------------------|--------------|
| 41243 | Surgeonnisnes/tangs       | Acantinunuae                | Acamululuae  |
| 41200 | Surgeonfishes/tangs       | Acanthuridae                | Acanthuridae |
| 41213 | Thomson's surgeonfish     | Acanthurus thompsoni        | Acanthuridae |
| 41214 | Convict tang              | Acanthurus triostegus       | Acanthuridae |
| 41215 | Yellowfin surgeonfish     | Acanthurus xanthopterus     | Acanthuridae |
| 41216 | Twospot bristletooth      | Ctenochaetus binotatus      | Acanthuridae |
| 41217 | Black surgeonfish         | Ctenochaetus<br>hawaiiensis | Acanthuridae |
| 41236 | Blue-spotted Bristletooth | Ctenochaetus marginatus     | Acanthuridae |
| 41218 | Striped bristletooth      | Ctenochaetus striatus       | Acanthuridae |
| 41231 | Yellow-eyed bristletooth  | Ctenochaetus strigosus      | Acanthuridae |
| 41237 | Tomini's surgeonfish      | Ctenochaetus<br>tominiensis | Acanthuridae |
| 41219 | Whitemargin unicornfish   | Naso annulatus              | Acanthuridae |
| 41220 | Humpback unicornfish      | Naso brachycentron          | Acanthuridae |
| 41221 | Spotted unicornfish       | Naso brevirostris           | Acanthuridae |
| 41241 | Gray unicornfish          | Naso caesius                | Acanthuridae |
| 41222 | Black tongue unicornfish  | Naso hexacanthus            | Acanthuridae |
| 41223 | Orangespine unicornfish   | Naso lituratus              | Acanthuridae |
| 41238 | Naso tang                 | Naso lopezi                 | Acanthuridae |
| 41242 | Barred unicornfish        | Naso thynnoides             | Acanthuridae |
| 41224 | Humpnose unicornish       | Naso tuberosus              | Acanthuridae |
| 41225 | Bluespine unicornfish     | Naso unicornis              | Acanthuridae |
| 41226 | Bignose unicornfish       | Naso vlamingii              | Acanthuridae |
| 41227 | Hepatus tang              | Paracanthurus hepatus       | Acanthuridae |

| 41228 | Yellow tang            | Zebrasoma flavescens            | Acanthuridae |
|-------|------------------------|---------------------------------|--------------|
| 41229 | Brown tang             | Zebrasoma scopas                | Acanthuridae |
| 41230 | Pacific sailfin tang   | Zebrasoma veliferum             | Acanthuridae |
| 31401 | Pennantfish/threadfin  | Alectis ciliaris                | Carangidae   |
| 31402 | Malabar Trevally       | Alectis indicus                 | Carangidae   |
| 31400 | Jack (misc)            | Carangidae                      | Carangidae   |
| 31420 |                        | Carangini                       | Carangidae   |
| 31419 | Blue kingfish trevally | Carangoides<br>caeruleopinnatus | Carangidae   |
| 31431 | Shadow kingfish        | Carangoides dinema              | Carangidae   |
| 31422 | Bar jack               | Carangoides ferdau              | Carangidae   |
| 31433 | Yellow dotted trevally | Carangoides<br>fulvoguttatus    | Carangidae   |
| 31438 | Headnotch trevally     | Carangoides<br>hedlandensis     | Carangidae   |
| 31403 | Goldspot trevally      | Carangoides<br>orthogrammus     | Carangidae   |
| 31424 | Barcheek trevally      | Carangoides<br>plagiotaenia     | Carangidae   |
| 31425 | Jacks (misc)           | Carangoides<br>talamparoides    | Carangidae   |
| 31437 | Trevally               | Carangoides uii                 | Carangidae   |
| 31429 | Trevally               | Caranx i'e'                     | Carangidae   |
| 31406 | Bluefin trevally       | Caranx melampygus               | Carangidae   |
| 31428 | Brassy trevally        | Caranx papuensis                | Carangidae   |
| 31407 | Bigeye trevally        | Caranx sexfasciatus             | Carangidae   |
| 31408 | Mackerel scad          | Decapterus macarellus           | Carangidae   |

| 31423 | Mackerel scad              | Decapterus macrosoma         | Carangidae  |
|-------|----------------------------|------------------------------|-------------|
| 31421 | Round scad                 | Decapterus maruadsi          | Carangidae  |
| 31430 | Round scad                 | Decapterus russelli          | Carangidae  |
| 31409 | Rainbow runner             | Elagatis bipinnulatus        | Carangidae  |
| 31410 | Golden trevally            | Gnathanodon speciosus        | Carangidae  |
| 31439 |                            | Megalaspis cordyla           | Carangidae  |
| 31435 | Pilotfish                  | Naucrates ductor             | Carangidae  |
| 31440 | Elagatis, Scomberoides     | Naucratini                   | Carangidae  |
| 31412 | Leatherback                | Scomberoides lysan           | Carangidae  |
| 31415 | Almaco jack                | Seriola rivoliana            | Carangidae  |
| 31416 | Small spotted pompano      | Trachinotus bailloni         | Carangidae  |
| 31417 | Silver or Snubnose pompano | Trachinotus blochii          | Carangidae  |
| 31432 | Mandibular kingfish        | Ulua mandibularis            | Carangidae  |
| 31418 | Kingfish                   | Uraspis helvola              | Carangidae  |
| 31436 | Deep trevally              | Uraspis secunda              | Carangidae  |
| 31434 | Whitemouth trevally        | Uraspis uraspis              | Carangidae  |
| 31413 | Atulai                     | Selar crumenophthalmus       | Atulai      |
| 31426 | Atulai                     | Atule mate                   | Atulai      |
| 31427 | Atulai                     | Selar boops                  | Atulai      |
| 32800 | Emperors                   | Lethrinidae                  | Lethrinidae |
| 32801 | Yellow-Spot Emperor        | Gnathodentex<br>aurolineatus | Lethrinidae |
| 32802 | Grey Bream                 | Gymnocranius griseus         | Lethrinidae |
| 32804 | Thumbprint Emperor         | Lethrinus harak              | Lethrinidae |
| 32805 | Yellowtail Emperor         | Lethrinus atkinsoni          | Lethrinidae |

| 32806 | Longface Emperor       | Lethrinus olivaceus         | Lethrinidae |
|-------|------------------------|-----------------------------|-------------|
| 32807 | Ornate Emperor         | Lethrinus ornatus           | Lethrinidae |
| 32808 | Orange-Striped Emperor | Lethrinus obsoletus         | Lethrinidae |
| 32810 | Black-Blotch Emperor   | Lethrinus semicinctus       | Lethrinidae |
| 32811 | Yellowlip Emperor      | Lethrinus xanthochilus      | Lethrinidae |
| 32812 | Bigeye Emperor         | Monotaxis grandoculus       | Lethrinidae |
| 32813 | Japanese Bream         | Gymnocranius euanus         | Lethrinidae |
| 32814 | Orange-Spotted Emperor | Lethrinus erythracanthus    | Lethrinidae |
| 32815 | Large-Eye Bream        | Wattsia mossambica          | Lethrinidae |
| 32816 | Stout Emperor          | Gymnocranius sp             | Lethrinidae |
| 32817 | Smtoothed Emperor      | Lethrinus microdon          | Lethrinidae |
| 32819 | Longspine Emperor      | Lethrinus genivittatus      | Lethrinidae |
| 32820 | Pinkear Emperor        | Lethrinus lentjan           | Lethrinidae |
| 32821 | Blue-Spotted Bream     | Gymnocranius microdon       | Lethrinidae |
| 32822 | Longfin Emperor        | Lethrinus erythropterus     | Lethrinidae |
| 32823 | Blue-Lined Bream       | Gymnocranius<br>grandoculus | Lethrinidae |
| 32824 | Slender Emperor        | Lethrinus variegatus        | Lethrinidae |
| 36402 | Bucktooth Parrotfish   | Calotomus carolinus         | Scaridae    |
| 36420 | Spineytooth Parrotfish | Calotomus spinidens         | Scaridae    |
| 36403 | Bicolor Parrotfish     | Cetoscarus bicolor          | Scaridae    |
| 36422 | Parrotfish             | Chlorurus bleekeri          | Scaridae    |
| 36431 | Parrotfish             | Chlorurus bowersi           | Scaridae    |
| 36408 | Tan-Faced Parrotfish   | Chlorurus frontalis         | Scaridae    |
| 36410 | Steephead Parrotfish   | Chlorurus microrhinos       | Scaridae    |

| 36433 | Parrotfish             | Chlorurus pyrrhurus    | Scaridae |
|-------|------------------------|------------------------|----------|
| 36416 | Bullethead Parrotfish  | Chlorurus sordidus     | Scaridae |
|       |                        |                        |          |
| 36404 | Parrotfish             | Hipposcarus longiceps  | Scaridae |
| 36405 | Seagrass Parrotfish    | Leptoscarus vaigiensis | Scaridae |
| 36400 | Parrotfishes           | Scaridae               | Scaridae |
| 36406 | Fil-Finned Parrotfish  | Scarus altipinnis      | Scaridae |
| 36429 | Parrotfish             | Scarus chameleon       | Scaridae |
| 36423 | Parrotfish             | Scarus dimidiatus      | Scaridae |
| 36419 | Parrotfish             | Scarus festivus        | Scaridae |
| 36434 | Yellowfin Parrotfish   | Scarus flavipectoralis | Scaridae |
| 36417 | Tricolor Parrotfish    | Scarus forsteni        | Scaridae |
| 36407 | Vermiculate Parrotfish | Scarus frenatus        | Scaridae |
| 36409 | Blue-Barred Parrotfish | Scarus ghobban         | Scaridae |
| 36411 | Parrotfish             | Scarus globiceps       | Scaridae |
| 36424 | Java Parrotfish        | Scarus hypselosoma     | Scaridae |
| 36418 | Parrotfish             | Scarus sp.             | Scaridae |
| 36432 | Black Parrotfish       | Scarus niger           | Scaridae |
| 36412 | Parrotfish             | Scarus oviceps         | Scaridae |
| 36425 | Greenthroat Parrotfish | Scarus prasiognathos   | Scaridae |
| 36413 | Pale Nose Parrotfish   | Scarus psittacus       | Scaridae |
| 36426 | Parrotfish             | Scarus quoyi           | Scaridae |
| 36427 | Parrotfish             | Scarus rivulatus       | Scaridae |
| 36414 | Parrotfish             | Scarus rubroviolaceus  | Scaridae |
| 36415 | Chevron Parrotfish     | Scarus schlegeli       | Scaridae |

| 36428 | Parrotfish             | Scarus spinus                   | Scaridae |
|-------|------------------------|---------------------------------|----------|
| 36435 | Tricolor Parrotfish    | Scarus tricolor                 | Scaridae |
| 36421 | Parrotfish             | Scarus xanthopleura             | Scaridae |
| 33200 | Goatfishes             | Mullidae                        | Mullidae |
| 33201 | Yellowstriped Goatfish | Mulloidichthys<br>flavolineatus | Mullidae |
| 33202 | Orange Goatfish        | Mulloidichthys pflugeri         | Mullidae |
| 33219 | Juvenile Goatfish      | Mulloidichthys ti'ao            | Mullidae |
| 33203 | Yellowfin Goatfish     | Mulloidichthys<br>vanicolensis  | Mullidae |
| 33216 |                        | Parupeneus<br>barberinoides     | Mullidae |
| 33204 | Dash And Dot Goatfish  | Parupeneus barberinus           | Mullidae |
| 33205 |                        | Parupeneus bifasciatus          | Mullidae |
| 33210 | White-Lined Goatfish   | Parupeneus ciliatus             | Mullidae |
| 33206 | Yellow Goatfish        | Parupeneus cyclostomus          | Mullidae |
| 33208 | Redspot Goatfish       | Parupeneus heptacanthus         | Mullidae |
| 33214 | Indian Goatfish        | Parupeneus indicus              | Mullidae |
| 33211 | Multibarred Goatfish   | Parupeneus<br>multifasciatus    | Mullidae |
| 33209 | Sidespot Goatfish      | Parupeneus pleurostigma         | Mullidae |
| 33217 | Goatfish               | Parupeneus sp                   | Mullidae |
| 33218 | Goatfish               | Upeneus arge                    | Mullidae |
| 33212 | Band-Tailed Goatfish   | Upeneus taeniopterus            | Mullidae |
| 33215 | Blackstriped Goatfish  | Upeneus tragula                 | Mullidae |
| 33213 | Yellowbanded Goatfish  | Upeneus vittatus                | Mullidae |

| 54501 | Spiney Chiton           | Acanthopleura spinosa      | Mollusks |
|-------|-------------------------|----------------------------|----------|
| 54410 | Bubble Shells,Sea Hares | Acteonidae                 | Mollusks |
| 54603 | Antique Ark             | Anadara antiquata          | Mollusks |
| 54602 | Indo-Pacific Ark        | Arca navicularis           | Mollusks |
| 54601 | Ventricose Ark          | Arca ventricosa            | Mollusks |
| 54600 | Ark Shells              | Arcidae                    | Mollusks |
| 57742 | Common Paper Nautilus   | Argonauta argo             | Mollusks |
| 57745 | Gruner'S Paper Nautilus | Argonauta gruneri          | Mollusks |
| 57741 | Brown Paper Nautilus    | Argonauta hians            | Mollusks |
| 57743 | Nodose Paper Nautilus   | Argonauta nodosa           | Mollusks |
| 57744 | Noury'S Paper Nautilus  | Argonauta nouri            | Mollusks |
| 57740 | Paper Nautiluses        | Argonautidae               | Mollusks |
| 56896 | Pacific Sand Clam       | Asaphis violescens         | Mollusks |
| 56891 | Gaudy Sand Clam         | Aspaphis deflorata         | Mollusks |
| 51751 | Peron'S Sea Butterfly   | Atlanta peroni             | Mollusks |
| 51750 |                         | Atlantidae                 | Mollusks |
| 54424 | Wh Pacific Atys         | Atys naucum                | Mollusks |
| 54604 | Almond Ark              | Babatia<br>amygdalumtostum | Mollusks |
| 50840 | Goblets, Dwarf Tritons  | Buccinidae                 | Mollusks |
| 54421 | Ampule Bubble           | Bulla ampulla              | Mollusks |
| 54420 | Bubble Shells           | Bullidae                   | Mollusks |
| 54422 | Lined Bubble            | Bullina lineata            | Mollusks |
| 50796 | Giant Frog Shell        | Bursa bubo                 | Mollusks |
| 50791 | Warty Frog Shell        | Bursa bufonia              | Mollusks |

| 50792 | Blood-Stain Frog Shell | Bursa cruentata        | Mollusks |
|-------|------------------------|------------------------|----------|
| 50793 | Granulate Frog Shell   | Bursa granularis       | Mollusks |
| 50799 | Lamarck'S Frog Shell   | Bursa lamarcki         | Mollusks |
| 50798 | Red-Mth Frog Shell     | Bursa lissostoma       | Mollusks |
| 50794 | Udder Frog Shell       | Bursa mammata          | Mollusks |
| 50797 | Ruddy Frog Shell       | Bursa rebeta           | Mollusks |
| 50795 | Wine-Mth Frog Shell    | Bursa rhodostoma       | Mollusks |
| 50790 | Frog Shells            | Bursidae               | Mollusks |
| 50751 | Umbilicate Ovula       | Calpurnus verrucosus   | Mollusks |
| 50878 | File Miter             | Cancilla filaris       | Mollusks |
| 50842 | Smoky Goblet           | Cantharus fumosus      | Mollusks |
| 50841 | Waved Goblet           | Cantharus undosus      | Mollusks |
| 56721 | Varitated Cardita      | Cardita variegata      | Mollusks |
| 56720 | Carditid Clams         | Carditidae             | Mollusks |
| 50767 | Vibex Bonnet           | Casmaria erinaceus     | Mollusks |
| 50768 | Heavy Bonnet           | Casmaria ponderosa     | Mollusks |
| 50765 | Helmet Shells          | Cassidae               | Mollusks |
| 50766 | Horned Helmet          | Cassius cornuta        | Mollusks |
| 55022 | 3-Toothed Cavoline     | Cavolina tridentata    | Mollusks |
| 55023 | Unicate Cavoline       | Cavolina uncinata      | Mollusks |
| 55021 | Sea Butterfly          | Cavolinia cf globulosa | Mollusks |
| 55020 | Sea Butterflies        | Cavolinidae            | Mollusks |
| 50650 | Turret,Worm-Shells     | Cerithiidae            | Mollusks |
| 50654 | Column Certh           | Cerithium columna      | Mollusks |

| 50651 | Giant Knobbed Certh    | Cerithium nodulosum  | Mollusks |
|-------|------------------------|----------------------|----------|
| 56711 | Lazarus Jewel Box      | Chama lazarus        | Mollusks |
| 56710 | Jewel Boxes            | Chamidae             | Mollusks |
| 50781 | Triton Trumpet         | Charonia tritonis    | Mollusks |
| 50812 | Ramose Murex           | Chicoreus ramosus    | Mollusks |
| 54500 | Chitons                | Chitonidae           | Mollusks |
| 56623 | Cook'S Scallop         | Chlamys cooki        | Mollusks |
| 56621 | Squamose Scallop       | Chlamys squamosa     | Mollusks |
| 56500 | Bivalves               | Class Bivalvia       | Mollusks |
| 55027 | Pyramid Clio           | Clio cuspidata       | Mollusks |
| 55026 | Irregular Urchins      | Clio pyramidata      | Mollusks |
| 50652 | Morus Certh            | Clypeomorus concisus | Mollusks |
| 56706 | Punctate Lucina        | Codakia punctata     | Mollusks |
| 50847 | Maculated Dwarf Triton | Columbraria muricata | Mollusks |
| 50845 | Shiny Dwarf Triton     | Columbraria nitidula | Mollusks |
| 50846 | Twisted Dwarf Triton   | Columbraria tortuosa | Mollusks |
| 50920 | Cone Shells            | Conidae              | Mollusks |
| 50952 | Sand-Dusted Cone       | Conus arenatus       | Mollusks |
| 50963 | Princely Cone          | Conus aulicus        | Mollusks |
| 50968 | Aureus Cone            | Conus aureus         | Mollusks |
| 50969 | Gold-Leaf Cone         | Conus auricomus      | Mollusks |
| 50947 | Banded Marble-Cone     | Conus bandanus       | Mollusks |
| 50971 | Bubble Cone            | Conus bullatus       | Mollusks |
| 50942 | Captain Cone           | Conus capitaneus     | Mollusks |

| 50932 | Cat Cone             | Conus catus        | Mollusks |
|-------|----------------------|--------------------|----------|
| 50924 | Chaldean Cone        | Conus chaldeus     | Mollusks |
| 50972 | Comma Cone           | Conus connectens   | Mollusks |
| 50922 | Crowned Cone         | Conus coronatus    | Mollusks |
| 50970 | Cylindrical Cone     | Conus cylandraceus | Mollusks |
| 50926 | Distantly-Lined Cone | Conus distans      | Mollusks |
| 50923 | Hebrew Cone          | Conus ebraeus      | Mollusks |
| 50936 | Ivory Cone           | Conus eburneus     | Mollusks |
| 50965 | Episcopus Cone       | Conus episcopus    | Mollusks |
| 50927 | Pacific Yellow Cone  | Conus flavidus     | Mollusks |
| 50928 | Frigid Cone          | Conus frigidus     | Mollusks |
| 50945 | General Cone         | Conus generalis    | Mollusks |
| 50961 | Geography Cone       | Conus geographus   | Mollusks |
| 50955 | Acorn Cone           | Conus glans        | Mollusks |
| 50946 | Imperial Cone        | Conus imperialis   | Mollusks |
| 50964 | Ambassador Cone      | Conus legatus      | Mollusks |
| 50938 | Leopard Cone         | Conus leopardus    | Mollusks |
| 50951 | Lithography Cone     | Conus lithoglyphus | Mollusks |
| 50937 | Lettered Cone        | Conus litteratus   | Mollusks |
| 50929 | Livid Cone           | Conus lividus      | Mollusks |
| 50958 | Luteus Cone          | Conus luteus       | Mollusks |
| 50966 | Dignified Cone       | Conus magnificus   | Mollusks |
| 50930 | Soldier Cone         | Conus miles        | Mollusks |
| 50939 | 1000-Spot Cone       | Conus miliaris     | Mollusks |

| 50935 | Morelet'S Cone     | Conus moreleti            | Mollusks |
|-------|--------------------|---------------------------|----------|
| 50934 | Muricate Cone      | Conus muriculatus         | Mollusks |
| 50940 | Music Cone         | Conus musicus             | Mollusks |
| 50943 | Weasel Cone        | Conus mustelinus          | Mollusks |
| 50954 | Obscure Cone       | Conus obscurus            | Mollusks |
| 50959 | Pertusus Cone      | Conus pertusus            | Mollusks |
| 50921 | Flea-Bite Cone     | Conus pulicarius          | Mollusks |
| 50931 | Rat Cone           | Conus rattus              | Mollusks |
| 50967 | Netted Cone        | Conus retifer             | Mollusks |
| 50933 | Blood-Stained Cone | Conus sanguinolentus      | Mollusks |
| 50957 | Leaden Cone        | Conus scabriusculus       | Mollusks |
| 50925 | Marriage Cone      | Conus sponsalis           | Mollusks |
| 50950 | Striatellus Cone   | Conus striatellus         | Mollusks |
| 50948 | Striated Cone      | Conus striatus            | Mollusks |
| 50956 | Terebra Cone       | Conus terebra             | Mollusks |
| 50944 | Checkered Cone     | Conus tesselatus          | Mollusks |
| 50953 | Textile Cone       | Conus textile             | Mollusks |
| 50962 | Tulip Cone         | Conus tulipa              | Mollusks |
| 50960 | Varius Cone        | Conus varius              | Mollusks |
| 50941 | Flag Cone          | Conus vexillum            | Mollusks |
| 50949 | Calf Cone          | Conus vitulinus           | Mollusks |
| 50832 | Eroded Coral Shell | Coralliophila erosa       | Mollusks |
| 50831 | Violet Coral Shell | Coralliophila neritodidea | Mollusks |
| 50830 | Coral Shells       | Coralliophilidae          | Mollusks |

| 56662 | Giant Oyster          | Crassostrea gigas           | Mollusks |
|-------|-----------------------|-----------------------------|----------|
| 56661 | Mangrove Oyster       | Crassostrea mordax          | Mollusks |
| 50813 | Bionic Rock Shell     | Cronia biconica             | Mollusks |
| 56624 | Speciosus Scallop     | Cryptopecten speciosum      | Mollusks |
| 55025 | Cigar Pteropod        | Cuvierina columnella        | Mollusks |
| 50770 | Tritons               | Cymatiidae                  | Mollusks |
| 50784 | Clandestine Triton    | Cymatium clandestinium      | Mollusks |
| 50773 | Jeweled Triton        | Cymatium gemmatum           | Mollusks |
| 50776 | Liver Triton          | Cymatium hepaticum          | Mollusks |
| 50786 | Wide-Lipped Triton    | Cymatium labiosum           | Mollusks |
| 50782 | Black-Spotted Triton  | Cymatium lotorium           | Mollusks |
| 50774 | Short-Neck Triton     | Cymatium muricinum          | Mollusks |
| 50772 | Nicobar Hairy Triton  | Cymatium nicobaricum        | Mollusks |
| 50779 | Common Hairy Triton   | Cymatium pileare            | Mollusks |
| 50771 | Aquatile Hairy Triton | Cymatium pilere<br>aquatile | Mollusks |
| 50783 | Pear Triton           | Cymatium pyrum              | Mollusks |
| 50775 | Red Triton            | Cymatium rubeculum          | Mollusks |
| 50785 | Dwarf Hairy Triton    | Cymatium vespaceum          | Mollusks |
| 50703 | Gold-Ringer Cowry     | Cypraea annulus             | Mollusks |
| 50726 | Arabian Cowry         | Cypraea arabica             | Mollusks |
| 50734 | Eyed Cowry            | Cypraea argus               | Mollusks |
| 50739 | Golden Cowry          | Cypraea aurantium           | Mollusks |
| 50738 | Beck'S Cowry          | Cypraea beckii              | Mollusks |
| 50733 | Bistro Cowry          | Cypraea bistronatata        | Mollusks |

| 50702 | Snake'S Head Cowry | Cypraea caputserpentis | Mollusks |
|-------|--------------------|------------------------|----------|
| 50710 | Carnelian Cowry    | Cypraea carneola       | Mollusks |
| 50740 | Chinese Cowry      | Cypraea chinensis      | Mollusks |
| 50732 | Chick-Pea Cowry    | Cypraea cicercula      | Mollusks |
| 50721 | Clandestine Cowry  | Cypraea clandestina    | Mollusks |
| 50715 | Sieve Cowry        | Cypraea cribaria       | Mollusks |
| 50713 | Sowerby'S Cowry    | Cypraea cylindrica     | Mollusks |
| 50717 | Depressed Cowry    | Cypraea depressa       | Mollusks |
| 50743 | Dillwyn'S Cowry    | Cypraea dillywini      | Mollusks |
| 50706 | Eglantine Cowry    | Cypraea eglantina      | Mollusks |
| 50708 | Eroded Cowry       | Cypraea erosa          | Mollusks |
| 50736 | Globular Cowry     | Cypraea globulus       | Mollusks |
| 50711 | Honey Cowry        | Cypraea helvola        | Mollusks |
| 50730 | Swallow Cowry      | Cypraea hirundo        | Mollusks |
| 50742 | Humphrey'S Cowry   | Cypraea humphreysi     | Mollusks |
| 50707 | Isabelle Cowry     | Cypraea isabella       | Mollusks |
| 50731 | Lined-Lip Cowry    | Cypraea labrolineata   | Mollusks |
| 50741 | Limacina Cowry     | Cypraea limicina       | Mollusks |
| 50704 | Lynx Cowry         | Cypraea lynx           | Mollusks |
| 50716 | Reticulated Cowry  | Cypraea maculifera     | Mollusks |
| 50705 | Map Cowry          | Cypraea mappa          | Mollusks |
| 50737 | Marie'S Cowry      | Cypraea mariae         | Mollusks |
| 50725 | Humpback Cowry     | Cypraea mauritiana     | Mollusks |
| 50723 | Microdon Cowry     | Cypraea microdon       | Mollusks |

| 50701 | Money Cowry            | Cypraea moneta                | Mollusks |
|-------|------------------------|-------------------------------|----------|
| 50722 | Nuclear Cowry          | Cypraea nucleus               | Mollusks |
| 50709 | Porus Cowry            | Cypraea poraria               | Mollusks |
| 50714 | Punctata Cowry         | Cypraea punctata              | Mollusks |
| 50729 | Jester Cowry           | Cypraea scurra                | Mollusks |
| 50712 | Grape Cowry            | Cypraea staphlea              | Mollusks |
| 50724 | Stolid Cowry           | Cypraea stolida               | Mollusks |
| 50720 | Mole Cowry             | Cypraea talpa                 | Mollusks |
| 50728 | Teres Cowry            | Cypraea teres                 | Mollusks |
| 50718 | Tiger Cowry            | Cypraea tigris                | Mollusks |
| 50727 | Ventral Cowry          | Cypraea ventriculus           | Mollusks |
| 50719 | Pacific Deer Cowry     | Cypraea vitellus              | Mollusks |
| 50735 | Undulating Cowry       | Cypraea ziczac                | Mollusks |
| 50700 | Cowrys                 | Cypraeidae                    | Mollusks |
| 55024 | 3-Spined Cavoline      | Diacria trispinosa            | Mollusks |
| 50778 | Anal Triton            | Distorso anus                 | Mollusks |
| 55100 | Dorid Nudibranchs      | Doridae                       | Mollusks |
| 50823 | Clatherate Drupe       | Drupa clathrata               | Mollusks |
| 50821 | Elegant Pacific Drupe  | Drupa elegans                 | Mollusks |
| 50820 | Digitate Pacific Drupe | Drupa grossularia             | Mollusks |
| 50819 | Purple Pacific Drupe   | Drupa morum                   | Mollusks |
| 50818 | Prickley Pacific Drupe | Drupa ricinus                 | Mollusks |
| 50822 | Strawberry Drupe       | Drupa rubusidacaeus           | Mollusks |
| 56622 | Spectacular Scallop    | Excellichlamys<br>spectiablis | Mollusks |

| 50850 | Spindles              | Fasciolariidae             | Mollusks |
|-------|-----------------------|----------------------------|----------|
| 56722 | Pac Strawberry Cockle | Fragum fragum              | Mollusks |
| 56908 | Tumid Venus           | Gafrarium tumidum          | Mollusks |
| 50777 | Rosy Gyre Triton      | Gyrineum roseum            | Mollusks |
| 50780 | Purple Gyre Triton    | Gyrinium pusillum          | Mollusks |
| 50911 | Little Love Harp      | Harpa amouretta            | Mollusks |
| 50913 | True Harp             | Harpa harpa                | Mollusks |
| 50912 | Major Harp            | Harpa major                | Mollusks |
| 50910 | Harp Shells           | Harpidae                   | Mollusks |
| 50989 | Lance Auger           | Hastula lanceata           | Mollusks |
| 50988 | Pencil Auger          | Hastula penicillata        | Mollusks |
| 55101 | Spanish Dancer        | Hexabranchus<br>sanguineus | Mollusks |
| 56881 | Giant Clam            | Hippopus hippopus          | Mollusks |
| 50806 | Anatomical Murex      | Homalocanthia<br>anatomica | Mollusks |
| 54423 | Gr-Lined Paber Bubble | Hydratina physis           | Mollusks |
| 50875 | Cone-Like Miter       | Imbricaria conularis       | Mollusks |
| 50873 | Olive-Shaped Miter    | Imbricaria olivaeformis    | Mollusks |
| 50874 | Bonelike Miter        | Imbricaria punctata        | Mollusks |
| 56611 | Saddle Tree Oyster    | Isognomon ephippium        | Mollusks |
| 56610 | Tree Oysters          | Isognomonidae              | Mollusks |
| 54351 | Janthina Snail        | Janthina janthina          | Mollusks |
| 54350 | Pelagic Snails        | Janthinidae                | Mollusks |
| 50682 | Chiragra Spider Conch | Lambis chiragra            | Mollusks |

| 50685 | Ormouth Spider Conch  | Lambis crocota              | Mollusks |
|-------|-----------------------|-----------------------------|----------|
| 50681 | Common Spider Conch   | Lambis lambis               | Mollusks |
| 50684 | Scorpio Conch         | Lambis scorpius<br>scorpius | Mollusks |
| 50680 | Spider Conch          | Lambis sp.                  | Mollusks |
| 50683 | Giant Spider Conch    | Lambis truncata             | Mollusks |
| 50851 | Nobby Spindle         | Latirus nodatus             | Mollusks |
| 50852 | Spindle               | Latirus rudis               | Mollusks |
| 56681 | Fragile Lima          | Lima fragilis               | Mollusks |
| 56682 | Indo-Pac Spiny Lima   | Lima vulgaris               | Mollusks |
| 56680 | Limas                 | Limidae                     | Mollusks |
| 56904 | Camp Pitar Venus      | Lioconcha castrensis        | Mollusks |
| 56906 | Hieroglyphic Venus    | Lioconcha hieroglyphica     | Mollusks |
| 56905 | Ornate Pitar Venus    | Lioconcha ornata            | Mollusks |
| 50642 | Scabra Periwinkle     | Littorina scabra            | Mollusks |
| 50641 | Undulate Periwinkle   | Littorina undulata          | Mollusks |
| 50640 | Periwinkles           | Littorinidae                | Mollusks |
| 56705 | Lucinas               | Lucinidae                   | Mollusks |
| 50762 | Apple Tun             | Malea pomum                 | Mollusks |
| 50811 | Pinnacle Murex        | Marchia bipinnatus          | Mollusks |
| 50809 | Fenestrate Murex      | Marchia martinetana         | Mollusks |
| 54430 | Melampus Shells       | Melampidae                  | Mollusks |
| 54431 | Yellow Melampus       | Melampus luteus             | Mollusks |
| 57401 | Flamboyant Cuttlefish | Metasepia pfefferi          | Mollusks |
| 54425 | Mini Lined-Bubble     | Micromelo undatus           | Mollusks |

| 54411 | Ventricose Milda   | Milda ventricosa     | Mollusks |
|-------|--------------------|----------------------|----------|
| 56626 | Miraculous Scallop | Mirapecten mirificus | Mollusks |
| 50897 | Imperial Miter     | Miter imperalis      | Mollusks |
| 50899 | Acuminate Miter    | Mitra acuminata      | Mollusks |
| 50890 | Cardinal Miter     | Mitra cardinalis     | Mollusks |
| 50893 | Chrysalis Miter    | Mitra chrysalis      | Mollusks |
| 50895 | Gold-Mth Miter     | Mitra chrysostoma    | Mollusks |
| 50889 | Coffee Miter       | Mitra coffea         | Mollusks |
| 50898 | Contracted Miter   | Mitra contracta      | Mollusks |
| 50892 | Kettle Miter       | Mitra cucumaria      | Mollusks |
| 50876 | Rusty Miter        | Mitra ferruginea     | Mollusks |
| 50891 | Strawberry Miter   | Mitra fraga          | Mollusks |
| 50888 | Tesselate Miter    | Mitra incompta       | Mollusks |
| 50872 | Episcopal Miter    | Mitra mitra          | Mollusks |
| 50883 | Papal Miter        | Mitra papalis        | Mollusks |
| 50894 | Red-Painted Miter  | Mitra rubitincta     | Mollusks |
| 50871 | Pontifical Miter   | Mitra stictica       | Mollusks |
| 50870 | Miter Shells       | Mitridae             | Mollusks |
| 50000 | Mollusca           | MOLLUSCA             | Mollusks |
| 50801 | Burnt Murex        | Murex burneus        | Mollusks |
| 50800 | Murex Shells       | Muricidae            | Mollusks |
| 56505 | Mussels            | Mytilidae            | Mollusks |
| 50804 | Tragonula Murex    | Naquetia trigonulus  | Mollusks |
| 50803 | Triquetra Murex    | Naquetia triquetra   | Mollusks |

| 50817 | Francolina Jopas   | Nassa francolina         | Mollusks |
|-------|--------------------|--------------------------|----------|
| 50855 | Nassa Mud Snails   | Nassariidae              | Mollusks |
| 50858 | Granulated Nassa   | Nassarius graniferus     | Mollusks |
| 50857 | Margarite Nassa    | Nassarius margaritiferus | Mollusks |
| 50856 | Pimpled Basket     | Nassarius papillosus     | Mollusks |
| 50755 | Moon Shells        | Naticidae                | Mollusks |
| 57300 | Nautilus           | Nautilidae               | Mollusks |
| 57301 | Chambered Nautilus | Nautilus ponpilius       | Mollusks |
| 50884 | Clathrus Miter     | Neocancilla clathrus     | Mollusks |
| 50896 | Flecked Miter      | Neocancilla granitina    | Mollusks |
| 50901 | Butterfly Miter    | Neocancilla papilio      | Mollusks |
| 50633 | Ox-Palate Nerite   | Nerita albicilla         | Mollusks |
| 50631 | Plicate Nerite     | Nerita plicata           | Mollusks |
| 50632 | Polished Nerite    | Nerita polita            | Mollusks |
| 50634 | Reticulate Nerite  | Nerita signata           | Mollusks |
| 50630 | Nerites            | Neritidae                | Mollusks |
| 50600 | Diotocardia        | O Archaeogastropoda      | Mollusks |
| 57700 | Octopus            | Octopodidae              | Mollusks |
| 57735 | Common Octopus     | Octopus cyanea           | Mollusks |
| 57734 | Red Octopus        | Octopus luteus           | Mollusks |
| 57736 | Ornate Octopus     | Octopus ornatus          | Mollusks |
| 57730 | Octopus            | Octopus sp               | Mollusks |
| 57732 | Pelagic Octopus    | Octopus sp 1             | Mollusks |
| 57733 | Long-Armed Octopus | Octopus sp 2             | Mollusks |

| 57731 | Elongate Octopus    | Octopus teuthoides    | Mollusks |
|-------|---------------------|-----------------------|----------|
| 50861 | Amethyst Olive      | Oliva annulata        | Mollusks |
| 50863 | Carnelian Olive     | Oliva carneola        | Mollusks |
| 50862 | Red-Mth Olive       | Oliva miniacea        | Mollusks |
| 50864 | Peg Olive           | Oliva paxillus        | Mollusks |
| 50860 | Olive Shells        | Olividae              | Mollusks |
| 57500 | Squids              | Order Teuthoidea      | Mollusks |
| 56660 | True Oysters        | Ostreidae             | Mollusks |
| 54412 | Cat'S Ear Otopleura | Otopleura auriscati   | Mollusks |
| 50753 | Common Egg Cowry    | Ovula ovum            | Mollusks |
| 50750 | Egg Shells          | Ovulidae              | Mollusks |
| 56620 | Scallops            | Pectinidae            | Mollusks |
| 56902 | Crispate Venus      | Periglypta crispata   | Mollusks |
| 56903 | Youthful Venus      | Periglypta puerpera   | Mollusks |
| 56901 | Reticulate Venus    | Periglypta reticulata | Mollusks |
| 56601 | Pearl Oyster        | Pinctada margaritfera | Mollusks |
| 56531 | Bicolor Pen Shell   | Pinna bicolor         | Mollusks |
| 56530 | Pen Shells          | Pinnidae              | Mollusks |
| 50756 | Breast-Shaped Moon  | Polinices mamatus     | Mollusks |
| 50757 | Pear-Shaped Moon    | Polinices tumidus     | Mollusks |
| 50844 | Strawberry Goblet   | Pollia fragaria       | Mollusks |
| 50843 | Beautiful Goblet    | Pollia pulchra        | Mollusks |
| 50752 | Fruit Ovula         | Prionovula fruticum   | Mollusks |
| 56600 | Pearl Oysters       | Pteriidae             | Mollusks |
|       |                     |                       |          |

| 50904 | Crenulate Miter      | Pterygia crenulata      | Mollusks |
|-------|----------------------|-------------------------|----------|
| 50907 | Fenestrate Miter     | Pterygia fenestrata     | Mollusks |
| 50905 | Nut Miter            | Pterygia nucea          | Mollusks |
| 50902 | Rough Miter          | Pterygia scabricula     | Mollusks |
| 50810 | Club Murex           | Pterynotus elongatus    | Mollusks |
| 50807 | Fluted Murex         | Pterynotus laqueatus    | Mollusks |
| 50808 | 3-Winged Murex       | Pterynotus tripterus    | Mollusks |
| 54413 | Solid Pupa           | Pupa solidula           | Mollusks |
| 50816 | Perssian Purpura     | Purpura persica         | Mollusks |
| 54401 | Sulcate Pyram        | Pyramidella sulcata     | Mollusks |
| 54400 | Pyram Shells         | Pyramidellidae          | Mollusks |
| 50833 | Quoy'S Coral Shell   | Quoyula madreporarum    | Mollusks |
| 50834 | Rapa Snail           | Rapa rapa               | Mollusks |
| 50653 | Rough Vertigus       | Rhinoclavis aspera      | Mollusks |
| 50655 | Obelisk Vertigus     | Rhinoclavis sinensis    | Mollusks |
| 50900 | Chaste Miter         | Sabricola casta         | Mollusks |
| 56625 | Tiger Scallop        | Semipallium tigris      | Mollusks |
| 57403 | Broadclub Cuttlefish | Sepia latimanus         | Mollusks |
| 57402 | Cuttlefish           | Sepia sp.               | Mollusks |
| 57594 | Bigfin Reef Squid    | Sepioteuthis lessoniana | Mollusks |
| 56511 | Box Mussel           | Septifer bilocularis    | Mollusks |
| 50805 | Lacy Murex           | Siratus laciniatus      | Mollusks |
| 56670 | Thorny Oysters       | Spondylidae             | Mollusks |
| 56671 | Ducal Thorny Oyster  | Spondyulus squamosus    | Mollusks |

| 56532 | Baggy Pen Shell      | Streptopinna saccata   | Mollusks |
|-------|----------------------|------------------------|----------|
| 50660 | True Conchs          | Strombidae             | Mollusks |
| 50665 | Samar Conch          | Strombus dentatus      | Mollusks |
| 50666 | Fragile Conch        | Strombus fragilis      | Mollusks |
| 50663 | Gibbose Conch        | Strombus gibberulus    | Mollusks |
| 50669 | Lavender-Mouth Conch | Strombus haemastoma    | Mollusks |
| 50667 | Silver-Lip Conch     | Strombus lentigninosus | Mollusks |
| 50662 | Red-Lip Conch        | Strombus luhuanus      | Mollusks |
| 50664 | Micro Conch          | Strombus microurceus   | Mollusks |
| 50661 | Mutable Conch        | Strombus mutabilis     | Mollusks |
| 50672 | Pretty Conch         | Strombus plicatus      | Mollusks |
| 50670 | Laciniate Conch      | Strombus sinuatus      | Mollusks |
| 50671 | Bull Conch           | Strombus taurus        | Mollusks |
| 50612 | Pyramid Top          | Tectus pyramis         | Mollusks |
| 56894 | Box-Like Tellin      | Tellina capsoides      | Mollusks |
| 56892 | Cat'S Tongue Tellin  | Tellina linguafelis    | Mollusks |
| 56895 | Remie'S Tellin       | Tellina remies         | Mollusks |
| 56893 | Rasp Tellin          | Tellina scobinata      | Mollusks |
| 56890 | Tellin Clams         | Tellinidae             | Mollusks |
| 50668 | Terebellum Conch     | Terebellum terebellum  | Mollusks |
| 50985 | Similar Auger        | Terebra affinis        | Mollusks |
| 50997 | Fly-Spotted Auger    | Terebra areolata       | Mollusks |
| 50996 | Eyed Auger           | Terebra argus          | Mollusks |
| 50987 | Babylonian Auger     | Terebra babylonia      | Mollusks |
|       |                      |                        |          |

| 50990 | Certhlike Auger        | Terebra cerithiana         | Mollusks |
|-------|------------------------|----------------------------|----------|
| 50995 | Short Auger            | Terebra chlorata           | Mollusks |
| 50984 | Crenulated Auger       | Terebra crenulata          | Mollusks |
| 50982 | Dimidiate Auger        | Terebra dimidiata          | Mollusks |
| 50994 | Tiger Auger            | Terebra felina             | Mollusks |
| 50991 | Funnel Auger           | Terebra funiculata         | Mollusks |
| 50993 | Spotted Auger          | Terebra gutatta            | Mollusks |
| 50981 | Marlinspike Auger      | Terebra maculata           | Mollusks |
| 50986 | Cloud Auger            | Terebra nubulosa           | Mollusks |
| 50983 | Subulate Auger         | Terebra subulata           | Mollusks |
| 50992 | Undulate Auger         | Terebra undulata           | Mollusks |
| 50980 | Auger Shells           | Terebridae                 | Mollusks |
| 50815 | Belligerent Rock Shell | Thais armigera             | Mollusks |
| 50814 | Tuberose Rock Shell    | Thais tuberosa             | Mollusks |
| 50761 | Partridge Tun          | Tonna perdix               | Mollusks |
| 50760 | Tun Shells             | Tonnidae                   | Mollusks |
| 56723 | Angulate Cockle        | Trachycardium<br>angulatum | Mollusks |
| 56882 | Giant Clam             | Tridacna crocea            | Mollusks |
| 56883 | Lagoon Giant Clam      | Tridacna derasa            | Mollusks |
| 56884 | Giant Clam             | Tridacna gigas             | Mollusks |
| 56885 | Common Giant Clam      | Tridacna maxima            | Mollusks |
| 56886 | Fluted Giant Clam      | Tridacna squamosa          | Mollusks |
| 56880 | Giant Clams            | Tridacnidae                | Mollusks |
| 50610 | Top Shells             | Trochidae                  | Mollusks |

| 50611 | Top Shell                     | Trochus niloticus        | Mollusks  |
|-------|-------------------------------|--------------------------|-----------|
| 50613 | Radiate Top                   | Trochus radiatus         | Mollusks  |
| 50865 | Vases                         | Turbinellidae            | Mollusks  |
| 50620 | Turban Shell                  | Turbinidae               | Mollusks  |
| 50622 | Silver-Mouth Turbin           | Turbo argyrostoma        | Mollusks  |
| 50623 | Tapestry Turbin               | Turbo petholatus         | Mollusks  |
| 50621 | Rough Turbin                  | Turbo setosus            | Mollusks  |
| 50867 | Ceramic Vase                  | Vasum ceramicum          | Mollusks  |
| 50866 | Common Pacific Vase           | Vasum turbinellus        | Mollusks  |
| 56900 | Venus Shells                  | Veneridae                | Mollusks  |
| 50887 | Bernhard'S Miter              | Vexillum bernhardiana    | Mollusks  |
| 50882 | Cancellaria Miter             | Vexillum cancellarioides | Mollusks  |
| 50880 | Saffron Miter                 | Vexillum crocatum        | Mollusks  |
| 50879 | Roughened Miter               | Vexillum exasperatum     | Mollusks  |
| 50885 | Patriarchal Miter             | Vexillum patriarchalis   | Mollusks  |
| 50881 | Half-Banded Miter             | Vexillum semifasciatum   | Mollusks  |
| 50903 | Specious Miter                | Vexillum speciosum       | Mollusks  |
| 50886 | Bumpy Miter                   | Vexillum tuberosum       | Mollusks  |
| 50906 | Turbin Miter                  | Vexillum turbin          | Mollusks  |
| 50877 | Decorated Miter               | Vexillum unifasciatum    | Mollusks  |
| 50802 | Spotted Vitularia             | Vitularia miliaris       | Mollusks  |
| 41316 | Manahak (Forktail Rabbitfish) | Manahak lesso'           | Siganidae |
| 41318 | Manahak                       | Manahak sp               | Siganidae |
| 41300 | Rabbitfish                    | Siganidae                | Siganidae |

| 41301 | Fork-Tail Rabbitfish       | Siganus argenteus            | Siganidae  |
|-------|----------------------------|------------------------------|------------|
| 41307 | Seagrass Rabbitfish        | Siganus canaliculatus        | Siganidae  |
| 41308 | Coral Rabbitfish           | Siganus corallinus           | Siganidae  |
| 41302 | Pencil-Streaked Rabbitfish | Siganus doliatus             | Siganidae  |
| 41303 | Fuscescens Rabbitfish      | Siganus fuscescens           | Siganidae  |
| 41309 | Golden Rabbitfish          | Siganus guttatus             | Siganidae  |
| 41311 | Lined Rabbitfish           | Siganus lineatus             | Siganidae  |
| 41313 | White-Spotted Rabbitfish   | Siganus oramin               | Siganidae  |
| 41310 | Masked Rabbitfish          | Siganus puellus              | Siganidae  |
| 41314 | Peppered Rabbitfish        | Siganus punctatissimus       | Siganidae  |
| 41304 | Gold-Spotted Rabbitfish    | Siganus punctatus            | Siganidae  |
| 41315 | Randal'S Rabbitfish        | Siganus randalli             | Siganidae  |
| 41305 | Scribbled Rabbitfish       | Siganus spinus               | Siganidae  |
| 41306 | Vermiculated Rabbitfish    | Siganus vermiculatus         | Siganidae  |
| 41312 | Rabbitfish                 | Siganus vulpinus             | Siganidae  |
| 32301 | Silvermouth/Jobfish        | Aphareus furca               | Lutjanidae |
| 32300 | Snappers                   | Lutjanidae                   | Lutjanidae |
| 32306 | River Snapper              | Lutjanus<br>argentimaculatus | Lutjanidae |
| 32325 | Two-Spot Snapper           | Lutjanus biguttatus          | Lutjanidae |
| 32307 | Red Snapper                | Lutjanus bohar               | Lutjanidae |
| 32334 | Snapper                    | Lutjanus boutton             | Lutjanidae |
| 32326 | Checkered Snapper          | Lutjanus decussatus          | Lutjanidae |
| 32327 | Blackspot Snapper          | Lutjanus ehrenbergi          | Lutjanidae |
| 32335 | Snapper                    | Lutjanus fulviflamma         | Lutjanidae |

| 32308 | Flametail Snapper   | Lutjanus fulvus                | Lutjanidae |
|-------|---------------------|--------------------------------|------------|
| 32309 | Humpback Snapper    | Lutjanus gibbus                | Lutjanidae |
| 32328 | Malabar Snapper     | Lutjanus malabaricus           | Lutjanidae |
| 32312 | Onespot Snapper     | Lutjanus monostigma            | Lutjanidae |
| 32311 | Scribbled Snapper   | Lutjanus rivulatus             | Lutjanidae |
| 32333 | Snapper             | Lutjanus sebae                 | Lutjanidae |
| 32329 | 1/2-Barred Snapper  | Lutjanus semicinctus           | Lutjanidae |
| 32330 | One-Lined Snapper   | Lutjanus vitta                 | Lutjanidae |
| 32332 | Bl And Wh Snapper   | Macolor macularis              | Lutjanidae |
| 32313 | Black Snapper       | Macolor niger                  | Lutjanidae |
| 32314 | Fusilier            | Paracaesio sordidus            | Lutjanidae |
| 32315 | Yellowtail Fusilier | Paracaesio xanthurus           | Lutjanidae |
| 32322 | Deepwater Snapper   | Randallichthys filamentosus    | Lutjanidae |
| 49130 | Shallow Snappers    | SHALLOW SNAPPERS               | Lutjanidae |
| 32331 | Sailfin Snapper     | Symphorichthys spilurus        | Lutjanidae |
| 28901 | Red-Flushed Grouper | Aethaloperca rogaa             | Serranidae |
| 28956 | Grouper             | Anyperodon<br>leucogrammicus   | Serranidae |
| 28908 | Orange Grouper      | Cephalopholis analis           | Serranidae |
| 28907 | Peacock Grouper     | Cephalopholis argus            | Serranidae |
| 28911 | Brownbarred Grouper | Cephalopholis boenack          | Serranidae |
| 28909 | Ybanded Grouper     | Cephalopholis<br>igarashiensis | Serranidae |
| 28910 | Leopard Grouper     | Cephalopholis leopardus        | Serranidae |
| 28945 | Coral Grouper       | Cephalopholis miniata          | Serranidae |

| 28929 | Harlequin Grouper         | Cephalopholis polleni            | Serranidae |
|-------|---------------------------|----------------------------------|------------|
| 28913 | 6-Banded Grouper          | Cephalopholis<br>sexmaculata     | Serranidae |
| 28912 | Tomato Grouper            | Cephalopholis sonnerati          | Serranidae |
| 28903 | Grouper                   | Cephalopholis sp                 | Serranidae |
| 28906 | Pygmy Grouper             | Cephalopholis<br>spiloparaea     | Serranidae |
| 28914 | Flag-Tailed Grouper       | Cephalopholis urodeta            | Serranidae |
| 28915 | Grouper                   | Cromileptes altivelis            | Serranidae |
| 28947 | Orange Grouper            | Epinephelus<br>caeruleopunctatus | Serranidae |
| 28948 | Brown-Spotted Grouper     | Epinephelus<br>chlorostigma      | Serranidae |
| 28960 | Orange Spot Grouper       | Epinephelus coioides             | Serranidae |
| 28957 | Grouper                   | Epinephelus corallicola          | Serranidae |
| 28946 | Grouper                   | Epinephelus cyanopodus           | Serranidae |
| 28920 | Blotchy Grouper           | Epinephelus<br>fuscoguttatus     | Serranidae |
| 28921 | Hexagon Grouper           | Epinephelus<br>hexagonatus       | Serranidae |
| 28918 | Grouper                   | Epinephelus howlandi             | Serranidae |
| 28922 | Giant Grouper             | Epinephelus lanceolatus          | Serranidae |
| 28958 | Grouper                   | Epinephelus macrospilos          | Serranidae |
| 28923 | Highfin Grouper           | Epinephelus maculatus            | Serranidae |
| 28950 | Malabar Grouper           | Epinephelus malabaricus          | Serranidae |
| 28949 | Bl-Spot Honeycomb Grouper | Epinephelus<br>melanostigma      | Serranidae |

| 28925 | Honeycomb Grouper         | Epinephelus merra              | Serranidae |
|-------|---------------------------|--------------------------------|------------|
| 28942 | Grouper                   | Epinephelus miliaris           | Serranidae |
| 28916 | Grouper                   | Epinephelus morrhua            | Serranidae |
| 28951 | Wavy-Lined Grouper        | Epinephelus ongus              | Serranidae |
| 28926 | Marbled Grouper           | Epinephelus<br>polyphekadion   | Serranidae |
| 28953 | Grouper                   | Epinephelus retouti            | Serranidae |
| 28930 | 7-Banded Grouper          | Epinephelus<br>septemfasciatus | Serranidae |
| 28924 | Tidepool Grouper          | Epinephelus socialis           | Serranidae |
| 28952 | 4-Saddle Grouper          | Epinephelus spilotoceps        | Serranidae |
| 28928 | Greasy Grouper            | Epinephelus tauvina            | Serranidae |
| 28902 | Truncated Grouper         | Epinephelus truncatus          | Serranidae |
| 28943 | Wh-Margined Grouper       | Gracila albomarginata          | Serranidae |
| 28938 | Squaretail Grouper        | Plectropomus areolatus         | Serranidae |
| 28937 | Saddleback Grouper        | Plectropomus laevis            | Serranidae |
| 28954 | Leopard Coral Trout       | Plectropomus leopardus         | Serranidae |
| 28955 | Blue-Lined Coral Trout    | Plectropomus oligacanthus      | Serranidae |
| 28940 | Powell'S Grouper          | Saloptia powelli               | Serranidae |
| 28900 | Sea Basses,Groupers       | Serranidae                     | Serranidae |
| 28944 | Whmargin Lyretail Grouper | Variola albimarginata          | Serranidae |
| 35902 | Fringelip Mullet          | Crenimugil crenilabis          | Mugilidae  |
| 35903 | Yellowtail Mullet         | Ellochelon vaigiensis          | Mugilidae  |
| 35901 | Engel'S Mullet            | Moolgarda engeli               | Mugilidae  |
| 35906 | Bluespot Mullet           | Moolgarda seheli               | Mugilidae  |

| 35904 | Gray Mullet        | Mugil cephalus              | Mugilidae       |
|-------|--------------------|-----------------------------|-----------------|
| 35900 | Mullets            | Mugilidae                   | Mugilidae       |
| 35905 | Acute-Jawed Mullet | Neomyxus leuciscus          | Mugilidae       |
| 33900 | Rudderfish         | Kyphosidae                  | Kyphosidae      |
| 33901 | Highfin Rudderfish | Kyphosus cinerascens        | Kyphosidae      |
| 33902 | Lowfin Rudderfish  | Kyphosus vaigiensis         | Kyphosidae      |
| 33903 | Insular Rudderfish | Kyphosus bigibbus           | Kyphosidae      |
| 69251 | Spider Crab        | Achaeus japonicus           | CRE-Crustaceans |
| 67500 | Snapping Shrimp    | Alphaeidae                  | CRE-Crustaceans |
| 67501 | Snapping Shrimp    | Alpheus bellulus            | CRE-Crustaceans |
| 67502 | Snapping Shrimp    | Alpheus paracrinitus        | CRE-Crustaceans |
| 64999 | Anchylomerids      | Anchylomeridae              | CRE-Crustaceans |
| 67951 | Slipper Lobster    | Arctides regalis            | CRE-Crustaceans |
| 60101 | Acorn Barnacle     | Balanus sp                  | CRE-Crustaceans |
| 62050 | Mantis Shrimp      | Bathysquillidae             | CRE-Crustaceans |
| 69201 | Box Crab           | Calappa bicornis            | CRE-Crustaceans |
| 69202 | Box Crab           | Calappa calappa             | CRE-Crustaceans |
| 69203 | Box Crab           | Calappa hepatica            | CRE-Crustaceans |
| 69200 | Box Crabs          | Calappidae                  | CRE-Crustaceans |
| 69252 | Decorator Crab     | Camposcia retusa            | CRE-Crustaceans |
| 69350 | Cancrids           | Cancridae                   | CRE-Crustaceans |
| 69501 | 7-11 Crab          | Carpilius convexus          | CRE-Crustaceans |
| 69502 | 7-11 Crab          | Carpilius maculatus         | CRE-Crustaceans |
| 69401 | Red-Legged Sw Crab | Charybdis<br>erythrodactyla | CRE-Crustaceans |

| 69402 | Red Sw Crab               | Charybdis hawaiiensis         | CRE-Crustaceans |
|-------|---------------------------|-------------------------------|-----------------|
| 69204 | Box Crab                  | Cycloes granulosa             | CRE-Crustaceans |
| 69301 | Elbow Crab                | Daldorfia horrida             | CRE-Crustaceans |
| 68202 | Marine Hermit Crab        | Dardanus gemmatus             | CRE-Crustaceans |
| 68204 | Marine Hermit Crab        | Dardanus megistos             | CRE-Crustaceans |
| 68203 | Marine Hermit Crab        | Dardanus pendunculatus        | CRE-Crustaceans |
| 68201 | Marine Hermit Crab        | Dardanus sp                   | CRE-Crustaceans |
| 67121 | Commensal Shrimp          | Dasycaris zanzibarica         | CRE-Crustaceans |
| 67000 | Decapod Crustaceans       | Decapoda                      | CRE-Crustaceans |
| 68200 | Marine Hermit Crabs       | Diogenidae                    | CRE-Crustaceans |
| 69161 | Dorippid Crab             | Dorippe frascone              | CRE-Crustaceans |
| 69171 | Sponge Crab               | Dromia dormia                 | CRE-Crustaceans |
| 69170 | Sponge Crabs              | Dromiidae                     | CRE-Crustaceans |
| 68701 | Mole Crab                 | Emerita pacifica              | CRE-Crustaceans |
| 67851 | Soft Lobster              | Enoplometopus debelius        | CRE-Crustaceans |
| 67852 | Hairy Lobster             | Enoplometopus<br>occidentalis | CRE-Crustaceans |
| 69553 | Redeye Crab               | Eriphia sebana                | CRE-Crustaceans |
| 69554 | Red-Reef Crab             | Etisus dentatus               | CRE-Crustaceans |
| 69551 | Red-Reef Crab             | Etisus splendidus             | CRE-Crustaceans |
| 69555 | Brown-Reef Crab           | Etisus utilis                 | CRE-Crustaceans |
| 62100 | Mantis Shrimp             | Eurysquillidae                | CRE-Crustaceans |
| 68500 | Squat Lobsters            | Galatheidae                   | CRE-Crustaceans |
| 69850 | Gecarcinids               | Gecarcinidae                  | CRE-Crustaceans |
| 67220 | Bbee And Harlequin Shrimp | Gnathophyllidae               | CRE-Crustaceans |

| 67221 | Bumblebee Shrimp    | Gnathophylloides mineri         | CRE-Crustaceans |
|-------|---------------------|---------------------------------|-----------------|
| 67222 | Bumblebee Shrimp    | Gnathophyllum<br>americanum     | CRE-Crustaceans |
| 62203 | Mantis Shrimp       | Gonodactylaceus<br>mutatus      | CRE-Crustaceans |
| 62201 | Mantis Shrimp       | Gonodactylellus affinis         | CRE-Crustaceans |
| 62200 | Mantis Shrimp       | Gonodactylidae                  | CRE-Crustaceans |
| 62202 | Mantis Shrimp       | Gonodactylus chiragra           | CRE-Crustaceans |
| 62204 | Mantis Shrimp       | Gonodactylus platysoma          | CRE-Crustaceans |
| 62205 | Mantis Shrimp       | Gonodactylus smithii            | CRE-Crustaceans |
| 69860 | Shore Crabs         | Grapsidae                       | CRE-Crustaceans |
| 69861 | Shore Crab          | Grapsus albolineatus            | CRE-Crustaceans |
| 69862 | Shore Crab          | Grapsus grapsus<br>tenuicrustat | CRE-Crustaceans |
| 69950 | Hapalocarcinids     | Hapalocarcinidae                | CRE-Crustaceans |
| 62550 | Mantis Shrimp       | Harposquillidae                 | CRE-Crustaceans |
| 62300 | Mantis Shrimp       | Hemisquillidae                  | CRE-Crustaceans |
| 67104 | Deepwater Shrimps   | Heteropenaeus sp                | CRE-Crustaceans |
| 67210 | Hump-Backed Shrimp  | Hippolytidae                    | CRE-Crustaceans |
| 69100 | Homolids            | Homolidae                       | CRE-Crustaceans |
| 67853 | Soft Lobster        | Hoplometopus holthuisi          | CRE-Crustaceans |
| 67223 | Harlequin Shrimp    | Hymenocera picta                | CRE-Crustaceans |
| 64810 | Hyperid Amphipods   | Hyperiidae                      | CRE-Crustaceans |
| 67921 | Slipper Lobster     | Ibacus sp                       | CRE-Crustaceans |
| 69000 | True Crabs          | Io Brachyura                    | CRE-Crustaceans |
| 67931 | Long-Handed Lobster | Justitia longimanus             | CRE-Crustaceans |

| 67211 | Hump-Backed Shrimp  | Koror misticius                | CRE-Crustaceans |
|-------|---------------------|--------------------------------|-----------------|
| 69302 | Elbow Crab          | Lambrus longispinis            | CRE-Crustaceans |
| 67111 | Palaemonid Shrimp   | Leander plumosus               | CRE-Crustaceans |
| 68300 | Lithodids           | Lithodidae                     | CRE-Crustaceans |
| 69421 | Swimming Crab       | Lupocyclus<br>grimquedentatus  | CRE-Crustaceans |
| 64830 | Lycaeids            | Lycaeidae                      | CRE-Crustaceans |
| 69151 | 3-Toothed Frog Crab | Lyreidus tridentatus           | CRE-Crustaceans |
| 62800 | Mantis Shrimp       | Lysiosquillidae                | CRE-Crustaceans |
| 60100 | Barnacles           | Lythoglyptidae                 | CRE-Crustaceans |
| 69901 | Telescope-Eye Crab  | Macrophthalmus<br>telescopicus | CRE-Crustaceans |
| 69250 | Spider Crabs        | Majidae                        | CRE-Crustaceans |
| 67101 | Penaeid Prawn       | Metapenaeopsis sp 1            | CRE-Crustaceans |
| 67102 | Penaeid Prawn       | Metapenaeopsis sp 2            | CRE-Crustaceans |
| 67103 | Penaeid Prawn       | Metapenaeopsis sp 3            | CRE-Crustaceans |
| 69205 | Box Crab            | Mursia spinimanus              | CRE-Crustaceans |
| 62900 | Mantis Shrimp       | Nannosquillidae                | CRE-Crustaceans |
| 67850 | Soft Lobsters       | Nephropidae                    | CRE-Crustaceans |
| 69902 | Large Ghost Crab    | Ocypode ceratopthalma          | CRE-Crustaceans |
| 69903 | Ghost Crab          | Ocypode cordimana              | CRE-Crustaceans |
| 69904 | Ghost Crab          | Ocypode saratum                | CRE-Crustaceans |
| 69900 | Ocypodids           | Ocypodidae                     | CRE-Crustaceans |
| 62350 | Mantis Shrimp       | Odontodactylidae               | CRE-Crustaceans |
| 62351 | Mantis Shrimp       | Odontodactylus<br>brevirostris | CRE-Crustaceans |

| 62352 | Mantis Shrimp       | Odontodactylus<br>scyallarus       | CRE-Crustaceans |
|-------|---------------------|------------------------------------|-----------------|
| 62701 | Mantis Shrimp       | Oratosquilla oratoria              | CRE-Crustaceans |
| 62700 | Mantis Shrimp       | Oratosquillidae                    | CRE-Crustaceans |
| 68400 | Soldier Hermit Crab | Paguridae                          | CRE-Crustaceans |
| 68401 | Coral Hermit Crab   | Paguritta gracilipes               | CRE-Crustaceans |
| 68402 | Coral Hermit Crab   | Paguritta harmsi                   | CRE-Crustaceans |
| 67110 | Palaemonid Shrimp   | Palaemonidae                       | CRE-Crustaceans |
| 67917 | Mole Lobster        | Palinurellus wieneckii             | CRE-Crustaceans |
| 67918 | Painted Crayfish    | Panulirus albiflagellum            | CRE-Crustaceans |
| 67911 | Painted Crayfish    | Panulirus homarus                  | CRE-Crustaceans |
| 67912 | Painted Crayfish    | Panulirus longipes                 | CRE-Crustaceans |
| 67914 | Painted Crayfish    | Panulirus ornatus                  | CRE-Crustaceans |
| 67910 | Painted Crayfish    | Panulirus sp                       | CRE-Crustaceans |
| 67916 | Painted Crayfish    | Panulirus versicolor               | CRE-Crustaceans |
| 69300 | Elbow Crabs         | Parthenopidae                      | CRE-Crustaceans |
| 67100 | Panaeid Prawns      | Penaeidae                          | CRE-Crustaceans |
| 67106 | Penaeid Prawn       | Penaeus latisulcatus               | CRE-Crustaceans |
| 67105 | Penaeid Prawn       | Penaeus monodon                    | CRE-Crustaceans |
| 69864 | Flat Rock Crab      | Percnon planissimum                | CRE-Crustaceans |
| 67122 | Commensal Shrimp    | Periclimenes<br>amboinensis        | CRE-Crustaceans |
| 67123 | Commensal Shrimp    | Periclimenes<br>brevicarpalis      | CRE-Crustaceans |
| 67124 | Commensal Shrimp    | Periclimenes cf<br>ceratophthalmus | CRE-Crustaceans |

| 67125 | Commensal Shrimp        | Periclimenes holthuisi           | CRE-Crustaceans |
|-------|-------------------------|----------------------------------|-----------------|
|       | _                       |                                  |                 |
| 67126 | Commensal Shrimp        | Periclimenes imperator           | CRE-Crustaceans |
| 67127 | Commensal Shrimp        | Periclimenes inornatus           | CRE-Crustaceans |
| 67128 | Commensal Shrimp        | Periclimenes kororensis          | CRE-Crustaceans |
| 67129 | Commensal Shrimp        | Periclimenes ornatus             | CRE-Crustaceans |
| 67130 | Commensal Shrimp        | Periclimenes psamathe            | CRE-Crustaceans |
| 67131 | Commensal Shrimp        | Periclimenes soror               | CRE-Crustaceans |
| 67132 | Commensal Shrimp        | Periclimenes tenuipes            | CRE-Crustaceans |
| 67133 | Commensal Shrimp        | Periclimenes venustus            | CRE-Crustaceans |
| 68601 | Porcelain Crab          | Petrolisthes lamarkii            | CRE-Crustaceans |
| 64820 | Phronimids              | Phronimidae                      | CRE-Crustaceans |
| 69863 | Shore Crab              | Plagusia depressa<br>tuberculata | CRE-Crustaceans |
| 64840 | Platyscelids            | Platyscelidae                    | CRE-Crustaceans |
| 67134 | Commensal Shrimp        | Pliopotonia furtiva              | CRE-Crustaceans |
| 69461 | Long-Eyed Swimming Crab | Podophthalmus vigil              | CRE-Crustaceans |
| 67135 | Commensal Shrimp        | Pontonides uncigar               | CRE-Crustaceans |
| 67120 | Commensal Shrimp        | Pontoniidae                      | CRE-Crustaceans |
| 68600 | Porcellanid Crabs       | Porcellanidae                    | CRE-Crustaceans |
| 69400 | Swimming Crabs          | Portunidae                       | CRE-Crustaceans |
| 69432 | Blue Swimming Crab      | Portunus pelagicus               | CRE-Crustaceans |
| 69431 | Swimming Crab           | Portunus sanguinolentus          | CRE-Crustaceans |
| 62400 | Mantis Shrimp           | Protosquillidae                  | CRE-Crustaceans |
| 62501 | Mantis Shrimp           | Pseudosquilla ciliata            | CRE-Crustaceans |
| 62500 | Mantis Shrimp           | Pseudosquillidae                 | CRE-Crustaceans |

| 67231 | Hingebeak Prawn         | Rhinchocinetes hiatti        | CRE-Crustaceans |
|-------|-------------------------|------------------------------|-----------------|
| 67230 | Hinge-Beaked Prawns     | Rhynchocinetidae             | CRE-Crustaceans |
| 69471 | Mangrove Crab           | Scylla serrata               | CRE-Crustaceans |
| 67604 | Solenocerids            | Solenoceridae                | CRE-Crustaceans |
| 62600 | Mantis Shrimp           | Squillidae                   | CRE-Crustaceans |
| 67136 | Commensal Shrimp        | Stegopontonia<br>commensalis | CRE-Crustaceans |
| 67200 | Cleaner Shrimp          | Stenopodidae                 | CRE-Crustaceans |
| 67201 | Banded Coral Shrimp     | Stenopus hispidus            | CRE-Crustaceans |
| 62000 | Mantis Shrimps          | Stomatopoda                  | CRE-Crustaceans |
| 67503 | Snapping Shrimp         | Synalpheus carinatus         | CRE-Crustaceans |
| 60102 | Acorn Barnacle          | Tetraclitella divisa         | CRE-Crustaceans |
| 69481 | Swimming Crab           | Thalamita crenata            | CRE-Crustaceans |
| 67212 | Ambonian Shrimp         | Thor amboinensis             | CRE-Crustaceans |
| 69598 | Xanthid Crab            | Unid Megalops                | CRE-Crustaceans |
| 69499 | Portunid Crab           | Unid sp 1                    | CRE-Crustaceans |
| 69599 | Xanthid Crab            | Unid sp 1                    | CRE-Crustaceans |
| 69498 | Portunid Crab           | Unid sp 2                    | CRE-Crustaceans |
| 69597 | Xanthid Crab            | Unid sp 2                    | CRE-Crustaceans |
| 67112 | Palaemonid Shrimp       | Urocaridella<br>antonbruunii | CRE-Crustaceans |
| 69500 | Dark-Finger Coral Crabs | Xanthidae                    | CRE-Crustaceans |
| 69870 | Urchin Crab             | Zebrida adamsii              | CRE-Crustaceans |
| 69552 | Shallow Reef Crab       | Zosymus aeneus               | CRE-Crustaceans |
| 24300 | Squirrel,Soldierfishes  | Holocentridae                | Holocentridae   |

| 24398 | Squirrelfishes             | Holocentrinae                  | Holocentridae |
|-------|----------------------------|--------------------------------|---------------|
| 24399 | Soldierfishes              | Myripristinae                  | Holocentridae |
| 24313 | Bronze Soldierfish         | Myripristis adusta             | Holocentridae |
| 24314 | Brick Soilderfish          | Myripristis amaena             | Holocentridae |
| 24331 | Doubletooth Soldierfish    | Myripristis amaena             | Holocentridae |
| 24315 | Bigscale Soldierfish       | Myripristis berndti            | Holocentridae |
| 24324 | Yellowfin Soldierfish      | Myripristis chryseres          | Holocentridae |
| 24317 | Pearly Soldierfish         | Myripristis kuntee             | Holocentridae |
| 24318 | Red Soldierfish            | Myripristis murdjan            | Holocentridae |
| 24322 | Scarlet Soldierfish        | Myripristis pralinia           | Holocentridae |
| 24319 | Violet Soldierfish         | Myripristis violacea           | Holocentridae |
| 24320 | White-Tipped Soldierfish   | Myripristis vittata            | Holocentridae |
| 24326 | White-Spot Soldierfish     | Myripristis woodsi             | Holocentridae |
| 24309 | Clearfin Squirrelfish      | Neoniphon argenteus            | Holocentridae |
| 24312 | Yellowstriped Squirrelfish | Neoniphon aurolineatus         | Holocentridae |
| 24310 | Blackfin Squirrlefish      | Neoniphon opercularis          | Holocentridae |
| 24311 | Bloodspot Squirrelfish     | Neoniphon sammara              | Holocentridae |
| 24340 | Deepwater Soldierfish      | Ostichthys<br>brachygnathus    | Holocentridae |
| 24323 | Deepwater Soldierfish      | Ostichthys kaianus             | Holocentridae |
| 24321 | Cardinal Squirrelfish      | Plectrypops lima               | Holocentridae |
| 24301 | Tailspot Squirrelfish      | Sargocentron<br>caudimaculatum | Holocentridae |
| 24332 | 3-Spot Squirrelfish        | Sargocentron cornutum          | Holocentridae |
| 24302 | Crown Squirrelfish         | Sargocentron diadema           | Holocentridae |

| 24330 | Spotfin Squirrelfish      | Sargocentron<br>dorsomaculatum | Holocentridae |
|-------|---------------------------|--------------------------------|---------------|
| 24334 | Furcate Squirrelfish      | Sargocentron furcatum          | Holocentridae |
| 24327 | Samurai Squirrelfish      | Sargocentron ittodai           | Holocentridae |
| 24333 | Squirrelfish              | Sargocentron lepros            | Holocentridae |
| 24328 | Blackspot Squirrelfish    | Sargocentron<br>melanospilos   | Holocentridae |
| 24304 | Finelined Squirrelfish    | Sargocentron<br>microstoma     | Holocentridae |
| 24305 | Dark-Striped Squirrelfish | Sargocentron praslin           | Holocentridae |
| 24303 | Speckled Squirrelfish     | Sargocentron<br>punctatissimum | Holocentridae |
| 24306 | Long-Jawed Squirrelfish   | Sargocentron spiniferum        | Holocentridae |
| 24307 | Blue-Lined Squirrelfish   | Sargocentron tiere             | Holocentridae |
| 24308 | Pink Squirrelfish         | Sargocentron tieroides         | Holocentridae |
| 24329 | Violet Squirrelfish       | Sargocentron violaceum         | Holocentridae |
| 92102 | Algae                     | Enteromorpha clathrata         | Algae         |
| 92200 | Algae                     | Caulerpaceae                   | Algae         |
| 92217 | Algae                     | Caulerpa racemosa              | Algae         |
| 93602 | Algae                     | Sargassum polycystum           | Algae         |
| 93604 | Algae                     | Turbinaria ornata              | Algae         |
| 95000 | Algae                     | Div Anthophyta                 | Algae         |
| 95003 | Algae                     | Halodule uninervis             | Algae         |
| 36201 | Chiseltooth Wrasse        | Anampses<br>caeruleopunctatus  | Labridae      |
| 36297 | Geographic Wrasse         | Anampses geographicus          | Labridae      |

| 36268 | Wrasse                 | Anampses melanurus           | Labridae |
|-------|------------------------|------------------------------|----------|
| 36202 | Yellowtail Wrasse      | Anampses meleagrides         | Labridae |
| 36203 | Yellowbreasted Wrasse  | Anampses twisti              | Labridae |
| 36205 | Lyretail Hogfish       | Bodianus anthioides          | Labridae |
| 36206 | Axilspot Hogfish       | Bodianus axillaris           | Labridae |
| 36288 | 2-Spot Slender Hogfish | Bodianus bimaculatus         | Labridae |
| 36269 | Diana'S Hogfish        | Bodianus diana               | Labridae |
| 36270 | Blackfin Hogfish       | Bodianus loxozonus           | Labridae |
| 36271 | Mesothorax Hogfish     | Bodianus mesothorax          | Labridae |
| 36243 | Hogfish                | Bodianus tanyokidus          | Labridae |
| 36209 | Floral Wrasse          | Cheilinus chlorourus         | Labridae |
| 36210 | Red-Breasted Wrasse    | Cheilinus fasciatus          | Labridae |
| 36211 | Snooty Wrasse          | Cheilinus oxycephalus        | Labridae |
| 36213 | Tripletail Wrasse      | Cheilinus trilobatus         | Labridae |
| 36216 | Cigar Wrasse           | Cheilio inermis              | Labridae |
| 36217 | Yel-Cheeked Tuskfish   | Choerodon anchorago          | Labridae |
| 36313 | Harlequin Tuskfish     | Choerodon fasciatus          | Labridae |
| 36305 | Wrasse                 | Cirrhilabrus balteatus       | Labridae |
| 36272 | Wrasse                 | Cirrhilabrus cyanopleura     | Labridae |
| 36273 | Exquisite Wrasse       | Cirrhilabrus exquisitus      | Labridae |
| 36306 | Johnson'S Wrasse       | Cirrhilabrus johnsoni        | Labridae |
| 36218 | Wrasse                 | Cirrhilabrus katherinae      | Labridae |
| 36274 | Yellowband Wrasse      | Cirrhilabrus luteovittatus   | Labridae |
| 36307 | Rhomboid Wrasse        | Cirrhilabrus<br>rhomboidalis | Labridae |

| 36309 | Red-Margined Wrasse      | Cirrhilabrus<br>rubrimarginatus | Labridae |
|-------|--------------------------|---------------------------------|----------|
| 36219 | Clown Coris              | Coris aygula                    | Labridae |
| 36275 | Dapple Coris             | Coris batuensis                 | Labridae |
| 36314 | Pale-Barred Coris        | Coris dorsomacula               | Labridae |
| 36220 | Yellowtailed Coris       | Coris gaimardi                  | Labridae |
| 36221 | Knife Razorfish          | Cymolutes praetextatus          | Labridae |
| 36291 | Finescale Razorfish      | Cymolutes torquatus             | Labridae |
| 36300 | Wandering Cleaner Wrasse | Diproctacanthus<br>xanthurus    | Labridae |
| 36222 | Sling-Jawed Wrasse       | Epibulus insidiator             | Labridae |
| 36276 | Sling-Jawed Wrasse       | Epibulus n sp                   | Labridae |
| 36223 | Bird Wrasse              | Gomphosus varius                | Labridae |
| 36224 | 2-Spotted Wrasse         | Halichoeres biocellatus         | Labridae |
| 36277 | Drab Wrasse              | Halichoeres chloropterus        | Labridae |
| 36278 | Canary Wrasse            | Halichoeres chrysus             | Labridae |
| 36318 | Wrasse                   | Halichoeres dussumieri          | Labridae |
| 36226 | Checkerboard Wrasse      | Halichoeres hortulanus          | Labridae |
| 36227 | Weedy Surge Wrasse       | Halichoeres<br>margaritaceus    | Labridae |
| 36228 | Dusky Wrasse             | Halichoeres marginatus          | Labridae |
| 36279 | Pinstriped Wrasse        | Halichoeres melanurus           | Labridae |
| 36229 | Black-Ear Wrasse         | Halichoeres<br>melasmapomus     | Labridae |
| 36311 | Ornate Wrasse            | Halichoeres ornatissimus        | Labridae |
| 36315 | Seagrass Wrasse          | Halichoeres                     | Labridae |

|       |                           | papilionaceus                 |          |
|-------|---------------------------|-------------------------------|----------|
| 36298 | Wrasse                    | Halichoeres prosopeion        | Labridae |
| 36304 | Wrasse                    | Halichoeres<br>purpurascens   | Labridae |
| 36280 | Richmond'S Wrasse         | Halichoeres richmondi         | Labridae |
| 36281 | Zigzag Wrasse             | Halichoeres scapularis        | Labridae |
| 36312 | Shwartz Wrasse            | Halichoeres shwartzi          | Labridae |
| 36282 | Wrasse                    | Halichoeres sp                | Labridae |
| 36230 | 3-Spot Wrasse             | Halichoeres trimaculatus      | Labridae |
| 36225 | Wrasse                    | Halichoeres zeylonicus        | Labridae |
| 36231 | Striped Clown Wrasse      | Hemigymnus fasciatus          | Labridae |
| 36232 | 1/2 &1/2 Wrasse           | Hemigymnus melapterus         | Labridae |
| 36303 | Wrasse                    | Hologymnosus<br>annulatus     | Labridae |
| 36233 | Ring Wrasse               | Hologymnosus doliatus         | Labridae |
| 36234 | Tubelip Wrasse            | Labrichthys unilineatus       | Labridae |
| 36200 | Wrasse                    | Labridae                      | Labridae |
| 36235 | Bicolor Cleaner Wrasse    | Labroides bicolor             | Labridae |
| 36266 | Bluestreak Cleaner Wrasse | Labroides dimidiatus          | Labridae |
| 36237 | Black-Spot Cleaner Wrasse | Labroides pectoralis          | Labridae |
| 36283 | Allen'S Wrasse            | Labropsis alleni              | Labridae |
| 36238 | Micronesian Wrasse        | Labropsis micronesica         | Labridae |
| 36239 | Wedge-Tailed Wrasse       | Labropsis xanthonota          | Labridae |
| 36240 | Leopard Wrasse            | Macropharyngodon<br>meleagris | Labridae |
| 36284 | Negros Wrasse             | Macropharyngodon              | Labridae |

|       |                    | negrosensis                      |          |
|-------|--------------------|----------------------------------|----------|
| 36241 | Seagrass Razorfish | Novaculichthys<br>macrolepidotus | Labridae |
| 36242 | Dragon Wrasse      | Novaculichthys<br>taeniourus     | Labridae |
| 36207 | Arenatus Wrasse    | Oxycheilinus arenatus            | Labridae |
| 36264 | 2-Spot Wrasse      | Oxycheilinus<br>bimaculatus      | Labridae |
| 36208 | Celebes Wrasse     | Oxycheilinus celebecus           | Labridae |
| 36263 | Bandcheek Wrasse   | Oxycheilinus digrammus           | Labridae |
| 36215 | Oriental Wrasse    | Oxycheilinus orientalis          | Labridae |
| 36212 | Ringtail Wrasse    | Oxycheilinus<br>unifasciatus     | Labridae |
| 36292 | Wrasse             | Paracheilinus bellae             | Labridae |
| 36293 | Wrasse             | Paracheilinus sp                 | Labridae |
| 36265 | Wrasse             | Polylepion russelli              | Labridae |
| 36294 | Wrasse             | Pseudocheilinops ataenia         | Labridae |
| 36244 | Striated Wrasse    | Pseudocheilinus<br>evanidus      | Labridae |
| 36245 | 6 Line Wrasse      | Pseudocheilinus<br>hexataenia    | Labridae |
| 36246 | 8 Line Wrasse      | Pseudocheilinus<br>octotaenia    | Labridae |
| 36285 | Line Wrasse        | Pseudocheilinus sp               | Labridae |
| 36247 | 4 Line Wrasse      | Pseudocheilinus<br>tetrataenia   | Labridae |
| 36316 | Rust-Banded Wrasse | Pseudocoris<br>aurantiofasciata  | Labridae |

|                        |   | n   |
|------------------------|---|---|
| Torpedo Wrasse         | Pseudocoris heteroptera   | Labridae  |
| Yamashiro'S Wrasse     | Pseudocoris yamashiroi  | Labridae  |
| Chiseltooth Wrasse     | Pseudodax moluccanus  | Labridae  |
| Polynesian Wrasse      | Pseudojuloides atavai   | Labridae  |
| Smalltail Wrasse       | Pseudojuloides cerasinus  | Labridae  |
| Wrasse                 | Pterogogus cryptus  | Labridae  |
| Wrasse                 | Pterogogus guttatus   | Labridae  |
| Red-Shoulder Wrasse    | Stethojulis bandanensis   | Labridae  |
| Wrasse                 | Stethojulis strigiventor  | Labridae  |
| Wrasse                 | Stethojulis trilineata  | Labridae  |
| 2 Tone Wrasse          | Thalassoma<br>amblycephalum   | Labridae  |
| 6 Bar Wrasse           | Thalassoma hardwickii   | Labridae  |
| Jansen'S Wrasse        | Thalassoma janseni  | Labridae  |
| Crescent Wrasse        | Thalassoma lunare   | Labridae  |
| Sunset Wrasse          | Thalassoma lutescens  | Labridae  |
| Surge Wrasse           | Thalassoma purpureum  | Labridae  |
| 5-Stripe Surge Wrasse  | Thalassoma<br>quinquevittatum   | Labridae  |
| Xmas Wrasse            | Thalassoma trilobatum   | Labridae  |
| Wh-Barred Pygmy Wrasse | Wetmorella albofasciata   | Labridae  |
| Bl-Spot Pygmy Wrasse   | Wetmorella nigropinnata   | Labridae  |
| Wrasse                 | Xiphocheilus sp   | Labridae  |
| Yblotch Razorfish      | Xyrichtys aneitensis  | Labridae  |
| Celebe'S Razorfish     | Xyrichtys celebecus   | Labridae  |
|                        | Yamashiro'S WrasseYamashiro'S WrasseChiseltooth WrassePolynesian WrasseSmalltail WrasseWrasseWrasseWrasseWrasseWrasseWrasse2 Tone Wrasse6 Bar WrasseJansen'S WrasseSunset WrasseSurge WrasseSurge WrasseSurge WrasseSurge WrasseBl-Spot Pygmy WrasseWrasseYblotch Razorfish | Yamashiro'S WrassePseudocoris yamashiroiChiseltooth WrassePseudojuloides atavaiPolynesian WrassePseudojuloides cerasinusWrassePseudojuloides cerasinusWrassePterogogus cryptusWrassePterogogus guttatusRed-Shoulder WrasseStethojulis bandanensisWrasseStethojulis strigiventorWrasseStethojulis strigiventorWrasseStethojulis strigiventorWrasseStethojulis strigiventorWrasseThalassoma<br>amblycephalum6 Bar WrasseThalassoma hardwickiiJansen'S WrasseThalassoma lunareSunset WrasseThalassoma lunareSunge WrasseThalassoma purpureum5-Stripe Surge WrasseThalassoma purpureumMrasseThalassoma trilobatumWh-Barred Pygmy WrasseWetmorella albofasciataBl-Spot Pygmy WrasseWetmorella nigropinnataWrasseXiphocheilus spYblotch RazorfishXyrichtys aneitensis |

| 36302 | Razorfish                   | Xyrichtys geisha             | Labridae       |
|-------|-----------------------------|------------------------------|----------------|
| 36308 | Yellowpatch Razorfish       | Xyrichtys melanopus          | Labridae       |
| 36260 | Blue Razorfish              | Xyrichtys pavo               | Labridae       |
| 36401 | Bolbometopon muricatum      | Bumphead parrotfish          |                |
| 36214 | Cheilius undulatus          | Napoleon wrasse              |                |
| 1101  | Carcharhinus albimarginatus | Carcharhinidae               | Carcharhinidae |
| 1102  | Carcharhinus amblyrhynchos  | Carcharhinidae               | Carcharhinidae |
| 1104  | Carcharhinus galapagensis   | Carcharhinidae               | Carcharhinidae |
| 1106  | Carcharhinus melanopterus   | Carcharhinidae               | Carcharhinidae |
| 1201  | Sphyrna lewini              | Hammerhead                   | Carcharhinidae |
| 1202  | Sphyrna mokorran            | Hammerhead                   | Carcharhinidae |
| 1200  | Sphyrnidae                  | Hammerhead                   | Carcharhinidae |
| 44518 | Starry Triggerfish          | Abalistes stellatus          | Other          |
| 20701 | Barred Needlefish           | Ablennes hians               | Other          |
| 35050 | Blackspot Sergeant          | Abudefduf lorenzi            | Other          |
| 35051 | Yellowtail Sergeant         | Abudefduf notatus            | Other          |
| 35001 | Banded Sergeant             | Abudefduf<br>septemfasciatus | Other          |
| 35002 | Scis-Tail Sgt Major         | Abudefduf sexfasciatus       | Other          |
| 35003 | Black Spot Sergeant         | Abudefduf sordidus           | Other          |
| 35004 | Sergeant-Major              | Abudefduf vaigiensis         | Other          |
| 29150 | Spiney Basslets             | Acanthoclinidae              | Other          |
| 29151 | Hiatt'S Basslet             | Acathoplesiops hiatti        | Other          |
| 40537 | Goby                        | Acentrogobius bonti          | Other          |
| 44566 | Seagrass Filefish           | Acreichthys tomentosus       | Other          |

| 25601 | Shrimpfish            | Aeoliscus strigatus          | Other |
|-------|-----------------------|------------------------------|-------|
| 2201  | Spotted Eagle Ray     | Aetobatis narinari           | Other |
| 2202  | Eagle Ray             | Aetomyleaus maculatus        | Other |
| 4801  | Indo-Pacific Bonefish | Albula glossodonta           | Other |
| 4802  | Bonefish              | Albula neoguinaica           | Other |
| 4800  | Bonefish              | Albulidae                    | Other |
| 17100 | Lancetfishes          | Alepisauidae                 | Other |
| 17101 | Lancetfish            | Alepisaurus ferox            | Other |
| 40711 | Dorothea'S Wriggler   | Allomicrodesmis<br>dorotheae | Other |
| 39202 | Blenny                | Alticus arnoldorum           | Other |
| 44558 | Unicorn Filefish      | Aluterus monoceros           | Other |
| 44551 | Filefish              | Aluterus scriptus            | Other |
| 44552 | Filefish              | Amanses scopas               | Other |
| 28700 | Glass Perch           | Ambassidae                   | Other |
| 28701 | Glassie               | Ambassis buruensis           | Other |
| 28702 | Glassie               | Ambassis interrupta          | Other |
| 35201 | 2-Spot Hawkfish       | Amblycirrhitus bimacula      | Other |
| 40501 | Goby                  | Amblyeleotris faciata        | Other |
| 40502 | Goby                  | Amblyeleotris fontaseni      | Other |
| 40503 | Goby                  | Amblyeleotris guttata        | Other |
| 40506 | Goby                  | Amblyeleotris randalli       | Other |
| 40505 | Brown-Barred Goby     | Amblyeleotris steinitzi      | Other |
| 40507 | Bluespotted Goby      | Amblyeleotris wheeleri       | Other |
| 4306  | Blue Pilchard         | Amblygaster clupeoides       | Other |

| 4307  | Spotted Pilchard        | Amblygaster sirm                | Other |
|-------|-------------------------|---------------------------------|-------|
| 35005 | Damselfish              | Amblygliphidodon<br>aureus      | Other |
| 35006 | Staghorn Damsel         | Amblygliphidodon<br>curacao     | Other |
| 35052 | White-Belly Damsel      | Amblygliphidodon<br>leucogaster | Other |
| 35053 | Ternate Damsel          | Amblygliphidodon<br>ternatensis | Other |
| 40523 | Goby                    | Amblygobius decussatus          | Other |
| 40524 | Goby                    | Amblygobius hectori             | Other |
| 40670 |                         | Amblygobius linki               | Other |
| 40525 | Goby                    | Amblygobius nocturnus           | Other |
| 40526 | Goby                    | Amblygobius phalaena            | Other |
| 40527 | Goby                    | Amblygobius rainfordi           | Other |
| 40662 | Goby                    | Amblygobius sp                  | Other |
| 44816 | Evileye Puffer          | Amblyrhinchotus<br>honckenii    | Other |
| 40504 | Prawn Goby              | Amlbyeleotris<br>periophthalma  | Other |
| 35007 | Org-Fin Anemonefish     | Amphiprion<br>chrysopterus      | Other |
| 35008 | Clark'S Anemonefish     | Amphiprion clarkii              | Other |
| 35095 | Tomato Anemonefish      | Amphiprion frenatus             | Other |
| 35009 | Dusky Anemonefish       | Amphiprion melanopus            | Other |
| 35096 | False Clown Anemonefish | Amphiprion ocellaris            | Other |
| 35010 | Pink Anemonfish         | Amphiprion<br>peridaeraion      | Other |

| 35097 | 3-Banded Anemonefish   | Amphiprion tricinctus      | Other |
|-------|------------------------|----------------------------|-------|
| 43507 | Dragonet               | Anaora tentaculata         | Other |
| 5601  | Allardice'S Moray      | Anarchias allardicei       | Other |
| 5646  | Canton Island Moray    | Anarchias cantonensis      | Other |
| 5602  | Seychelles Moray       | Anarchias seychellensis    | Other |
| 4901  | Freshwater Eel         | Anguilla bicolor           | Other |
| 4902  | Freshwater Eel         | Anguilla marmorata         | Other |
| 4900  | Freshwater Eel         | Anguillidae                | Other |
| 24250 | Flashlightfish         | Anomalopidae               | Other |
| 24251 | Flashlightfish         | Anomalops katoptron        | Other |
| 19200 | Anglerfish             | Antenariidae               | Other |
| 19201 | Pigmy Frogfish         | Antennarius analis         | Other |
| 19202 | Frogfish               | Antennarius biocellatus    | Other |
| 19203 | Freckled Frogfish      | Antennarius coccineus      | Other |
| 19204 | Giant Frogfish         | Antennarius<br>commersonii | Other |
| 19205 | Bandtail Frogfish      | Antennarius dorehensis     | Other |
| 19206 | Sargassumfish          | Antennarius maculatus      | Other |
| 19207 | Spotfin Frogfish       | Antennarius nummifer       | Other |
| 19208 | Painted Frogfish       | Antennarius pictus         | Other |
| 19209 | Randall'S Frogfish     | Antennarius randalli       | Other |
| 19210 | Spiney-Tufted Frogfish | Antennarius rosaceus       | Other |
| 19211 | Bandfin Frogfish       | Antennatus tuberosus       | Other |
| 25201 | Boarfish               | Antigonia malayana         | Other |
| 26460 | Velvetfishes           | Aploactinidae              | Other |

| 30435 | Cardinalfish               | Apogon amboinensis    | Other |
|-------|----------------------------|-----------------------|-------|
| 30401 | Broad-Striped Cardinalfish | Apogon angustatus     | Other |
| 30402 | Bigeye Cardinalfish        | Apogon bandanensis    | Other |
| 30403 | Cryptic Cardinalfish       | Apogon coccineus      | Other |
| 30436 | Ohcre-Striped Cardinalfish | Apogon compressus     | Other |
| 30437 | Redspot Cardinalfish       | Apogon dispar         | Other |
| 30438 | Longspine Cardinalfish     | Apogon doryssa        | Other |
| 30455 | Elliot'S Cardinalfish      | Apogon ellioiti       | Other |
| 30462 | Cardinalfish               | Apogon eremeia        | Other |
| 30439 | Evermann'S Cardinalfish    | Apogon evermanni      | Other |
| 30404 | Eyeshadow Cardinalfish     | Apogon exostigma      | Other |
| 30405 | Bridled Cardinalfish       | Apogon fraenatus      | Other |
| 30441 | Cardinalfish               | Apogon fragilis       | Other |
| 30440 | Gilbert'S Cardinalfish     | Apogon gilberti       | Other |
| 30406 | Guam Cardinalfish          | Apogon guamensis      | Other |
| 30468 |                            | Apogon hartzfeldii    | Other |
| 30407 | Iridescent Cardinalfish    | Apogon kallopterus    | Other |
| 30408 | Inshore Cardinalfish       | Apogon lateralis      | Other |
| 30409 | Bluestreak Cardinalfish    | Apogon leptacanthus   | Other |
| 30457 | Black Cardinalfish         | Apogon melas          | Other |
| 30463 | Cardinalfish               | Apogon nigripinnis    | Other |
| 30412 | Black-Striped Cardinalfish | Apogon nigrofasciatus | Other |
| 30464 | Cardinalfish               | Apogon notatus        | Other |
| 30413 | 7-Lined Cardinalfish       | Apogon novemfasciatus | Other |

| 00440 |                           | 4 71                             |       |
|-------|---------------------------|----------------------------------|-------|
| 30442 | Pearly Cardinalfish       | Apogon perlitus                  | Other |
| 30465 | Cardinalfish              | Apogon rhodopterus               | Other |
| 30443 | Sangi Cardinalfish        | Apogon sangiensis                | Other |
| 30415 | Gray Cardinalfish         | Apogon savayensis                | Other |
| 30456 | Seale'S Cardinalfish      | Apogon sealei                    | Other |
| 30417 | Cardinalfish              | Apogon sp                        | Other |
| 30414 | Bandfin Cardinalfish      | Apogon taeniophorus              | Other |
| 30410 | Bandfin Cardinalfish      | Apogon taeniopterus              | Other |
| 30416 | 3-Spot Cardinalfish       | Apogon trimaculatus              | Other |
| 30418 | Ocellated Cardinalfish    | Apogonichthys ocellatus          | Other |
| 30444 | Perdix Cardinalfish       | Apogonichthys perdix             | Other |
| 30400 | Cardinalfishes            | Apogonidae                       | Other |
| 34377 | Angelfish                 | Apolemichthys griffisi           | Other |
| 34351 | Flagfin Anglefish         | Apolemichthys<br>trimaculatus    | Other |
| 34376 | Angelfish                 | Apolemichthys<br>xanthopunctatus | Other |
| 29201 | 2-Lined Soapfish          | Aporops bilinearis               | Other |
| 6619  | Snake Eel                 | Apterichtus klazingai            | Other |
| 30419 | Twinspot Cardinalfish     | Archamia biguttata               | Other |
| 30420 | Orange-Lined Cardinalfish | Archamia fucata                  | Other |
| 30445 | Blackbelted Cardinalfish  | Archamia zosterophora            | Other |
| 6206  | Scheele'S Conger          | Ariosoma scheelei                | Other |
| 43903 | Flounder                  | Arnoglossus intermedius          | Other |
| 44801 | Brown Puffer              | Arothron hispidus                | Other |
|       |                           |                                  |       |

| 44802 | Puffer                | Arothron manilensis              | Other |
|-------|-----------------------|----------------------------------|-------|
| 44803 | Puffer                | Arothron mappa                   | Other |
| 44804 | White-Spot Puffer     | Arothron meleagris               | Other |
| 44805 | Black-Spotted Puffer  | Arothron nigropunctatus          | Other |
| 44806 | Star Puffer           | Arothron stellatus               | Other |
| 44102 | Black Spotted Sole    | Aseraggodes<br>melanostictus     | Other |
| 44103 | Smith'S Sole          | Aseraggodes smithi               | Other |
| 44104 | Whitaker'S Sole       | Aseraggodes whitakeri            | Other |
| 39257 | Lance Blenny          | Aspidontus dussumieri            | Other |
| 39203 | Cleaner Mimic         | Aspidontus taeniatus             | Other |
| 40539 |                       | Asteropteryx<br>semipunctatus    | Other |
| 43905 | Intermediate Flounder | Asterorhombus<br>intermedius     | Other |
| 40538 | Goby                  | Asterropteryx ensiferus          | Other |
| 21800 | Silverside            | Atherinidae                      | Other |
| 21805 | Tropical Silverside   | Atherinomorus<br>duodecimalis    | Other |
| 21806 | Striped Silverside    | Atherinomorus<br>endrachtensis   | Other |
| 21803 | Silverside            | Atherinomorus<br>lacunosus       | Other |
| 21804 | Hardyhead Silverside  | Atherinomorus<br>lacunosus       | Other |
| 21801 | Bearded Silverside    | Atherion elymus                  | Other |
| 39240 | Blenny                | Atrosalarius fuscus<br>holomelas | Other |

| 25300 | Trumpetfish          | Aulostomidae                 | Other |
|-------|----------------------|------------------------------|-------|
| 25301 | Trumpetfish          | Aulostomus chinensis         | Other |
| 40540 | Goby                 | Austrolethops wardi          | Other |
| 40541 | Goby                 | Awaous grammepomus           | Other |
| 40542 | Goby                 | Awaous guamensis             | Other |
| 44501 | Undulate Triggerfish | Balistapus undulatus         | Other |
| 44500 | Triggerfishes        | Balistidae                   | Other |
| 44502 | Clown Triggerfish    | Balistoides conspicillum     | Other |
| 44503 | Titan Triggerfish    | Balistoides viridescens      | Other |
| 40543 | Goby                 | Bathygobius cocosensis       | Other |
| 40544 | Goby                 | Bathygobius cotticeps        | Other |
| 40545 | Goby                 | Bathygobius fuscus           | Other |
| 20700 | Needlefish           | Belonidae                    | Other |
| 29001 | Soapfish             | Belonoperca<br>chaubanaudi   | Other |
| 24200 | Lantern-Eye Fish     | Berycidae                    | Other |
| 24201 | Flashlightfish       | Beryx decadactylus           | Other |
| 25818 | Pipefish             | Bhanotia nuda                | Other |
| 6205  | Conger Eel           | Blachea xenobranchialis      | Other |
| 39218 | Blenny               | Blenniella cyanostigma       | Other |
| 39222 | Blenny               | Blenniella gibbifrons        | Other |
| 39239 |                      | Blenniella paula             | Other |
| 39221 | Blenny               | Blenniella<br>periophthalmus | Other |
| 39200 | Blennies             | Blenniidae                   | Other |

| 43900 | Flounders                  | Bothidae                    | Other |
|-------|----------------------------|-----------------------------|-------|
| 43901 | Peacock Flounder           | Bothus mancus               | Other |
| 43902 | Leopard Flounder           | Bothus pantherinus          | Other |
| 44559 | Taylor'S Inflator Filefish | Brachaluteres taylori       | Other |
| 6601  | Snake Eel                  | Brachysomophis<br>sauropsis | Other |
| 18201 | Codlet                     | Bregmaceros<br>nectabanus   | Other |
| 18200 | Codlets                    | Bregmacerotidae             | Other |
| 18651 | Free-Tailed Brotula        | Brosmophyciops<br>pautzkei  | Other |
| 18601 | Reef Cusk Eel              | Brotula multibarbata        | Other |
| 18602 | Townsend'S Cusk Eel        | Brotula townsendi           | Other |
| 40546 | Goby                       | Bryaninops amplus           | Other |
| 40547 | Goby                       | Bryaninops erythrops        | Other |
| 40548 | Goby                       | Bryaninops natans           | Other |
| 40549 | Goby                       | Bryaninops ridens           | Other |
| 40550 | Goby                       | Bryaninops youngei          | Other |
| 25819 | Pipefish                   | Bulbonaricus brauni         | Other |
| 40402 | Gudgeon                    | Butis amboinensis           | Other |
| 18650 | Livebearing Brotulas       | Bythitidae                  | Other |
| 40551 | Goby                       | Cabillus tongarevae         | Other |
| 6602  | Snake Eel                  | Caecula polyophthalma       | Other |
| 32351 | Scissor-Tailed Fusilier    | Caesio caerulaurea          | Other |
| 32355 | Fusilier                   | Caesio cuning               | Other |
| 32356 | Lunar Fusilier             | Caesio lunaris              | Other |

| 32352 | Yellowback Caesio      | Caesio teres                  | Other |
|-------|------------------------|-------------------------------|-------|
| 32350 | Fusilier               | Caesionidae                   | Other |
| 29050 | Goldies                | Callanthiidae                 | Other |
| 6603  | Snake Eel              | Callechelys marmorata         | Other |
| 6604  | Snake Eel              | Callechelys<br>melanotaenia   | Other |
| 43500 | Dragonets              | Callionymidae                 | Other |
| 43508 | Delicate Dragonet      | Callionymus delicatulus       | Other |
| 43501 | Mangrove Dragonet      | Callionymus enneactis         | Other |
| 43502 | Simple-Spined Dragonet | Callionymus<br>simplicicornis | Other |
| 40559 | Goby                   | Callogobious sp               | Other |
| 40552 | Goby                   | Callogobius bauchotae         | Other |
| 40553 | Goby                   | Callogobius centrolepis       | Other |
| 40554 | Goby                   | Callogobius hasselti          | Other |
| 40555 | Goby                   | Callogobius<br>maculipinnis   | Other |
| 40556 | Goby                   | Callogobius okinawae          | Other |
| 40557 | Goby                   | Callogobius plumatus          | Other |
| 40558 | Goby                   | Callogobius sclateri          | Other |
| 29401 | Longfin                | Calloplesiops altivelis       | Other |
| 40403 | Sleeper                | Calumia godeffroyi            | Other |
| 44553 | Gray Leatherjacket     | Cantherhines dumerilii        | Other |
| 44565 | Specktacled Filefish   | Cantherhines<br>fronticinctus | Other |
| 44554 | Honeycomb Filefish     | Cantherhines pardalis         | Other |

| 44504 | Rough Triggerfish   | Canthidermis maculatus        | Other |
|-------|---------------------|-------------------------------|-------|
| 44807 | Puffer              | Canthigaster<br>amboinensis   | Other |
| 44808 | Puffer              | Canthigaster bennetti         | Other |
| 44815 | Puffer              | Canthigaster compressa        | Other |
| 44809 | Sharp Back Puffer   | Canthigaster coronata         | Other |
| 44810 | Puffer              | Canthigaster epilampra        | Other |
| 44811 | Puffer              | Canthigaster<br>janthinoptera | Other |
| 44812 | Puffer              | Canthigaster leoparda         | Other |
| 44819 | Circle-Barred Toby  | Canthigaster<br>ocellicincta  | Other |
| 44820 | Papuan Toby         | Canthigaster papua            | Other |
| 44813 | Sharpnose Puffer    | Canthigaster solandri         | Other |
| 44814 | Saddle Shpns Puffer | Canthigaster valentini        | Other |
| 25200 | Boarfishes          | Caproidae                     | Other |
| 26700 | Coral Crouchers     | Caracanthidae                 | Other |
| 26701 | Velvetfish          | Caracanthus maculatus         | Other |
| 26702 | Velvetfish          | Caracanthus unipinna          | Other |
| 18700 | Pearlfish           | Carapodidae                   | Other |
| 18702 | Pearlfish           | Carapus mourlani              | Other |
| 1109  | Blackfin Shark      | Carcharhinus limbatus         | Other |
| 902   | Great White Shark   | Carcharodon carcharius        | Other |
| 25600 | Shrimpfishes        | Centriscidae                  | Other |
| 34379 | Golden Angelfish    | Centropyge aurantia           | Other |
| 34352 | Bicolor Angelfish   | Centropyge bicolor            | Other |

| 34353 | Dusky Angelfish            | Centropyge bispinosus        | Other |
|-------|----------------------------|------------------------------|-------|
| 34354 | Colin'S Angelfish          | Centropyge colini            | Other |
| 34367 | White-Tail Angelfish       | Centropyge flavicauda        | Other |
| 34355 | Lemonpeel Anglefish        | Centropyge flavissimus       | Other |
| 34356 | Herald'S Anglefish         | Centropyge heraldi           | Other |
| 34357 | Flame Anglefish            | Centropyge loriculus         | Other |
| 34368 | Multicolor Angelfish       | Centropyge multicolor        | Other |
| 34358 | Multibarred Angelfish      | Centropyge<br>multifasciatus | Other |
| 34359 | Black-Spot Anglefish       | Centropyge nigriocellus      | Other |
| 34378 | Midnight Angelfish         | Centropyge nox               | Other |
| 34360 | Shepard'S Anglefish        | Centropyge shepardi          | Other |
| 34369 | Keyhole Angelfish          | Centropyge tibicen           | Other |
| 34361 | Pearlscale Anglefish       | Centropyge vrolicki          | Other |
| 28959 | Grouper                    | Cephalopholis<br>cyanostigma | Other |
| 39008 | Triplefin                  | Ceratobregma helenae         | Other |
| 34301 | Threadfin Butterflyfish    | Chaetodon auriga             | Other |
| 34330 | E Triangular Butterflyfish | Chaetodon barronessa         | Other |
| 34302 | Bennetts Butterflyfish     | Chaetodon bennetti           | Other |
| 34331 | Burgess' Butterflyfish     | Chaetodon burgessi           | Other |
| 34303 | Speckled Butterflyfish     | Chaetodon citrinellus        | Other |
| 34304 | Saddleback Butterflyfish   | Chaetodon ephippium          | Other |
| 34305 | Ylw-Crn Butterflyfish      | Chaetodon<br>flavocoronatus  | Other |
| 34306 | Kleins Butterflyfish       | Chaetodon kleinii            | Other |

| 34307 | Lined Butterflyfish       | Chaetodon lineolatus           | Other |
|-------|---------------------------|--------------------------------|-------|
| 34308 | Racoon Butterflyfish      | Chaetodon lunula               | Other |
| 34316 | Redfinned Butterflyfish   | Chaetodon lunulatus            | Other |
| 34309 | Black-Back Butterflyfish  | Chaetodon melannotus           | Other |
| 34310 | Mertens Butterflyfish     | Chaetodon mertensii            | Other |
| 34332 | Meyer'S Butterflyfish     | Chaetodon meyeri               | Other |
| 34311 | Butterflyfish             | Chaetodon modestus             | Other |
| 34333 | Spot-Tail Butterflyfish   | Chaetodon ocellicaudus         | Other |
| 34334 | 8-Banded Butterflyfish    | Chaetodon octofasciatus        | Other |
| 34312 | Ornate Butterflyfish      | Chaetodon ornatissimus         | Other |
| 34335 | Spot-Nape Butterflyfish   | Chaetodon oxycephalus          | Other |
| 34313 | Spotbnded Butterflyfish   | Chaetodon<br>punctatofasciatus | Other |
| 34314 | 4-Spotted Butterflyfish   | Chaetodon<br>quadrimaculatus   | Other |
| 34336 | Latticed Butterflyfish    | Chaetodon rafflesii            | Other |
| 34315 | Retculted Butterflyfish   | Chaetodon reticulatus          | Other |
| 34337 | Dotted Butterflyfish      | Chaetodon semeion              | Other |
| 34338 | Oval-Spot Butterflyfish   | Chaetodon speculum             | Other |
| 34340 | Tinker'S Butterflyfish    | Chaetodon tinkeri              | Other |
| 34329 | Chevron Butterflyfish     | Chaetodon trifascialis         | Other |
| 34317 | Pac Dblsddl Butterflyfish | Chaetodon ulietensis           | Other |
| 34318 | Teardrop Butterflyfish    | Chaetodon unimaculatus         | Other |
| 34319 | Vagabond Butterflyfish    | Chaetodon vagabundus           | Other |
| 34300 | Butterflyfish             | Chaetodontidae                 | Other |
|       |                           |                                | 1     |

| 34370 | Vermiculated Angelfish    | Chaetodontoplus<br>mesoleucus    | Other |
|-------|---------------------------|----------------------------------|-------|
| 37401 | Saddled Sandburrower      | Chalixodytes tauensis            | Other |
| 36701 | Gaper                     | Champsodon vorax                 | Other |
| 36700 | Gapers                    | Champsodontidae                  | Other |
| 9800  | Milkfish                  | Chanidae                         | Other |
| 5647  | Long-Jawed Moray          | Channomuraena vittata            | Other |
| 9801  | Milkfish                  | Chanos chanos                    | Other |
| 30458 | Lined Cardinalfish        | Cheilodipterus artus             | Other |
| 30466 | Intermediate Cardinalfish | Cheilodipterus<br>intermedius    | Other |
| 30446 | Cardinalfish              | Cheilodipterus isostigma         | Other |
| 30422 | Lg-Toothed Cardinalfish   | Cheilodipterus<br>macrodon       | Other |
| 30423 | 5-Lined Cardinalfish      | Cheilodipterus<br>quinquelineata | Other |
| 30421 | Truncate Cardinalfish     | Cheilodipterus<br>singapurensis  | Other |
| 20601 | Flying Fish               | Cheilopogon<br>spilonopterus     | Other |
| 20602 | Flying Fish               | Cheilopogon spilopterus          | Other |
| 20603 | Flying Fish               | Cheilopogon unicolor             | Other |
| 35089 | Minstrel Fish             | Cheiloprion labiatus             | Other |
| 35907 | Ceram Mullet              | Chelon macrolepis                | Other |
| 5400  | False Moray Eel           | Chlopsidae                       | Other |
| 25802 | Pipefish                  | Choeroichthys<br>brachysoma      | Other |

| 25801 | Pipefish             | Choeroichthys sculptus  | Other |
|-------|----------------------|-------------------------|-------|
| 37001 | Duckbill             | Chrionema squamiceps    | Other |
| 35011 | Midget Chromis       | Chromis acares          | Other |
| 35012 | Bronze Reef Chromis  | Chromis agilis          | Other |
| 35022 | Yel-Speckled Chromis | Chromis alpha           | Other |
| 35013 | Ambon Chromis        | Chromis amboinensis     | Other |
| 35014 | Yellow Chromis       | Chromis analis          | Other |
| 35015 | Black-Axil Chromis   | Chromis atripectoralis  | Other |
| 35054 | Dark-Fin Chromis     | Chromis atripes         | Other |
| 35059 | Blue-Axil Chromis    | Chromis caudalis        | Other |
| 35060 | Deep Reef Chromis    | Chromis delta           | Other |
| 35017 | Twin-Spot Chromis    | Chromis elerae          | Other |
| 35018 | Scaly Chromis        | Chromis lepidolepis     | Other |
| 35055 | Lined Chromis        | Chromis lineata         | Other |
| 35019 | Bicolor Chromis      | Chromis margaritifer    | Other |
| 35056 | Black-Bar Chromis    | Chromis retrofasciata   | Other |
| 35049 | Ternate Chromis      | Chromis ternatensis     | Other |
| 35020 | Vanderbilt'S Chromis | Chromis vanderbilti     | Other |
| 35016 | Blue-Green Chromis   | Chromis viridis         | Other |
| 35057 | Weber'S Chromis      | Chromis weberi          | Other |
| 35058 | Yel-Axil Chromis     | Chromis xanthochir      | Other |
| 35021 | Black Chromis        | Chromis xanthura        | Other |
| 35024 | 2-Spot Demoiselle    | Chrysiptera biocellata  | Other |
| 35027 | Surge Demoiselle     | Chrysiptera brownriggii | Other |

| 35025 | Blue-Line Demoiselle | Chrysiptera<br>caeruleolineata | Other |
|-------|----------------------|--------------------------------|-------|
| 35062 | Blue Devil           | Chrysiptera cyanea             | Other |
| 35026 | Gray Demoiselle      | Chrysiptera glauca             | Other |
| 35090 | Blue-Spot Demoiselle | Chrysiptera oxycephala         | Other |
| 35064 | King Demoiselle      | Chrysiptera rex                | Other |
| 35065 | Talbot'S Demoiselle  | Chrysiptera talboti            | Other |
| 35028 | Tracey'S Demoiselle  | Chrysiptera traceyi            | Other |
| 35091 | 1-Spot Demoiselle    | Chrysiptera unimaculata        | Other |
| 34610 | Peacock Bass         | Cichla ocellaris               | Other |
| 34600 | Cichlids             | Cichlidae                      | Other |
| 35211 | Threadfin Hawkfish   | Cirrhitichthys aprinus         | Other |
| 35202 | Falco'S Hawkfish     | Cirrhitichthys falco           | Other |
| 35203 | Pixy Hawkfish        | Cirrhitichthys<br>oxycephalus  | Other |
| 35200 | Hawkfish             | Cirrhitidae                    | Other |
| 35204 | Stocky Hawkfish      | Cirrhitus pinnulatus           | Other |
| 6620  | Fringelip Snake Eel  | Cirricaecula johnsoni          | Other |
| 39242 | Chestnut Blenny      | Cirripectes castaneus          | Other |
| 39204 | Spotted Blenny       | Cirripectes fuscoguttatus      | Other |
| 39243 | Blenny               | Cirripectes perustus           | Other |
| 39206 | Barred Blenny        | Cirripectes polyzona           | Other |
| 39205 | Squiggly Blenny      | Cirripectes quagga             | Other |
| 39244 | Red-Streaked Blenny  | Cirripectes stigmaticus        | Other |
| 39207 | Red-Speckled Blenny  | Cirripectes variolosus         | Other |

| 14802 | Air-Breath Catfish      | Clarias batrachus                | Other |
|-------|-------------------------|----------------------------------|-------|
| 14801 | Air-Breath Catfish      | Clarias macrocephalus            | Other |
| 14800 | Air-Breath Catfish      | Clariidae                        | Other |
| 4300  | Herring,Sprat,Sardines  | Clupeidae                        | Other |
| 26461 | Velvetfish              | Cocotropis larvatus              | Other |
| 6201  | White Eel               | Conger cinereus<br>cinereus      | Other |
| 6202  | Conger Eel              | Conger oligoporus                | Other |
| 6208  | Conger Eel              | Conger sp                        | Other |
| 6200  | White,Conger,Garden Eel | Congridae                        | Other |
| 30306 | Deepwater Glasseye      | Cookeolus boops                  | Other |
| 30304 | Bulleye                 | Cookeolus japonicus              | Other |
| 34339 | Orangebanded Coralfish  | Coradion chrysozonus             | Other |
| 40590 | Goby                    | Coryphopterus<br>signipinnis     | Other |
| 25803 | Network Pipefish        | Corythoichthys<br>flavofasciatus | Other |
| 25820 | Pipefish                | Corythoichthys<br>haematopterus  | Other |
| 25804 | Reef Pipefish           | Corythoichthys<br>intestinalis   | Other |
| 25805 | Bl-Breasted Pipefish    | Corythoichthys<br>nigripectus    | Other |
| 25821 | Ocellated Pipefish      | Corythoichthys ocellatus         | Other |
| 25822 | Many-Spotted Pipefish   | Corythoichthys<br>polynotatus    | Other |
| 25823 | Guilded Pipefish        | Corythoichthys schultzi          | Other |

| 25824 | Roughridge Pipefish   | Cosmocampus banneri                | Other |
|-------|-----------------------|------------------------------------|-------|
| 25806 | D'Arros Pipefish      | Cosmocampus<br>darrosanus          | Other |
| 25825 | Maxweber'S Pipefish   | Cosmocampus<br>maxweberi           | Other |
| 37400 | Sand Burrowers        | Creedidae                          | Other |
| 35911 | Mullet                | Crenimugil heterochilos            | Other |
| 40560 | Goby                  | Cristagobius sp                    | Other |
| 40508 | Goby                  | Cryptocentroides<br>insignis       | Other |
| 40511 | Goby                  | Cryptocentrus<br>cauruleomaculatus | Other |
| 40509 | Goby                  | Cryptocentrus cinctus              | Other |
| 40510 | Goby                  | Cryptocentrus koumansi             | Other |
| 40512 | Goby                  | Cryptocentrus<br>leptocephalus     | Other |
| 40514 | Goby                  | Cryptocentrus sp A                 | Other |
| 40513 | Goby                  | Cryptocentrus<br>strigilliceps     | Other |
| 40515 | Goby                  | Ctenogobiops<br>aurocingulus       | Other |
| 40516 | Goby                  | Ctenogobiops feroculus             | Other |
| 40517 | Goby                  | Ctenogobiops<br>pomastictus        | Other |
| 40518 | Long-Finned Prwn Goby | Ctenogobiops<br>tangarorai         | Other |
| 27304 | Flathead              | Cymbacephalus<br>beauforti         | Other |
| 35212 | Swallowtail Hawkfish  | Cyprinocirrhites                   | Other |

|       |                        | polyactis                         |       |
|-------|------------------------|-----------------------------------|-------|
| 20604 | Flying Fish            | Cypselurus angusticeps            | Other |
| 20605 | Flying Fish            | Cypselurus<br>poecilopterus       | Other |
| 20606 | Flying Fish            | Cypselurus speculiger             | Other |
| 28501 | Flying Gurnard         | Dactyloptena orientalis           | Other |
| 28502 | Flying Gurnard         | Dactyloptena petersoni            | Other |
| 28500 | Flying Gurnard         | Dactylopteridae                   | Other |
| 35029 | Humbug Dascyllus       | Dascyllus aruanus                 | Other |
| 35066 | Black-Tail Dascyllus   | Dascyllus melanurus               | Other |
| 35030 | Reticulated Dascyllus  | Dascyllus reticulatus             | Other |
| 35031 | 3-Spot Dascyllus       | Dascyllus trimaculatus            | Other |
| 2000  | Stingray               | Dasyatididae                      | Other |
| 2001  | Blue-Spotted Sting Ray | Dasyatis kuhlii                   | Other |
| 26401 | Scorpionfish           | Dendrochirus<br>biocellatus       | Other |
| 26402 | Scorpionfish           | Dendrochirus<br>brachypterus      | Other |
| 26427 | Zebra Lionfish         | Dendrochirus zebra                | Other |
| 32701 | Slatey Sweetlips       | Diagramma pictum                  | Other |
| 16701 | Lanternfish            | Diaphus schmidti                  | Other |
| 18652 | Bythitid               | Dinematichthys<br>iluocoetenoides | Other |
| 44903 | Porcupinefish          | Diodon eydouxi                    | Other |
| 44901 | Porcupinefish          | Diodon hystrix                    | Other |
| 44902 | Porcupinefish          | Diodon liturosus                  | Other |

| 44900 | Porcupinefish       | Diodontidae                          | Other |
|-------|---------------------|--------------------------------------|-------|
| 43503 | Dragonet            | Diplogrammus<br>goramensis           | Other |
| 8801  | Bristlemouth        | Diplophos sp                         | Other |
| 35067 | White-Spot Damsel   | Dischistodus<br>chrysopoecilus       | Other |
| 35068 | Black-Vent Damsel   | Dischistodus melanotus               | Other |
| 35032 | White Damsel        | Dischistodus<br>perspicillatus       | Other |
| 25808 | Banded Pipefish     | Doryramphus<br>dactyliophorus        | Other |
| 25807 | Bluestripe Pipefish | Doryramphus excisus                  | Other |
| 25826 | Janss' Pipefish     | Doryramphus janssi                   | Other |
| 25827 | Negros Pipefish     | Doryramphus<br>negrosensis negrsensi | Other |
| 4303  | Sprat               | Dussumieria elopsoides               | Other |
| 4302  | Sprats              | Dussumieria sp B                     | Other |
| 31300 | Diskfishes          | Echeneidae                           | Other |
| 31304 | Remora              | Echeneis naucrates                   | Other |
| 5603  | Whiteface Moray     | Echidna leucotaenia                  | Other |
| 5604  | Snowflake Moray     | Echidna nebulosa                     | Other |
| 5605  | Girdled Moray Eel   | Echidna polyzona                     | Other |
| 5606  | Unicolor Moray      | Echidna unicolor                     | Other |
| 1350  | Bramble Shark       | Echinorhinidae                       | Other |
| 1351  | Bramble Shark       | Echinorhinus brucus                  | Other |
| 1352  | Bramble Shark       | Echinorhinus cookei                  | Other |

| 39264 | Banda Clown Blenny   | Ecsenius bandanus                | Other |
|-------|----------------------|----------------------------------|-------|
| 39208 | Blenny               | Ecsenius bicolor                 | Other |
| 39209 | Blenny               | Ecsenius opsifrontalis           | Other |
| 39245 | Blenny               | Ecsenius sellifer                | Other |
| 39246 | Blenny               | Ecsenius yaeyamaensis            | Other |
| 6621  | Snake Eel            | Elapsopsis versicolor            | Other |
| 40400 | Sleepers             | Eleotrididae                     | Other |
| 40401 | Gudgeon              | Eleotris fusca                   | Other |
| 32201 | Bonnetmouth          | Emmelichthys karnellai           | Other |
| 32200 | Bonnet Mouths        | Emmelichtyidae                   | Other |
| 18703 | Pearlfish            | Encheliophis<br>boraboraensis    | Other |
| 18705 | Pearlfish            | Encheliophis gracilis            | Other |
| 18701 | Pearlfish            | Encheliophis homei               | Other |
| 18704 | Pearlfish            | Encheliophis<br>vermicularis     | Other |
| 5607  | Bayer'S Moray        | Enchelycore bayeri               | Other |
| 5608  | Bikini Atoll Moray   | Enchelycore bikiniensis          | Other |
| 5655  | Dark-Spotted Moray   | Enchelycore kamara               | Other |
| 5609  | White-Margined Moray | Enchelycore<br>schismatorhynchus | Other |
| 5610  | Viper Moray          | Enchelynassa canina              | Other |
| 39210 | Blenny               | Enchelyurus kraussi              | Other |
| 4406  | Gold Anchovy         | Enchrasicholina devisi           | Other |
| 4405  | Blue Anchovy         | Enchrasicholina<br>heterolobus   | Other |

| 4401  | Oceanic Anchovy | Enchrasicholina<br>punctifer          | Other |
|-------|-----------------|---------------------------------------|-------|
| 4400  | Anchovies       | Engraulidae                           | Other |
| 43904 | Flounder        | Engyprosopon sp                       | Other |
| 39001 | Triplefin       | Enneapterygius<br>hemimelas           | Other |
| 39002 | Triplefin       | Enneapterygius minutus                | Other |
| 39003 | Triplefin       | Enneapterygius nanus                  | Other |
| 39247 | Blenny          | Entomacrodus<br>caudofasciatus        | Other |
| 39248 | Blenny          | Entomacrodus<br>cymatobiotus          | Other |
| 39211 | Blenny          | Entomacrodus<br>decussatus            | Other |
| 39212 | Blenny          | Entomacrodus<br>niuafooensis          | Other |
| 39213 | Blenny          | Entomacrodus sealei                   | Other |
| 39241 | Blenny          | Entomacrodus stellifer                | Other |
| 39214 | Blenny          | Entomacrodus striatus                 | Other |
| 39215 | Blenny          | Entomacrodus<br>thalassinus thalassin | Other |
| 34000 | Batfish         | Ephippidae                            | Other |
| 32202 | Bonnetmouth     | Erythrocles scintillans               | Other |
| 1301  | Spiny Dogfish   | Etmopterus pusillus                   | Other |
| 20757 | Ribbon Halfbeak | Euleptorhamphus viridis               | Other |
| 28601 | Dragon Fish     | Eurypegasus draconis                  | Other |
| 4304  | Mantis Shrimp   | Eutremus teres                        | Other |

| 40561 | Kawakawa | Eviota afelei        | Other |
|-------|----------|----------------------|-------|
| 40562 | Herring  | Eviota albolineata   | Other |
| 40563 | Goby     | Eviota bifasciata    | Other |
| 40564 | Goby     | Eviota cometa        | Other |
| 40565 | Goby     | Eviota distigma      | Other |
| 40566 | Goby     | Eviota fasciola      | Other |
| 40567 | Goby     | Eviota herrei        | Other |
| 40568 | Goby     | Eviota infulata      | Other |
| 40569 | Goby     | Eviota lachdebrerei  | Other |
| 40570 | Goby     | Eviota latifasciata  | Other |
| 40571 | Goby     | Eviota melasma       | Other |
| 40572 | Goby     | Eviota nebulosa      | Other |
| 40573 | Goby     | Eviota pellucida     | Other |
| 40574 | Goby     | Eviota prasina       | Other |
| 40575 | Goby     | Eviota prasites      | Other |
| 40576 | Goby     | Eviota punctulata    | Other |
| 40577 | Goby     | Eviota queenslandica | Other |
| 40579 | Goby     | Eviota saipanensis   | Other |
| 40578 | Goby     | Eviota sebreei       | Other |
| 40580 | Goby     | Eviota sigillata     | Other |
| 40581 | Goby     | Eviota smaragdus     | Other |
| 40585 | Goby     | Eviota sp            | Other |
| 40582 | Goby     | Eviota sparsa        | Other |
| 40583 | Goby     | Eviota storthynx     | Other |

| 40584 | Goby                       | Eviota zonura               | Other |
|-------|----------------------------|-----------------------------|-------|
| 6622  | Snake Eel                  | Evipes percinctus           | Other |
| 39216 | Blenny                     | Exalias brevis              | Other |
| 20600 | Flying Fish                | Exocoetidae                 | Other |
| 20611 | Flying Fish                | Exocoetus volitans          | Other |
| 40586 | Goby                       | Exyrias belissimus          | Other |
| 40587 | Goby                       | Exyrias puntang             | Other |
| 25401 | Cornetfish                 | Fistularia commersoni       | Other |
| 25400 | Cornetfish                 | Fistulariidae               | Other |
| 30453 | Bay Cardinalfish           | Foa brachygramma            | Other |
| 30454 | Cardinalfish               | Foa sp                      | Other |
| 34320 | Longnosed Butterflyfish    | Forcipiger flavissimus      | Other |
| 34321 | Big Longnose Butterflyfish | Forcipiger longirostris     | Other |
| 30467 | Cardinalfish               | Fowleria abocellata         | Other |
| 30426 | Marbled Cardinalfish       | Fowleria marmorata          | Other |
| 30425 | Spotcheek Cardinalfish     | Fowleria punctulata         | Other |
| 30427 | Variegated Cardinalfish    | Fowleria variegatus         | Other |
| 40588 | Goby                       | Fusigobius longispinus      | Other |
| 40589 | Goby                       | Fusigobius neophytus        | Other |
| 1107  | Tiger Shark                | Galeocerdo cuvier           | Other |
| 31802 | Lg-Toothed Ponyfish        | Gazza achlamys              | Other |
| 31808 | Toothed Ponyfish           | Gazza minuta                | Other |
| 34362 | Ornate Angelfish           | Genicanthus bellus          | Other |
| 34371 | Black-Spot Angelfish       | Genicanthus<br>melanospilos | Other |

| 34364 | Watanabe'S Angelfish | Genicanthus watanabei        | Other |
|-------|----------------------|------------------------------|-------|
| 32600 | Mojarras             | Gerreidae                    | Other |
| 32602 | Deep-Bodied Mojarra  | Gerres abbreviatus           | Other |
| 32601 | Common Mojarra       | Gerres acinaces              | Other |
| 32604 | Filamentous Mojarra  | Gerres filamentosus          | Other |
| 32603 | Oblong Mojarra       | Gerres oblongus              | Other |
| 32605 | Oyena Mojarra        | Gerres oyena                 | Other |
| 32606 | Mojarra              | Gerres punctatus             | Other |
| 9200  | Telescopefish        | Giganturidae                 | Other |
| 40591 | Goby                 | Gladigobius ensifera         | Other |
| 40592 | Goby                 | Glossogobius biocellatus     | Other |
| 40593 | Goby                 | Glossogobius celebius        | Other |
| 40594 | Goby                 | Glossogobius guirus          | Other |
| 39249 | Blenny               | Glyptoparus delicatulus      | Other |
| 40595 | Goby                 | Gnatholepis anjerensis       | Other |
| 40601 |                      | Gnatholepis caurensis        | Other |
| 40596 | Goby                 | Gnatholepis<br>scapulostigma | Other |
| 40597 | Goby                 | Gnatholepis sp A             | Other |
| 43400 | Clingfish            | Gobiesocidae                 | Other |
| 40500 | Goby                 | Gobiidae                     | Other |
| 40598 | Goby                 | Gobiodon albofasciatus       | Other |
| 40599 | Goby                 | Gobiodon citrinus            | Other |
| 40602 | Goby                 | Gobiodon okinawae            | Other |
| 40603 | Goby                 | Gobiodon                     | Other |

|       |                          | quinquestrigatus               |       |
|-------|--------------------------|--------------------------------|-------|
| 40604 | Goby                     | Gobiodon rivulatus             | Other |
| 40605 | Goby                     | Gobiopsis bravoi               | Other |
| 8802  | Bristlemouth             | Gonostoma atlanticum           | Other |
| 8803  | Bristlemouth             | Gonostoma ebelingi             | Other |
| 8800  | Bristlemouths            | Gonostomatidae                 | Other |
| 6209  | Orange-Barred Garden Eel | Gorgasia preclara              | Other |
| 6203  | Conger Eel               | Gorgasia sp                    | Other |
| 29051 | Goldies                  | Grammatonotus sp 1             | Other |
| 29052 | Goldies                  | Grammatonotus sp 2             | Other |
| 41604 | 2-Lined Mackerel         | Grammatorcynos<br>bilineatus   | Other |
| 29002 | Yellowstripe Soapfish    | Grammistes sexlineatus         | Other |
| 29000 | Soapfish                 | Grammistidae                   | Other |
| 29003 | Ocellate Soapfish        | Grammistops ocellatus          | Other |
| 41001 | Wormfish                 | Gunnellichthys<br>monostigma   | Other |
| 41002 | Onestripe Wormfish       | Gunnellichthys<br>pleurotaenia | Other |
| 41011 | Wormfish                 | Gunnellichthys<br>viridescens  | Other |
| 30460 | Philippine Cardinalfish  | Gymnapogon<br>philippinus      | Other |
| 30447 | Cardinalfish             | Gymnapogon<br>urospilotus      | Other |
| 32361 | Fusilier                 | Gymnocaesio<br>gymnopterus     | Other |

| 5611 | Zebra Moray           | Gymnomuraena zebra             | Other |
|------|-----------------------|--------------------------------|-------|
| 5619 | Moray Eel             | Gymnothorax berndti            | Other |
| 5620 | Buro Moray            | Gymnothorax buroensis          | Other |
| 5624 | Moray Eel             | Gymnothorax elegans            | Other |
| 5635 | Enigmatic Moray       | Gymnothorax<br>enigmaticus     | Other |
| 5621 | Fimbriated Moray      | <i>Gymnothorax fimbriatus</i>  | Other |
| 5622 | Yellow-Margined Moray | Gymnothorax<br>flavimarginatus | Other |
| 5612 | Brown Spotted Moray   | Gymnothorax<br>fuscomaculatus  | Other |
| 5623 | Graceful-Tailed Moray | Gymnothorax<br>gracilicaudus   | Other |
| 5625 | Moray Eel             | Gymnothorax hepaticus          | Other |
| 5626 | Giant Moray           | Gymnothorax javanicus          | Other |
| 5627 | Blotch-Necked Moray   | Gymnothorax<br>margaritophorus | Other |
| 5613 | Marshall Isles Moray  | Gymnothorax<br>marshallensis   | Other |
| 5614 | Dirty Yellow Moray    | Gymnothorax<br>melatremus      | Other |
| 5628 | Whitemouth Moray      | Gymnothorax meleagris          | Other |
| 5648 | Monochrome Moray      | Gymnothorax<br>monochrous      | Other |
| 5629 | 1-Spot Moray          | Gymnothorax<br>monostigmus     | Other |
| 5630 | Moray Eel             | Gymnothorax neglectus          | Other |
| 5645 | Yellowmouth Moray     | <i>Gymnothorax nudivomer</i>   | Other |

| 5616  | Pinda Moray         | Gymnothorax pindae               | Other |
|-------|---------------------|----------------------------------|-------|
| 5649  | Moray Eel           | Gymnothorax<br>polyuranodon      | Other |
| 5631  | Richardson'S Moray  | Gymnothorax<br>richardsoni       | Other |
| 5632  | Yellow-Headed Moray | Gymnothorax<br>rueppelliae       | Other |
| 5618  | Moray Eel           | Gymnothorax sp cf<br>Melatremus  | Other |
| 5633  | Undulated Moray     | Gymnothorax undulatus            | Other |
| 5634  | Zonipectis Moray    | Gymnothorax zonipectus           | Other |
| 32700 | Sweetlips           | Haemulidae                       | Other |
| 25811 | Brock'S Pipefish    | Halicampus brocki                | Other |
| 25828 | Duncker'S Pipefish  | Halicampus dunckeri              | Other |
| 25812 | Samoan Pipefish     | Halicampus mataafae              | Other |
| 25829 | Glittering Pipefish | Halicampus nitidus               | Other |
| 44301 | Spikefish           | Halimochirurgus alcocki          | Other |
| 39004 | Triplefin           | Helcogramma capidata             | Other |
| 39005 | Triplefin           | Helcogramma chica                | Other |
| 39006 | Triplefin           | Helcogramma hudsoni              | Other |
| 35069 | Damselfish          | Hemiglyphidodon<br>plagiometopon | Other |
| 20751 | Halfbeak            | Hemiramphus<br>archipelagicus    | Other |
| 20758 | Halfbeak            | Hemiramphus far                  | Other |
| 20760 | Halfbeak            | Hemiramphus lutkei               | Other |
| 20750 | Halfbeak            | Hemirhamphidae                   | Other |

| 34322 | Pyrimid Butterflyfish  | Hemitaurichthys polylepis          | Other |
|-------|------------------------|------------------------------------|-------|
| 34323 | Butterflyfish          | Hemitaurichthys<br>thompsoni       | Other |
| 34324 | Longfinned Bannerfish  | Heniochus acuminatus               | Other |
| 34325 | Pennant Bannerfish     | Heniochus chrysostomus             | Other |
| 34341 | Bannerfish             | Heniochus diphreutes               | Other |
| 34326 | Masked Bannerfish      | Heniochus monoceros                | Other |
| 34327 | Singular Butterflyfish | Heniochus singularis               | Other |
| 34328 | Humphead Bannerfish    | Heniochus varius                   | Other |
| 4308  | Gold Spot Herring      | Herklotsichthys<br>quadrimaculatus | Other |
| 6204  | Conger Eel             | Heteroconger hassi                 | Other |
| 40606 | Goby                   | Heteroeleotris sp                  | Other |
| 30301 | Glasseye               | Heteropriacanthus<br>cruentatus    | Other |
| 2006  | Whipray                | Himantura fai                      | Other |
| 2005  | Wh Tail Whipray        | Himantura granulata                | Other |
| 2003  | Leopard Ray            | Himantura uarnak                   | Other |
| 25830 | Pipefish               | Hippichthys cyanospilos            | Other |
| 25831 | Pipefish               | Hippichthys spicifer               | Other |
| 25809 | Pipefish               | Hippocampus histrix                | Other |
| 25832 | Pipefish               | Hippocampus kuda                   | Other |
| 19212 | Sargassum Fish         | Histrio histrio                    | Other |
| 28965 | Fairy Basslet          | Holanthias borbonius               | Other |
| 28966 | Fairy Basslet          | Holanthias katayamai               | Other |

| 30801 | Tilefish                | Hoplolatilus cuniculus        | Other |
|-------|-------------------------|-------------------------------|-------|
| 30802 | Tilefish                | Hoplolatilus<br>fronticinctus | Other |
| 30803 | Tilefish                | Hoplolatilus starcki          | Other |
| 21807 | Silverside              | Hypoatherina barnesi          | Other |
| 21808 | Silverside              | Hypoatherina cylindrica       | Other |
| 21802 | Silverside              | Hypoatherina ovalaua          | Other |
| 20753 | Halfbeak                | Hyporhamphus acutus<br>acutus | Other |
| 20754 | Halfbeak                | Hyporhamphus affinis          | Other |
| 20755 | Halfbeak                | Hyporhamphus<br>dussumieri    | Other |
| 6623  | Snake Eel               | Ichthyapus vulturus           | Other |
| 26430 | Spiny Devilfish         | Inimicus didactylus           | Other |
| 21901 | Keeled Silverside       | Iso hawaiiensis               | Other |
| 35210 | 6-Band Hawkfish         | Isocirrhitus sexfasciatus     | Other |
| 21900 | Keeled Silversides      | Isonidae                      | Other |
| 39265 | Beautiful Rockskipper   | Istiblennius bellus           | Other |
| 39217 | Blenny                  | Istiblennius chrysospilos     | Other |
| 39266 | Streaky Rockskipper     | Istiblennius dussumieri       | Other |
| 39219 | Blenny                  | Istiblennius edentulus        | Other |
| 39267 | Interrupted Rockskipper | Istiblennius interruptus      | Other |
| 39220 | Blenny                  | Istiblennius lineatus         | Other |
| 40607 | Goby                    | Istigobius decoratus          | Other |
| 40608 | Goby                    | Istigobius ornatus            | Other |
| 40609 | Goby                    | Istigobius rigilius           | Other |

| 40610 | Goby                   | Istigobius spence               | Other |
|-------|------------------------|---------------------------------|-------|
| 41900 | Billfishes             | Istiophoridae                   | Other |
| 901   | Mackerel Shark         | Isurus oxyrhinchus              | Other |
| 5402  | Bl-Nostril False Moray | Kaupichthys atronasus           | Other |
| 5403  | Shortfin False Moray   | Kaupichthys<br>brachychirus     | Other |
| 5401  | Common False Moray     | Kaupichthys<br>hyoproroides     | Other |
| 40612 | Goby                   | Kellogella<br>quindecimfasciata | Other |
| 40611 | Goby                   | Kelloggella cardinalis          | Other |
| 40701 | Sand Dart              | Kraemeria bryani                | Other |
| 40702 | Sand Dart              | Kraemeria cunicularia           | Other |
| 40703 | Sand Dart              | Kraemeria samoensis             | Other |
| 40700 | Sand Darts             | Kraemeriidae                    | Other |
| 30103 | Dark-Margined Flagtail | Kuhlia marginata                | Other |
| 30101 | Barred Flagtail        | Kuhlia mugil                    | Other |
| 30102 | River Flagtail         | Kuhlia rupestris                | Other |
| 30100 | Flagtails              | Kuhliidae                       | Other |
| 44601 | Longhorn Cowfish       | Lactoria cornuta                | Other |
| 44602 | Spiny Cowfish          | Lactoria diaphana               | Other |
| 44605 | Thornback Cowfish      | Lactoria fornasini              | Other |
| 44817 | Oceanic Blaasop        | Lagocephalus<br>lagocephalus    | Other |
| 44818 | Silverstripe Blaasop   | Lagocephalus sceleratus         | Other |
| 900   |                        |                                 |       |

| 6627  | Oriental Snake Eel | Lamnostoma orientalis       | Other |
|-------|--------------------|-----------------------------|-------|
| 31800 | Ponyfishes         | Leiognathidae               | Other |
| 31806 | Slipmouth          | Leiognathus bindus          | Other |
| 31804 | Slipmouth          | Leiognathus elongatus       | Other |
| 31801 | Common Slipmouth   | Leiognathus equulus         | Other |
| 31805 | Slipmouth          | Leiognathus smithursti      | Other |
| 31803 | Oblong Slipmouth   | Leiognathus stercorarius    | Other |
| 6605  | Saddled Snake Eel  | Leiuranus semicinctus       | Other |
| 43401 | Clingfish          | Lepadichthys caritus        | Other |
| 43402 | Clingfish          | Lepadichthys minor          | Other |
| 35048 | Fusilier Damsel    | Lepidozygus<br>tapienosoma  | Other |
| 16901 | Barracudina        | Lestidium nudun             | Other |
| 37402 | Sand Burrower      | Limnichthys donaldsoni      | Other |
| 43403 | Clingfish          | Liobranchia stria           | Other |
| 28991 | Swissguard Basslet | Liopropoma lunulatum        | Other |
| 28997 | Swissguard Basslet | Liopropoma maculatum        | Other |
| 28992 | Swissguard Basslet | Liopropoma mitratum         | Other |
| 28993 | Swissguard Basslet | Liopropoma<br>multilineatum | Other |
| 28994 | Pallid Basslet     | Liopropoma pallidum         | Other |
| 28995 | Pinstripe Basslet  | Liopropoma susumi           | Other |
| 28996 | Redstripe Basslet  | Liopropoma tonstrinum       | Other |
| 39251 | Blenny             | Litobranchus fowleri        | Other |
| 35908 | Giantscale Mullet  | Liza melinoptera            | Other |

| 32501 | Triplefin                   | Lobotes surinamensis        | Other |
|-------|-----------------------------|-----------------------------|-------|
| 32500 | Tripletails                 | Lobotidae                   | Other |
| 40519 | Goby                        | Lotilia graciliosa          | Other |
| 28981 | Magenta Slender Basslet     | Luzonichthys waitei         | Other |
| 28982 | Whitley'S Slender Basslet   | Luzonichthys whitleyi       | Other |
| 40613 | Goby                        | Macrodontogobius<br>wilburi | Other |
| 40520 | Goby                        | Mahidolia mystacina         | Other |
| 30800 | Tilefishes                  | Malacanthidae               | Other |
| 30851 | Quakerfish                  | Malacanthus<br>brevirostris | Other |
| 30852 | Striped Blanquillo          | Malacanthus latovittatus    | Other |
| 2301  | Manta Ray                   | Manta birostris             | Other |
| 45001 | Sharptail Sunfish           | Masturus lanceolatus        | Other |
| 4700  | Tarpons                     | Megalopidae                 | Other |
| 4701  | Indo-Pacific Tarpon         | Megalops cyprinoides        | Other |
| 39233 | Poison-Fang Blenny          | Meiacanthus anema           | Other |
| 39223 | Poison-Fang Blenny          | Meiacanthus<br>atrodorsalis | Other |
| 39258 | 1-Stripe Poison-Fang Blenny | Meiacanthus ditrema         | Other |
| 39259 | Striped Poison-Fang Blenny  | Meiacanthus grammistes      | Other |
| 44505 | Black Triggerfish           | Melichthys niger            | Other |
| 44506 | Pinktail Triggerfish        | Melichthys vidua            | Other |
| 18653 | Brotula                     | Microbrotula sp             | Other |
| 41000 | Wormfish                    | Microdesmidae               | Other |
| 25817 | Anderson'S Shrt-Nosed       | Micrognathus                | Other |

|       | Pipefish                   | andersonii                            |       |
|-------|----------------------------|---------------------------------------|-------|
| 25810 | Pygmy Short-Nosed Pipefish | Micrognathus<br>brevirostris pygmaeus | Other |
| 25833 | Pipefish                   | Microphis brachyurus<br>brachyurus    | Other |
| 25834 | Pipefish                   | Microphis brevidorsalis               | Other |
| 25835 | Pipefish                   | Microphis leiaspis                    | Other |
| 25836 | Pipefish                   | Microphis manadensis                  | Other |
| 25837 | Pipefish                   | Microphis retzii                      | Other |
| 25813 | Ventricose Milda           | Minyichthys myersi                    | Other |
| 2300  | Myer'S Pipefish            | Mobulidae                             | Other |
| 45000 | Ocean Sunfishes            | Molidae                               | Other |
| 44550 | Filefishes                 | Monacanthidae                         | Other |
| 33300 | Monos                      | Monodactylidae                        | Other |
| 33301 | Mono                       | Monodactylus argenteus                | Other |
| 18000 | Codlings                   | Moridae                               | Other |
| 5103  | Rusty Spaghetti Eel        | Moringua ferruginea                   | Other |
| 5102  | Java Spaghetti Eel         | Moringua javanica                     | Other |
| 5101  | Spaghetti Eel              | Moringua microchir                    | Other |
| 5100  | Worm Eel                   | Moringuidae                           | Other |
| 40614 | Goby                       | Mugilogobius tagala                   | Other |
| 40615 | Goby                       | Mugilogobius villa                    | Other |
| 6300  | Pike Eels                  | Muraenesocidae                        | Other |
| 6301  | Pike Conger                | Muraenesox cinereus                   | Other |
| 6612  | Snake Eel                  | Muraenichthys gymnotus                | Other |

| 6606  | Snake Eel           | Muraenichthys<br>laticaudata | Other |
|-------|---------------------|------------------------------|-------|
| 6607  | Snake Eel           | Muraenichthys<br>macropterus | Other |
| 6613  | Snake Eel           | Muraenichthys schultzi       | Other |
| 6614  | Snake Eel           | Muraenichthys sibogae        | Other |
| 5600  | Morays              | Muraenidae                   | Other |
| 16700 | Lanternfishes       | Myctophidae                  | Other |
| 16702 | Laternfish          | Myctophum<br>brachygnathos   | Other |
| 2200  | Eagle Ray           | Myliobatidae                 | Other |
| 6624  | Snake Eel           | Myrichthys bleekeri          | Other |
| 6608  | Banded Snake Eel    | Myrichthys colubrinus        | Other |
| 6610  | Spotted Snake Eel   | Myrichthys maculosus         | Other |
| 6615  | Snake Eel           | Myrophis uropterus           | Other |
| 200   | Hagfish             | Myxinidae                    | Other |
| 201   | Hagfish             | Eptaptretus carlhubbsi       | Other |
| 39252 | Combtooth Blenny    | Nannosalarius<br>nativitatus | Other |
| 701   | Nurse Shark         | Nebrius ferrugineus          | Other |
| 1110  | Lemon Shark         | Negaprion acutidens          | Other |
| 41010 | Decorated Dartfish  | Nemateleotris decora         | Other |
| 41003 | Helfrichs' Dartfish | Nemateleotris helfrichi      | Other |
| 41004 | Fire Dartfish       | Nemateleotris magnifica      | Other |
| 32400 | Threadfin Breams    | Nemipteridae                 | Other |
| 32900 | Breams              | Nemipteridae                 | Other |

| 32412 | Forktail Bream            | Nemipterus furcosus          | Other |
|-------|---------------------------|------------------------------|-------|
|       |                           |                              |       |
| 32409 | Butterfly Bream           | Nemipterus hexadon           | Other |
| 32410 | Notched Butterfly Bream   | Nemipterus peronii           | Other |
| 32411 | Butterfly Bream           | Nemipterus tolu              | Other |
| 35205 | Flame Hawkfish            | Neocirrhitus armatus         | Other |
| 35072 | Royal Damsel              | Neoglyphidodon melas         | Other |
| 35073 | Yellowfin Damsel          | Neoglyphidodon nigroris      | Other |
| 35070 | Coral Demoiselle          | Neopomacentrus<br>nemurus    | Other |
| 35071 | Freshwater Demoiselle     | Neopomacentrus<br>taeniurus  | Other |
| 35047 | Violet Demoiselle         | Neopomacentrus<br>violascens | Other |
| 42200 | Man-Of-War Fish           | Nomeidae                     | Other |
| 39007 | Triplefin                 | Norfolkia brachylepis        | Other |
| 44507 | Redtooth Triggerfish      | Odonus niger                 | Other |
| 35909 | Foldlip Mullet            | Oedalechilus labiosus        | Other |
| 39263 | Mangrove Blenny           | Omobranchus obliquus         | Other |
| 39224 | Blenny                    | Omobranchus<br>rotundiceps   | Other |
| 39256 | Blenny                    | Omox biporos                 | Other |
| 18706 | Bivalve Pearlfish         | Onuxodon fowleri             | Other |
| 6600  | Snake Eel                 | Ophichthidae                 | Other |
| 6611  | Dark-Shouldered Snake Eel | Ophichthus cephalozona       | Other |
| 18600 | Cusk Eel                  | Ophidiidae                   | Other |
| 40405 | Sleeper                   | Ophieleotris aporos          | Other |
|       |                           |                              | l     |

| 40406 | Sleeper                   | Ophiocara porocephala                  | Other |
|-------|---------------------------|--|-------|
| 36600 | Jawfishes                 | Opisthognathidae                       | Other |
| 36601 | Variable Jawfish          | Opisthognathus sp A                    | Other |
| 36602 | Wass' Jawfish             | Opisthognathus sp B                    | Other |
| 34700 | Knifejaws                 | Oplegnathidae                          | Other |
| 34701 | Spotted Knifejaw          | Oplegnathus punctatus                  | Other |
| 40528 | Goby                      | Oplopomops diacanthus                  | Other |
| 40529 | Goby                      | Oplopomus oplopomus                    | Other |
| 40616 | Goby                      | Opua nephodes                          | Other |
| 700   | Nurse,Zebra,Carpet Sharks | Orectolobidae                          | Other |
| 34601 | Tilapia                   | Oreochromis<br>mossambicus             | Other |
| 44600 | Boxfish, Cowfish          | Ostraciidae                            | Other |
| 44603 | Cube Trunkfish            | Ostracion cubicus                      | Other |
| 44604 | Spotted Trunkfish         | Ostracion meleagris<br>meleagris       | Other |
| 44606 | Reticulate Boxfish        | Ostracion solorensis                   | Other |
| 35206 | Longnose Hawkfish         | Oxycirrhitus typus                     | Other |
| 40407 | Sleeper                   | Oxyleotris lineolatus                  | Other |
| 44555 | Longnose Filefish         | Oxymonacanthus<br>longirostris         | Other |
| 20759 | Smallwing Flying Fish     | Oxyporhamphus<br>micropterus micropter | Other |
| 40617 | Goby                      | Oxyurichthys guibei                    | Other |
| 40618 | Goby                      | Oxyurichthys microlepis                | Other |
| 40619 | Goby                      | Oxyurichthys                           | Other |

|       |                      | ophthalmonema                   |       |
|-------|----------------------|---------------------------------|-------|
| 40620 | Goby                 | Oxyurichthys papuensis          | Other |
| 40621 | Goby                 | Oxyurichthys<br>tentacularis    | Other |
| 40622 | Goby                 | Padanka sp                      | Other |
| 40623 | Goby                 | Palutris pruinosa               | Other |
| 40624 | Goby                 | Palutris reticularis            | Other |
| 35207 | Arc-Eyed Hawkfish    | Paracirrhitus arcatus           | Other |
| 35208 | Freckeled Hawkfish   | Paracirrhitus forsteri          | Other |
| 35209 | Whitespot Hawkfish   | Paracirrhitus<br>hemistictus    | Other |
| 40625 | Goby                 | Paragobiodon<br>echinocephalus  | Other |
| 40626 | Goby                 | Paragobiodon<br>lacunicolus     | Other |
| 40627 | Goby                 | Paragobiodon<br>melanosoma      | Other |
| 40628 | Goby                 | Paragobiodon modestus           | Other |
| 40629 | Goby                 | Paragobiodon<br>xanthosoma      | Other |
| 41012 | Seychelle'S Wormfish | Paragunnellichthy seychellensis | Other |
| 16900 | Barracudinas         | Paralepididae                   | Other |
| 44556 | Blacksaddle Mimic    | Paraluteres prionurus           | Other |
| 44560 | Filefish             | Paramonacanthus<br>cryptodon    | Other |
| 44561 | Filefish             | Paramonacanthus<br>japonicus    | Other |

| 37102 | Latticed Sandperch      | Parapercis clathrata          | Other |
|-------|-------------------------|-------------------------------|-------|
| 37103 | Cylindrical Sandperch   | Parapercis cylindrica         | Other |
| 37101 | Blk-Dotted Sandperch    | Parapercis millipunctata      | Other |
| 37105 | Red-Barred Sandperch    | Parapercis multiplicata       | Other |
| 37106 | Black-Banded Sandperch  | Parapercis tetracantha        | Other |
| 37104 | Blotchlip Sandperch     | Parapercis xanthozona         | Other |
| 33402 | Sandperch               | Parapriacanthus<br>ransonneti | Other |
| 26433 | Mcadam'S Scorpionfish   | Parascorpaena<br>mcadamsi     | Other |
| 26426 | Mozambique Scorpionfish | Parascorpaena<br>mossambica   | Other |
| 44105 | Peacock Sole            | Pardachirus pavoninus         | Other |
| 39225 | Blenny                  | Parenchelyurus<br>hepburni    | Other |
| 20607 | Flying Fish             | Parexocoetus<br>brachypterus  | Other |
| 20608 | Flying Fish             | Parexocoetus mento            | Other |
| 41013 | Beautiful Hover Goby    | Parioglossus formosus         | Other |
| 41014 | Lined Hover Goby        | Parioglossus lineatus         | Other |
| 41015 | Naked Hover Goby        | Parioglossus nudus            | Other |
| 41016 | Palustris Hover Goby    | Parioglossus palustris        | Other |
| 41017 | Rainford'S Hover Goby   | Parioglossus rainfordi        | Other |
| 41018 | Rao'S Hover Goby        | Parioglossus raoi             | Other |
| 41019 | Taeniatus Hover Goby    | Parioglossus taeniatus        | Other |
| 41020 | Vertical Hover Goby     | Parioglossus verticalis       | Other |
|       |                         |                               | 1     |

| 2007  | Shortsnouted Ray     | Pasinachus sephen                 | Other |
|-------|----------------------|-----------------------------------|-------|
| 28600 | Dragonfish           | Pegasidae                         | Other |
| 33400 | Sweepers             | Pempherididae                     | Other |
| 33401 | Bronze Sweeper       | Pempheris oualensis               | Other |
| 34500 | Armourheads          | Pentacerotidae                    | Other |
| 32901 | Smalltooth Whiptail  | Pentapodus caninus                | Other |
| 32902 | 3-Striped Whiptail   | Pentapodus trivittatus            | Other |
| 37000 | Duckbills            | Percophidae                       | Other |
| 40630 | Goby                 | Periophthalmus<br>argentilineatus | Other |
| 40631 | Goby                 | Periophthalmus kalolo             | Other |
| 44567 | Yelloweye Filefish   | Pervagor alternans                | Other |
| 44562 | Orangetail Filefish  | Pervagor aspricaudatus            | Other |
| 44557 | Blackbar Filefish    | Pervagor janthinosoma             | Other |
| 44563 | Blackheaded Filefish | Pervagor<br>melanocephalus        | Other |
| 44564 | Blacklined Filefish  | Pervagor nigrolineatus            | Other |
| 39260 | Blenny               | Petroscirtes breviceps            | Other |
| 39226 | Blenny               | Petroscirtes mitratus             | Other |
| 39261 | Blenny               | Petroscirtes thepassi             | Other |
| 39262 | Blenny               | Petroscirtes variabilis           | Other |
| 39227 | Blenny               | Petroscirtes xestus               | Other |
| 6625  | Snake Eel            | Phenamonas cooperi                | Other |
| 24202 | Flashlightfish       | Photoblepheron<br>palpebratus     | Other |
| 25814 | Pipefish             | Phoxocampus                       | Other |

|       |                        | diacanthus                       |       |
|-------|------------------------|----------------------------------|-------|
| 6626  | Snake Eel              | Phyllophichthus xenodontus       | Other |
| 18001 | Codling                | Physiculus sp                    | Other |
| 37100 | Sand Perch             | Pinguipedidae                    | Other |
| 39228 | Blenny                 | Plagiotremus laudandus           | Other |
| 39229 | Red Sabbertooth Blenny | Plagiotremus<br>rhynorhynchus    | Other |
| 39230 | Blenny                 | Plagiotremus<br>tapienosoma      | Other |
| 34001 | Batfish                | Platax orbicularis               | Other |
| 34002 | Pinnate Spadefish      | Platax pinnatus                  | Other |
| 34003 | Longfin Spadefish      | Platax teira                     | Other |
| 20702 | Keeled Needlefish      | Platybelone argalus<br>platyura  | Other |
| 27300 | Flathead               | Platycephalidae                  | Other |
| 32710 | 2-Lined Sweetlips      | Plectorhinchus<br>albovittatus   | Other |
| 32706 | Celebes Sweetlips      | Plectorhinchus<br>celebecus      | Other |
| 32707 | Harlequin Sweetlips    | Plectorhinchus<br>chaetodonoides | Other |
| 32712 | Sweetlip               | Plectorhinchus<br>flavomaculatus | Other |
| 32703 | Gibbus Sweetlips       | Plectorhinchus gibbosus          | Other |
| 32708 | Lined Sweetlips        | Plectorhinchus lessonii          | Other |
| 32709 | Goldman'S Sweetlips    | Plectorhinchus lineatus          | Other |
| 32705 | Giant Sweetlips        | Plectorhinchus obscurus          | Other |

| 32704 | Spotted Sweetlips    | Plectorhinchus picus               | Other |
|-------|----------------------|------------------------------------|-------|
| 32713 | Sweetlip             | Plectorhinchus sp                  | Other |
| 32702 | Oriental Sweetlips   | Plectorhinchus vittatus            | Other |
| 28987 | Fourmanoir'S Basslet | Plectranthias<br>fourmanoiri       | Other |
| 28968 | Basslet              | Plectranthias kamii                | Other |
| 28985 | Long-Finned Basslet  | Plectranthias<br>longimanus        | Other |
| 28969 | Pygmy Basslet        | Plectranthias nanus                | Other |
| 28990 | Basslet              | Plectranthias<br>rubrifasciatus    | Other |
| 28986 | Basslet              | Plectranthias winniensis           | Other |
| 35033 | Dick'S Damsel        | Plectroglyphidodo dickii           | Other |
| 35034 | Bright-Eye Damsel    | Plectroglyphidodo<br>imparipennis  | Other |
| 35035 | Johnston Isle Damsel | Plectroglyphidodo<br>johnstonianus | Other |
| 35036 | Jewel Damsel         | Plectroglyphidodo<br>lacrymatus    | Other |
| 35037 | White-Band Damsel    | Plectroglyphidodo<br>leucozonus    | Other |
| 35038 | Phoenix Isle Damsel  | Plectroglyphidodo<br>phoenixensis  | Other |
| 29400 | Longfins             | Plesiopidae                        | Other |
| 29402 | Red-Tipped Longfin   | Plesiops<br>caeruleolineatus       | Other |
| 29403 | Bluegill Longfin     | Plesiops corallicola               | Other |
| 29405 | Sharp-Nosed Longfin  | Plesiops oxycephalus               | Other |

| 40632      | Goby                   | Pleurosicya bilobatus         | Other |
|------------|------------------------|-------------------------------|-------|
| 40032      | Goby                   |                               | Other |
| 40664      | Caroline Ghost Goby    | Pleurosicya carolinensis      | Other |
| 40665      | Blue Coral Ghost Goby  | Pleurosicya coerulea          | Other |
| 40666      | Fringed Ghost Goby     | Pleurosicya fringella         | Other |
| 40667      | Michael'S Ghost Goby   | Pleurosicya micheli           | Other |
| 40668      | Common Ghost Goby      | Pleurosicya mossambica        | Other |
| 40633      | Goby                   | Pleurosicya muscarum          | Other |
| 40669      | Plicata Ghost Goby     | Pleurosicya plicata           | Other |
| 14900      | Eel Catfishes          | Plotosidae                    | Other |
| 14901      | Striped Eel Catfish    | Plotosus lineatus             | Other |
| 6207       | Barred Sand Conger     | Poeciloconger fasciatus       | Other |
| 29004      | Spotted Soapfish       | Pogonoperca punctata          | Other |
| 36101      | 6 Feeler Threadfin     | Polydactylus sexfilis         | Other |
| 17501      | Beardfish              | Polymixia japonica            | Other |
| 17500      | Beardfish              | Polymixiidae                  | Other |
| 36100      | Threadfins             | Polynemidae                   | Other |
| 34350      | Angelfishes            | Pomacanthidae                 | Other |
| 34365      | Emperor Anglefish      | Pomacanthus imperator         | Other |
| 34372      | Blue-Girdled Angelfish | Pomacanthus navarchus         | Other |
| 34375      | Semicircle Angelfish   | Pomacanthus<br>semicirculatus | Other |
| 34373      | 6-Banded Angelfish     | Pomacanthus sexstriatus       | Other |
| 34374      | Blue-Faced Angelfish   | Pomacanthus<br>xanthometopon  | Other |
| 35000      | Damselfishes           | Pomacentridae                 | Other |
| ۱ <u> </u> |                        |                               |       |

| 35087 | Damselfish          | Pomacentrus adelus            | Other |
|-------|---------------------|-------------------------------|-------|
| 35039 | Ambon Damsel        | Pomacentrus<br>amboinensis    | Other |
| 35094 | Goldbelly Damsel    | Pomacentrus auriventris       | Other |
| 35074 | Speckled Damsel     | Pomacentrus<br>bankanensis    | Other |
| 35081 | Charcoal Damsel     | Pomacentrus brachialis        | Other |
| 35075 | Burrough'S Damsel   | Pomacentrus burroughi         | Other |
| 35084 | White-Tail Damsel   | Pomacentrus chrysurus         | Other |
| 35076 | Neon Damsel         | Pomacentrus coelestis         | Other |
| 35077 | Outer Reef Damsel   | Pomacentrus<br>emarginatus    | Other |
| 35078 | Blue-Spot Damsel    | Pomacentrus<br>grammorhynchus | Other |
| 35092 | Lemon Damsel        | Pomacentrus<br>moluccensis    | Other |
| 35086 | Nagasaki Damsel     | Pomacentrus<br>nagasakiensis  | Other |
| 35093 | Black-Axil Damsel   | Pomacentrus<br>nigromanus     | Other |
| 35040 | Sapphire Damsel     | Pomacentrus pavo              | Other |
| 35082 | Philappine Damsel   | Pomacentrus philippinus       | Other |
| 35083 | Reid'S Damsel       | Pomacentrus reidi             | Other |
| 35085 | Blueback Damsel     | Pomacentrus simsiang          | Other |
| 35041 | Princess Damsel     | Pomacentrus vaiuli            | Other |
| 35088 | Slender Reef-Damsel | Pomachromis exilis            | Other |
| 35042 | Guam Damsel         | Pomachromis guamensis         | Other |

| 32711 | Common Javelinefish    | Pomadasyus kaakan             | Other |
|-------|------------------------|-------------------------------|-------|
| 26404 | Lg-Headed Scorpionfish | Pontinus macrocephalus        | Other |
| 26431 | Scorpionfish           | Pontinus sp                   | Other |
| 26452 | Scopionfish            | Pontinus tentacularis         | Other |
| 39231 | Blenny                 | Prealticus amboinensis        | Other |
| 39232 | Blenny                 | Prealticus natalis            | Other |
| 30300 | Bigeyes                | Priacanthidae                 | Other |
| 30305 | Bigeye                 | Priacanthus alalaua           | Other |
| 30302 | Goggle-Eye             | Priacanthus hamrur            | Other |
| 40634 | Goby                   | Priolepis cincta              | Other |
| 40635 | Goby                   | Priolepis farcimen            | Other |
| 40636 | Goby                   | Priolepis inhaca              | Other |
| 40637 | Goby                   | Priolepis semidoliatus        | Other |
| 30303 | Bigeye                 | Pristigenys meyeri            | Other |
| 20609 | Flying Fish            | Prognichthys<br>albimaculatus | Other |
| 20610 | Flying Fish            | Prognichthys sealei           | Other |
| 42201 | Freckeled Driftfish    | Psenes cyanophrys             | Other |
| 44568 | Rhino Leatherjacket    | Pseudalutarias<br>nasicornis  | Other |
| 30448 | Cardinalfish           | Pseudamia<br>amblyuroptera    | Other |
| 30449 | Cardinalfish           | Pseudamia gelatinosa          | Other |
| 30450 | Cardinalfish           | Pseudamia hayashii            | Other |
| 30461 | Cardinalfish           | Pseudamia zonata              | Other |
| 30428 | Cardinalfish           | Pseudamiops                   | Other |

|       |                           | gracilicauda                      |       |
|-------|---------------------------|-----------------------------------|-------|
| 28971 | Bartlet'S Fairy Basslet   | Pseudanthias<br>bartlettorum      | Other |
| 28972 | Bicolor Fairy Basslet     | Pseudanthias bicolor              | Other |
| 28961 | Red-Bar Fairy Basslet     | Pseudanthias cooperi              | Other |
| 28973 | Peach Fairy Basslet       | Pseudanthias dispar               | Other |
| 28979 | Fairy Basslet             | Pseudanthias huchtii              | Other |
| 28974 | Lori'S Anthias            | Pseudanthias lori                 | Other |
| 28962 | Purple Queen              | Pseudanthias pascalus             | Other |
| 28963 | Sq-Spot Fairy Basslet     | Pseudanthias<br>pleurotaenia      | Other |
| 28975 | Randall'S Fairy Basslet   | Pseudanthias randalli             | Other |
| 28977 | Smithvaniz' Fairy Basslet | Pseudanthias<br>smithvanizi       | Other |
| 28964 | Fairy Basslet             | Pseudanthias sp                   | Other |
| 28980 | Fairy Basslet             | Pseudanthias<br>squammipinnis     | Other |
| 28976 | Y Striped Fairy Basslet   | Pseudanthias tuka                 | Other |
| 28978 | L-Finned Fairy Basslet    | Pseudanthias ventralis            | Other |
| 5637  | White Ribbon Eel          | Pseudechidna brummeri             | Other |
| 44508 | Ymargin Triggerfish       | Pseudobalistes<br>flavimarginatus | Other |
| 44509 | Blue Triggerfish          | Pseudobalistes fuscus             | Other |
| 29100 | Dottybacks                | Pseudochromidae                   | Other |
| 29101 | Surge Dottyback           | Pseudochromis<br>cyanotaenia      | Other |
| 29102 | Dusky Dottyback           | Pseudochromis fuscus              | Other |

| 29103 | Marshall Is Dottyback | Pseudochromis<br>marshallensis   | Other |
|-------|-----------------------|----------------------------------|-------|
| 29404 | Dottyback             | Pseudochromis<br>melanotaenia    | Other |
| 29105 | Long-Finned Dottyback | Pseudochromis<br>polynemus       | Other |
| 29106 | Magenta Dottyback     | Pseudochromis<br>porphyreus      | Other |
| 40638 | Goby                  | Pseudogobius javanicus           | Other |
| 29202 | Soapfish              | Pseudogramma<br>polyacantha      | Other |
| 29203 | Soapfish              | Pseudogramma sp                  | Other |
| 29200 | Soapfishes            | Pseudogrammidae                  | Other |
| 34501 | Amourhead             | Pseudopentaceros<br>pectoralis   | Other |
| 29111 | Robust Dottyback      | Pseudoplesiops<br>multisquamatus | Other |
| 29107 | Revelle'S Basslet     | Pseudoplesiops revellei          | Other |
| 29108 | Rose Island Basslet   | Pseudoplesiops rosae             | Other |
| 29110 | Basslet               | Pseudoplesiops sp                | Other |
| 29109 | Hidden Basslet        | Pseudoplesiops typus             | Other |
| 41005 | Blackfin Dartfish     | Ptereleotris evides              | Other |
| 41021 | Filament Dartfish     | Ptereleotris hanae               | Other |
| 41006 | Spot-Tail Dartfish    | Ptereleotris heteroptera         | Other |
| 41009 | Dartfish              | Ptereleotris lineopinnis         | Other |
| 41007 | Pearly Dartfish       | Ptereleotris microlepis          | Other |
| 41008 | Zebra Dartfish        | Ptereleotris zebra               | Other |

| 32357 | Yellowstreak Fusilier | Pterocaesio lativittata         | Other |
|-------|-----------------------|---------------------------------|-------|
| 32353 | Twinstripe Fusilier   | Pterocaesio marri               | Other |
| 32360 | Ruddy Fusilier        | Pterocaesio pisang              | Other |
| 32362 | Mosaic Fusilier       | Pterocaesio tesselatata         | Other |
| 32354 | Bluestreak Fusilier   | Pterocaesio tile                | Other |
| 32358 | 3-Striped Fusilier    | Pterocaesio trilineata          | Other |
| 26405 | Spotfin Lionfish      | Pterois antennata               | Other |
| 26406 | Clearfin Lionfish     | Pterois radiata                 | Other |
| 26407 | Turkeyfish            | Pterois volitans                | Other |
| 26602 | Ocellated Gurnard     | Pterygiotrigla<br>multiocellata | Other |
| 26601 | Gurnard               | Pterygiotrigla sp               | Other |
| 31301 | Slender Suckerfish    | Ptheirichthys lineatus          | Other |
| 34366 | Regal Anglefish       | Pygoplites diacanthus           | Other |
| 28989 | Fairy Basslet         | Rabaulichthys sp                | Other |
| 45003 | Trunkfish             | Ranzania laevis                 | Other |
| 41612 | Mackerel              | Rastrelliger brachysoma         | Other |
| 41610 | Striped Mackerel      | Rastrelliger kanagurta          | Other |
| 40639 | Goby                  | Redigobius bikolanus            | Other |
| 40640 | Goby                  | Redigobius horiae               | Other |
| 40641 | Goby                  | Redigobius sapangus             | Other |
| 31302 | Remora                | Remora remora                   | Other |
| 30451 | Cardinalfish          | Rhabdamia cypselurus            | Other |
| 30452 | Cardinalfish          | Rhabdamia gracilis              | Other |

| 39234 | Blenny                 | Rhabdoblenius<br>rhabdotrachelus | Other |
|-------|------------------------|----------------------------------|-------|
| 39250 |                        | Rhabdoblennius ellipes           | Other |
| 39235 | Blenny                 | Rhabdoblennius snowi             | Other |
| 1701  | Guitarfish             | Rhinchobatus djiddensis          | Other |
| 44510 | Picassofish            | Rhinecanthus aculeatus           | Other |
| 44511 | Wedge Picassofish      | Rhinecanthus<br>rectangulus      | Other |
| 44520 | Blackbelly Picassofish | Rhinecanthus verrucosa           | Other |
| 1700  | Guitarfish             | Rhinobatidae                     | Other |
| 5636  | Ribbon Eel             | Rhinomuraena quaesita            | Other |
| 26428 | Weedy Scorpionfish     | Rhinopias frondosa               | Other |
| 31303 | Remora                 | Rhombochirus osteochir           | Other |
| 44607 | Smallnose Boxfish      | Rhynchostracion nasus            | Other |
| 44608 | Largenose Boxfish      | Rhynchostracion<br>rhynorhynchus | Other |
| 9201  | Telescopefish          | Rosaura indica                   | Other |
| 44569 | Minute Filefish        | Rudarius minutus                 | Other |
| 39253 |                        | Salarius alboguttatus            | Other |
| 39236 | Spotted Rock Blenny    | Salarius fasciatus               | Other |
| 39255 | Blenny                 | Salarius luctuosus               | Other |
| 39254 | Blenny                 | Salarius segmentatus             | Other |
| 44000 | Righteye Flounders     | Samaridae                        | Other |
| 44001 | 3 Spot Flounder        | Samariscus triocellatus          | Other |
| 16001 | Graceful Lizardfish    | Saurida gracilis                 | Other |
| 16002 | Nebulous Lizardfish    | Saurida nebulosa                 | Other |

| 24100 |                             |                              | 0.1   |
|-------|-----------------------------|------------------------------|-------|
| 34100 | Scats                       | Scatophagidae                | Other |
| 34101 | Scat                        | Scatophagus argus            | Other |
| 40101 | Schindleriid                | Schindleria praematurus      | Other |
| 40100 | Shindleriid                 | Schindleriidae               | Other |
| 6616  | Snake Eel                   | Schismorhinchus labialis     | Other |
| 6617  | Snake Eel                   | Schultzidia<br>johnstonensis | Other |
| 6618  | Snake Eel                   | Schultzidia retropinnis      | Other |
| 32404 | Spinecheek                  | Scolopsis affinis            | Other |
| 32402 | 2 Line Spinecheek           | Scolopsis bilineatus         | Other |
| 32406 | Ciliate Spinecheek          | Scolopsis ciliatus           | Other |
| 32401 | Bl And Wh Spinecheek        | Scolopsis lineatus           | Other |
| 32403 | Margarite'S Spinecheek      | Scolopsis margaritifer       | Other |
| 32407 | Spinecheek                  | Scolopsis taeniopterus       | Other |
| 32405 | 3 Line Spinecheek           | Scolopsis trilineatus        | Other |
| 32408 | Spinecheek                  | Scolopsis xenochrous         | Other |
| 41611 | Narrow-Barred King Mackerel | Scomberomorus<br>commerson   | Other |
| 26400 | Scorpionfish                | Scorpaenidae                 | Other |
| 26413 | Guam Scorpionfish           | Scorpaenodes guamensis       | Other |
| 26429 | Hairy Scorpionfish          | Scorpaenodes hirsutus        | Other |
| 26414 | Kellogg'S Scorpionfish      | Scorpaenodes kelloggi        | Other |
| 26412 | Minor Scorpionfish          | Scorpaenodes minor           | Other |
| 26415 | Coral Scorpionfish          | Scorpaenodes<br>parvipinnis  | Other |
| 26420 | Blotchfin Scorpionfish      | Scorpaenodes varipinis       | Other |
|       |                             | 1                            | 1     |

| 26417 | Devil Scorpionfish         | Scorpaenopsis diabolus          | Other |
|-------|----------------------------|---------------------------------|-------|
| 26421 | Pygmy Scorpionfish         | Scorpaenopsis fowleri           | Other |
| 26422 | Flasher Scorpionfish       | Scorpaenopsis<br>macrochir      | Other |
| 26416 | Tassled Scorpionfish       | Scorpaenopsis<br>oxycephala     | Other |
| 26434 | Papuan Scorpionfish        | Scorpaenopsis papuensis         | Other |
| 26432 | Scorpionfish               | Scorpaenopsis sp                | Other |
| 5654  | Tiger Snake Moray          | Scuticaria tigrinis             | Other |
| 26408 | Yellowspotted Scorpionfish | Sebastapistes<br>cyanostigma    | Other |
| 26409 | Galactacma Scorpionfish    | Sebastapistes<br>galactacma     | Other |
| 26410 | Mauritius Scorpionfish     | Sebastapistes mauritiana        | Other |
| 26425 | Barchin Scorpionfish       | Sebastapistes strongia          | Other |
| 31807 | Pugnose Soapy              | Secutor ruconius                | Other |
| 28970 | Basslet                    | Selenanthias myersi             | Other |
| 28988 | Hawkfish Anthias           | Serranocirrhitus latus          | Other |
| 40645 | Goby                       | Sicyopterus<br>macrostetholepis | Other |
| 40646 | Goby                       | Sicyopterus micrurus            | Other |
| 40647 | Goby                       | Sicyopterus sp                  | Other |
| 40642 | Goby                       | Sicyopus leprurus               | Other |
| 40644 | Goby                       | Sicyopus sp                     | Other |
| 40643 | Goby                       | Sicyopus zosterophorum          | Other |
| 5615  | Peppered Moray             | Sideria picta                   | Other |

| 5617  | White-Eyed Moray      | Sideria prosopeion           | Other |
|-------|-----------------------|------------------------------|-------|
| 40530 | Goby                  | Signigobius biocellatus      | Other |
| 40531 | Goby                  | Silhouettea sp               | Other |
| 30700 | Sillagos              | Sillaginidae                 | Other |
| 30701 | Cardinalfish          | Sillago sihama               | Other |
| 30431 | Cardinalfish          | Siphamia fistulosa           | Other |
| 30459 | Cardinalfish          | Siphamia fuscolineata        | Other |
| 30430 | Cardinalfish          | Siphamia versicolor          | Other |
| 44101 | Banded Sole           | Soleichthys heterohinos      | Other |
| 44100 | Soles                 | Soleidae                     | Other |
| 25700 | Ghost Pipefish        | Solenostomidae               | Other |
| 25701 | Ghost Pipefish        | Solenostomus<br>cyanopterus  | Other |
| 25702 | Ornate Ghost Pipefish | Solenostomus paradoxus       | Other |
| 27305 | Flathead              | Sorsogona welanderi          | Other |
| 30434 | Cardinalfish          | Sphaeramia<br>nematoptera    | Other |
| 30432 | Cardinalfish          | Sphaeramia orbicularis       | Other |
| 36004 | Sharpfin Barracuda    | Sphyraena acutipinnis        | Other |
| 36001 | Great Barracuda       | Sphyraena barracuda          | Other |
| 36008 | Yellowtail Barracuda  | Sphyraena flavicauda         | Other |
| 36003 | Blackspot Barracuda   | Sphyraena forsteri           | Other |
| 36007 | Arrow Barracuda       | Sphyraena<br>novaehollandiae | Other |
| 36002 | Pygmy Barracuda       | Sphyraena obtusata           | Other |
| 36006 | Slender Barracuda     | Sphyraena putnamiae          | Other |

| 36005 | Blackfin Barracuda       | Sphyraena qenie              | Other |
|-------|--------------------------|------------------------------|-------|
| 36000 | Barracudas               | Sphyraenidae                 | Other |
| 4301  | Blue Sprat               | Spratelloides delicatulus    | Other |
| 4305  | Silver Sprat             | Spratelloides gracilis       | Other |
| 39237 | Blenny                   | Stanulus seychellensis       | Other |
| 35043 | White-Bar Gregory        | Stegastes albifasciatus      | Other |
| 35044 | Pacific Gregory          | Stegastes fasciolatus        | Other |
| 35045 | Farmerfish               | Stegastes lividus            | Other |
| 35046 | Dusky Farmerfish         | Stegastes nigricans          | Other |
| 702   | Leopard Shark            | Stegastoma varium            | Other |
| 21809 | Panatella Silverside     | Stenatherina panatella       | Other |
| 40648 | Goby                     | Stenogobius genivittatus     | Other |
| 40649 | Goby                     | Stenogobius sp               | Other |
| 8900  | Hatchetfishes            | Sternoptichidae              | Other |
| 40650 | Goby                     | Stiphodon elegans            | Other |
| 40651 | Goby                     | Stiphodon sp                 | Other |
| 4408  | Samoan Anchovy           | Stolephorus apiensis         | Other |
| 4404  | Indian Anchovy           | Stolephorus indicus          | Other |
| 4407  | Gold Esurine Anchovy     | Stolephorus insularis        | Other |
| 4409  | Caroline Islands Anchovy | Stolephorus<br>multibranchus | Other |
| 4403  | West Pacific Anchovy     | Stolephorus pacificus        | Other |
| 4499  | Anchovy                  | Stolephorus sp               | Other |
| 20703 | Reef Needlefish          | Strongylura incisa           | Other |
| 20705 | Littoral Needlefish      | Strongylura leiura leiura    | Other |

| 5638  | Giant Esturine Moray   | Strophidon sathete           | Other |
|-------|------------------------|------------------------------|-------|
| 44512 | Scythe Triggerfish     | Sufflamen bursa              | Other |
| 44513 | Halfmoon Triggerfish   | Sufflamen chrysoptera        | Other |
| 44514 | Bridle Triggerfish     | Sufflamen freanatus          | Other |
| 32371 | Symphysanid            | Symphysanodon typus          | Other |
| 32370 | Sympysanodon           | Symphysanodontidae           | Other |
| 26418 | Stonefish              | Synanceia verrucosa          | Other |
| 5700  | Cutthroat Eel          | Synaphobranchidae            | Other |
| 5701  | Cutthroat Eel          | Synaphobranchus sp           | Other |
| 43504 | Cirlcled Dragonet      | Synchiropus circularis       | Other |
| 43511 | Ladd'S Dragonet        | Synchiropus laddi            | Other |
| 45308 | Morrison'S Dragonet    | Synchiropus morrisoni        | Other |
| 43505 | Ocellated Dragonet     | Synchiropus ocellatus        | Other |
| 43510 | Dragonet               | Synchiropus sp               | Other |
| 43506 | Mandarin Fish          | Synchiropus splendidus       | Other |
| 43509 | Pipefish, Seahorse     | Syngnathidae                 | Other |
| 25800 | Alligator Pipefish     | Syngnathoides<br>biaculeatus | Other |
| 25815 | Lizardfish             | Synodontidae                 | Other |
| 16000 | 2-Spot Lizardfish      | Synodus binotatus            | Other |
| 16003 | Clearfin Lizardfish    | Synodus dermatogenys         | Other |
| 16007 | Reef Lizardfish        | Synodus englemanni           | Other |
| 16004 | Blackblotch Lizardfish | Synodus jaculum              | Other |
| 16005 | Variegatus Lizardfish  | Synodus variegatus           | Other |
| 16006 | Leaf Fish              | Taenianotus triacanthus      | Other |

| 26419 | Goby                    | Taenioides limicola          | Other |
|-------|-------------------------|------------------------------|-------|
| 40652 | Giant Reef Ray          | Taeniura meyeni              | Other |
| 2002  | Crescent-Banded Grunter | Terapon jarbua               | Other |
| 29901 | Thornfishes             | Teraponidae                  | Other |
| 29900 | Smooth Puffers          | Tetraodontidae               | Other |
| 26451 | Mangrove Waspfish       | Tetraroge barbata            | Other |
| 26450 | Waspfishes              | Tetrarogidae                 | Other |
| 4402  | Little Priest           | Thryssa baelama              | Other |
| 27302 | Broadhead Flathead      | Thysanophrys arenicola       | Other |
| 27303 | Longsnout Flathead      | Thysanophrys chiltonae       | Other |
| 27301 | Fringlip Flathead       | Thysanophrys otaitensis      | Other |
| 34602 | Tilapia                 | Tilapia zillii               | Other |
| 33701 | Banded Archerfish       | Toxotes jaculator            | Other |
| 33700 | Archerfishes            | Toxotidae                    | Other |
| 25816 | Double-Ended Pipefish   | Trachyramphus<br>bicoarctata | Other |
| 44300 | Spikefishes             | Triacanthodidae              | Other |
| 1108  | Reef Whitetip Shark     | Triaenodon obesus            | Other |
| 37200 | Sand Divers             | Trichonotidae                | Other |
| 37201 | Micronesian Sand-Diver  | Trichonotus sp               | Other |
| 26600 | Gurnards                | Triglidae                    | Other |
| 40653 | Goby                    | Trimma caesiura              | Other |
| 40654 | Goby                    | Trimma naudei                | Other |
| 40655 | Goby                    | Trimma okinawae              | Other |
| 40658 | Goby                    | Trimma sp A                  | Other |

| 40659 | Goby                    | Trimma sp B                        | Other |
|-------|-------------------------|------------------------------------|-------|
|       | -                       | -                                  |       |
| 40656 | Goby                    | Trimma taylori                     | Other |
| 40657 | Goby                    | Trimma tevegae                     | Other |
| 40660 | Goby                    | Trimmatom eviotops                 | Other |
| 44702 | 3 Tooth Puffer          | Triodon bursarius                  | Other |
| 44701 | 3 Tooth Puffer          | Triodon macropterus                | Other |
| 44700 | Tripletooth Puffers     | Triodontidae                       | Other |
| 39000 | Triplefins              | Tripterygiidae                     | Other |
| 20706 | Keeled Houndfish        | Tylosurus acus<br>melanotus        | Other |
| 20704 | Houndfish               | Tylosurus crocodilis<br>crocodilis | Other |
| 39009 | Longjaw Triplefin       | Ucla xenogrammus                   | Other |
| 37800 | Stargazers              | Uranoscopidae                      | Other |
| 37801 | Stargazer               | Uranoscopus sp                     | Other |
| 2004  | Porcupine Ray           | Urogymnus africanus                | Other |
| 5639  | Unicolor Snake Moray    | Uropterygius concolor              | Other |
| 5660  | Fiji Moray Eel          | Uropterygius fijiensis             | Other |
| 5650  | Brown-Spotted Snake Eel | Uropterygius<br>fuscoguttatus      | Other |
| 5651  | Gosline'S Snake Moray   | Uropterygius goslinei              | Other |
| 5652  | Moon Moray              | Uropterygius kamar                 | Other |
| 5642  | Lg-Headed Snake Moray   | Uropterygius<br>macrocephalus      | Other |
| 5640  | Marbled Snake Moray     | Uropterygius<br>marmoratus         | Other |

| 5641  | Tidepool Snake Moray   | Uropterygius<br>micropterus      | Other |
|-------|------------------------|----------------------------------|-------|
| 5653  | Lg-Spotted Snake Moray | Uropterygius polyspilus          | Other |
| 5643  | Moray Eel              | Uropterygius<br>supraforatus     | Other |
| 5644  | Moray Eel              | Uropterygius<br>xanthopterus     | Other |
| 2008  | Roundray               | Urotrygon daviesi                | Other |
| 40532 | Glass Goby             | Valenciennea muralis             | Other |
| 40663 | Parva Goby             | Valenciennea parva               | Other |
| 40533 | Goby                   | Valenciennea puellaris           | Other |
| 40534 | Goby                   | Valenciennea<br>sexguttatus      | Other |
| 40536 | Goby                   | Valenciennea sp                  | Other |
| 40535 | Goby                   | Valenciennea strigatus           | Other |
| 40521 | Goby                   | Vanderhorstia<br>ambanoro        | Other |
| 40661 | Goby                   | Vanderhorstia<br>lanceolata      | Other |
| 40522 | Goby                   | Vanderhorstia<br>ornatissima     | Other |
| 44515 | Guilded Triggerfish    | Xanthichthys<br>auromarginatus   | Other |
| 44516 | Bluelined Triggerfish  | Xanthichthys<br>careuleolineatus | Other |
| 44521 | Crosshatch Triggerfish | Xanthichthys mento               | Other |
| 40713 | Wriggler               | Xenishthmus sp                   | Other |
| 40710 | Flathead Wriggler      | Xenisthmidae                     | Other |

| 40712 | Barred Wriggler      | Xenisthmus polyzonatus         | Other                       |
|-------|----------------------|--------------------------------|-----------------------------|
| 44517 | Triggerfish          | Xenobalistes<br>tumidipectoris | Other                       |
| 39238 | Blenny               | Xiphasia matsubarai            | Other                       |
| 41250 | Moorish Idols        | Zanclidae                      | Other                       |
| 41251 | Moorish Idol         | Zanclus cornutus               | Other                       |
| 20756 | Esturine Halfbeak    | Zenarchopterus dispar          | Other                       |
| 49400 | ASSORTED REEF FISH   | Misc. Reeffish                 | Misc. Reeffish              |
| 49110 | SHALLOW BOTTOMFISH   | Misc. Shallow<br>bottomfish    | Misc. Shallow<br>bottomfish |
| 49100 | ASSORTED BOTTOMFISH  | Misc. Bottomfish               | Misc. Bottomfish            |
| 72600 | Acanthaster planci   | Crown-Of-Thorns                | Other Invertebrates         |
| 79301 | Actinopyga lecanora  | Stonefish                      | Other Invertebrates         |
| 79302 | Actinopyga miliaris  | Blackfish                      | Other Invertebrates         |
| 79303 | Actinopyga obesa     | Sea Cucumber                   | Other Invertebrates         |
| 79304 | Actinopyga sp        | Sea Cucumber                   | Other Invertebrates         |
| 72500 | Asterinidae          | Starfish                       | Other Invertebrates         |
| 72400 | Asteropidae          | Starfish                       | Other Invertebrates         |
| 72100 | Astropectinidae      | Starfish                       | Other Invertebrates         |
| 79801 | Bohadschia argus     | Sea Cucumber                   | Other Invertebrates         |
| 79802 | Bohadschia graeffei  | Sea Cucumber                   | Other Invertebrates         |
| 79803 | Bohadschia marmorata | Brown Sandfish                 | Other Invertebrates         |
| 79804 | Bohadschia paradoxa  | Sea Cucumber                   | Other Invertebrates         |
| 79805 | Bohadschia sp        | Sea Cucumber                   | Other Invertebrates         |
| 78900 | Brissidae            | Irregular Urchins              | Other Invertebrates         |

| 97100 | Cephea sp                   | Jellyfish            | Other Invertebrates |  |
|-------|-----------------------------|----------------------|---------------------|--|
| 78100 | Cidaridae                   | Cidarians            | Other Invertebrates |  |
| 71000 | Class Crinoidea             | Crinoids             | Other Invertebrates |  |
| 78000 | Class Echinoidea            | Sea Urchins          | Other Invertebrates |  |
| 78800 | Clypeasteridae              |                      | Other Invertebrates |  |
| 79400 | Cucumariidae                | Sea Cucumbers        | Other Invertebrates |  |
| 78301 | Diadema savignyi            | Longspine Urchin     | Other Invertebrates |  |
| 78302 | Diadema setosum             | Longspine Urchin     | Other Invertebrates |  |
| 78300 | Diadematidae                | Sea Urchins          | Other Invertebrates |  |
| 78700 | Echinoidea                  | Sea Urchins          | Other Invertebrates |  |
| 78600 | Echinometridae              | Sea Urchins          | Other Invertebrates |  |
| 72800 | Echinosteridae              | Reef Starfish        | Other Invertebrates |  |
| 78304 | Echinothrix calamaris       | Longspine Urchin     | Other Invertebrates |  |
| 78303 | Echinothrix diadema         | Longspine Urchin     | Other Invertebrates |  |
| 78200 | Echinothuriidae             | Sea Urchins          | Other Invertebrates |  |
| 78605 | Heterocentrotus mammillatus | Slate Pencil Urchin  | Other Invertebrates |  |
| 79201 | Holothuria atra             | Lollyfish            | Other Invertebrates |  |
| 79202 | Holothuria edulis           | Pinkfish             | Other Invertebrates |  |
| 79203 | Holothuria fuscogilva       | White Teatfish       | Other Invertebrates |  |
| 79204 | Holothuria fuscopunctata    | Elephant'S Trunkfish | Other Invertebrates |  |
| 79205 | Holothuria hilla            | Sea Cucumber         | Other Invertebrates |  |
| 79206 | Holothuria impatiens        | Sea Cucumber         | Other Invertebrates |  |
| 79207 | Holothuria leucospilota     | Sea Cucumber         | Other Invertebrates |  |
| 79208 | Holothuria sp               | Sea Cucumber         | Other Invertebrates |  |

| 79200 | Holothuriidae          | Sea Cucumber                    | Other Invertebrates |  |
|-------|------------------------|---------------------------------|---------------------|--|
| 79000 | Holothuroidea          | Sea Cucumbers                   | Other Invertebrates |  |
| 72700 | Mithrodia bradleyi     | Spiney-Armed Starfish           | Other Invertebrates |  |
| 72300 | Ophidiaster confertus  | Orange Starfish                 | Other Invertebrates |  |
| 72200 | Oreasteridae           | Starfish                        | Other Invertebrates |  |
| 79500 | Phyllophoridae         | Sea Cucumbers                   | Other Invertebrates |  |
| 78503 | Pseudoboletia maculata | Common Urchin                   | Other Invertebrates |  |
| 72000 | Sc Asteroidea          | Starfish                        | Other Invertebrates |  |
| 75000 | Sc Ophiuroidea         | Basket,Brittle,<br>Serpentstars | Other Invertebrates |  |
| 72900 | Sphaerasteridae        | Starfish                        | Other Invertebrates |  |
| 79100 | Stichopodidae          | Sea Cucumbers                   | Other Invertebrates |  |
| 79101 | Stichopus chloronotus  | Greenfish                       | Other Invertebrates |  |
| 79102 | Stichopus horrens      | Sea Cucumber                    | Other Invertebrates |  |
| 79103 | Stichopus noctivatus   | Sea Cucumber                    | Other Invertebrates |  |
| 79105 | Stichopus sp           | Sea Cucumber                    | Other Invertebrates |  |
| 79104 | Stichopus variegatus   | Curryfish                       | Other Invertebrates |  |
| 79601 | Synapta maculata       | Sea Cucumber                    | Other Invertebrates |  |
| 79602 | Synapta media          | Sea Cucumber                    | Other Invertebrates |  |
| 79603 | Synapta sp             | Sea Cucumber                    | Other Invertebrates |  |
| 79600 | Synaptidae             | Sea Cucumbers                   | Other Invertebrates |  |
| 78400 | Temnopleuridae         | Sea Urchins                     | Other Invertebrates |  |
| 79901 | Thelenota ananas       | Prickly Redfish                 | Other Invertebrates |  |
| 79902 | Thelenota anax         | Amberfish                       | Other Invertebrates |  |
| 79903 | Thelenota sp           | Sea Cucumber                    | Other Invertebrates |  |

| 78502 | Toxopneustes pileolus | Flower Urchin      | Other Invertebrates |
|-------|-----------------------|--------------------|---------------------|
| 78500 | Toxopneustidae        | Shortspine Urchins | Other Invertebrates |
| 78501 | Tripneustes gratilla  | Shortspine Urchin  | Other Invertebrates |

## Appendix B. List of Protected Species and Designated Critical Habitat.

## Table B1. Protected species found or reasonably believed to be found in or near MarianaArchipealgo waters.

| Common<br>name                      | Scientific name  | ESA listing status | MMPA status | Occurrence       | Guam/<br>CNMI | References                 |
|-------------------------------------|--|--------------------|-------------|------------------|---------------|----------------------------|
| Seabirds                            |  |                    |             |                  |               |                            |
| Wedge-Tailed<br>Shearwater          | Ardenna pacifica   | Not Listed         | N/A         | Uncommon visitor | Both          | Wiles 2003                 |
| Streaked<br>Shearwater              | Calonectris<br>leucomelas                                | Not Listed         | N/A         | Rare visitor     | Guam          | Wiles 2003                 |
| Short-Tailed<br>Shearwater          | Ardenna<br>tenuirostris                                  | Not Listed         | N/A         | Common visitor   | Both          | Wiles 2003                 |
| Newell's<br>Shearwater <sup>a</sup> | Puffinus newelli<br>(Puffinus<br>auricularis<br>newelli) | Endangered         | N/A         | Rare visitor     | Both          | 40 FR 44149,<br>Wiles 2003 |
| Audubon's<br>Shearwater             | Puffinus<br>Iherminieri                                  | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Leach's<br>Storm-Petrel             | Oceanodroma<br>leucorhoa                                 | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Matsudaira's<br>Storm-Petrel        | Oceanodroma<br>matsudairae                               | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| White-Tailed<br>Tropicbird          | Phaethon<br>lepturus                                     | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Red-Tailed<br>Tropicbird            | Phaethon<br>rubricauda                                   | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Masked<br>Booby                     | Sula dactylatra  | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Brown Booby                         | Sula leucogaster   | Not Listed         | N/A         | Uncommon visitor | Both          | Wiles 2003                 |
| Red-Footed<br>Booby                 | Sula sula  | Not Listed         | N/A         | Uncommon visitor | Both          | Wiles 2003                 |
| Great<br>Frigatebird                | Fregata minor  | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Lesser<br>Frigatebird               | Fregata ariel  | Not Listed         | N/A         | Rare visitor     | CNMI          | Wiles 2003                 |
| Black-Headed<br>Gull                | Chroicocephalus<br>ridibundus                            | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Gull-Billed<br>Tern                 | Gelochelidon<br>nilotica                                 | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Great Crested<br>Tern               | Thalasseus<br>bergii                                     | Not Listed         | N/A         | Uncommon visitor | Both          | Wiles 2003                 |
| Common Tern                         | Sterna hirundo   | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Black-Naped<br>Tern                 | Sterna<br>sumatrana                                      | Not Listed         | N/A         | Rare visitor     | Guam          | Wiles 2003                 |
| Little Tern                         | Sternula<br>albifrons                                    | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Sooty Tern                          | Onychoprion<br>fuscatus                                  | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| White-Winged<br>Tern                | Chlidonias<br>leucopterus                                | Not Listed         | N/A         | Rare visitor     | Both          | Wiles 2003                 |
| Brown Noddy                         | Anous stolidus   | Not Listed         | N/A         | Common resident  | Both          | Wiles 2003                 |
|                                     |  |                    |             |                  |               |                            |

| Common<br>name              | Scientific name             | ESA listing status                          | MMPA status | Occurrence  | Guam/<br>CNMI | References   |
|-----------------------------|-----------------------------|---|-------------|---|---------------|--|
| Black Noddy                 | Anous minutus               | Not Listed                                  | N/A         | Common visitor  | Both          | Wiles 2003   |
| White Tern                  | Gygis alba                  | Not Listed                                  | N/A         | Common resident   | Both          | Wiles 2003   |
| Short-Tailed<br>Albatross   | Phoebastria<br>albatrus     | Endangered                                  | N/A         | Breed in Japan and<br>NWHI, and range across<br>the North Pacific Ocean.<br>Potential range includes<br>the Marianas archipelago. | N/A           | 35 FR 8495,<br>65 FR 46643,<br>BirdLife<br>International<br>2017                                   |
| Laysan<br>Albatross         | Phoebastria<br>immutabilis  | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Black-Footed<br>Albatross   | Phoebastria<br>nigripes     | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| White-Necked<br>Petrel      | Pterodroma<br>cervicalis    | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Bonin Petrel                | Pterodroma<br>hypoleuca     | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Black-Winged<br>Petrel      | Pterodroma<br>nigripennis   | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Bulwer's<br>Petrel          | Bulweria<br>bulwerii        | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Christmas<br>Shearwater     | Puffinus<br>nativitatis     | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Band-Rumped<br>Storm-Petrel | Oceanodroma<br>castro       | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Long-Tailed<br>Jaeger       | Stercorarius<br>longicaudus | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Laughing Gull               | Leucophaeus<br>atricilla    | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Herring Gull                | Larus<br>argentatus         | Not Listed                                  | N/A         | Rare visitor  | CNMI          | Wiles 2003   |
| Gray-Backed<br>Tern         | Onychoprion<br>lunatus      | Not Listed                                  | N/A         | Uncommon resident   | CNMI          | Wiles 2003   |
| Sea Turtles                 | •                           |   |             | ·   |               | ·  |
| Green Sea<br>Turtle         | Chelonia mydas              | Endangered<br>(Central West<br>Pacific DPS) | N/A         | An estimated 1000-2000<br>turtles forage in<br>Guam/CNMI waters.<br>Particularly common in<br>winter and late spring.             | Both          | 43 FR 32800,<br>81 FR 20057,<br>Kolinski et al.<br>2000,<br>Pritchard<br>1982,<br>Honigman<br>1994 |
| Hawksbill Sea<br>Turtle     | Eretmochelys<br>imbricata   | Endangered <sup>b</sup>                     | N/A         | Small population nesting<br>and foraging around<br>Guam. Occur worldwide in<br>tropical and subtropical<br>waters.                | Both          | 35 FR 8491,<br>NMFS &<br>USFWS 2007,<br>Baillie &<br>Groombridge<br>1996                           |
| Leatherback<br>Sea Turtle   | Dermochelys<br>coriacea     | Endangered <sup>b</sup>                     | N/A         | Occasional sightings.<br>Occur worldwide in<br>tropical, subtropical, and<br>subpolar waters.                                     | Guam          | 35 FR 8491,<br>Eldredge<br>2003, Eckert<br>et al. 2012   |

| Common<br>name               | Scientific name            | ESA listing status  | MMPA status               | Occurrence  | Guam/<br>CNMI | References  |
|------------------------------|----------------------------|---|---------------------------|---|---------------|---|
| Loggerhead<br>Sea Turtle     | Caretta caretta            | Endangered<br>(North Pacific<br>DPS)  | N/A                       | No known sightings.<br>Found worldwide along<br>continental shelves, bays,<br>estuaries and lagoons of<br>tropical, subtropical, and<br>temperate waters. | N/A           | 43 FR 32800,<br>76 FR 58868,<br>Dodd 1990,<br>USFWS 2005            |
| Olive Ridley<br>Sea Turtle   | Lepidochelys<br>olivacea   | Threatened<br>(Entire<br>species,<br>except for the<br>breeding<br>population on<br>the Pacific<br>coast of<br>Mexico, which<br>is listed as<br>endangered) | N/A                       | Believed to occasionally<br>transit through area.   | N/A           | 43 FR 32800,<br>Starmer et al.<br>2005                              |
| Marine mamma                 | ls                         | en aan gere ay  |                           |   |               |   |
| Blainville's<br>Beaked Whale | Mesoplodon<br>densirostris | Not Listed  | Non-strategic             | Found worldwide in<br>tropical and temperate<br>waters.   | CNMI          | Mead 1989   |
| Blue Whale                   | Balaenoptera<br>musculus   | Endangered  | Strategic                 | No known sightings in<br>CNMI but occur worldwide<br>in tropical and warm-<br>temperate waters. Known<br>to occur in the western<br>North Pacific.        | N/A           | 35 FR 18319,<br>McDonald et<br>al. 2006,<br>Stafford et al.<br>2001 |
| Bottlenose<br>Dolphin        | Tursiops<br>truncatus      | Not Listed  | Non-strategic             | Distributed worldwide in<br>tropical and warm-<br>temperate waters  | Both          | Perrin et al.<br>2009   |
| Bryde's Whale                | Balaenoptera<br>edeni      | Not Listed  | Non-strategic             | Distributed widely across<br>tropical and warm-<br>temperate Pacific Ocean.   | CNMI          | Leatherwood<br>et al. 1982  |
| Cuvier's<br>Beaked Whale     | Ziphius<br>cavirostris     | Not Listed  | Non-strategic             | Occur worldwide.  | CNMI          | Heyning 1989  |
| Dugong                       | Dugong dugong              | Endangered  | N/A (managed<br>by USFWS) | Extremely rare. One<br>confirmed sighting in<br>Guam in 1975, and<br>multiple anecdotal reports<br>in Guam in 1985.                                       | Guam          | Randall et al.<br>1975,<br>Eldredge 2003                            |
| Dwarf Sperm<br>Whale         | Kogia sima                 | Not Listed  | Non-strategic             | Found worldwide in<br>tropical and warm-<br>temperate waters.   | Both          | Nagorsen<br>1985  |
| False Killer<br>Whale        | Pseudorca<br>crassidens    | Not Listed  | Non-strategic             | Found worldwide in<br>tropical and warm-<br>temperate waters.   | CNMI          | Stacey et al.<br>1994   |
| Fin Whale                    | Balaenoptera<br>physalus   | Endangered  | Strategic                 | Infrequent sightings, occur<br>throughout the North<br>Pacific Ocean.   | N/A           | 35 FR 18319,<br>Oleson et al.<br>2015, Mizroch<br>et al. 2009       |
| Fraser's<br>Dolphin          | Lagenodelphis<br>hosei     | Not Listed  | Non-strategic             | Found worldwide in tropical waters.   | CNMI          | Perrin et al.<br>2009   |

| Common<br>name                    | Scientific name                    | ESA listing<br>status                           | MMPA status   | Occurrence  | Guam/<br>CNMI | References   |
|-----------------------------------|------------------------------------|---|---------------|---|---------------|--|
| Humpback<br>Whale                 | Megaptera<br>novaeangliae          | Delisted Due<br>to Recovery<br>(Oceania<br>DPS) | Strategic     | Breed in Oceania waters during the winter.  | Both          | 35 FR 18319,<br>81 FR 62259,<br>Guarrige et al.<br>2007, SPWRC<br>2008   |
| Killer Whale                      | Orcinus orca                       | Not Listed                                      | Non-strategic | Found worldwide. Prefer<br>colder waters within 800<br>km of continents.  | Guam          | Leatherwood<br>& Dalheim<br>1978, Mitchell<br>1975, Baird et<br>al. 2006 |
| Longman's<br>Beaked Whale         | Indopacetus<br>pacificus           | Not Listed                                      | Non-strategic | Found in tropical waters<br>from the eastern Pacific<br>westward through the<br>Indian Ocean to the<br>eastern coast of Africa. | CNMI          | Dalebout 2003  |
| Melon-Headed<br>Whale             | Peponocephala<br>electra           | Not Listed                                      | Non-strategic | Found in tropical and<br>warm-temperate waters<br>worldwide, primarily found<br>in equatorial waters.                           | Both          | Perryman et<br>al. 1994  |
| Minke Whale                       | Balaenoptera<br>acutorostrata      | Not Listed                                      | Non-strategic | Uncommon in this region,<br>usually seen over<br>continental shelves in the<br>Pacific Ocean.                                   | CNMI          | Brueggeman<br>et al. 1990  |
| Northern<br>Elephant Seal         | Mirounga<br>angustirostris         | Not Listed                                      | Non-strategic | Females migrate to central<br>North Pacific to feed on<br>pelagic prey  | N/A           | Le Beouf et al.<br>2000  |
| Pantropical<br>Spotted<br>Dolphin | Stenella<br>attenuata<br>attenuata | Not Listed                                      | Non-strategic | Found in tropical and<br>subtropical waters<br>worldwide.   | Both          | Perrin et al.<br>2009  |
| Pygmy Killer<br>Whale             | Feresa<br>attenuata                | Not Listed                                      | Non-strategic | Found in tropical and<br>subtropical waters<br>worldwide.   | CNMI          | Ross &<br>Leatherwood<br>1994  |
| Pygmy Sperm<br>Whale              | Kogia breviceps                    | Not Listed                                      | Non-strategic | Found worldwide in<br>tropical and warm-<br>temperate waters.   | Guam          | Caldwell &<br>Caldwell 1989  |
| Risso's<br>Dolphin                | Grampus<br>griseus                 | Not Listed                                      | Non-strategic | Found in tropical to warm-<br>temperate waters<br>worldwide.  | Both          | Perrin et al.<br>2009  |
| Rough-<br>Toothed<br>Dolphin      | Steno<br>bredanensis               | Not Listed                                      | Non-strategic | Found in tropical to warm-<br>temperate waters<br>worldwide.  | CNMI          | Perrin et al.<br>2009  |
| Sei Whale                         | Balaenoptera<br>borealis           | Endangered                                      | Strategic     | Extremely rare. Generally found in offshore temperate waters.   | CNMI          | 35 FR 18319,<br>Barlow 2003,<br>Bradford et al.<br>2013                  |
| Short-Finned<br>Pilot Whale       | Globicephala<br>macrorhynchus      | Not Listed                                      | Non-strategic | Found in tropical to warm-<br>temperate waters<br>worldwide.  | Both          | Shallenberger<br>1981, Baird et<br>al. 2013,<br>Bradford et al.<br>2013  |
| Sperm Whale                       | Physeter<br>macrocephalus          | Endangered                                      | Strategic     | Found in tropical to polar<br>waters worldwide, most<br>abundant cetaceans in the   | Both          | 35 FR 18319,<br>Rice 1960,<br>Barlow 2006,                               |

| Common<br>name          | Scientific name          | ESA listing<br>status                    | MMPA status   | Occurrence   | Guam/<br>CNMI | References  |
|-------------------------|--------------------------|--|---------------|--|---------------|---|
|                         |                          |  |               | region. Regularly sighted<br>in waters around CNMI.  |               | Lee 1993,<br>Mobley et al.<br>2000,<br>Shallenberger<br>1981  |
| Spinner<br>Dolphin      | Stenella<br>longirostris | Not Listed                               | Non-strategic | Found worldwide in<br>tropical and warm-<br>temperate waters. Occur<br>in shallow protected bays<br>during the day, feed<br>offshore at night.   | Both          | Norris and<br>Dohl 1980,<br>Norris et al.<br>1994, Hill et<br>al. 2010,<br>Andews et al.<br>2010,<br>Karczmarski<br>2005, Perrin et<br>al. 2009 |
| Striped<br>Dolphin      | Stenella<br>coeruleoalba | Not Listed                               | Non-strategic | Found in tropical to warm-<br>temperate waters<br>throughout the world   | Both          | Perrin et al.<br>2009   |
| Sharks                  | -                        | •  |               |  |               |   |
| Scalloped<br>hammerhead | Sphyrna lewini           | Threatened<br>(Indo-West<br>Pacific DPS) | N/A           | Occur over continental<br>and insular shelves, and<br>adjacent deep waters, but<br>rarely found in waters <<br>22°C. Range from the<br>intertidal and surface to<br>depths up to 450–512 m.<br>Guam's inner Apra Harbor<br>is a nursery habitat. | Both          | Compagno<br>1984,<br>Schulze-<br>Haugen &<br>Kohler 2003,<br>Sanches<br>1991, Klimley<br>1993   |
| Corals                  |                          | •  |               | , ,  |               |   |
| N/A                     | Acropora<br>globiceps    | Threatened                               | N/A           | Occur on upper reef<br>slopes, reef flats, and<br>adjacent habitats in<br>depths ranging from 0 to 8<br>m.   | Both          | Veron 2014  |
| N/A                     | Acropora retusa          | Threatened                               | N/A           | Occur in shallow reef<br>slope and back-reef areas,<br>such as upper reef slopes,<br>reef flats, and shallow<br>lagoons, and depth range<br>is 1 to 5 m.   | Both          | Veron 2014  |
| N/A                     | Seriatopora<br>aculeata  | Threatened                               | N/A           | Found in broad range of<br>habitats including, but not<br>limited to, upper reef<br>slopes, mid-slope<br>terraces, lower reef<br>slopes, reef flats, and<br>lagoons, and depth ranges<br>from 3 to 40 m.   | Both          | Veron 2014  |

<sup>a</sup> Birds recorded in CNMI (including Guam) as Townsend's or Manx Shearwaters prior to the resolution of the Manx Shearwater complex were probably Newell's Shearwaters based on morphology and distribution (Drahos 1977, Wiles 2003).

<sup>b</sup> These species have critical habitat designated under the ESA. See Table B2.

| Common name                  | Scientific name              | ESA listing<br>status | Critical habitat   | References                                  |
|------------------------------|------------------------------|-----------------------|--|---|
| Hawksbill Sea<br>Turtle      | Eretmochelys<br>imbricata    | Endangered            | None in the Pacific Ocean.   | 63 FR 46693                                 |
| Leatherback<br>Sea Turtle    | Dermochelys<br>coriacea      | Endangered            | Approximately 16,910 square miles (43,798 square km) stretching along the California coast from Point Arena to Point Arguello east of the 3,000 meter depth contour; and 25,004 square miles (64,760 square km) stretching from Cape Flattery, Washington to Cape Blanco, Oregon east of the 2,000 meter depth contour.        | 77 FR 4170                                  |
| Hawaiian Monk<br>Seal        | Neomonachus<br>schauinslandi | Endangered            | Ten areas in the Northwestern Hawaiian<br>Islands (NWHI) and six in the main Hawaiian<br>Islands (MHI). These areas contain one or a<br>combination of habitat types: Preferred<br>pupping and nursing areas, significant haul-<br>out areas, and/or marine foraging areas, that<br>will support conservation for the species. | 53 FR 18988,<br>51 FR 16047, 80<br>FR 50925 |
| North Pacific<br>Right Whale | Eubalaena<br>japonica        | Endangered            | Two specific areas are designated, one in the<br>Gulf of Alaska and another in the Bering Sea,<br>comprising a total of approximately 95,200<br>square kilometers (36,750 square miles) of<br>marine habitat.  | 73 FR 19000,<br>71 FR 38277                 |

| Table B2. ESA-listed species' | critical habitat in the Pacific Ocean <sup>a</sup> . |
|-------------------------------|--|
|-------------------------------|--|

<sup>a</sup> For maps of critical habitat, see <u>http://www.nmfs.noaa.gov/pr/species/criticalhabitat.htm</u>.

# **Appendix C: Precious Corals Species Descriptions**

#### **1 PRECIOUS CORAL SPECIES**

This section is an update of Appendix 1 to the Western Pacific FEPs, "Essential Fish Habitat Species Descriptions for Western Pacific Archipelagic, and Remote Island Areas Fishery Ecosystem Plan Management Unit Species" for precious corals. Important new references and data points have been added to the original documentation. Many older observations continue to be cited because no newer studies have been completed, with a few notable exceptions. While the original sources are still relevant, new research has revealed important distribution, life history, growth rate, age, and abundance information that is relevant to precious coral management. Some progress has also been made toward clarifying some of the vexing taxonomic challenges presented by these organisms. First, the name of the most important species of gold coral, Gerardia sp., has been updated to Kulamanamana haumeaae by Sinniger, et al. (2013). Second, two of the most important species in the family Coralliidae, Corallium secundum (pink coral) and Corallium regale (red coral) have been placed into separate genera, the latter also becoming a different species (Figueroa & Baco, 2014). Their new names are now Pleurocorallium secundum and Hemicorallium laauense, respectively. Third, two changes have taken place in the black corals. Antipathes dichotoma is now Antipathes griggi and Antipathes ulex has been moved to a different genus and is now Myriopathes ulex (Opresko, 2009). These changes are shown in Table 1.

#### 1.1 General Distribution of Precious Corals

Most research related to precious corals has been limited to the Hawaiian archipelago, and the majority of the more recent efforts have been directed at taxonomy or simply documenting species distributions, with a few works on growth and life history (*Parrish et al.*, 2015). However, significant new insights have been gained into the genetics (Baco and Cairns, 2012; Sinniger, *et al.*, 2013; Figueroa and Baco, 2014), reproductive biology (Waller and Baco, 2007; Wagner, *et al.*, 2011; Wagner *et al.*, 2012; Wagner *et al.*, 2015), growth and age (Parrish and Roark 2009; Roark *et al.*, 2009; Putts, *pers. comm.*, 2017), and community structure (Kahng *et al.*, 2010; Long and Baco, 2014; Parrish, 2015; Wagner, *et al.*, 2015; Putts, *pers. comm.*, 2017) of precious coral and black coral species.

The U.S. Pacific Islands Region under jurisdiction of the Western Pacific Regional Fisheries Management Council consists of more than 50 oceanic islands, including the Hawaiian and Marianas archipelagos, American Samoa, Johnston, Wake, Palmyra, Kingman, Jarvis, Baker and Howland, and numerous seamounts in proximity to each of these groups. These islands fall under a variety of political jurisdictions, and include the State of Hawaii, the Commonwealth of the Northern Mariana Islands (CNMI), and the territories of Guam and American Samoa, as well as nine sovereign Federal territories—Midway Atoll, Johnston Atoll, Kingman Reef, Palmyra Atoll, Jarvis Island, Howland Island, Baker Island, Rose Atoll, and Wake Island. Precious corals (with currently accepted species names) are known to exist in American Samoa, Guam, Hawaii and the Northern Mariana Islands, as well as throughout the other US islands in the Pacific (Tables 1 and 2), but the only detailed assessments of precious corals have been in Hawaii (Parrish and Baco, 2007, Parrish *et al.*, 2015; Wagner, *et al.*, 2015). Over the last 10 years, we have begun to better

understand the distribution and abundance of these corals, but many areas remain unexplored,

| Species  | Common name  |
|--|--------------|
| Pleurocorallium secundum (prev. Corallium secundum)      | Pink coral   |
| Hemicorallium laauense (prev. C. regale)                 | Red coral    |
| <i>Kulamanamana haumeaae</i> (prev. <i>Gerardia</i> sp.) | Gold coral   |
| Narella sp.  | Gold coral   |
| Calyptrophora sp.  | Gold coral   |
| Callogorgia gilberti                                     | Gold coral   |
| Lepidisis olapa  | Bamboo coral |
| Acanella sp.   | Bamboo coral |
| Antipathes griggi (prev. A. dichotoma)                   | Black coral  |
| Antipathes grandis                                       | Black coral  |
| Myriopathes ulex (prev. Antipathes ulex)                 | Black coral  |

# Table 1. Precious coral management unit species with updated species names

and conditions which lead to their settlement, growth and distribution are still uncertain. Modelling efforts have provided some insight into the global distribution and habitat requirements of deep-water corals (Rogers *et al.*, 2007; Tittensor *et al.*, 2009, Clark *et al.*, 2011, Yesson *et al.*, 2012, Schlacher *et al.*, 2013), but have provided little certainty regarding localized distribution or the specific conditions required for growth of precious corals. Antipatharians, commonly known as black corals, have been exploited for years, but are still among the taxonomic groups containing precious corals that have been inadequately surveyed, as evidenced by the high rates of species discoveries from deep-water surveys around the Hawaiian Islands (Opresko 2003b; Opresko 2005a; Baco 2007; Parrish & Baco 2007; Parrish *et al.*, 2015; Roark, 2009; Wagner *et al.*, 2011, 2015; Wagner, 2011, 2013). Despite this ongoing research, only a few places are known to have dense agglomerations of precious corals. A summary of the known distribution and abundance of precious corals in the central and western Pacific Islands region follows.

# American Samoa

There is little information available for the deepwater species of precious corals in American Samoa. Much of the information available comes from the personal accounts of fishermen. In the South Pacific there are no known commercial beds of pink coral (Carleton and Philipson 1987). Survey work begun in 1975 by the Committee for Co-ordination of Joint Prospecting for Mineral Resources in South Pacific Offshore Areas (CCOP/SOPAC) identified three areas of *Corallium* off Western Samoa: off eastern Upolu, off Falealupo and at Tupuola Bank (Carleton and Philipson 1987). Pink coral has been reported off Cape Taputapu, but no information concerning the quality or quantity of these corals or the depths where they occur is available. Unidentified precious corals have also been reported in the past off Fanuatapu at depths of around 90 m. Precious corals are known to occur at an uncharted seamount, about three-fourths of a mile off the northwest tip of Falealupo Bank at depths of around 300 m.

Commercial quantities of one or more species of black coral are known to exist at depths of 40 m and deeper within the territorial waters of American Samoa. Wagner (*pers. comm.*, 2015) has tentatively identified as many as 12 species (not previously catalogued in Am. Samoa) of black corals in depths between 50m and 90m, with 6 of these potential new species exhibiting growth forms that could lead to harvestable sizes. However, Wagner did not find any locations with the types of densities and sizes that would support any commercial harvest of these corals.

#### Guam and the Commonwealth of the Northern Marianas

There are no known commercial quantities of precious corals in the Northern Mariana Islands archipelago (Grigg and Eldredge 1975). In the past, Japanese fishermen claimed to have taken some *Corallium* north of Pagan Island and off Rota and Saipan. Preliminary results from surveys conducted throughout the Marianas Islands in 2016 indicate a scattered distribution with no areas of large agglomerations of precious corals found in waters deeper than 250 m.

# U.S. Pacific Island Remote Areas

There are no known commercial quantities of precious corals in the remote Pacific Island areas, though individual colonies of precious corals have been seen at Jarvis, Palmyra, Kingman (Parrish and Baco, 2007) and Johnston Atoll, and planned surveys in 2017 may provide more information about abundance and distribution of precious corals found in waters deeper than 250 meters in these areas.

# <u>Hawaii</u>

In the Hawaiian Archipelago there are seven legally-defined beds of pink, gold and bamboo corals, which are shown in Table 2. It is difficult to determine from the publication record exactly why these particular areas were singled out for legal recognition, other than the fact that they contain some unspecified densities of precious corals within their geographic boundaries. In the MHI, the Makapuu bed is located off Makapuu, Oahu, at depths of between 250 and 575 meters. Discovered in 1966, it the precious coral bed that has been most extensively surveyed in the Hawaiian chain. Its total area is about 4.5 km<sup>2</sup>. Its substrate consists largely of hard limestone

(Grigg, 1988). Careful examination during numerous dives with submersibles has determined that about 20% of the total area of the Makapuu bed is comprised of irregular lenses of thin sand,

| Area Name  | Description  |
|--|--|
| Makapu'u (Oahu)                                    | includes the area within a radius of 2.0 nm of a point at 21°18.0′ N. lat., 157°32.5′ W. long.   |
| Auau Channel, Maui                                 | includes the area west and south of a point at 21°10'<br>N. lat., 156°40' W. long., and east of a point at 21° N.<br>lat., 157° W. long., and west and north of a point at<br>20°45' N. lat., 156°40' W. long. |
| Keahole Point, Hawaii                              | includes the area within a radius of 0.5 nm of a point at 19°46.0′ N. lat., 156°06.0′ W. long.   |
| Kaena Point, Oahu                                  | includes the area within a radius of 0.5 nm of a point at 21°35.4′ N. lat., 158°22.9′ W. long.   |
| Brooks Banks                                       | includes the area within a radius of 2.0 nm of a point at 24°06.0′ N. lat., 166°48.0′ W. long.   |
| 180 Fathom Bank, north<br>of Kure Island           | N.W. of Kure Atoll, includes the area within a radius of 2.0 nm of a point at 28°50.2′ N. lat., 178°53.4′ W. long.   |
| WesPac Bed, between<br>Nihoa and Necker<br>Islands | includes the area within a radius of 2.0 nm of a point at 23°18′ N. lat., 162°35′ W. long.   |

 Table 2. Location of Hawaii FEP precious coral beds

sediments and barren patches (WPRFMC, 1979). These sediment deposits are found primarily in low lying areas and depressions (Grigg, 1988). Thus, the total area used for extrapolating coral density is 3.6 km<sup>2</sup>, or 80% of 4.5 km<sup>2</sup> (WPRFMC, 1979).

Precious coral beds have also been found in the deep inter-island channels such as Auau, Alalakeiki, and Kolohi channels off of Maui, around the edges of Penguin Banks, off promontories such as Keahole Point, on older lava flows south from Keahole to Ka Lae, and off of Hilo Harbor, and off of Cape Kumukahi on the Big Island of Hawaii (Oishi, 1990; Grigg, 2001, 2002; Putts, *pers. comm.*, 2017). On Oahu, there is a bed off Kaena Point, and multiple precious coral observations have been made from offshore Barber's Point extending to offshore Pearl Harbor, Oahu. On Kauai, a bed of black corals has been identified offshore of Poipu (WPRFMC, 1979).

A dense bed has been located on the summit of Cross Seamount, southwest of the island of Hawaii. This bed covers a pinnacle feature on the top of the summit, but does not contain numbers of corals large enough to sustain commercial harvests (Kelley, pers. comm., 2015).

In the NWHI, a small bed of deepwater precious corals have been found on WestPac bed, between Nihoa and Necker Islands and east of French Frigate Shoals. This bed is not large enough to sustain commercial harvests. Precious coral beds have also been discovered at Brooks Banks, Pioneer Bank, Bank 8, Seamount 11, Laysan, and French Frigate Shoals (Parrish and Baco, 2007; Parrish *et al.*, 2015). ROV surveys conducted throughout the NWHI by the Okeanos Explorer during 2015 discovered multiple places that had dense colonies of deep-sea corals. Few of these colonies were precious corals, but these dives were mostly conducted in waters deeper than normal distributions of precious corals (>1500 meters). However, large areas of potential habitat exist in the NWHI on seamounts and banks near 400 m depth. Based on the abundance of potential habitat, it is thought that stocks of precious coral stocks within the boundaries of the Papahānaumokuākea National Marine Monument or Coral Reef Ecosystem Reserve are reserved from harvest, and most habitat suitable for precious corals growth falls within the boundaries of the monument.

Precious corals have also been discovered at the 180 Fathom Bank, north of Kure Island. The extent of this bed is not known. Precious corals have been observed during submersible and ROV dives throughout the Northwestern Hawaiian Islands, and in EEZ waters surrounding Johnston, Jarvis, Palmyra, and Kingman atolls, but little can be definitively said about the overall distribution and abundance of precious corals in the central Pacific region.

In addition to these legally defined areas of precious corals, many other sites have been discovered that sustain populations of precious corals (Parrish and Baco, 2007; Parrish *et al.*, 2015; Wagner *et al.*, 2015). The map below (Figure 1) provides a color-coded illustration of some of these 8600 observations (Kelley and Drysdale, 2012, *unpublished data*). Given the number of observations and the wide distribution of precious corals in the main Hawaiian Islands, it is almost certain that undiscovered beds of precious corals exist in the EEZ waters of the region managed by the WPRFMC. Whether these beds would contain organisms at sufficient densities and size distributions to support commercial harvests is yet to be determined.

# **1.2** Systematics of the Deepwater Coral Species

Published records of deep corals from the Hawaiian Archipelago include more than 137 species of gorgonian octocorals and 63 species of azooxanthellate scleractinians (Parrish and Baco, 2007). A total of 6 new genera and 20 new species of octocorals, antipatharians, and zoanthids have been discovered in Hawaii since the 2007 report (Parrish *et al.*, 2015). These are either new to science, or new records for the Hawaiian Archipelago (Cairns & Bayer 2008, Cairns 2009, Opresko 2009, Cairns 2010, Wagner *et al.*, 2011a, Opresko *et al.*, 2012, Sinniger *et al.*, 2013).

Taxonomic revisions currently underway for several groups of corals, e.g., isidids, coralliids, plexaurids and paragorgiids, are also likely to yield additional species new to science and new records for Hawaii (Parrish *et al.*, 2015). Only a handful of these deep coral species are considered economically *precious* and have any history of exploitation.

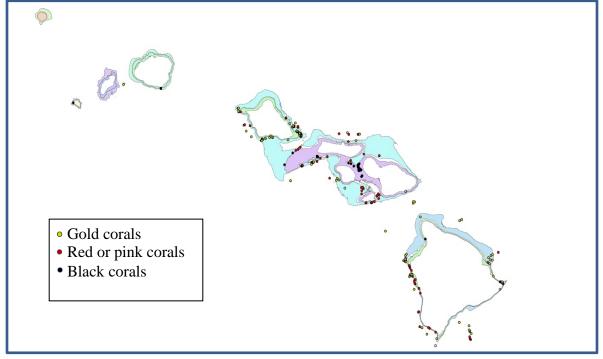


Figure 1. Observations of precious corals in the main Hawaiian islands

Recent molecular phylogenetic and morphologic studies of the family Coralliidae, including Hawaiian precious corals, have illuminated taxonomic relationships. These studies synonymized *Paracorallium* into the genus *Corallium*, and resurrected the genera *Hemicorallium* (Ardila *et al.*, 2012; Figueroa & Baco, 2014; Tu *et al.*, 2015) and *Pleurocorallium* (Figueroa & Baco, 2014; Tu *et al.*, 2015) for several species, including several species in the precious coral trade. A molecular and morphological analysis of octocoral-associated zoanthids collected from the deep slopes in the Hawaiian Archipelago revealed the presence of at least five different genera including the gold coral (Sinniger *et al.*, 2013). This study describes the five new genera and species and proposes a new genus and species for the Hawaiian gold coral, *Kulamanamana haumeaae*, an historically important species harvested for the jewelry trade and the only Hawaiian zoanthid that appears to create its own skeleton.

Precious corals are found principally in three orders of the class Anthozoa: Gorgonacea, Antipatharia, and Zoanthiae (Grigg, 1984). In the western Pacific region, pink coral (*Pleurocorallium secundum*), red coral (*Hemicorallium laauense*), gold coral (*Kulamanamana haumeaae*), black coral (*Antipathes* sp.) and bamboo coral (*Lepidisis olapa*) are the primary species/genera of commercial importance. Of these, the most valuable precious corals are species of the genera *Pleurorallium* and *Hemicorallium*, the pink and red corals (Grigg, 1984). Pink coral (*P. secundum*) and Midway deep-sea coral (*Corallium* sp. nov,) are two of the principal species of commercial importance in the Hawaiian and Emperor Seamount chain (Grigg, 1984). *P. secundum* is found in the Hawaiian archipelago from Milwaukee Banks in the Emperor Seamounts (36°N) to the Island of Hawaii (18°N); *Corallium* sp. nov. is found between 28°–36°N, from Midway to the Emperor Seamounts (Grigg, 1984). In addition to the pink corals, the bamboo corals, *Lepidistis olapa* and *Acanella* sp., are commercially important precious corals in the western Pacific region (Grigg, 1984). Pink coral and bamboo coral are found in the order Gorgonacea in the subclass Octocorallia of the class Anthozoa, in the Phylum Coelenterata (Grigg, 1984).

The final two major groups of commercially important precious corals, gold coral and black coral, are found in separate orders, Zoanthidea and Antipatharia, in the subclass Hexacorallia, in the class Anthozoa and the phylum Coelenterata. The gold coral, *Kulamanamana haumeaae* (prev. *Gerardia* sp.) (Sinneger, *et.al.*, 2013), is endemic to the Hawaiian and Emperor Seamount chain (Grigg 1984). It inhabits depths ranging from 300–400 m (Grigg 1974, 1984). In Hawaii, gold coral, *Kulamanamana haumeaae*, grows mostly on bamboo hosts (e.g. *Acanella, Keratoisis*) as a parasitic overgrowth (Brown, 1976; Grigg, 1984; Parrish, 2015). Gold coral is, therefore, only found growing in areas that were previously inhabited by colonies of *Acanella* (Grigg, 1993) and possibly other bamboo corals (Parrish, 2015). Despite its ecological significance and long history of exploitation, the Hawaiian gold coral has never been subject to taxonomic studies or a formal species description. As a result of this, the nomenclature concerning the Hawaiian gold coral has been relatively confused. Symptomatic of the order, a suite of other zoanthids, besides the Hawaiian gold coral, have been observed and collected in Hawaii, but far less is known of their biology and ecology and they have not been described taxonomically (Sinnegar *et al.*, 2013).

Grigg (1984) classified black corals in the order *Antipatharia*, and identified fourteen genera of black corals reported from the Hawaii-Pacific region with species found in both shallow and deep habitats Grigg, 1965). Wagner (2015) noted that there are over 235 known species of black coral that occur in the oceans of the world, and of this total, only about 10 species are of commercial importance (Grigg, 1984). Wagner (2011) confirmed 8 species of black corals in Hawaii, including (1) *Antipathes griggi* Opresko, 2009, (2) *Antipathes grandis* Verrill, 1928, (3) *Stichopathes echinulata* Brook, 1889, (4) an undescribed *Stichopathes* sp., (5) *Cirrhipathes* cf. *anguina* Dana, 1846, (6) *Aphanipathes verticillata* Brook, 1889, (7) *Acanthopathes undulata* (Van Pesch, 1914), and (8) *Myriopathes* cf. *ulex* Ellis & Solander, 1786. A new name for the Hawaiian species of antipatharian coral previously identified as *Antipathes dichotoma* (Grigg and Opresko, 1977) is described as *Antipathes griggi* (Opresko, 2009).

Many species of gorgonian corals are known to occur within the habitat of pink, gold and bamboo corals in the Hawaiian Islands. At least 37 species of precious corals in the order Gorgonacea have been identified from the Makapuu bed (Grigg and Bayer, 1976). In addition, 18 species of black coral (order Antipatharia) have been reported to occur in Hawaiian waters (Grigg and Opresko, 1977; Oishi, 1990; Wagner, 2011.), but only 3 of these species have been subject to commercial harvest (Oishi, 1990; Wagner *et al.*, 2015).

# 1.3 Biology and Life History

The management and conservation of deep-sea coral communities is challenged by international harvest with non-selective gear types for the jewelry trade and the paucity of information to

inform management strategies. In light of their unusual vulnerability, a better understanding of deep-sea coral ecology and their interrelationships with associated benthic communities is needed to inform coherent international conservation strategies for these important deep-sea habitat-forming species (Bruckner, 2013). Millennia are probably required for a precious coral community to form with full diversity, high evenness, and mature size structure (Putts, *pers. comm.*, 2017). Most of the interior of the global ocean remains unobserved. This leaves questions of trophic connectivity, longevity, and population dynamics of many deep-sea communities unanswered. Deep-sea megafauna provide a complex, rich, and varied habitat that promotes high biodiversity and provides congregation points for juvenile and adult fish (Freiwald *et al.*, 2004; Husebo *et al.*, 2002; Smith *et al.*, 2008).

Precious corals may be divided primarily into two groups of species based on their depth ranges: the deepwater species (200-600m) and the shallow water species (20-120m). Other precious corals can be found in depths down to 2000 m, but these species are not exploited in the United States for commercial purposes. Deep-sea corals are found on hard substrates on seamounts and continental margins worldwide at depths of 300 to 3,000 m.

# **Deep Corals**

The Pacific Islands deepwater precious coral species include pink coral, *Pleurocorallium* secundum (prev. Corallium secundum), red coral, Hemicorallium laauense (prev. C. regale or C. laauense), gold coral, Kulamanamana haumeaae (prev. Gerardia sp.) and bamboo coral, Lepidistis olapa. As previously discussed, the most valuable precious corals are gorgonian octocorals (Grigg, 1984). There are seven varieties of pink and red precious corals in the western Pacific region, six of which used to be recognized as distinct species of *Corallium* (Grigg, 1981), but have been reclassified (Parrish et al., 2015). The two species of commercial importance in the EEZ around the Hawaiian Islands are the pink coral *Pleurocorallium secundum* (prev. Corallium secundum), and the red coral, Hemicorallium laauense (prev. C. laauense). The Gorgonian octocorals are by far the most abundant and diverse corals in the Hawaiian Archipelago. Two species, *Pleurocorallium secundum* and *Hemicorallium laauense* are known to occur at depths of 300-600 m on islands and seamounts throughout the Hawaiian Archipelago (Grigg 1974, 1993; Parrish et al., 2015; Parrish and Baco, 2007). Parrish (2007) surveyed Pleurocorallium secundum and Hemicorallium laauense at 6 precious coral beds in the lower Hawaiian chain, from Brooks Bank to Keahole Point, Hawaii, in depths ranging from 350m to 500m. He found corals on summits, flanks, and shallow banks, with bottom substrate and relief at these sites ranging from a homogenous continuum of one type to a combination of many types at a single site. The survey results show that all three coral taxa colonize both carbonate and basalt/manganese substrates, and the corals favor areas where bottom relief enhances or modifies flow characteristics that may improve the colony's feeding success.

These corals can grow to more than 30 cm in height, and are often found in large beds with other octocorals, zoanthids, and sometimes scleractinians (Parrish *et al.*, 2015; Parrish and Baco, 2007). These species are relatively long lived, with some of the oldest colonies observed within Makapuu Bed about 0.7 m in height and at least 80 years old (Grigg, 1988b, Roark, 2006). Populations of *P. secundum* appear to be recruitment limited, although in favorable environments (e.g., Makapuu Bed) populations are relatively stable, suggesting that recruitment and mortality are in a steady state (Grigg, 1993). During surveys of lava flows off the western flanks of Hawaii

Island, Putts (*pers. comm.*, 2017) found that Coralliidae dominated the early successional stages, and using dates established for those flows, determined that a mature Corallidae community can be established within 150 years. A study by Roark *et al.* (2006) showed that the radial growth rate for specimens of *P. secundum* in the Hawaiian Islands is ~170  $\mu$ m yr<sup>-1</sup> and average age is 67 to 71 years, older than previously calculated. Individual colonies have been measured as tall as 28 cm. Bruckner (2009) suggested that the minimum allowable size for genus *Corallium* for harvest should be increased, and supported a potential listing for *Corallium* within the Appendices of the Convention on International Trade in Endangered Species (CITES). The current size restriction in the 2010 Code of Federal Regulations for Pacific Islands Region is 10 in (25.4 cm).

In Cairn's reviews (2008; 2009; 2010), he summarized the research conducted on Hawaiian Octocorallia taxa, including three gold coral PCMUS genuses, Narella, Calyptrophora and Callogorgia. Octocorallia are distributed over all ocean basins, found in depths ranging from shallow (~ 50m) to deep (~ 4,600) in Alaska. All gold PCMUS in Hawaii were collected in deep water (> 270m), throughout the Hawaiian archipelago and adjacent seamounts. Although these octocorals are managed as PCMUS, the only commercially exploited gold coral is the zoantharian, Kulamanamana haumeaae (prev. Gerardia sp.). It is probably the most common and largest of the zoanthids in Hawaii, and is widely distributed throughout the Hawaiian Archipelago and into the Emperor Seamount Chain at depths of 350-600 meters (Parrish et al., 2015; Parrish and Baco, 2007). While subject to commercial exploitation from the 1970's until 2001 with an interruption between 1979 and 1999 (Grigg, 2001), the gold coral is not currently exploited in Hawaii due to a moratorium on the fishery. The Hawaiian gold coral is one of the largest and numerically dominant benthic macro-invertebrates in its depth range on hard substrate habitats of the Hawaiian Archipelago, and plays an important ecological role in Hawaiian seamount benthic assemblage (Parrish, 2006; Parrish and Baco, 2007; Parrish, et al., 2015). The Hawaiian gold coral has also been found to be one of the longest-lived species on earth. Earlier ageing attempts on the gold coral focused on ring counts (Grigg, 1974; Grigg, 2002) and led to a maximal estimated age of 70 years and a radial growth rate (increase in branch diameter) of 1 mm/year. Recent studies using radiometric data suggest colonies of Hawaiian gold coral are as old as 2740 year with a radial growth rate of only 15 to 45 µm/year (Roark et al., 2006; Roark et.al., 2009; Parrish and Roark, 2009).

Parrish (2015) has found the host of the parasitic *Kulamanamana haumeaae* to be primarily the bamboo corals (e.g. Acanella, Keratoisis). *K. haumeaae* secretes a protein skeleton that over millennia can grow and more than double the original mean size of the host colony. It is relatively common and even dominant at geologically older sample sites, but recruitment is probably infrequent (Parrish, 2015). Although it can be relatively common compared to some other deep corals, it grows very slowly. Parrish and Roark (2009) determined that the Hawaiian gold coral *Kulamanamana haumeaae* has a mean life span of 950 yrs with an overall radial growth of ~41  $\mu$ m yr<sup>-1</sup>, and a gross radiocarbon linear growth rate of 2.2 ± 0.2 mm yr<sup>-1</sup>. This is a much slower growth rate and longer life span than given in previous studies. Grigg (2002) reported a 1 mm yr<sup>-1</sup> radial growth rate, equivalent to a 6.6 cm yr<sup>-1</sup> linear growth for a maximum life span of roughly 70 yrs. This means these corals are growing much slower than previously thought, and have much longer life spans if undisturbed. Newly applied radiocarbon age dates from the deep water proteinaceous corals *Gerardia* and *Leiopathes* show that radial growth rates

are as low as 4 to 35 micometers per year and that individual colony longevities are on the order of thousands of years (Roark *et al.*, 2009, 2006). The longest-lived *Gerardia* sp. and *Leiopathes* specimens were estimated to be 2,742 years old and 4,265 years old, respectively. *Gerardia* sp. is a colonial zoanthid with a hard skeleton of hard proteinaceous matter that forms tree-like structures with heights of several meters and basal diameters up to 10s of a centimeter. Black corals of *Leiopathes sp.* also has a hard proteinaceous skeleton and grows to heights in excess of 2 m. In Hawai'ian waters, these corals are found at depths of 300 to 500 m on hard substrates, such as seamounts and ledges.

The two bamboo coral PCMUS in the Pacific Islands Region are classified under two genera, *Acanella* and *Lepidistis*. Not much work has been done specifically on these genera, but Parrish (2015) identified branched bamboo colonies such as *Acanella* as a preferred host for *Kulamanamana haumeaae*. Because of the long colony life span of >3000 yrs and the bony hard bodied calcareous internodes of bamboo corals (family Isididae), geochemists are interested in using them to analyze paleo-oceanographic events and long-term climate change (Hill *et al.* 2011), while biologists use them to size and age deep-sea coral populations. Recent studies show that the subfamily Keratoisidinae (family Isididae) consists of four genera (*Acanella, Isidella, Lepidistis*, and *Keratoisis*), with two genera (*Tenuisis* and *Australisis*) perhaps belonging elsewhere in the Isididae family (Etnoyer 2008; France 2007). Bamboo corals commonly colonize intermediate to deep water depths (400m to >3000m) of continental slopes and seamounts in the Pacific Ocean.

# **Shallow Corals**

The second group of precious coral species is found in shallow water between 20 and 120 m (Grigg, 1993 and Drysdale, unpublished data, 2012; Wagner et al., 2015). The shallow water fishery is comprised of three species of black coral, Antipathes griggi, A. grandis and Myriopathes ulex, which have historically been harvested in Hawaii (Oishi 1990), but over 90% of the coral harvested by the fishery consists of A. griggi (Oishi 1990; Parrish et al., 2015; Wagner et al., 2015). Other black coral species are found in the NWHI in a wider depth range (20m to 1,400m), but with lower colony density (Wagner et al., 2011). Surveys performed in depths of 40-110 meters in the Au'au Channel in 1975 and 1998, suggested stability in both recruitment and growth of commercially valuable black coral populations, and thus indicated that the fishery had been sustainable over this time period (Grigg, 2001). Subsequent surveys performed in the channel in 2001 indicated a substantial decline in the abundance of black coral colonies, with likely causes including increases in harvesting pressure and overgrowth of black coral colonies by the invasive octocoral Carijoa sp. and the red alga, Acanthophora spicifera, especially on reproductively mature colonies at mesophotic depths (Grigg 2003; Grigg 2004; Kahng & Grigg 2005; Kahng, 2006). Together, these factors renewed scrutiny on the black coral fishery and raised questions about whether regulations need to be redefined in order to maintain a sustainable harvest (Grigg, 2004). In addition to these challenges, Wagner has suggested that taxonomic misidentification has led to the mistaken belief that there is a depth refuge that exists for certain harvested species (Wagner et al., 2012; Wagner, 2011). All of these uncertainties and lack of basic life history information regarding black corals complicates effective management of the resource (Grigg, 2004).

In Hawaii, A. griggi accounts for around 90% of the commercial harvest of black coral (Oishi

1990). *A. grandis* accounts for 9% and *M. ulex* 1% of the total black corals harvested. In Hawaii, roughly 85% of all black coral harvested are taken from within state waters. Black corals are managed jointly by the State of Hawaii and the Council. Within state waters (0–3 nmi), black corals are managed by the State of Hawaii (Grigg, 1993).

A new name for the Hawaiian species of antipatharian coral previously identified as *Antipathes dichotoma* (Grigg and Opresko, 1977) is described as *Antipathes griggi* Opresko, n. sp. (Opresko, 2009). The shallow water black coral *A. dichotoma* (*A. griggi*) collected at 50 m exhibited growth rates of 6.42 cm yr<sup>-1</sup> over a 3.5 yrs study.

| Species and Common Name   | Depth Range (m) |
|---|-----------------|
| Paracorallium secundum Angle skin coral   | 250–575         |
| Hemicorallium laauense Red coral  | 250–575         |
| Corallium sp nov. Midway deepsea coral  | 1,000–1,500     |
| <i>Kulamanamana haumeaae</i> (prev. <i>Gerardia</i> sp.) Hawaiian gold coral                | 350–575         |
| Lepidisis olapa, Acanella spp. bamboo coral   | 250–1800        |
| Antipathes griggi (prev. A. dichotoma), black coral   | 20–120          |
| Antipathes grandis, pine black coral  | 20–120          |
| <i>Cirrhipathes</i> cf. <i>anguina</i> (prev. <i>Antipathes anguina</i> ), wire black coral | 20–120          |
| <i>Myriopathes ulex</i> (prev. <i>Antipathes ulex</i> ), fern black coral                   | 20–220          |

# Table 3: Depth zonation of precious corals in the Western Pacific. (Source: Grigg 1993,Baco-Taylor, 2007, HURL and Drysdale, 2012)

# **1.4 Growth and Reproduction**

There is very limited published literature regarding coral spawning of the PCMUS in the Pacific Islands Region. However, studies by Gleason, *et al.* (2006) and Waller and Baco (2007) indicate that the gold coral *Kulamanamana haumaae* may have seasonal reproduction, and that two pink coral species have a periodic or quasi-continuous reproductive periodicity. Although limited studies about growth rates and life spans of adult PCMUS in the Pacific Islands Region are available, early life history data on larvae, polyps, and juvenile colonies of the PCMUS are unavailable. Many other questions related to genetic connectivity and spatial distribution across the Pacific also remain unanswered. Recent mesophotic coral reef ecosystem studies provide an

outline of essential knowledge for the limited deep water coral ecosystem (Kahng, *et al.* 2010). Slow-growing deep-water coral ecosystems are sensitive to many disturbances, such as temperature change, invasive species and destructive fishing techniques.

While different species of precious corals inhabit distinct depth zones, their habitat requirements are strikingly similar. Grigg (1984) noted that these corals are non-reef building and inhabit depth zones below the euphotic zone. In an earlier study, Grigg (1974) determined that precious corals are found in deep water on solid substrate in areas that are swept relatively clean by moderate to strong bottom currents (>25 cm/sec). Strong currents help prevent the accumulation of sediments, which would smother young coral colonies and prevent settlement of new larvae. Grigg (1984) notes that, in Hawaii, large stands of *Corralium* are only found in areas where sediments almost never accumulate, and *P. secundum* appears in large numbers in areas of high flow over carbonate pavement (Parrish *et al.*, 2015; Parrish and Baco, 2007). *Hemicorallium laauense* grows in an intermediate relief of outcrops; and *Kulamanamana haumaae* is most commonly seen growing in high relief areas on pinnacles, walls, and cliffs. These habitat differences may reflect preferred flow regimes for the different corals (e.g., laminar flow for *P. secundum*, alternating flow for *Kulamanamana haumaae*) (Parrish *et al.*, 2015).

Surveys of all potential sites for precious corals in the MHI conducted using a manned submersible show that most shelf areas in the MHI near 400 m are periodically covered with a thin layer of silt and sand (Grigg, 1984). Precious corals are known to grow on a variety of bottom substrate types. Precious coral yields, however, tend to be higher in areas of shell sandstone, limestone and basaltic or metamorphic rock with a limestone veneer. Grigg (1988) concludes that the concurrence of oceanographic features (strong currents, hard substrate, low sediments) necessary to create suitable precious coral habitat are rare in the MHI. Depth clearly influences the distribution of different coral taxa and certainly there is patchiness associated with the presence of premium substrate and environmental conditions (flow, particulate load, etc.). The environmental suitability for colonization and growth is likely to differ among coral taxa.

The habitat sustaining precious corals is generally in pristine condition. There are no known areas that have sustained damage due to resource exploitation, notwithstanding the alleged heavy foreign fishing for corals in the Hancock Seamounts area. Although unlikely, if future development projects are planned in the proximity of precious coral beds, care should be taken to prevent damage to the beds. Projects of particular concern would be those that suspend sediments or modify water-movement patterns, such as deep-sea mining or energy-related operations.

There has been very little research conducted concerning the food habits of precious corals. Precious corals are filter feeders (Grigg, 1984; 1993). The sparse research available suggests that particulate organic matter and microzooplankton are important in the diets of pink and bamboo coral (Grigg, 1970). Many species of pink coral, gold coral (*Kulamanamana haumeaae* (prev. *Gerardia* sp.) and black coral (*Antipathes*) form fan shaped colonies (Grigg, 1984; 1993). This type of morphological adaption maximizes the total area of water that is filtered by the polyps (Grigg, 1984; 1993). Bamboo coral (*Lepidisis olapa*), unlike other species of precious corals, is unbranched (Grigg, 1984). Long coils that trail in the prevailing currents maximize the total amount of seawater that is filtered by the polyps (Grigg, 1984). While clearly, the presence of strong currents is a vital factor determining habitat suitability for precious coral colonies, their role to date is not fully understood.

Light is one of the most important determining factors of the upper depth limit of many species of precious corals (Grigg, 1984). The larvae of two species of black coral, *Antipathes grandis* and *A. griggi*, are negatively phototaxic.

Grigg (1984) states that temperature does not appear to be a significant factor in delimiting suitable habitat for precious corals. In the Pacific Ocean, species of *Corallium* are found in temperature ranges of 8° to 20°C, he observes. Temperature may determine the lower depth limits of some species of precious coral, including two species of black corals in the MHI. In the MHI, the lower depth range of two species of black corals (*A.griggi* and *A. grandis*) coincides with the top of the thermocline (about 100 m). Although, *A. griggi* can be found to depths of 100 m, it is rare below the 75 m depth limit at which commercial harvest occurs in Hawai'i. Thus, the supposed depth refuge from harvest does not really exist, and was probably based on taxonomic misidentification, thereby calling into question population models used for the management of the Hawaiian black coral fishery (Wagner *et al.*, 2012; Wagner, 2011).

In pink coral (*P. secundum*), the sexes are separate (Grigg, 1993). Based on the best available data, it is believed that *P. secundum* becomes sexually mature at a height of approximately 12 cm (13 years) (Grigg, 1976). Pink coral reproduce annually, with spawning occurring during the summer, during the months of June and July. Coral polyps produce eggs and sperm. Fertilization of the oocytes is completed externally in the water column (Grigg, 1976; 1993). The resulting larvae, called planulae, drift with the prevailing currents until finding a suitable site for settlement.

Pink, bamboo and gold corals all have planktonic larval stages and sessile adult stages. Larvae settle on solid substrate where they form colonial branching colonies. Grigg (1993) notes that the lengths of the larval stage of all deepwater species of precious corals is unknown. Clean swept areas exposed to strong currents provide important sites for settlement of the larvae, Grigg adds. The larvae of several species of black coral (*Antipathes*) are negatively photoactic, he notes. They are most abundant in dimly lit areas, such as beneath overhangs in waters deeper than 30 m. In an earlier study, Grigg (1976) found that "within their depth ranges, both species are highly aggregated and are most frequently found under vertical dropoffs. Such features are commonly associated with terraces and undercut notches relict of ancient sea level still stands. Such features are common off Kauai and Maui in the MHI. Both species are particularly abundant off of Maui and Kauai, suggesting that their abundance is related to suitable habitat." Off of Oahu, many submarine terraces that otherwise would be suitable habitat for black corals are covered with sediments (Grigg, 1976).

A variety of invertebrates and fish are known to utilize the same habitat as precious corals. These species of fish include onaga (*Etelis coruscans*), kahala (*Seriola dumerili*) and deepwater shrimp (*Heterocarpus ensifer*). These species do not seem to depend on the coral for shelter or food.

Densities of pink, gold and bamboo coral have been estimated for an unexploited section of the Makapuu bed (Grigg, 1976). As noted in the FMP for precious corals, the average density of

pink coral in the Makapuu bed is 0.022 colonies/m<sup>2</sup>. This figure was extrapolated to the entire bed (3.6 million  $m^2$ ), giving an estimated standing crop of 79,200 colonies. At the 95% confidence limit, the standing crop is 47,500 to 111,700 colonies. The standing crop of colonies was converted to biomass (3N<sub>i</sub>W<sub>i</sub>), resulting in an estimate of 43,500 kg of pink coral in the Makapuu bed.

In addition to coral densities, Grigg (1976) determined the age-frequency distribution of pink coral colonies in Makapuu bed. He applied annual growth rates to the size frequency to calculate the age structure of pink coral at Makapuu Bed (Table 4). More recent work by Roark et al. (2006) suggests that annual growth ring dating may underestimate the ages of many species of deep water corals, and that most of the colonies that have been dated using the ring method are probably older and slower growing than first estimated.

Estimates of density were also made for bamboo (Lepidisis olapa) and gold coral (Kulamanamana haumeaae (prev. Gerardia sp.) for Makapuu bed. The distributions of both these species are patchy. As noted in the FMP, the area where they occur comprises only half of that occupied by pink coral (1.8 km<sup>2</sup>). Estimates of the unexploited abundance of bamboo and gold coral were 18,000 and 5,400 colonies, respectively. Estimates of density for the unexploited bamboo coral and gold coral in the Makapuu bed are 0.01 colonies/ $m^2$  and 0.003 colonies/ $m^2$ . Using a rough estimate for the mean weights of gold and bamboo coral colonies (2.2 kg and 0.6 kg), a standing crop of about 11,880 kg of gold coral and 10,800 kg for bamboo for Makapuu bed was obtained.

Growth rates for several species of precious corals found in the western Pacific region have been estimated. Grigg (1976) stated that the height of pink coral (P. secundum) colonies increases about 0.9 cm/yr up to about 30 years of age. These growth rates are probably overestimated, and should be revisited using modern methodologies, such as radiometric dating (Roark et al., 2006). As noted in the FMP for precious corals, the height of the largest colonies of Pleurocorallium secundum at Makapuu bed rarely exceed 60 cm. Colonies of gold coral are known to grow up to 250 cm tall while bamboo corals may reach 300 cm. The natural mortality rate of pink coral at Makapuu bed is believed to be 0.066, equivalent to an annual survival rate of about 93%.

| Age Group (years)<br>0–10 | n of <i>Pleurocorallium secundum</i> (Source: Grigg, 1973)<br>Number of Colonies<br>44 |
|---------------------------|--|
| 10–20                     | 73   |
| 0–30                      | 22   |
| 30–40                     | 12   |
| 40–50                     | 7  |
| 50-60                     | 0  |

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# Non-fishing effects that may adversely affect essential fish habitat in the Pacific Islands region FINAL REPORT

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# List of Acronyms

| AS     | American Samoa   |  |
|--------|--|--|
| ATON   | Aids to Navigation                                       |  |
| BMP    | Best management practice                                 |  |
| CCA    | Crustose coralline algae                                 |  |
| CLB    | Continuous-line bucket system                            |  |
| CNMI   | Commonwealth of the Northern Mariana Islands             |  |
| DSHMRA | Deep Seabed Hard Mineral Resources Act                   |  |
| EEZ    | Exclusive Economic Zone                                  |  |
| EFH    | Essential Fish Habitat                                   |  |
| ENSO   | El Niño-Southern Oscillation                             |  |
| EPAP   | Ecosystem Principles Advisory Panel                      |  |
| FEP    | Fishery Ecosystem Plan                                   |  |
| FMP    | Fishery Management Plan                                  |  |
| HI     | State of Hawai'i   |  |
| ISA    | International Seabed Authority                           |  |
| MCE    | Mesophotic coral ecosystems                              |  |
| MSA    | Magnuson-Stevens Fishery Conservation and Management Act |  |
| MUS    | Management Unit Species                                  |  |
| NMFS   | National Marine Fisheries Service                        |  |
| NOAA   | National Oceanic and Atmospheric Administration          |  |
| OTEC   | Ocean Thermal Energy Conversion                          |  |
| PAR    | Photosynthetically Active Radiation                      |  |
| PCB    | Polychlorinated biphenyls                                |  |
| PDO    | Pacific Decadal Oscillation                              |  |
| POM    | Particulate organic matter                               |  |
| PPM    | Parts per million  |  |
| PRIA   | U.S. Pacific Remote Island Areas                         |  |
| REE    | Rare earth elements                                      |  |
| TBT    | Tri-butyl tin  |  |
| UV     | Ultraviolet radiation                                    |  |
| UXO    | Unexploded ordnance                                      |  |
| WPWP   | Western Pacific Warm Pool                                |  |
| WPRFMC | Western Pacific Regional Fishery Management Council      |  |

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# **Executive Summary**

Originally enacted in 1976, the Magnuson-Stevens Fishery Conservation and Management Act (MSA) established a federal system to conserve fishery resources and promote a sustainable commercial and recreational fishing industry within the United States of America. To achieve this in the Western Pacific Region, the Western Pacific Regional Fishery Management Council (WPRFMC) was tasked with making management recommendations to the National Marine Fisheries Service for review and implementation through the regulatory process. Recognizing that both the loss and degradation of important habitat were significant, long-term threats to fisheries, the MSA required Essential Fish Habitat (EFH) be described and identified, that adverse effects on EFH be minimized to the extent practicable, and that actions be implemented to encourage habitat conservation and enhancement.

The MSA requires fishery management plans (FMPs) to identify non-fishing activities that may adversely affect EFH, and to provide conservation and enhancement measures that avoid, minimize, mitigate, or otherwise offset adverse effects for federal activities. The WPRFMC uses fishery ecosystem plans (FEPs) to meet the requirements of FMPs under the MSA. A review of information available on EFH must be completed at least once every five years, and EFH provisions of FMPs must be revised or amended, as warranted.

This report reviews the potential effects (including potential cumulative effects) resulting from a range of non-fishing activities and other potential sources of stress. The purpose of this review is to gather new information on: 1) non-fishing activities that may adversely affect EFH, 2) known and potential adverse effects of these activities on EFH, and 3) options to avoid, minimize, or offset those adverse effects. This information will assist the WPRFMC in determining whether modifications to the existing non-fishing effects sections of the five Western Pacific Region FEPs are warranted.

Due to a lack of specific habitat information for many of the management unit species (MUS), the WPRFMC has broadly defined EFH to include nearly all waters and benthos within the Exclusive Economic Zone (EEZ) and encompass all marine and estuarine ecosystems within the marine waters of the Western Pacific jurisdictions. In this report, effects to EFH are evaluated from the context of individual ecosystem function within a designated EFH because identified EFHs are often comprised of multiple marine and estuarine ecosystems. Additionally, most ecological studies assessing the effects of non-fishing activities are conducted at the organismal and ecosystem scales, and each ecosystem may display a different response to a given activity.

Consistent with the ecosystems included in the Western Pacific Region FEPs, this report examines the effect of non-fishing-related activities on eight marine ecosystems: (1) intertidal, (2) mangrove forests or mangals, (3) seagrasses, (4) coral reefs, (5) deep reef slopes, (6) banks and seamounts, (7) deep-ocean floor, and (8) pelagic.

The implementing regulations of the Sustainable Fisheries Act, which amended the MSA in 1996, focused on a diverse array of human activities that could adversely affect EFH, but failed to distinguish between human actions and ecological processes/stressors that can cause

ecosystem change in a meaningful way. This report attempts to clearly delineate human activities and sources of stress from the stressors themselves. Doing so allows for a clearer understanding of potential effects of an activity because different activities often alter the intensity, duration, frequency, timing, and/or scale of the same stressor, which results in similar effects on an ecosystem regardless of the original activity (*e.g.*, reduced light affects seagrass growth in the same way regardless of whether the reduction in light results from a dredging project or a permanent structure). Nine categories of non-fishing activities are identified: (1) climate change, (2) energy production, (3) mining, (4) land-based aquaculture, (5) development/ construction, (6) shipping, (7) marine debris, (8) non-fishing human uses, and (9) wastewater discharge.

EFH is subjected to a range of non-fishing human activities and other sources of stress. These activities can affect EFH by altering the magnitude and direction of potential ecological stressors, which in turn may either: a) directly affect organisms and/or the biological processes that control their population dynamics, or b) indirectly affect organisms by altering interspecies interactions or by affecting the quality or quantity of their environment.

Ecological stressors are factors that alter the productivity, fitness, and the survival of organisms, and/or affect the long-term persistence and the functional and structural capacity of populations, biological assemblages, or ecosystems. Sources of ecological stress can come from natural environmental events (*e.g.*, storms), or may result directly or indirectly from human activities. Some ecological stressors act at a relatively small spatial scale, whereas others are regional or global in effect.

When exposure to environmental stressors changes in intensity, duration, frequency, timing, and/or scale, organisms and/or ecosystems will undergo an ecological response. Species and ecosystems have some inherent capacity to tolerate changes in the exposure to stressors, but there are limits to this ability, which are often represented as tolerance thresholds. When these thresholds are exceeded, substantial ecological change may occur.

Fifteen potential stressors on EFH have been identified for this report, and their effects on the ecosystems within the Western Pacific Region are discussed in detail. These stressors (in bold) have been grouped into the following broad categories:

- 1. *Environmental stressors* are associated with excessive or insufficient physical or chemical conditions within the marine environment, and in this report, include: **Ocean acidification, Shifts in productivity, Thermal, Salinity, Irradiance, Noise,** and **Hypoxia.**
- 2. *Biological stressors* are associated with interactions among organisms of the same or different species, and in this report, include: **Invasive species, Disease,** and **Fish aggregating device (FAD) effect.**
- 3. *Physical stressors* are associated with changes in exposure to kinetic energy, and in this report, include: **Physical damage.**

- 4. *Pollution stressors* occur when chemicals or other contaminants are present in concentrations large enough to affect organisms and thereby cause ecological change, and in this report, include: **Sediment, Chemicals,** and **Nutrient inputs.**
- 5. *Sea level rise* is a unique marine stressor with important implications in the Western Pacific Region. On casual examination, sea level rise alone might appear to be unimportant to subtidal marine ecosystems, but it is a substantial direct threat to intertidal and mangrove ecosystems, and acts indirectly on certain other ecosystems through often synergistic interactions with other stressors.

In any circumstance—meaning at a particular time and place—organisms are exposed to a complex regime of interacting ecological stressors. In some instances, the exposure to a given stressor is intense, but of short duration (*e.g.*, a storm-driven flood event). In other instances, exposure may be chronic and relatively unchanging over time (*e.g.*, sewage discharge). The complex interactions among stressors, and across their ranges of exposure, are what determine the potential effects on organisms and ecosystems.

The effects of these stressors on EFH will vary broadly by ecosystem type, the organisms affected, and their location, and are discussed in detail in the report. In some cases, little-to-no effect may be observed (*e.g.*, changes in irradiance levels will likely have minor, if any, effects on deep ocean floor ecosystems). However, the effects of other stressors on EFH can be significant, resulting in increased mortality, altered abundances and assemblage composition, and disrupted trophic dynamics. Sub-lethal effects would result in reduced individual fitness, affecting calcification, photosynthesis, growth and metabolism, gene expression, behavior, and interspecific interactions. In many cases, adverse effects will be most pronounced on microscopic organisms and planktonic life history stages of macro-fauna, leading to reproductive failure and shifts in primary productivity leading to significant, and likely adverse, effects cascading through food webs.

Cumulative effects are impacts on the environment that result from the incremental effect of an action when added to other past, present, and reasonably foreseeable future actions, regardless of who undertakes such actions. Cumulative effects can result from individually minor, but collectively significant actions taking place over a period of time, or from the cumulative and interactive effects of multiple actions. The cumulative effect from two or more actions is the result of additive (no interaction), synergistic (increased adverse effect), or antagonistic (decreased adverse effect) interactions.

Crain *et al.* (2008) reviewed over 200 studies examining cumulative effects for multiple stressors in intertidal and nearshore marine ecosystems to elucidate general patterns in cumulative stressor effects. In 62% of all cases, interactions between two stressors resulted in an adverse effect on the species or ecosystem that was at least additive (26%) or synergistic (36%). In cases where a third stressor was considered, over two-thirds of the interaction became more negative, and the number of synergistic interactions increased to 66% of the three-stressor cases. Thus, any activity or set of activities that significantly increases the negative effects of three or more stressors is likely to result in synergistic interactions that increase the likelihood of adverse effects on EFH.

The WPRFMC is tasked with describing ways to avoid, minimize, mitigate, or otherwise offset adverse effects of non-fishing activities to EFH, and for promoting the conservation and enhancement of EFH. Best management practices (BMPs), due to their generalized applicability, are the focus of this report.

To be effective, a BMP must: (1) provide meaningful and measureable minimization of impacts, (2) be properly selected and implemented, (3) be regularly inspected to insure its integrity, and (4) be monitored to assess effectiveness. Failure to meet all four requirements may result in a BMP that is ineffective for its intended purpose.

BMPs that can reduce the potential adverse effects of non-fishing activities on EFH are identified from the scientific literature, recommendations made by federal and state/territorial/ commonwealth agencies, and environmental review documents such as environmental impact statements. BMPs have been recommended for specific activity categories and stressor types. The BMPs recommended by activity category generally contain recommendations on the design, placement and execution of activities with the intention of avoiding and minimizing potential adverse effects on EFH at the development and implementation stage of an activity. The BMPs recommended by stressor type contain recommendations intended to reduce the effect of a specific stressor on EFH, either through reduction of the activities' effect on the stressor or by reducing the effect of the stressor on the ecosystem. As such, these BMPs tend to address temporary issues (*e.g.*, construction-related runoff). The BMPs by stressor are not necessarily specific recommendations for a single category of non-fishing activity, and often can be broadly applied across a range of activities. The resulting list of BMPs is not exhaustive, but represents commonly-employed, proven approaches as well as some common-sense recommendations to reduce adverse environmental effects.

# 1.0 Background

#### 1.1 Magnuson-Stevens Fishery Conservation and Management Act

The Magnuson-Stevens Fishery Conservation and Management Act (MSA) is the primary federal statute for management of U.S. marine fisheries. Originally enacted in 1976, it established a federal system to govern fishing within the 3- to 200-nautical-mile Exclusive Economic Zone (EEZ). MSA's fishery management system was established to meet the goals of conserving fishery resources and promoting a sustainable commercial and recreational fishing industry in the United States (U.S.).

The MSA established eight Regional Fishery Management Councils that were charged with developing fishery management plans (FMPs) designed to foster long-term biological and economic sustainability of the nation's marine fisheries, with several key objectives, including preventing the overfishing of stocks, rebuilding overfished stocks, increasing long-term economic and social benefits, and ensuring a safe and sustainable supply of seafood. Recognizing the loss of important habitat was a significant, long-term threat to fisheries, in 1996 the Sustainable Fisheries Act amended the MSA to require that Essential Fish Habitat (EFH) be described and identified. The MSA defines EFH as "waters and substrate necessary to fish for spawning, breeding, feeding or growth to maturity." Furthermore, the MSA requires that adverse effects on EFH be minimized to the extent practicable, and that federal actions be implemented to encourage habitat conservation and enhancement.

The MSA mandates Regional Fishery Management Councils with making fishery management recommendations to the National Marine Fisheries Service (NMFS) for consideration and incorporation into the regulatory process. These recommendations could include the size of the allowable catch, the length of the fishing season, the allocation of any quotas to states and fishers, provisions for permitting and licensing or other fishery management measures suitable for achieving the management objectives of the FMPs. The Western Pacific Regional Fishery Management Council (WPRFMC) has authority over the fisheries in the Western Pacific Region, including EEZ waters surrounding the State of Hawai'i (HI), the Territory of American Samoa (AS), the Territory of Guam, the Commonwealth of the Northern Mariana Islands (CNMI), and the U.S. Pacific Remote Island Areas (PRIA).

# **1.2 Fishery Ecosystem Plans**

In 1996, the MSA was reauthorized and called for the creation of an Ecosystem Principles Advisory Panel (EPAP) to develop recommendations to expand the application of ecosystem principles in fisheries management. Fishery ecosystem plans (FEPs) were identified as an important mechanism for implementing ecosystem-based fisheries management (EPAP 1999), and could be used to complement the MSA's existing fishery management framework, which requires Regional Fishery Management Councils to develop FMPs that contain conservation and management measures. Per the EPAP, FEPs should contain a management framework to control the harvest of marine resources based on available information regarding the structure and function of the ecosystem in which the harvests occur.

Between 2005 and 2009, the WPRFMC replaced their FMPs with five FEPs for the Western Pacific Region containing fishery conservation and management measures in accordance with provisions as stipulated in Section 303(a) of the MSA. FEPs were developed for each of the geographical/jurisdictional areas of the Western Pacific Region (State of Hawai'i, the Territory of American Samoa, the Mariana Islands, PRIA) and for Pacific-wide pelagic fisheries. These FEPs include the required provisions of an FMP and support the ecosystem-based management of the fisheries.

# **1.2.1 Effects of Non-fishing Activities**

Fishery species and their habitats are subjected to a range of non-fishing human activities and other sources of stress. These activities can affect EFH by altering the magnitude and direction of potential stressors, which in turn may either: 1) directly affect organisms (*e.g.*, injury, mortality, etc.) and/or the biological processes that control their population dynamics (*e.g.*, reproduction, behavior), or 2) indirectly affect organisms by altering interspecies interactions or by affecting the quality or quantity of their environment through alteration of physical, chemical or ecological processes that ensure ecosystem condition, function, and persistence.

The EFH regulations require FMPs to identify non-fishing activities that may adversely affect EFH (50 CFR §600.815(4)), and to provide conservation and enhancement measures to avoid, minimize, mitigate, or otherwise offset adverse effects for federal activities, including (but not limited to): dredging; filling; excavating; mining; impounding, discharging or diverting water; discharging water with different thermal characteristics; conducting activities that contribute to non-point source pollution and sedimentation, introduce potentially hazardous materials, introduce exotic species; and converting aquatic habitat such that it eliminates, diminishes, or disrupts the functions of EFH. Any federal agency undertaking an activity that may adversely affect EFH is required to consult with the NMFS, who is responsible for issuing appropriate recommendations.

In addition to specific human activities, other "natural" stressors can exert considerable force on EFH, and in this report, are important sources of stress. These include events such as weather cycles, hurricanes/typhoons, and natural climatic variability such as the El Niño Southern Oscillation (ENSO) and Pacific Decadal Oscillation (PDO), and other stressors arising from human activities that have global scale effects, such as climate change and ocean acidification from greenhouse gas emissions. While managers cannot regulate or otherwise control these types of events, their occurrence can often be predicted and appropriate management responses can lessen the adverse effects that do and are reasonably expected to occur.

# **1.2.2 Cumulative Effects**

Cumulative effects are effects on the environment that result from the incremental impact of an action when added to other past, present, and reasonably foreseeable future actions, regardless of who undertakes such actions. Cumulative effects can result from individually minor, but

collectively significant effects resulting from two or more actions taking place over a period of time. The EFH regulations require FMPs, to the extent feasible and practicable, to analyze how the cumulative effects of fishing and non-fishing activities influence the function of EFH on an ecosystem scale (50 CFR §600.815(5)).

# **1.3 Purpose of this Report**

Under the MSA, a review of information available on EFH must be completed at least once every five years, and EFH provisions of FMPs must be revised or amended, as warranted (50 CFR §600.815(10)). This five-year review should evaluate published scientific literature, unpublished scientific reports, information solicited from interested parties, and previously unavailable or inaccessible data. The WPRFMC reviews and updates the EFH section of the Western Pacific Region FEPs based on a five-year schedule of rotating reviews through its annual Stock Assessment and Fishery Evaluation report process.

This report is intended to review the potential effects (including potential cumulative effects) resulting from a range of non-fishing activities and other potential sources of stress. This review is intended to gather new information on: (1) non-fishing activities that may adversely affect EFH, (2) known and potential adverse effects of these activities on EFH, and (3) options to avoid, minimize, mitigate, or otherwise offset adverse effects on EFH. This information will assist the WPRFMC in determining whether modifications to the existing non-fishing effects sections of the five Western Pacific Region FEPs are warranted. While this information is highly valuable to inform impacts-analyses, the goal was not to address the approach to EFH consultations.

This review includes the following sections:

- 1) A brief description of the marine and estuarine ecosystems that comprise EFH in the Western Pacific Region (Section 2.0).
- 2) A discussion, by broad categories, of the non-fishing activities and other sources of stress that could affect EFH in the Western Pacific Region, (Section 3.0).
- 3) An assessment of potential effects of stressors on the marine and estuarine ecosystem that comprise the region's EFH (Section 4.0).
- 4) A discussion of cumulative effects with specific guidance for assessing the effects of multiple stressors (Section 5.0).
- 5) A list of conservation measures to avoid, minimize, mitigate, or otherwise offset adverse effects (Section 6.0).
- 6) A comprehensive bibliography of relevant references reviewed and cited in this report (Section 8.0).

# 2.0 EFH in the Western Pacific Region

Regional Fishery Management Councils, with assistance from the NMFS, must identify and describe EFH for all Management Unit Species (MUS). EFH is defined as the waters and substrate necessary to a fishery species (*e.g.*, finfish, mollusks, crustaceans and all other forms of marine animal and plant life other than marine reptiles, marine mammals and birds) for spawning, breeding, feeding, or growth to maturity. EFH for managed fishery resources in the Western Pacific Region has been designated in the FEPs prepared by the WPRFMC and includes designations for five MUS: Bottomfish and Seamount Groundfish, Crustaceans, Precious Corals, Coral Reef Ecosystems, and Pelagic species.

For this report, an ecosystem refers to any taxonomically-diverse assemblage of species and the non-living components of their environment that interact with the unit or system (e.g., a coral reef ecosystem). In contrast, habitat is the physical surroundings that influence and is used by a species (e.g., sandflats are feeding habitat for many goatfishes). Due to a lack of habitat-related data for most MUS, the WPRFMC has broadly defined EFH to include all waters to a depth of 1,000 meters (m) and benthos to a depth of 700 m within the EEZ and encompassing all marine and estuarine ecosystems of the Western Pacific jurisdictions. In this report, effects to EFH are evaluated from the context of individual ecosystem function within a designated EFH because the EFH identified for all MUS are often comprised of multiple marine and estuarine ecosystems (Table 1). In addition, most ecological studies assessing the ecological effects of non-fishing activities are conducted at the organismal and ecosystem scales, and each ecosystem may display a different response to a given activity. As such, the broad definition of EFH in the five FEPs creates management and regulatory challenges due to the range and diversity of non-fishing activities (see Section 3.0) that occurs within these numerous and diverse marine ecosystems, and the potential effects of those activities on the stressors that impact these ecosystems. Additional refinement of the effects of non-fishing activities on EFH, and subsequent management of them, would benefit from a narrowing of the EFH designation to better describe the habitat of species within each MUS group.

Ecosystem structure and function varies over time due to a suite of dynamic and interacting processes (Christensen *et al.* 1996, Kay and Schneider 1994, EPAP 1999). Boundaries of marine ecosystems are often difficult to clearly and unambiguously delineate because most are interlinked by population- and ecosystem-level processes critical to each ecosystems' proper function and persistence. Although marine ecosystems are generally open systems, bathymetric and oceanographic features allow them to be reasonably identified (EPAP 1999), and for management purposes, WPRFMC has delineated them geographically, making them placebased. Each ecosystem type, as defined in the five Western Pacific Region FEPs, is discussed briefly below.

# 2.1 Benthic Ecosystems

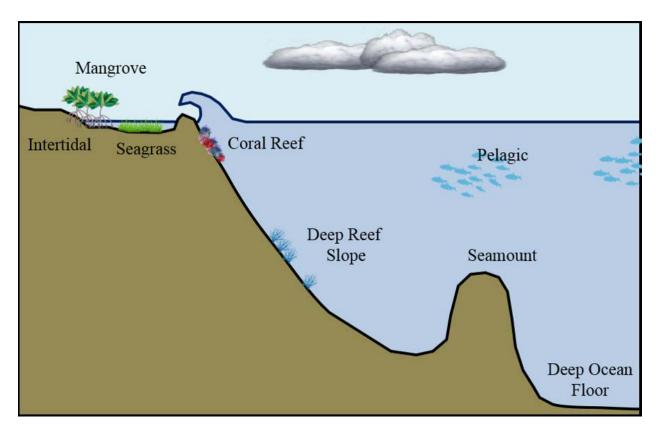
Benthic ecosystems are those found on the bottom of the ocean, beginning at the shore line (*e.g.*, the intertidal, mangroves, etc.) and extending subtidally out to sea. Unlike continental coastal **Table 1.** The marine and estuarine ecosystems comprising the EFH designations for the nine species complexes (comprising six MUS groups) in the Western Pacific Region.

| MUS Group/Species Complex                          | Ecosystems within the EFH  |
|--|--|
| Bottomfish and Seamount Groundfish                 |  |
| Bottomfish   | Deep reef slopes (<400 m), banks and seamounts, pelagic                                      |
| Seamount Groundfish                                | Banks and seamounts at Hancock Seamounts (80-600 m), pelagic                                 |
| Crustaceans  |  |
| Crustaceans: spiny and slipper lobsters, Kona crab | Coral reef, banks and seamounts, pelagic   |
| Crustaceans: deepwater shrimp                      | Deep reef slopes, banks and seamounts, pelagic   |
| Precious Coral                                     |  |
| Precious coral: deep-water complex                 | Deep-reef slopes, deep ocean floor, banks and seamounts, pelagic                             |
| Precious coral: shallow-water complex              | Coral reef, deep reef slopes (to 100 m)  |
| Currently-harvested Coral Reef<br>Ecosystem        | Coral reef, intertidal, seagrasses, mangroves, deep-<br>slopes, banks and seamounts, pelagic |
| Potentially-harvested Coral Reef<br>Ecosystem      | Coral reef, intertidal, seagrasses, mangroves, deep-<br>slopes, banks and seamounts, pelagic |
| Pelagic  | Pelagic (<1,000 m), banks and seamounts  |

waters, islands within the Western Pacific Region tend to have narrow subtidal shelfs that support species-rich, nearshore marine ecosystems (*e.g.*, coral reefs, seagrass beds, etc.) that slope steeply into deep-water ecosystems (Figure 1). Consistent with those included in the Western Pacific Region FEPs, this section presents a brief description of the following benthic ecosystems: (a) intertidal, (b) mangrove forests or mangals, (c) seagrasses, (d) coral reefs, (e) deep reef slopes, (f) banks and seamounts, and (g) deep-ocean floor.

# 2.1.1 Intertidal

The intertidal zone exists between the highest and lowest extent of the tides and spends at least part of its time exposed to air. The duration and frequency of exposure is correlated with the vertical position on the shore; areas closer to the high tide mark are more frequently exposed and for longer durations than areas closer to the low tide mark. Intertidal areas can be comprised of hard (*e.g.*, basalt, limestone, etc.) or unconsolidated (*e.g.*, sand, cobble, etc.) substratum, which will dictate the types of associated fauna. Sandy shallows and tidal pools are important nursery areas for many subtidal invertebrate and fish species (Major 1978, Leber *et al.* 1998, Cox *et al.* 



**Figure 1.** Schematic of the marine ecosystems that comprise the EFH of the Western Pacific Region.

2011, Iglesias 2012), including those that spend their adult life in other marine ecosystem such as coral reefs.

Intertidal organisms often display pronounced vertical zonation, where the lower limits of organisms are often determined by the presence of predators or competing species, and the upper limits are controlled by physiological limits and species' tolerance to temperature and drying (Garrity 1984, Levington 2001), although in the tropics, this may not always be the case (Minton and Gochfeld 2001). Due to challenging environmental conditions, intertidal areas generally have lower species richness and diversity than subtidal areas.

Along tropical rocky intertidal areas, marine algae and epilithic biofilms (comprised of cyanobacteria and diatoms) are the principle primary producers (Williams 1993, Williams *et al.* 2000, Macusi and Ashoka Deepananda 2013). Primary consumers such as snails and sea urchins graze on algae and biofilms, and support an array of secondary consumers that include a variety of invertebrates, sea birds and fish (Williams *et al.* 1993). Sandy intertidal areas usually support lower diversity than rocky intertidal areas, and may include a variety of burrowing mollusks, crustaceans, and worms, depending upon the amount of wave energy, which directly controls sediment grain size. Intertidal organisms are marine, and nearly all have a life history stage—usually a planktonic larval stage—that is dependent upon the ocean.

# 2.1.2 Mangrove Forests (Mangal)

Mangrove forests, or mangals, are tropical, coastal, forest ecosystems comprised of mangrove trees, which are adapted to grow in saline or brackish water. Mangrove forests are generally characterized as depositional coastal environments (Victor et al. 2004), where fine sediment, often high in organic content, collects in areas protected from high-energy wave action (Barbier et al. 2011). They help stabilize shorelines and reduce effects of natural disasters such as tsunamis and hurricanes (Scavia et al. 2002). Due to their high productivity and relatively sheltered environment, mangroves in some areas serve as important nursery habitat for many ecologically and commercially important coral reef fishery species, although research from several areas in the Pacific suggests that mangroves are less important than other coastal ecosystemns as nursery habitat for certain species (Laegdsgaard and Johnson 1995, Thollot 1992, Tupper 2007). Where mangroves have been found to be important as nurseries, they tend to have water quality conditions (e.g., salinity, turbidity, etc.) similar to coral reefs (Cocheret de la Morinière et al. 2002), whereas in areas in which mangroves were not important reef fish nurseries, water tended to be less saline and more turbid. This is consistent with findings that juveniles of reef fishes inhabit the lower, more saline areas of mangals until migrating to the coral reef (Parrish 1989, Mumby et al. 2004, Abu El-Regal and Ibrahim 2014). Other fishes and crustaceans remain in the mangal throughout their adult lives, including mangrove crabs, which live in burrows among the mangrove roots. Mangals also provide food, medicine, fuel and building materials for certain local communities (Mumby et al. 2004 Gilman et al. 2006, Giri et al. 2011).

Mangrove trees possess an intricate salt filtration system (Lopez-Hoffman *et al.* 2007) and a complex root system to cope with salt water immersion, anoxic sediment, and wave action (Ball 1988). They can tolerate conditions ranging from brackish water to water with over twice the salinity of ocean water. Mangrove species zonation is generally correlated with soil water salinity (Ball 1988, Ukpong 1994), with less tolerant species located along the landward side of the forest or near freshwater inputs (*e.g.*, rivers). Some mangrove tree species have elaborate prop roots systems that form important substratum on which sessile organisms can settle and grow (MacDonald and Weis 2013), and which provide habitat for a variety of invertebrates and fish (Nagelkerken *et al.* 2010).

The natural eastern limit of mangroves in the Pacific is American Samoa (Ellison 1999), although three species (*Rhizophora mangle, Bruguiera gymnorrhiza*, and *Conocarpus erectus*) have become established in Hawai'i since their introduction in the early 1900s, with *R. mangle* becoming the dominant plant in protected bays and along coastlines on all of the main islands (Allen 1998). While mangroves are highly regarded in most parts of the tropics for the ecosystem services they provide, in Hawai'i they have significant negative ecological and economic effects, including reduction in habitat quality for native coastal wetland and mudflat species, displacement of native species in endemic ecosystems (*e.g.*, in anchialine pools), and overgrowth of native Hawaiian archaeological sites (Allen 1998, Chimner *et al.* 2006). Their values as nursery habitat for juvenile reef fish species is unclear, but generally they are considered detrimental.

Mangrove communities in American Samoa are composed of two species, *Bruguiera gymnorrhiza* and *Rhizophora mangle*. A majority of mangrove areas in American Samoa have been filled for residential and commercial development and roads since the early 1900s, and only five significant mangrove stands remain, covering approximately 52 hectares (ha) (Gillman *et al.* 2006). The role of mangroves in American Samoa as juvenile habitat for coral reef fish is unclear. Although numerous species are known to use areas fringed by mangal, the role of the forest themselves are unclear (Volk 1993).

In the Mariana Islands, mangroves cover an estimated 80 ha (Gillman *et al.* 2006) and comprise four species (*Rhizophora mucronata, R. apiculata, Bruguiera gymnorrhiza, Avicennia marina*). Only a single species is present in the CNMI (*Bruguiera gymnorrhiza*). Some mangrove areas on Guam (*e.g.*, Sasa Bay) have been identified as nursery habitat for jacks, barracudas, snappers, groupers, rabbitfish, mojarras, milkfish, and mullets (Wiles and Ritter 1993).

## 2.1.3 Seagrass Beds

Seagrasses are marine flowering plants widely distributed along tropical coastlines in the Western Pacific Region. Globally, seagrasses have an important role in fisheries production, and sediment accumulation and stabilization (, Jackson *et al.* 1989, Green and Short 2003, Dorenbosch *et al.* 2005, Larkum *et al.* 2006, Unsworth and Cullen 2008, Unsworth *et al.* 2010). Highly productive seagrass ecosystems have a relatively complex physical structure that provides a combination of food and shelter. This results in high biomass and secondary productivity, including for important fishery species in the Indo-Pacific (Parrish 1989, Beck *et al.* 2001, Honda *et al.* 2013, Nadiarti *et al.* 2015). In some area of the Pacific Ocean, seagrasses provide nursery area for species that support adjacent ecosystems, such as coral reefs and mangrove forests (Unsworth *et al.* 2010, Honda *et al.* 2013). While seagrasses may be less important in the Western Pacific Region as nursery habitat for fish and invertebrates, they are used in some jurisdictions by juvenile rabbitfish, goatfish, and snappers (Jones and Roberts 1975).

The role of seagrasses in binding sediment is important. Seagrass shoots baffle currents, thereby encouraging the settlement of sediment and inhibiting its resuspension (Short and Short 1984, Ward *et al.* 1984). By enhancing sediment retention, and through the relatively rapid uptake of nutrients both by seagrasses and their epiphytes, seagrass ecosystems can remove nutrients and other contaminants from the water column (Barbier *et al.* 2011). Once removed, these nutrients can be released more slowly through the eventual decomposition and consumption of leaf matter, thereby reducing problems of eutrophication and organic pollutants (Hemminga and Duarte 2000). Several studies that have documented the importance of seagrasses in reducing erosional forces during storm events (Koch *et al.* 2006, Barbier *et al.* 2011, Ganthy *et al.* 2014).

Seagrass diversity decreases from west to east across the Western Pacific Region. The Mariana Islands have three seagrass species (Lobban and Tsuda 2003), several of which form extensive and dense beds, especially on Saipan. American Samoa (Skelton 2003) and Hawai'i (McDermid *et al.* 2002) each have two species, both small in stature, which affects their functional ability to baffle currents and provide sediment stabilization and shoreline protection. However, they are still important sources of food for many species, including sea turtles (Russell *et al.* 2003).

## 2.1.4 Coral Reefs

Coral reefs are carbonate rock structures and associated unconsolidated substratum (*e.g.*, interspersed sand and rubble) that support viable populations of reef-building organisms, including scleractinian corals and coralline algae, and a variety of associated invertebrates and fish. Coral reef ecosystems are among the most abundant and diverse ecosystems on Earth, rivaling tropical rainforests in terms of biomass and species diversity (Roberts *et al.* 2002, Hughes *et al.* 2003). As such, coral reefs are also geologically, evolutionarily, and ecologically complex (Hatcher *et al.* 1989).

Due their reliance on light for photosynthesis, coral and other reef-building organisms are confined to the depths where light sufficient to conduct photosynthesis penetrates—known as the euphotic zone—although some predominately non-reef-building coral species can occur in the deeper ocean zones (see Section 2.1.5, Section 2.1.6, and Section 2.1.7). Maximum reef growth and productivity generally occurs between approximately five and 15 m (Hopley and Kinsey 1988), but the maximum depth at which reefs can grow depends on water clarity and photosynthetic capability, which is highly variable among species (Baker 2001, Yentsch *et al.* 2002, Baird *et al.* 2003). Maximum biodiversity of coral reef species usually occurs between 10-30 m (Huston 1985).

Four primary reef types are found in the Western Pacific Region. Fringing reefs grow directly along the shoreline of islands and often include a shallow (<2 m) reef flat before sloping into deeper water. Given their relatively shallow waters and proximity to the shoreline, fringing reefs are often exposed to more human activity than other reef types. Barrier reefs are shallow reef systems that are separated from the shore, generally by a relatively shallow (<10-20 m) lagoon system. Barrier reefs are relatively rare in the jurisdictions of the Western Pacific Region, with the barrier reefs in Kāne'ohe Bay, Hawai'i, Cocos Lagoon, Guam, and Saipan Lagoon, Saipan being the most prominent examples. Patch reefs are comparatively small, often circular reef outcroppings that rise up from the bottom of lagoons or other relatively shallow embayments to within a few meters of the surface (*e.g.*, Kāne'ohe Bay, Hawai'i and Apra Harbor, Guam). Atolls are continuous barrier reef-like structures that enclose a lagoon and have no central island. Most atolls have one or more channels through the reef that allows water exchange between the lagoon and the ocean. Patch reefs are commonly found within the atoll's lagoon. Atolls may or may not have one or more low-relief, coral and rubble islands atop the reef structure. Atolls are prominent in the Northwestern Hawaiian Islands and the PRIA.

Reef-building corals are the primary providers of physical structure upon which associated organisms depend for food and shelter (Alvarez-Filip *et al.* 2009), and loss of this structure is often referred to as "flattening" of the reef. The symbiotic relationship between coral and algal cells, known as zooxanthellae, is a key feature of reef-building corals (Roth 2014). Zooxanthellae provide much of the polyp's nutritional needs, and play a critical role in the coral's ability to accrete carbonate from the water column to construct its skeleton, a process called calcification (Colombo-Pallotta *et al.* 2010). The rate at which a reef can calcify is among its most important ecological functions because persistence of the coral reef ecosystem depends on rate of calcification exceeding the rate of erosion (Wilkinson and Buddemeier 1994).

A healthy, functioning coral reef ecosystem is comprised of more than corals. In addition to coral zooxanthellae, other important primary producers on coral reefs include phytoplankton, macro- and micro-algae, benthic bacteria, and seagrasses. Primary consumers include many species of mollusks, crustaceans, echinoderms, gastropods, sea turtles, and herbivorous fish. Secondary consumers include anemones, crustaceans, and fish, including several important fishery species. Tertiary consumers include eels, octopuses, barracudas, sharks (sometimes referred to as apex predators), and monk seals in Hawai'i. While many coral reef species rely on the hardbottom areas on which coral colonies grow, associated sand patches and algal and seagrass beds, often serve as important feeding or spawning habitat for many species (*e.g.*, goatfishes, some wrasses, squid, etc.). Some coral reef organisms also use mangroves, seagrass beds, and intertidal ecosystems for nursery areas (*e.g.*, jacks, barracudas, snappers, rabbitfish, etc.), and these coastal ecosystems also play important roles in ecosystem processes on coral reefs, such as nutrient cycling.

The diversity of nearly all coral reef organisms declines in an easterly direction across the Pacific Ocean (Stoddart 1992, Reaka *et al.* 2008). While taxonomy can vary among observers, ~375 species of reef-building corals have been identified from the Mariana Islands (Randall 2003), ~220 species from American Samoa (DiDonato *et al.* 2006), 59 species from Hawai'i (Maragos *et al.* 2004) and between 47 and 173 species on each of the PRIA (Kenyon 2010). As coral species richness declines, reefs tend to lose specific coral genera and families and their associated reef functions. For example, the genus *Acropora* is absent from the main Hawaiian Islands (with some rare exceptions, see Walsh *et al.* 2014, Kosaki *et al.* 2013). *Acropora* species, and especially tabular *Acropora*, provide a complex three-dimensional structure, a key ecological feature for coral reefs. Among mollusks, species with large larval forms and/or short planktonic durations are under-represented or absent from Hawaiian reefs (Paulay and Meyer 2006), and more prevalent Western Pacific Ocean reefs such as the Mariana Islands.

## 2.1.5 Deep Reef Slopes

Unlike continental areas, the jurisdictions in the Western Pacific Region lack extensive shallow water shelves around their perimeter; instead, relatively narrow fringing reefs generally slope steeply into deep water not far from shore. The benthic communities on these deep reef slopes are zoned in relation to light penetration. Where light is still sufficient for photosynthesis, deepwater reef-building corals will continue to grow where appropriate substratum is available. These mesophotic coral ecosystems (MCE), found at depths of nearly 200 m (Baker *et al.* 2016), have been hypothesized to serve as refugia for shallow reef species, especially those subject to significant fishing pressure and/or other non-fishing stresses (Glynn 1996, Blyth-skyrme *et al.* 2013, Lindfield *et al.* 2014, Muir *et al.* 2015). Deep reef slopes are also home to a diversity of marine organisms, including many important fishery species (Lindfield *et al.* 2014) and antipatharian coral, *i.e.*, precious corals.

Relatively little is known about deep reef slope ecosystems, but recent technological advances have made it possible to conduct scientific investigations of MCE, which inhabit the upper boundary of this area, where low levels of light still penetrate. Significant work to characterize these assemblages has recently been undertaken in several of the jurisdictions in the Western Pacific Region (*e.g.*, survey work by the NOAA Coral Reef Ecosystem Program).

At shallower depths (50 to 80 m) in Hawai'i, large *Halimeda* meadows and diverse macroalgal assemblages (*Lobophora variegata*, *Dictyota friabilis*, coralline algal rhodoliths, *Mesophyllum mesomorphum*, and *Peyssonnelia rubra*) have been observed covering both hard and soft substrata. These macroalgal communities generally do not comprise significant habitats for large-bodied fishes in the main Hawaiian Islands (Pyle *et al.* 2016), although endemic reef-associated fishes have been found in deep water *Microdicyton* (algae) beds in the Northwestern Hawaiian Islands (Kane *et al.* 2014). At greater depths, abundance of macroalgae declines and hard substratum is often dominated by monospecific stands of the hard coral *Leptoseris* spp. (Rooney *et al.* 2010, Pyle *et al.* 2016). Below approximately 100 m, live benthic cover was uniformly low, but on hardbottom features exposed to currents, precious black corals and the invasive octocoral *Carijoa* sp. could be locally abundant, with the latter often overgrowing large black coral colonies (Kahng and Grigg 2005).

Limited work in American Samoa has confirmed reef-building MCE at depths as great as 110 m. Encrusting corals belonging to the genus *Montipora* and massive corals in the genus *Porites* were most abundant at shallow depths with their cover gradually decreasing as depth increased. At depths of 60 to 70 m, plate corals in the genus *Acropora* dominated the MCE, giving way to species in the genera *Leptoseris*, *Pachyseris*, or *Montipora*. Branching coral cover was high in the 80 to 110 m depth range (Bare *et al.* 2010).

Extensive mesophotic reefs have been observed seaward of the Saipan Lagoon barrier reef, mainly on the Garapan Anchorage. Lindfield *et al.* (2016), using baited camera drops on Guam, Saipan, Tinian, and Rota, found high fish abundance on MCE (35-90 m) compared to inshore reefs (10-35 m), and suggest that MCE represent a depth refuge for many coral reef fish species. They also noted that coral structure disappeared at depths greater than 70 m and fish abundance decreased. At depths greater than 70 m, unconsolidated sediment was the primary bottom feature (Lindfield *et al.* 2016). In addition to hard scleractinian corals, sea fans, a type of soft coral, were a common feature on hard substrate at mesophotic depths in the Mariana Archipelago (Blythe-Skyrme *et al.* 2013).

Data are insufficient to identify the location or density of MCE in the PRIA, but the presence of deep-water corals (165 m) at Johnston Atoll (Kahng and Maragos 2006), along with the clear oligotrophic waters minimally influenced by terrigenous inputs, suggests that MCE are likely present at most or all islands within the PRIA (Blyth-Skyrme *et al.* 2013).

# 2.1.6 Banks and Seamounts

In the Western Pacific Region, banks and seamounts are submerged features formed by undersea volcanos. During the formation of seamounts, they never reached the surface of the ocean and thus maintain a generally "mountainous" shape, with steep slopes and relative little flat area on top of them. Banks are less specifically defined, but comprise shallow areas rising up from relatively deep waters that may have been formed by a submerged part of a larger landmass or a submerged atoll. Over 50,000 seamounts may exist in the Pacific Ocean (Rogers 2004), and banks and seamounts are found in all jurisdictions in the Western Pacific Region.

Seamounts can have a significant effect on the pelagic environment. They may deflect major ocean currents (*e.g.*, the Emperor Seamount Chain deflects the Kuroshio Current), and have the potential to form eddies, called Taylor Columns, that may become trapped or shed downstream (White and Mohn 2002, Rogers 2004). Taylor Columns are associated with the upwelling of nutrient-rich water from the deep ocean, and may lead to increased productivity in the upper waters above or downstream of seamounts (Brainard 1986, Rogers 2004), and may help retain pelagic larvae, although evidence for larval retention over seamounts, especially small ones, is sparse (Boehlert and Mundy 1993, Sponaugle *et al.* 2002).

In the Western Pacific Region, coral reef ecosystems tend to be found on the shallower parts of banks and seamounts, but can extend downslope into the mesophotic zone. Deeper parts of seamounts and banks may be composed of rock, coral rubble, sand, or shell deposits. Bank and seamount assemblages tend to be dominated by those found on nearby shallow areas and do not have unusual diversity or endemism (Howell *et al.* 2010). Seamounts and banks are important feeding and reproduction grounds for many deep water or pelagic species of fish. Plankton biomass may be increased over and around seamounts and form a source of prey for seamount-associated species (Rogers 2004). This forms the basis for the WPRFMC's designation of the water column down to 1,000 m above seamounts with summits shallower than 2,000 m as Habitat Areas of Particular Concern for the Pelagic MUS.

## 2.1.7 Deep Ocean Floor

The deep ocean (waters and seafloor deeper than ~200 m), supports a high diversity of ecosystems and species (Hessler and Sanders 1967, Grassle and Maciolek 1992, Sogin *et al.* 2006, Ramirez-Llodra *et al.* 2010, Mora *et al.* 2011), as well as abundant mineral resources (Herzig and Hannington 1995, Kato *et al.* 2011). Relatively little is known about this region due to the challenges associated with studying this environment, limiting our understanding of the resilience of this ecosystem to and its recovery from adverse effects. The deep ocean has a role in nutrient regeneration and global biogeochemical cycling that is essential for sustaining primary and secondary productivity in the oceans, and adverse effects that decrease the biodiversity of the deep ocean could affect this important ecosystem function (Danovaro *et al.* 2008). Pressure to extract deep ocean resources is increasing (Mengerink *et al.* 2014), including fishing, drilling for hydrocarbon extraction, and mining of rare earth elements (*e.g.*, Morato *et al.* 2006, Benn *et al.* 2010).

The deep ocean floor is generally comprised of soft-sediment, but biologically created "hardbottom" can cover tens of square kilometers and provide extensive three-dimension relief (Thurber *et al.* 2014). Probably the best-known example of biogenic habitat in the deep ocean is created by "cold-water" corals. Submersible explorations in Hawai'i have revealed that gorgonian-like corals (*e.g.*, "bamboo corals") and other antipatharian corals (*e.g.*, "precious" corals) can form complex hard structures with their skeletons (NOAA 2009). These areas often have high species diversities because of increased access to dietary resources and refuge from predators or physical disturbance, and may provide a nursery habitat for deep-ocean species including fish (Miller *et al.* 2012).

## 2.2 Pelagic Environment

The entirety of the water column overlying the benthos is the pelagic zone of the ocean, although the description of EFH for the pelagic MUS includes only the uppermost 1000 m. It comprises the largest ecosystem in the Western Pacific Region, and is the primary connection between all benthic marine ecosystems. Nearly all marine organisms spend all or part of their life in the pelagic environment.

Average primary productivity in the tropical open ocean is among the lowest of all marine ecosystems, typically around 40 grams (g) of carbon/m<sup>2</sup>/year (Carpenter 1998). Warm conditions in the tropics promote thermal stratification in the upper layer of the ocean and prevent mixing with lower, cooler, nutrient-rich water (Carpenter 1998). However, in upwelling areas, including waters near oceanic islands and some seamounts (from Taylor Columns), nutrients are brought from the deep ocean into the sunlit upper layers, where phytoplankton can access it, thus increasing primary productivity.

Along the equator in the Central Pacific (near several of the PRIA) is an upwelling area caused by the diverging flow of the North Equatorial Current and the Equatorial Countercurrent (Chavez and Barber 1987). Additionally, the Western Pacific Warm Pool (WPWP) is an area of water with surface temperatures consistently above 28°C (Yan *et al.* 1992), creating a highly stratified water column and little vertical mixing. The waters within the WPWP are nutrient poor, and productivity is low. However, along the edge of the WPWP are convergence zones that upwell nutrient-rich waters from depth (Helber and Weisberg 2001), promoting high primary productivity. This edge area has high densities of tuna and is commercially important. In coastal waters (especially around high islands), productivity is greater than the open ocean, primarily because of land-derived nutrient inputs, including from groundwater discharge (Knee 2010).

Phytoplankton represent several different types of microscopic photosynthetic organisms and occur primarily in the upper 100 m of the water column. Phytoplankton includes organisms such as diatoms, dinoflagellates, coccolithophores, and cyanobacteria. Many of these organisms deposit skeletons by precipitating dissolved minerals (primarily silicates and carbonates) from the water column. Although some phytoplankton such as dinoflagellates have structures that allow them to move (especially vertically through the water column), the distribution of many phytoplankton is controlled by oceanic currents.

The secondary productivity from zooplankton in the Western Central Pacific Ocean roughly mirrors the pattern of primary productivity (Carpenter 1998). Highest zooplankton production is found in upwelling areas, but is generally lower than that found in most coastal areas (Carpenter 1998). Zooplankton include organisms such as copepods, cheatognaths, euphasids, ostracods, amphipods, and many other microscopic invertebrates. Larvae and gametes of marine macro-organisms, including pelagic fish and coral reef-associated fish and invertebrates, are also an important component of the zooplankton (King and Demond 1953).

Large-scale oceanographic events (*e.g.*, ENSO, PDO, etc.) change the characteristics of water temperature and productivity across the Pacific, and have a significant effect on open ocean productivity.

# 3.0 Non-fishing Activities and Other Sources of Stress

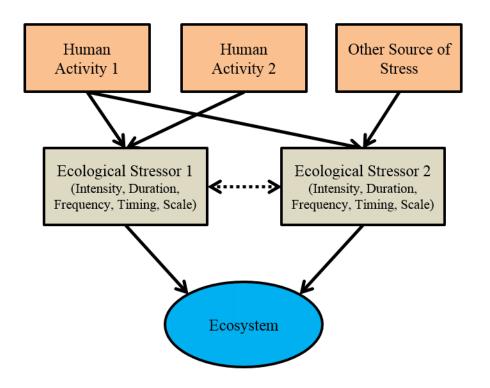
Numerous types of non-fishing activities and other sources of stress occur in the Western Pacific Region. These activities affect EFH by altering the magnitude and direction of potential stressors (see Section 4.0 for discussion of specific stressors) directly affecting organisms or changing the quality or quantity of their environment (Figure 2). The potential effects of a specific activity on a marine ecosystem are dependent on the location, size, timing, duration, method, etc. of the specific activity. It would be impossible to list and discuss every non-fishing activity in detail; however, many specific activities have sufficient similarities among the stressors they affect to allow them to be grouped into generalized categories to more easily examine their potential effects on EFH.

The implementing regulations for the Sustainable Fisheries Act, which amended the MSA and created the provision for EFH, focused on a diverse array of human activities and stressors (*e.g.*, coastal development projects, mining, sedimentation, nutrient loading, etc.) that could adversely affect EFH, but in doing so created a confusing mixture of human activities and ecological processes that can cause ecosystem change. Additionally, some potentially significant, non-fishing sources of stress were not adequately considered and analyzed in the subsequent FEPs developed by the WPRFMC, including the potential effect of climate change, which the WPRFMC has subsequently required for consideration in its management decisions through its Marine Planning and Climate Change Policy. Climate change is likely to be the most significant source of stress on EFH in the Western Pacific Region in the coming decades.

This report attempts to clearly delineate human activities and sources of stress from the stressors themselves. Doing so allows for a clearer understanding of potential effects because different activities often alter the intensity, duration, frequency, timing, and/or scale of the same stressor, which results in similar effects on a marine or estuarine ecosystem (Figure 2). For example, physical damage to a coral from the anchor chain of a large vessel dragging on the bottom would likely have similar effects to the damage caused from the underwater detonation of ordnance. The human activities and other sources of stress are discussed in subsections, and concluded with a summary table listing the stressors associated with the activity. Detailed information on the stressors themselves is the subject of Section 4.0.

# 3.1 Climate Change

Climate is the long-term (usually decades or longer) average weather pattern in a specific place or region. These average patterns are subject to natural cycles that contribute to short-term (annual or decadal) variability (*e.g.*, ENSO, PDO), but which do not result in long-term changes in average condition. **Climate change** is a long-term change in the state of climate that may encompass a change in average weather conditions and/or a change in the variability of that average condition, for example, more or fewer extreme weather events (IPCC 2007). The primary source of climate change – atmospheric accumulation of  $CO_2$  – will also directly affect the acidity of the ocean, and thus ocean acidification is often considered a part of climate change



**Figure 2.** Conceptual flow diagram showing the linkage of human activities and other sources of stress on an ecosystem. Activities and sources of stress alter the intensity, duration, frequency, timing and/or scale of potential ecological stressors, which act directly on species or ecological processes in the ecosystem. Different activities often affect the same ecological stressor(s), and stressors often interact with each other (dotted arrow), resulting in a variety of potential responses (see Section 5.0).

even though it is not actually a climatological feature. The WPRFMC has "adopted the definition of climate change used by the Intergovernmental Panel on Climate Change (IPCC) to include natural climate variability such as ENSO and other patterns of natural variability as well as long-term changes in climate associated with anthropogenic (human) influence on greenhouse gases and other aspects of the Earth's climate system. The definition of climate change in this policy also includes ocean acidification" (WPRFMC 2015). Numerous factors contribute to climate change, including biological processes, variations in solar radiation, geological processes, and some human activities (National Academy of Science 2010).

Climate change is predicted to affect the jurisdictions in the Western Pacific Region in the following ways:

• American Samoa is expected to experience increased surface air temperature and sea-surface temperature, and the intensity and frequency of extreme heat events are expected to increase. Rainfall is expected to stay approximately the same, but the frequency of extreme rain events is expected to increase under current climate change scenarios (PCEP 2016). The number of hurricanes are expected to decline

in the south-east Pacific Ocean Basin (Lagomauitumua *et al.* 2010), likely causing a decrease in hurricanes affecting American Samoa. Ocean acidification is expected to increase, and sea level is expected to rise.

- The Hawaiian Archipelago extends across a wide latitudinal range and is comprised of high and low islands. Thus, climate change effects such as rainfall and ocean acidification will likely vary across the archipelago, but to what degree is uncertain. To date research has focused on the southerly high islands, where the archipelago's human population lives. The Hawaiian Islands are expected to experience increased air and sea surface temperatures (Giambelluca *et al.* 2008, Sea Grant 2014). Anticipated decreases in prevailing northeasterly trade winds are expected to result in an overall decline in annual rainfall, which is consistent with observations over the past 40 years (Chu and Chen 2005). Extreme rainfall events and occurrences of drought are also expected to increase (Chu *et al.* 2010), resulting in extended dry periods and more flash flooding. Changes in rainfall patterns will potentially affect aquifer recharge and ground water flow into the coastal marine environment. Ocean acidification is expected to increase across the archipelago, and sea level is expected to rise from 0.3-1 m (1-3 feet (ft)) by the end of the century (Sea Grant 2014).
- The Mariana Islands are expected to experience higher air and sea surface temperatures. It is currently unclear how rainfall in the Mariana Islands will be affected. Guam may experience fewer, but more intense, storms (Lander 2004), but Saipan may see only a small increase in average rainfall and extreme rainfall events, but may experience "wetter" wet and "drier" dry seasons, *i.e.*, increased variability in rainfall (Greene and Skeele 2014). Ocean acidification is expected to increase, and sea level is expected to rise >1 m (>3 ft) by the end of the century (PREL 2014).
- The PRIA are spread across the Pacific Ocean, from south of the equator to the northern extent of coral reef distributions, and from the western to central Pacific. Therefore, the effects of climate change are expected to vary across these geographically dispersed islands, but it may be possible to predict the broader effects based on predicted changes in nearby jurisdictions for which information is currently available. A common feature of most of these island areas is their relatively low topographic relief and extensive coral reef structure. As such, increases in sea surface temperature and ocean acidification (Royal Society 2005, IPCC 2014), and a rise in sea level will affect all island areas within the PRIA, and are expected to be the most serious stressors associated with climate change.
- The open ocean, home to important pelagic fisheries species, is expected to experience warmer surface water temperatures, increased acidification, and increased variability in ENSO events, all of which will have direct effects on current patterns, ocean stratification, seawater chemistry, and productivity (Johnson *et al.* 2013).

|                 | Potential   | Stressors   |  |  |
|-----------------|---|---|--|--|
| Activity/Source | Jurisdictions   | Direct  | Indirect   |  |
| Climate change  | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA</li> <li>Pelagic</li> </ul> | <ul> <li>Acidification</li> <li>Shift in productivity</li> <li>Thermal</li> <li>Sea level rise</li> </ul> | <ul> <li>Salinity</li> <li>Irradiance</li> <li>Invasive species</li> <li>Disease</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> </ul> |  |
|                 |   |   | <ul> <li>PCBs</li> <li>Ordnance<sup>†</sup></li> <li>Endocrine disruptors</li> </ul>   |  |

Summary Table: Climate Change. See Section 4.0 for a detailed discussion of each stressor.

<sup>†</sup> Mariana Islands and Hawaii

#### **3.2 Energy Production**

With the desire to reduce fossil fuel usage and obtain energy independence, a considerable investment has been made to develop and assess the feasibility of alternative energy in the Pacific Islands. The jurisdictions in the Western Pacific Region have no fossil fuel resources, but energy can be obtained from wind, solar, ocean currents (hydrokinetic), ocean thermal, and geothermal means. It is no longer a question of whether alternative energy production will be implemented, but when. In the past decade, numerous utility-scale alternative energy projects have been proposed in the Hawaiian Islands, but only a handful have reached the construction stage. Hawai'i has committed to a long-term plan to convert entirely to renewable energy sources by 2050 (DOE 2015); the current proposal, called the Hawai'i Clean Energy Initiative, includes 31 types of activities whose specific projects could affect EFH. In American Samoa, an Energy Action Plan (Ness et al. 2016) proposes an array of renewable energy projects to be completed by 2020. One of those projects, converting the Island of Ta'u to 100% solar power generation (1.4 megawatts), was completed in 2016 (Heathman 2016). Both Guam (Conrad and Ness 2013a) and the CNMI (Conrad and Ness 2013b) have Energy Action Plans, but have yet to make significant progress in their implementation. Palmyra Atoll currently has a small research station (operated by The Nature Conservancy and the Palmyra Atoll Research Consortium) on its largest island that is powered by a combination of solar and wind power arrays, supported by a diesel generator. When assessing the potential effects on EFH, these renewable energy activities can be divided into two sub-categories: land-based and ocean-based energy activities.

**Land-based energy** projects include wind turbines, solar, geothermal facilities, and land-based Ocean Thermal Energy Conversion (OTEC). The stressors affected by the land-based portions of these projects would be similar to those found under land-based development/construction category. Some facilities, such as OTEC, require inwater intake and discharge structures which can contribute to direct effects on coastal and nearshore ecosystems. If energy produced through these projects remains on the island where it is generated, likely no additional effects to EFH would be expected, except for OTEC, which is discussed in more detail below. If energy is to be transferred to neighboring islands within an archipelago, the most practical transmission method would use submerged cables, either in surface or (more likely) buried conduits. Buried conduits would likely require removal or disturbance of the substratum, including coral reef, either through mechanical trenching, directional drilling, or a combination of the two.

**Ocean-based energy** projects include wind turbines and solar facilities placed on platforms in the ocean, and alternative energy approaches that use the physical (*e.g.*, wave or tidal energy) or thermal (*e.g.*, OTEC) properties of the ocean to generate power. Ocean-based energy projects require infrastructure, but it can be free floating or anchored to the bottom. Essential infrastructure feetures include power generating infrastructure and a means to transfer the generated energy to land. Proposals that have been considered in the Western Pacific Region include platform wind turbine farms, hydrokinetic generators (several designs are currently under testing off O'ahu, Hawai'i), and ocean-based OTEC. As with land-based projects, energy would be transferred to consumers via either surface or buried conduits.

The energy production potential for OTEC is considered to be much greater than for other ocean energy forms (Arvizu *et al.* 2011), and pilot projects have already been conducted in Hawai'i. OTEC is considered an attractive and viable energy production method in the Pacific, but it presents specific challenges to EFH that do not occur with other alternative energy production methods. OTEC uses the temperature differential between cold deep and warmer surface waters to generate electricity. OTEC systems may be either closed-cycle or open-cycle. Closed-cycle OTEC uses refrigerants such as ammonia for powering the system's generators, while open-cycle designs vaporize warm surface seawater in a low-pressure chamber and use it as the working fluid. As a by-product, OTEC produces cold, nutrient-rich water that is generally discharged back into the ocean.

# 3.3 Mining

**Quarries** are land-based mining locations that are present in most of the jurisdictions in the Western Pacific Region. Most quarry activity is dedicated to mining limestone for construction material, and likely has little effect on marine ecosystems, although they can potentially contribute to runoff. Unlike some other Pacific Islands (*e.g.*, Yap, Pohnpei, etc.), no direct mining of coral block/aggregate directly from living reefs occurs in the Western Pacific Region.

Currently, **deep ocean mining** is not economically viable on a large-scale, but continued advances in deep ocean mining technology and an increasing demand for rare earth elements (REE), will make it a realistic endeavor across the Pacific in the foreseeable future. Current deep ocean mining practices involve deploying remotely operated vehicles to locate prospective mine sites at depths between 1,400-3,700 m (4,200-8,100 ft) (Ahnert and Borowski 2000). Once a suitable site has been located, a mining ship or station is set up to mine the area (The Economist 2006) and one of two mineral extraction techniques are employed: 1) a continuous-line bucket system (CLB) and/or 2) a hydraulic suction system. The CLB system is the preferred technique and operates much like a conveyor-belt, running from the sea floor to the surface of the ocean

|                    | Potential  | Stre   | ssors  |
|--------------------|--|--|--|
| Activity/Source    | Jurisdictions  | Direct   | Indirect   |
| Land-based Energy  | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA<sup>†</sup></li> </ul> | <ul> <li>Thermal</li> <li>Salinity</li> <li>FAD effect</li> <li>Physical damage</li> <li>Sediment</li> </ul>   | <ul> <li>Irradiance</li> <li>Noise</li> <li>Invasive species</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> |
| Ocean-based Energy | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>Pelagic</li> </ul>          | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Invasive species</li> <li>FAD effect</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Metals</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> | • Noise  |

**Summary Table:** Energy Production. See Section 4.0 for a detailed discussion of each stressor.

<sup>†</sup> Palmyra

<sup>††</sup> Mariana Islands and Hawaii

where a ship or mining platform extracts the desired minerals from material collected by automated harvesters on the bottom, and discharges the tailings and deep ocean water back into the ocean (Nath and Sharma 2000). Hydraulic suction mining lowers a pipe to the seafloor and suction dredges material to the surface where it is processed to extract the desired minerals before a second pipe returns the tailings to the area of the mining site (Nath and Sharma 2000).

The International Seabed Authority (ISA), established as part of the United Nations Conventions on the Law of the Sea, regulates seabed mining in waters outside national jurisdictions, and grants exploration permits for projects. The U.S. is not a signatory to the Law of the Sea and not a party to the ISA. In 1980, Congress enacted the Deep Seabed Hard Mineral Resources Act (DSHMRA) under which U.S. citizens and corporations may apply to the Administrator of the National Oceanic and Atmospheric Administration (NOAA) for 10-year licenses to explore and 20-year permits to mine the deep seabed for hard mineral resources, and specifically REE (DSHMRA 1980). Within the EEZ of Hawai'i, commercial mining interests are subject to the Bureau of Ocean Energy Management's regulations governing non-energy mineral prospecting, leasing, and production. It is currently unclear under what authority deep ocean mining would be regulated in the territories, commonwealth or other administered areas outside of a designated Marine National Monument, National Wildlife Refuge, National Park or other such protected area, where mineral resource extraction is already prohibited.

Currently, U.S. mining licenses have been assigned in the mineral-rich Clarion-Clipperton Zone, roughly halfway between Hawai'i and Mexico. Additional licenses could be assigned to other mineral rich areas, which are often associated with natural hydrothermal vents. These vents regularly deposit rich concentrations of metals and minerals from the Earth's core to the ocean bottom. Hydrothermal regions are common off the Mariana Islands, and have been found off Hawai'i, which present potential opportunities for mineral extraction.

#### 3.4 Land-based Aquaculture

An increasing world population requires a sustainable source of protein, and for many cultures, this has traditionally been derived through the direct harvest of marine organisms. To meet future protein needs, freshwater aquaculture and marine aquaculture (sometimes refer to as aquaculture and mariculture, respectively) will likely continue to expand and become important farming practices throughout the Pacific. In Hawai'i, aquaculture production has increased by more than 150% between 2011 and 2015 (DBEDT 2016). Likewise, increasing production has been seen in American Samoa and Guam since 2000 (Knomea 2016). "Fish farming" has a long cultural tradition in many parts of the Pacific (Keala *et al.* 2007), including Hawai'i where native

|                 | Potential   | Str   | essors   |
|-----------------|---|---|--|
| Activity/Source | Jurisdictions   | Direct  | Indirect   |
| Quarries        | • HI<br>• AS<br>• MI  |   | <ul> <li>Irradiance</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> |
| Deep Ocean      | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA<sup>†</sup></li> <li>Pelagic</li> </ul> | <ul> <li>Irradiance</li> <li>Noise</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Metals</li> </ul> |  |

Summary Table: Mining. See Section 4.0 for a detailed discussion of each stressor.

<sup>†</sup> Outside protected areas

<sup>††</sup> Mariana Islands and Hawai'i

Hawaiians developed extensive coastal fishponds to grow species such as moi (*Polydactylus sexfilis*), āholehole (*Kuhlia sandvicensis*), and 'ama'ama (*Mugil cephalus*).

Until recently, land-based aquaculture was the primary commercial approach used to rear fish and shellfish, wherein tanks or ponds were placed directly on shore and stocked with desired species<sup>1</sup>. Water (fresh or salt) is pumped into the ponds, and wastewater effluent, is often returned to the nearshore waters, either passively via channels or actively via pumps. Alternative disposal methods, such as ground injection (HDOA 2011), or treatment using reverse osmosis (Qin *et al.* 2005) have been employed in the Western Pacific Region. Cultured organisms were fed to maximize their growth rate, and any excess feed, combined with excretory products would be flushed from the ponds, resulting in elevated nutrient levels in the receiving waters.

#### 3.5 Development/Construction

Given the relatively small size of the islands in the Western Pacific Region, nearly all human development and construction occurs close enough to the coast to potentially affect EFH. Of particular concern are development projects that move earth, alter surface condition (*e.g.*, change ground permeability, erosion rates, etc.), or introduce potential contaminants. Many of these projects require local and/or federal permits and are likely to be subject to environmental review

|                           | Potential            | Stressors  |           |
|---------------------------|----------------------|--|-----------|
| Activity/Source           | Jurisdictions        | Direct   | Indirect  |
| Land-based<br>aquaculture | • HI<br>• AS<br>• MI | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Invasive Species</li> <li>Disease</li> <li>FAD effect</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>†</sup></li> <li>Endocrine disruptors</li> </ul> | • Hypoxia |

**Summary Table:** Land-based Aquaculture. See Section 4.0 for a detailed discussion of each stressor.

<sup>†</sup> Mariana Islands

<sup>&</sup>lt;sup>1</sup>In some cases, fish ponds and other support structures such as oyster racks, were placed in coastal waters. In addition, new approaches use anchored and free floating cages. These aquaculture practices and associated facilities will not be covered in this review; the WPRFMC is examining their effects elsewhere.

or other forms of disclosure that involve public and expert review (*e.g.*, NEPA, coastal zone management program, Clean Water Act, and/or the local equivalent).

**Land-based** development/construction activities include the majority of development projects in the Western Pacific Region, and are projects that have no direct connection with coastal waters, *i.e.*, are not water dependent. This includes the construction of most buildings and associated infrastructure, other structures (*e.g.*, energy production and transmission structures), and most roads, although see coastal roads below for a special case.

**Coastal roads** are a special case of land-based road construction in which part of the construction requires activities to occur in coastal waters and usually require some placement of fill. This may include construction of bridges, but also include coastal stabilization or hardening structures intended to fortify roads from erosion and/or inundation. In addition, other coastal hardening conducted independent of road construction (*e.g.*, shoreline stabilization, channelizing waterways, etc.) will have similar effects. With rising seas and other anticipated climate change effects, an increase in the number of construction and refurbishments of existing roads using coastal fortifications is expected, as well as an increase in other coastal hardening projects intended to protect shorelines from erosion and infrastructure from inundation.

Unlike land-based projects, waterbased development/construction has a direct connection or nexus with estuarine or marine ecosystems. These structures or projects are "water dependent" and thus cannot be built elsewhere. **Waterbased (dredging)** projects require the removal or addition of material into the waters of the U.S., and may include activities such as dredging to create or maintain navigational channels; trenching, blasting, pile driving, or drilling to install pilings, anchorings or other structures, or to bury conduits, pipelines, or other features; or the release of fill material to create breakwaters and other in-water stabilization/fortification structures. In contrast, **waterbased (non-dredging)** projects do not require dredging or filling, and may include installation of floating structures (*e.g.*, wave or wind turbines, etc.), and possibly construction of harbors or marinas, depending on their size and location.

**Artificial reefs** are a special case of waterbased construction and are highlighted separately from other waterbased activities due primarily to their designed purpose. These structures are specifically designed and constructed to enhance one or more marine services, and are generally considered to have net positive effects on the marine environment (although this is not always the case). Artificial reefs are often proposed as mitigation for adverse effects on marine ecosystems under federal permitting requirements such as the Clean Water Act. Regardless of their intended purpose and benefits, the placement and design of these features must be individually assessed for their effectiveness to enhance ecosystem services, as well as their potential to adversely affect EFH.

## **3.6 Shipping/Boating**

Beyond the operation of a vessel itself, shipping/boating encompasses a wide variety of activities that could adversely affect marine ecosystems. Many of these activities and sources of stress are covered elsewhere in this report (*e.g.*, dredging and construction projects associated with harbors and safe navigation, marine debris, etc.). Not covered elsewhere are activities including the

installation and maintenance of aids-to-navigation and large-scale anchorages, specifically the anchoring of prepositioning ships off the west coast of Saipan, CNMI. **Summary Table:** Development/Construction. See Section 4.0 for a detailed discussion of each stressor.

|                          | Potential  | Stre   | ssors  |
|--------------------------|--|--|--|
| Activity/Source          | Jurisdictions  | Direct   | Indirect   |
| Land-based               | • HI<br>• AS<br>• MI   |  | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Hypoxia</li> <li>Invasive Species</li> <li>Disease</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> |
| Coastal Roads            | • HI<br>• AS<br>• MI   | <ul> <li>Irradiance</li> <li>Noise</li> <li>Invasive species</li> <li>Disease</li> <li>FAD effect</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> | <ul> <li>Irradiance</li> <li>Hypoxia</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> </ul>  |
| Waterbased<br>(dredging) | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA<sup>†</sup></li> </ul> | <ul> <li>Irradiance</li> <li>Noise</li> <li>Invasive species</li> <li>Disease</li> <li>FAD effect</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> </ul>  | • Hypoxia  |

|                               | Potential   | Stressors  |          |
|-------------------------------|---|--|----------|
| Activity/Source               | Jurisdictions   | Direct   | Indirect |
|                               |   | <ul> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul>  |          |
| Waterbased (non-<br>dredging) | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA<sup>†</sup></li> <li>Pelagic</li> </ul> | <ul> <li>Noise</li> <li>Invasive species</li> <li>FAD effect</li> <li>Physical damage</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance<sup>††</sup></li> <li>Endocrine disruptors</li> </ul> |          |
| Artificial reefs              | • HI<br>• AS<br>• MI  | <ul> <li>Invasive species</li> <li>FAD effect</li> <li>Physical damage</li> <li>Hydrocarbons</li> </ul>  | • Noise  |

<sup>†</sup> Palmyra

<sup>††</sup> Mariana Islands and Hawai'i

**Shipping** is an essential activity in the Western Pacific Region, and is responsible for the transportation of nearly all imported goods. Maritime-based activities such as boat-based fishing and ocean tourism, are critical to island economies. Hawai'i and Guam possess large U.S. military bases, from which naval activity and training are regularly conducted. Even for the PRIA, ships are the primary means for accessing the remote islands to conduct research and management activities.

**Aids-to-navigation** (**ATONS**) are "road signs" for ship crews and generally include a variety of buoys and beacons, each of which has a purpose to aid boaters in determining location, getting from one place to another, and staying out of danger. As such, ATONS are expected to have a net beneficial effect on EFH. These aids are securely anchored in the nearshore waters of all U.S. jurisdictions where shipping/boating occurs, although the PRIA are a notable exception (except for Palmyra, Wake Islands, and Johnston Islands which have ATONS).

Large-scale **anchorage** sites are rare in the jurisdictions of the Western Pacific Region, although the anchoring of military prepositioning ships off Saipan and military vessels in Apra Harbor are notable exceptions. The mission of these vessels is to quickly and efficiently deliver military cargo and supplies to a designated area in support of two Marine Expeditionary Brigades for up to 30 days and in response to a crisis or humanitarian disaster. Three to five vessels occupy the Garapan Anchorage as part of Maritime Prepositioning Ships Squadron-3 (MPSRON-3), and use large anchors with a considerable scope of heavy chain to hold their position. The vessels use pre-designated anchoring spots identified on NOAA nautical charts. Vessels have been observed to swing in an approximately 60-degree arc depending on the state of the winds and currents, dragging chain along the bottom (Rooney *et al.* 2005).

| <b>Summary Table:</b> Shipping/Boating. | See Section 4.0 for a detailed discussion of each stressor. |
|---|---|
|---|---|

|                 | Potential  | Stressors  |          |
|-----------------|--|--|----------|
| Activity/Source | Jurisdictions  | Direct   | Indirect |
| Shipping        | • HI<br>• AS<br>• MI<br>• PRIA   | <ul> <li>Noise</li> <li>Invasive species</li> <li>Disease</li> <li>FAD effect</li> <li>Physical damage</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul> |          |
| ATONS           | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA<sup>†</sup></li> </ul> | <ul> <li>FAD effect</li> <li>Physical damage</li> <li>Hydrocarbons</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul>  |          |
| Anchorage       | • MI <sup>††</sup>   | <ul> <li>Noise</li> <li>Invasive species</li> <li>FAD effect</li> <li>Physical damage</li> <li>Hydrocarbons</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul>   |          |

<sup>†</sup>Wake and Palmyra

<sup>††</sup> Saipan and Guam

## 3.7 Marine Debris

**Marine debris** is comprised of any persistent solid material that has been manufactured by humans and directly or indirectly, intentionally or unintentionally, disposed of or abandoned into the ocean. It can originate from land and be blown or transported via water into coastal waters or it can be directly disposed of into the ocean, generally from ships. Marine debris can include, but is not restricted to, derelict fishing gear, manufactured household and industrial items, metals, plastics, and microplastics. An estimated 4.8 to 12.7 million metric tons of marine debris entered the ocean in 2010 (Jambeck *et al.* 2015).

Once in the ocean, floating debris can be transported by wind and ocean currents thousands of kilometers (Erickson *et al.* 2014) before degrading, sinking, or washing up onto beaches. Due to the configuration of currents, marine debris often collects in specific regions of the ocean,

usually referred to as "garbage patches" (NOAA 2011). Marine debris most often approaches islands from the windward side (Tetra Tech 2010), presenting added risk to marine ecosystems along those shores.

Floating debris poses a threat to pelagic animals and once it sinks, it can become entangled around benthic organisms. While ingestion rates may be high among sea turtles and marine mammals, it is considerably lower among fish, with documented ingestion limited to approximately 40 species worldwide, or less than one percent of all species (CBD 2012). Marine debris can serve as floatation and aid species dispersal (Gregory 2009, Donohoue *et al.* 2001). Recently, debris washed into the ocean from the 2011 tsunami in northern Japan has raised concerns for its potential to transport invasive species and contaminants (initial concerns associated with radioactivity have been found to be unwarranted [Smith *et al.* 2015]).

#### **3.8 Other Human non-fishing Use**

Humans use the marine environment in a variety of ways and for many purposes. Many of these activities have direct effects on EFH that are not included under other activities in this report. **Military training**, both land-based and ocean-based, is commonly conducted by all branches of the U.S. military throughout the jurisdictions of the Western Pacific Region. Troop and ship maneuvers, amphibious landings, weapons training, active use of sonar, missile launches, underwater demolitions, and coordinated maneuvers with multinational task forces are all important features of military training in the Pacific.

A wide range of civilian, non-fishing activities occur in the Pacific Islands, mostly involving **recreational use**, and including but not limited to scuba diving (and other similar activities), swimming, surfing, boating, and jet skiing. These activities are popular among local island residents and are an important part of the local tourist-based economies of most Wester Pacific jurisdictions.

**Scientific research** is actively conducted in most jurisdictions in the Western Pacific Region. Within the PRIA, it is likely the most prominent and common human use. Most scientific research has very low impact on the environment relative to the other activities included in this report, and the beneficial effects of scientific research likely outweigh these minimal effects.

|                 | Potential     | Stressors           |          |
|-----------------|---------------|---------------------|----------|
| Activity/Source | Jurisdictions | Direct              | Indirect |
| Marine debris   | • HI          | • Invasive species  |          |
|                 | • AS          | • FAD effect        |          |
|                 | • MI          | Physical damage     |          |
|                 | • PRIA        | Hydrocarbons        |          |
|                 | • Pelagic     | Herbicide/Pesticide |          |
|                 | C C           | • Metals            |          |
|                 |               | • PCBs              |          |

Summary Table: Marine Debris. See Section 4.0 for a detailed discussion of each stressor.

|   | Endocrine disruptors |  |
|---|----------------------|--|
| However, sample collection and the installation of instrumentation has the potential to produce |                      |  |

However, sample collection and the installation of instrumentation has the potential to produce cumulative effects, especially if numerous research efforts are spatially and/or temporally concentrated.

#### **3.9** Wastewater Discharge

Most terrestrial-derived "pollutants" are transported to and enter the nearshore ocean via water, whether it is the intentional disposal or through natural processes. For the purposes of this report, wastewater is defined as any water entering the ocean, via point source, groundwater, river system, or runoff that carries some pollutant (*e.g.*, sediment, chemicals, biological contaminants/ organisms) or has different physical properties (*e.g.*, different temperature or salinity) than the receiving body.

**Summary Table:** Other Human Non-fishing Use. See Section 4.0 for a detailed discussion of each stressor.

|                     | Potential   | Stre   | essors  |
|---------------------|---|--|---|
| Activity/Source     | Jurisdictions   | Direct   | Indirect  |
| Military training   | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>Pelagic</li> </ul>               | <ul> <li>Noise</li> <li>Invasive species</li> <li>Physical damage</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Metals</li> <li>PCBs</li> <li>Ordnance</li> <li>Endocrine disruptors</li> </ul>                  | <ul> <li>Salinity</li> <li>Irradiance</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>PCBs</li> <li>Ordnance</li> <li>Endocrine disruptors</li> </ul> |
| Recreational use    | • HI<br>• AS<br>• MI  | <ul> <li>Noise</li> <li>Invasive species</li> <li>FAD effect</li> <li>Physical damage</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul> | <ul> <li>Sediment</li> <li>Endocrine disruptors</li> </ul>  |
| Scientific research | <ul> <li>HI</li> <li>AS</li> <li>MI</li> <li>PRIA</li> <li>Pelagic</li> </ul> | <ul> <li>Invasive species</li> <li>Disease</li> <li>FAD effect</li> <li>Physical damage</li> <li>Hydrocarbons</li> <li>Metals</li> </ul>   |   |

In the jurisdictions of the Western Pacific Region, effluent from primary and secondary **sewage** treatment plants often discharge directly into the nearshore waters via outfalls. Discharges may be in relatively shallow (~30 m) to deep (>80 m) water. Alternatively, treated effluent can be discharged into upland injection wells, where there is the potential for it to migrate into the groundwater and eventually find its way to the ocean through submarine groundwater discharge. Following large rainfall events, high volumes of stormwater can overburden treatment facilities and result in the discharge of untreated human sewage. Many island communities around the Pacific are not connected to municipal sewage treatment facilities, and rely on cesspools or septic tanks. Cesspools and septic systems are common in many rural and coastal areas of Hawai'i, American Samoa and the Mariana Islands (Southwest States and Pacific Islands Regional Water Program 2005). These are prone to leaking, allowing poorly or untreated human sewage to infiltrate into the groundwater, and in some locations, to enter coastal waters. Coastal septic and cesspool systems are particularly susceptible to sea level rise.

Intense or sustained rainfall can result in large discharges of **stormwater**, either through point sources such as stormwater pipes or via non-point sources such as runoff. High sheetwater flow rates can increase erosion and reduce the effectiveness of natural processes that filter pollutants from the stormwater prior to ocean entry. The volume and severity of stormwater discharges are directly related to the intensity, duration, frequency, timing, and/or scale of the rainfall event and the permeability of the surface. Low permeability, such as that associated with many land-based development/construction projects, often results in an increase in sheetwater flow.

Numerous **other activities** are responsible for discharges directly or indirectly into the nearshore marine waters. With some exceptions, agricultural fields (*e.g.*, sugar cane and other agriculture), taro lo'i, and animal lots (*e.g.*, piggeries in American Samoa) produce discharges that are currently excluded from U.S. Clean Water Act regulation, but can be significant sources of pollutants to coastal waters. Fish canning facilities, present in American Samoa, produce nutrient-rich effluent high in suspended solids and oils, whereas other large, managed landscapes, including golf course and residential developments, can be significant sources of nutrients and chemical contaminants, via non-point source runoff. While their point source discharges are regulated, sugar mills, power plants, and OTEC facilities dispose of wastewater from processing or cooling generators into the nearshore marine environment.

|                  | Potential            | Stre   | ssors     |
|------------------|----------------------|--|-----------|
| Activity/Source  | Jurisdictions        | Direct   | Indirect  |
| Sewage           | • HI<br>• AS<br>• MI | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Disease</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul>               | • Hypoxia |
| Stormwater       | • HI<br>• AS<br>• MI | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Disease</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>PCBs</li> <li>Endocrine disruptors</li> </ul> | • Hypoxia |
| Other discharges | • HI<br>• AS<br>• MI | <ul> <li>Thermal</li> <li>Salinity</li> <li>Irradiance</li> <li>Disease</li> <li>Sediment</li> <li>Nutrient inputs</li> <li>Hydrocarbons</li> <li>Herbicide/Pesticide</li> <li>Metals</li> <li>Endocrine disruptors</li> </ul>               | • Hypoxia |

**Summary Table:** Wastewater Discharge. See Section 4.0 for a detailed discussion of each stressor.

# 4.0 Ecological Stressors in the Marine Environment

Ecological stressors are factors that alter the productivity, fitness, and the survival of organisms, and/or affect the long-term persistence and the functional and structural capacity of populations, biological assemblages, or ecosystems. Sources of ecological stress can come from natural environmental events such as storms, or may result directly or indirectly from human activities (Table 2). Some ecological stressors act at a relatively small spatial scale, whereas others are regional or global in effect.

At any particular time and place, organisms are exposed to a complex regime of interacting ecological stressors. In some instances, the exposure to a given stressor is intense, but of short duration (*e.g.*, a storm-driven flood event, a ship grounding). In other instances, exposure may be chronic and relatively unchanging over time (*e.g.*, sewage discharge, nutrient input via groundwater). The complex interactions among stressors, and across their ranges of exposure, are what determine the potential effects on organisms and ecosystems.

Stressors create challenges to the integrity and quality of ecosystems, and by extension, the EFH to which those ecosystems are a component. When exposure to environmental stressors changes in intensity, duration, frequency, timing, and/or scale, organisms and/or ecosystems will undergo an ecological response. For example, disruption of an ecosystem by an intense disturbance could cause the mortality of specific organisms and other ecological damage, followed by a gradual recovery driven by natural processes (*e.g.*, succession). Species and ecosystems have some inherent capacity to tolerate changes to the intensity of stressors, but there are limits to this ability, which are often represented as tolerance thresholds. When these thresholds are exceeded, substantial ecological change may occur, often causing adverse effect to EFH.

Fifteen potential stressors on EFH (Table 2) have been identified for this report, and their effects on the ecosystems within the Western Pacific Region are discussed in greater detail below. These stressors (in bold) have been grouped into the following broad categories:

- 1. *Environmental stressors* are associated with excessive or insufficient physical or chemical conditions within the marine environment. Environmental stressors can be associated with water temperature, solar radiation, salinity, pH, dissolved oxygen, and any combinations of these, and in this report, include: **Ocean acidification, Shifts in productivity, Thermal, Salinity, Irradiance, Noise,** and **Hypoxia.**
- 2. *Biological stressors* are associated with interactions among organisms of the same or different species. Biological stressors can result from competition, herbivory, predation, parasitism, and disease, and in this report, include: **Invasive species**, **Disease**, and **Fish Aggregating Device (FAD) effect**.

**Table 2.** The potential stressors associated with non-fishing activities and sources of stress. Activity categories (rows) are discussed in detail in the text. Stressors are groups into five general types: environmental (blue), biological (red), physical (green), chemical (purple), and sea level rise (orange). D=activity directly affects the stressor, i=activity indirectly affect the stressor, \*=may be a problem in some jurisdictions.

|                          |                     |                       |         |          |            |       |         |                  |         |            |                 |          |                 | Chemicals    |                     |        |      |          |                      |                |
|--------------------------|---------------------|-----------------------|---------|----------|------------|-------|---------|------------------|---------|------------|-----------------|----------|-----------------|--------------|---------------------|--------|------|----------|----------------------|----------------|
|                          | Ocean Acidification | Shift in Productivity | Thermal | Salinity | Irradiance | Noise | Hypoxia | Invasive Species | Disease | FAD Effect | Physical Damage | Sediment | Nutrient Inputs | Hydrocarbons | Herbicide/Pesticide | Metals | PCBs | Ordnance | Endocrine Disruptors | Sea Level Rise |
| Climate Change           | D                   | D                     | D       | i        | i          |       |         | i                | i       |            | i               | i        | i               | i            | i                   | i      | i    | *        | i                    | D              |
| Energy Production        |                     |                       |         |          |            |       |         |                  |         |            |                 |          |                 |              |                     |        |      |          |                      |                |
| Landbased                |                     |                       | D       | D        | i          | i     |         | i                |         | D          | D               | iD       | i               | i            | i                   | i      | i    | *        | i                    |                |
| Waterbased               |                     |                       | D       | D        | D          | i     |         | D                |         | D          | D               | D        | D               | D            |                     | D      |      | *        | D                    |                |
| Mining                   |                     |                       |         |          |            |       |         |                  |         |            |                 |          |                 |              |                     |        |      |          |                      |                |
| Quarries                 |                     |                       |         |          | i          |       |         |                  |         |            |                 | i        | i               | i            |                     | i      | i    | *        | i                    |                |
| Deep Ocean               |                     |                       |         |          | D          | D     |         |                  |         |            | D               | D        |                 | D            |                     | D      |      |          |                      |                |
| Land-based Aquaculture   |                     |                       | D       | D        | D          |       | i       | D                | D       | D          |                 | D        | D               |              | D                   | D      | D    | D        | D                    |                |
| Development/Construction |                     |                       |         |          |            |       |         |                  |         |            |                 |          |                 |              |                     |        |      |          |                      |                |

| Landbased               |  | i | i | i  |   | i | i | i |   |   | i  | i  | i | i | i | i  | * | i  |  |
|-------------------------|--|---|---|----|---|---|---|---|---|---|----|----|---|---|---|----|---|----|--|
| Coastal roads           |  |   |   | iD | D | i | D | D | D | D | iD | iD | D | D | D | iD | * | D  |  |
| Waterbased-Dredging     |  |   |   | D  | D | i | D | D | D | D | D  | D  | D | D | D | D  | * | D  |  |
| Waterbased-Non-dredging |  |   |   |    | D |   | D |   | D | D |    |    | D | D | D | D  | * | D  |  |
| Artificial reefs        |  |   |   |    | i |   | D |   | D | D |    |    | D |   |   |    |   |    |  |
|                         |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Shipping/Boating        |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Shipping                |  |   |   |    | D |   | D | D | D | D | D  | D  | D |   | D |    |   | D  |  |
| ATONs                   |  |   |   |    |   |   |   |   | D | D |    |    | D |   | D |    |   | D  |  |
| Anchorages              |  |   |   |    | D |   |   |   | D | D |    |    | D |   | D |    |   | D  |  |
|                         |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Marine Debris           |  |   |   |    |   |   | D |   | D | D |    |    | D | D | D | D  |   | D  |  |
|                         |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Non-fishing Human Uses  |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Military training       |  |   | i | i  | D |   | D |   |   | D | i  | iD | D |   | D | iD | * | iD |  |
| Recreational use        |  |   |   |    | D |   | D |   | D | D | i  | D  | D | D |   |    |   | iD |  |
| Research                |  |   |   |    |   |   | D | D | D | D |    |    | D |   | D |    |   |    |  |
|                         |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Wastewater Discharge    |  |   |   |    |   |   |   |   |   |   |    |    |   |   |   |    |   |    |  |
| Sewage                  |  | D | D | D  |   | i |   | D |   |   | D  | D  | D | D | D |    |   | D  |  |
| Stormwater              |  | D | D | D  |   | i |   | D |   |   | D  | D  | D | D | D | D  |   | D  |  |
| Other activities        |  | D | D | D  |   | i |   | D |   |   | D  | D  | D | D | D |    |   | D  |  |

- 3. *Physical stressors* are associated with changes in exposure to kinetic energy. This type of ecological disturbance is often acute and episodic, and in this report, include: **Physical damage.**
- 4. *Pollution stressors* occur when chemicals or other contaminants are present in concentrations large enough to affect organisms and thereby cause ecological change. Pollution can include anthropogenic inputs of pesticides/herbicides, hydrocarbons, metals, and other toxic chemicals, but also can include inputs of sediment and nutrients. This report includes: **Sediment, Chemicals,** and **Nutrient inputs.**
- 5. *Sea level rise* is a unique marine stressor with important implications in the Western Pacific Region. On casual examination, sea level rise alone might appear to be unimportant to subtidal marine ecosystems, but it is a significant direct threat to intertidal and mangrove ecosystems. Additionally, it acts indirectly on other ecosystems through often synergistic interactions with other stressors (see Section 5.0).

# 4.1 Environmental Stresses

# 4.1.1 Ocean Acidification

Ocean acidification is the decrease in the pH of the oceans caused by the uptake of atmospheric carbon dioxide (CO<sub>2</sub>) (Caldiera and Wickett 2003). Seawater is slightly basic (pH ~8.2) and acidification shifts it towards a less basic condition, *i.e.*, lower pH. Equally important, acidification decreases the carbonate concentration in seawater, and thus decreases the saturation state of calcium carbonate (CaCO<sub>3</sub>) (Orr *et al.* 2005, Kleypas *et al.* 2006, Cooley and Doney 2009). This change in the chemical make-up of seawater can directly affect the biological process of calcification, essential for reef-building organisms, mollusks, echinoderms, and many types of plankton.

Over the past two centuries, atmospheric CO<sub>2</sub> has increased by over 43%, from pre-industrial levels of approximately 280 parts per million (ppm) (IPCC2007) to over 400 ppm in 2016 (NOAA 2016), and under "business-as-usual" models which assume continued greenhouse gas emissions at or exceeding current rates, atmospheric CO<sub>2</sub> could exceed 1,000 ppm by the end of the century (Kiehl 2011). This rate of CO<sub>2</sub> increase is driven primarily by human burning of fossil fuels and deforestation (Doney & Schimel 2007), and the current concentration of CO<sub>2</sub> is higher than that experienced on Earth for at least the past 800,000 years (Lüthi *et al.* 2008). Rising atmospheric CO<sub>2</sub> is tempered by oceanic uptake, which can absorb up nearly a third of the anthropogenic carbon added to the atmosphere (Sabine and Feely 2007, Sabine *et al.* 2004).

At the Hawai'i Ocean Time-Series (HOT) station ALOHA, the rate of increase of surface water  $CO_2$  and atmospheric  $CO_2$  are strongly correlated (Takahashi *et al.* 2006, Dore *et al.* 2009), indicating uptake of anthropogenic  $CO_2$  is the primary cause of long-term decreases in pH and CaCO<sub>3</sub> saturation state. Since preindustrial times, the average ocean surface water (the ocean layer down to approximately 100 m) pH has fallen by approximately 0.1 pH units, from

approximately 8.21 to 8.10 (Royal Society 2005) which is due to the logarithmic nature of the pH scale represents about a 30% increase in acidity (Caldiera and Wickett 2003). Buoy data from the equatorial Pacific (covering years 1997-2011) show pH ranged from 7.91-8.12 (Sutton et al. 2014), which is consistent with what has been observed in subtropical waters (pH = 8.06-8.14) via the HOT station ALOHA time series (Dore et al. 2009). Acidity is expected to decrease to 7.88 pH units if the atmospheric CO<sub>2</sub> concentration reaches 1,000 ppm (IPCC 2007), although more current projections suggest pH might be lower under this business-as-usual model (IPCC 2014). Even under modest, likely-to-be-obtained climate change predictions ( $CO_2 = 560$ ) ppm), oceanic pH is expected to be 7.92 pH units (IPCC 2014), and deep ocean waters and arctic surface waters are expected to be undersaturated (CaCO<sub>3</sub> saturation state <1). At pH 7.8, major ecological changes will occur because of the impairment of invertebrate reproduction (Wood et al. 2008, Wang et al. 2016) and recruitment (Nakamura et al. 2011), and shell dissolution of many benthic and planktonic invertebrate taxa (Smith & Buddemeier 1992, Kleypas et al. 1999, Hall-Spencer et al. 2008, Cooley and Doney 2009). Additionally, acidification will affect biological processes beyond calcification, including gene expression, metabolism, and cell death/regeneration (Kleypas et al. 2006, Todgham and Hoffman 2009). Already seasonal acidification events are appearing in upwelled waters along the California coastline in summer, decades earlier than models predict (Feely et al. 2008, Gruber et al. 2012).

However, the effect of ocean acidification on calcification is complicated by the fact that enhanced levels of CO<sub>2</sub> can increase photosynthetic rates (Behrenfeld *et al.* 2006, Kranz *et al.* 2009), which will affect net primary productivity (Hein and Sand-Jensen 1997, Behrenfeld *et al.* 2006, Jiao *et al.* 2010). In corals, much evidence suggests that under normal conditions, calcification rates generally rise proportionally with increases in rates of primary production, both at the colony and assemblage scale (Gattuso *et al.* 1999), yet in virtually all studies that have measured both photosynthesis and calcification in corals, any stimulation of photosynthesis by increased CO<sub>2</sub> was accompanied by a decrease, rather than an increase, in calcification (Reynaud *et al.* 2003). In Hawai'i, Langdon and Atkinson (2005) exposed an assemblage of corals (*Porites compressa* and *Montipora capitata*) to two levels of CO<sub>2</sub>, and at the higher CO<sub>2</sub> level, observed a 22–26% increase in the rate of net primary production but a 44–80% decrease in calcification, depending on the species and the time of year.

Furthermore, calcification rates in the wild are affected by other stressors such as temperature, light levels, and the availability of trace minerals and nutrients, and several studies have illustrated a complicated relationship between calcification (which affects photosynthesis), and the interactions among ocean acidification and these other stressors. For example, light intensity was shown to be an important factor in laboratory experiments with marine foraminifera, where calcification rates decreased with increasing  $CO_2$  concentrations only under saturating light intensities (Zondervan *et al.* 2002). Trace metal limitation has been shown to affect marine foraminifera calcification and growth (Schulz *et al.* 2004), and iron limitation affected both calcification and productivity, while zinc was limiting to productivity, but not calcification.

Under the "business-as-usual" climate change scenarios, temperate and colder oceans are expected to become undersaturated in both calcite and the more bio-available aragonite (Orr *et al.* 2005), but the warm surface waters of the tropics and subtropics are not expected to become undersaturated over the range of these projected conditions (Fabry *et al.* 2008), except perhaps in

some upwelling regions. In these areas aragonite undersaturated waters are pushed upward from the deep ocean into shallower water—a phenomenon frequently referred to as the "shoaling of aragonite saturation horizons"—where it would now impinge on the depth ranges of pelagic animals (Feely *et al.* 2004). Even though tropical surface waters are not expected to become undersaturated, the average aragonite saturation state under "business-as-usual" climate models is expected to be about half its current state in the tropical Pacific (Fabry *et al.* 2008), leading to significantly lower calcification rates.

Reduced calcification rates have been observed following acidification for a variety of calcareous organisms even when aragonite or calcite saturation state is > 1 (Royal Society 2005, Kleypas *et al.* 2006, Fabry *et al.* 2008). Some reef-building corals appear to cease calcification at aragonite saturation state as high as two, but the degree of sensitivity varies among species, and some marine taxa may even show enhanced calcification at elevated  $CO_2$  levels (Iglesias-Rodríguez *et al.* 2008, Ries *et al.* 2009). However, studies of ocean acidification on calcification rates of marine organisms exist for a limited number of species, and we lack sufficient understanding of calcification mechanisms to explain species-specific differences (Doney *et al.* 2009). Regardless, the evidence suggests calcification rates will be significantly reduced for most marine organisms.

Currently, most studies examining the effect of ocean acidification on marine organisms have been of short duration, ranging from hours to weeks. Chronic exposure to increased acidification may have complex effects on the growth and reproductive success of calcifying organisms, and could induce adaptations that are not observed in short-term experiments (Kleypas *et al.* 2006, Doney *et al.* 2009).

Almost every study published to date confirms that calcification rates will decrease in response to decreasing aragonite saturation state and decreasing pH for corals (Gattuso *et al.* 1998, Langdon *et al.* 2000, Marubini & Atkinson 1999, Marubini & Davies 1996), coral reef communities (Langdon *et al.* 2000, 2005, Leclercq *et al.* 2000), and planktonic organisms (Bijma 1991, Riebesell *et al.* 2000). Additionally, in coral reef ecosystems, many other benthic calcifying taxa are ecologically important. Crustose coralline algae (CCA) are a widespread, globally-significant, but often undervalued, benthic marine organism (Foster 2001). CCA have shown declines in both calcification rates and recruitment rates at lower carbonate saturation state (Doropoulos *et al.* 2012), including in Hawai'i (Kuffner *et al.* 2008). This could have significant cascading effect through the coral reef ecosystem because CCA is an important structure-consolidating organism and a key settlement substratum for many corals. Under lower pH conditions, changes in CCA structure has significantly lowered the settlement density of coral larvae (Doropoulos *et al.* 2012).

Coral reef ecosystems are defined by their ability to produce a net surplus of CaCO<sub>3</sub> that produces the topographically complex reef structure necessary to support high marine biodiversity and biomass. Coral reef ecosystems have survived around many Pacific Islands because of their rapid accretion rates, giving them the ability to migrate upward and maintain themselves at a depth that has at least the minimum light levels required for continued growth. Under increasing ocean acidification, coral calcification rates will decrease, and dissolution rates will increase (Langdon *et al.* 2000, Yates and Halley 2006), particularly for those reefs at higher latitudes where seawater saturation state is expected to be closer to an undersaturated state. These reefs are already near the limit for reef growth, and will be further challenged by undersaturated seawater conditions. Interestingly, even though global warming may extend ocean water temperatures conducive to coral survival to higher latitudes, the decrease in reef CaCO<sub>3</sub> accretion expected at higher latitudes may restrict reef development to lower latitudes where aragonite saturation levels can support carbonate accumulation (Guinotte *et al.* 2003, Kleypas *et al.* 2001).

Even if calcification continues, reduced rates may impair the ability of calcifying organisms to compete with non-calcifying ones. Such a decrease has been observed in CCA assemblages when exposed to high-CO<sub>2</sub> conditions (Kuffner *et al.* 2008). Given that many taxa appear to exhibit species-specific responses (Fabry 2008, Ries *et al.* 2009, Doropoulos *et al.* 2012), assemblage- and ecosystem-level effects are likely to be complicated and difficult to predict, but are likely to result in major reorganizations of benthic and planktonic assemblages. These alterations will likely affect the physical and chemical structure of reefs. Topographical structure is a key ecological function strongly correlated with biodiversity, abundance, and biomass (Alvarez-Filip *et al.* 2009), and has direct implications on food webs dynamics.

Calcareous skeletal parts are widespread among many groups of benthic invertebrates and studies have reported drops in calcification rates at  $CO_2$  levels below those expected under the current "business-as-usual" models for common species of mussels (*Mytilus edulis*) and oysters (*Crassostrea gigas*), a Pacific conch (*Strombus luhuanus*) and numerous species of sea urchin (Shirayama and Thorton 2005, Dupont *et al.* 2010), many of which occur in the Western Pacific Region. However, these findings cannot be easily generalized across taxa (Kroeker *et al.* 2014); many urchins and crustaceans show surprising resistance to low pH (Hendricks and Duarte 2010, Dupont *et al.* 2010, Kroeker *et al.* 2014), and calcification rates in the arms of a burrowing brittle star increased when they were grown in low pH water (Wood *et al.* 2008), but this finding is complicated in that while brittle stars experienced increased calcification, they also experienced decreased muscle mass in the arms, which would reduce arm movement and likely decrease respiration and feeding, suggesting that over the long-term, the organism would experience a reduction in fitness, highlighting the potential sub-lethal effects that can occur in seemingly resistant taxa (Dupont and Thorndyke 2013).

The effects of acidification may be exacerbated by certain developmental bottlenecks that are affected by low pH, and thus may have a disproportionately large influence on population dynamics that are missed by most experimental investigation (Dupont *et al.* 2010, although see Hendricks and Duarte 2010). The response of early developmental stages of invertebrates to ocean acidification has been investigated across a range of species, including bivalves and sea urchins. Under increasing acidification, sea urchins show reduced fertilization success, developmental rates, larval size, metamorphosis, spicule formation, and in their ability to settle (Kurihara and Shirayama 2004, Dupont *et al.* 2010; Evans and Watson-Wynn 2014). Likewise, developmental abnormalities have been observed in the oyster *C. gigas*, after 24 hours of exposure to high CO<sub>2</sub> levels (>2,000 ppm) and 80% of the larvae displayed malformed shells or remained unmineralized (Kurihara *et al.* 2007). Less dramatic, but still significant, effects have been observed at lower CO<sub>2</sub> levels, and even short exposure at the fertilization stage can carry over into later stage larvae, affecting growth rates and calcification (Barton *et al.* 2012). Greater

susceptibility to increased acidification of larval and juvenile compared to adult mollusks is a pattern observed across a range of mollusks that have been studied (Kroeker *et al.* 2013).

In general, marine fish appear to be relatively tolerant to mild increases in  $CO_2$  (Munday 2011a, Kroeker *et al.* 2014). Otolith development is unaffected by moderate increases in acidity (Munday *et al.* 2011b), although sublethal metabolic effects have been identified for some reef fish species (Munday *et al.* 2009). The most significant effects may occur through cellular changes that block olfactory senses, and consequently the ability of adults and juveniles to detect predators (Dixson *et al.* 2010; Munday *et al.* 2013; Heuer and Grosell 2014), and possibly to locate suitable settlement habitat (Dixson *et al.* 2008), which under some ecological conditions could have significant adverse effects on a population.

Deepwater corals in the Western Pacific Region are slow growing and long lived (Roark *et al.* 2006). Their carbonate structure serves as important habitat for many deep sea species and support high biodiversity of invertebrates (Parrish and Baco 2007). The maximum depth of deep water corals and their associated species appears to coincide with the depth of the aragonite saturation state horizon (Guinotte *et al.* 2006), which under the "business-as-usual" climate models is expected to shoal. As such, these deepwater coral systems are expected to be the first to experience a shift to an undersaturated seawater condition (Doney *et al.* 2009). This will likely lead to range/depth contractions, and could force slow-growing deepwater corals into direct competition with shallow water coral species, which are likely superior competitors.

The effects of elevated  $CO_2$  and ocean acidification on primary productivity are complicated by the relationship between carbon uptake (as part of the photosynthetic process), temperature, calcification (where relevant), and nutrient availability. A potentially major consequence of ocean acidification will be significant changes in the inorganic and organic chemistry of seawater. Affected chemical species include biologically important elements such as boron, phosphorus, silicon, and nitrogen, as well as trace elements such as iron, zinc, vanadium, arsenic, and chromium (Doney *et al.* 2009). Concentrations of phosphate, silicate, fluoride, and ammonia species will decrease with increasing acidification (Zeebe and Wolf-Gladrow 2001), and will have far-reaching implications for phytoplankton and other ecological processes. Additionally, many trace elements (*e.g.*, aluminum, iron, chromium, etc.) show reduced bioavailability to organisms as result of hydrolyzation under increasing acidification. The overall effect of ocean acidification on the structure and function of these biologically important compounds is largely unknown, making predicting organismal and ecosystem effects difficult.

Seagrasses show a consistent and dramatic increase in light-saturated photosynthetic rates with increasing acidification (Zimmerman *et al.* 1997, Short and Neckles 1999, Invers *et al.* 2001), although it is possible these benefits could be offset by the negative effects of increased temperature on vegetative growth (Ehlers *et al.* 2008). Interestingly, regions near natural subsurface volcanic  $CO_2$  vents in the Mediterranean Sea showed a marked absence of reefbuilding corals and reduced abundance of sea urchins, coralline algae, foraminifera, and gastropods. Instead, the benthos was dominated by sea grass, anemones, and non-native invasive algal species (Hall-Spencer *et al.* 2008), consistent with expectations from laboratory experiments.

The mangrove trees *Rhizophora mangle* showed increase photosynthesis under elevated  $CO_2$  levels (Farnsworth *et al.* 1996), but this appears to be mediated by salinity. Trees grown under elevated  $CO_2$  experienced little growth enhancement in high-salinity conditions, but more growth enhancement under low-salinity conditions (Ball *et al.* 1997), an effect that was magnified for less-tolerant species (Ball *et al.* 1997). Likewise, little effect on mangrove seedling growth or survival was found for three species in different mangrove genera when grown under highly acidic conditions (pH=5.0) (Rozainah *et al.* 2016), suggesting that mangrove trees will experience few adverse effects from  $CO_2$  condition expected under "business-as-usual" climate models.

Most studies on the effect of ocean acidification on the calcification rates of non-larval planktonic organisms have focused on coccolithophores (a common tropical planktonic group), and have found inconsistent responses to acidified seawater. The bloom-forming coccolithophore species, *Emiliania huxleyi* and *Gephyrocapsa oceanica*, showed a 25-66% decrease in calcification rate when CO<sub>2</sub> was increased to 560–840 ppm (Riebesell *et al.* 2000, Zondervan *et al.* 2001, Zondervan *et al.* 2002, Sciandra *et al.* 2003, Delille *et al.* 2005, Engel *et al.* 2005). In contrast, other coccolithophore species have exhibited no significant change in calcification or malformations from being cultured in acidified seawater.

In laboratory experiments under conditions of 560 and 740 ppm CO<sub>2</sub>, the shell mass of two foraminifera species (*Orbulina universa* and *Globigerinoides sacculifer*) decreased by four to 14% compared with preindustrial CO<sub>2</sub> controls. Finally, the sub-arctic pteropod *Clio pyramidata* showed net shell dissolution in the living organisms when the aragonite saturation state reached <1 (Orr *et al.* 2005, Fabry *et al.* 2008), a level expected to occur over the range of this species under the current "business-as-usual" models.

Most marine phytoplankton tested in single-species laboratory studies and field population experiments showed little change in photosynthetic rates under CO<sub>2</sub> conditions equivalent to ~760 ppm (Tortell et al. 1997, Hein and Sand-Jensen 1997, Burkhardt et al. 2001, Tortell and Morell 2002, Rost et al. 2003, Beardall and Raven 2004, Giordano et al. 2005, Martin and Tortell 2006). In contrast, a phytoplankton assemblage dominated by diatoms and coccolithophores showed nearly a 40% increase in carbon uptake at CO<sub>2</sub> levels consistent with the "business-as-usual" climate models (Riebesell et al. 2007) indicating increased photosynthesis. Whether species show increased rates of photosynthesis with progressive oceanic uptake of atmospheric  $CO_2$  may depend on nutrient and trace metal availability, light conditions, and temperature. Extrapolating current experimental results to ocean regions presents significant challenges because the ocean warming that accompanies acidification increases stratification of the upper ocean, thereby reducing the upwelling of nutrients, which contributes to decreased phytoplankton biomass and productivity on a global scale (Behrenfeld et al. 2006). What is clear is that the species diversity and the composition of phytoplankton assemblages are likely to change, with some species facing a high probability of extinction. The potential for this change at the base of the food web to cascade upward through multiple trophic levels will directly depend on the dietary specialization of secondary and tertiary consumers. However, the potential for severe adverse effects throughout marine food webs is significant and particularly difficult to predict based on available information.

As with other plankton, the effect of ocean acidification on larval fishes appears to be highly variable. Potential effects include reduced growth and survival (Baumann *et al.* 2011), skeletal deformation (Pimentel *et al.* 2014), altered neurological function (Nilsson *et al.* 2012), altered otolith (ear stone) development (Checkley *et al.* 2009, Munday *et al.* 2011b, Hurst *et al.* 2012, Bignami *et al.* 2013), impaired tissue health (Frommel *et al.* 2011), and disrupted behavior (Munday *et al.* 2010, Ferrari *et al.* 2012, Hamilton *et al.* 2014). In contrast, several other studies reported no significant effects of ocean acidification on fish larvae (*e.g.*, Munday *et al.* 2011a, Frommel *et al.* 2013, Bignami *et al.* 2014), illustrating the variability in potential effects.

What is clear is that calcification in marine plankton will be adversely affected when surface waters become undersaturated. While the aragonite saturation state in tropical surface waters is not expected to drop below one under the current "business-as-usual" climate models, saturation state in deeper water layers is expected to be <1 and will likely affect the depth at which plankton can exist without experiencing shell demineralization (Orr *et al.* 2005). This will result in a contraction of marine phytoplankton ranges to shallower depths and lower latitudes. Unfortunately, predicting, and even detecting, such acidification-driven population shifts presents a significant challenge because of a lack of baseline data on the current distributions and abundances of most plankton species.

## 4.1.2 Shifts in Productivity

Open ocean productivity refers to the production of organic matter through the process of photosynthesis by phytoplankton (primary productivity) and the further production through the consumption and growth of non-photosynthetic heteroplankton (secondary productivity) suspended in the water column (Sigman and Hain 2012). Although productivity is the result of biological activity and the organisms responsible for it are subjected to many of the stressors described in this report, this report considers open ocean productivity as an environmental stressor because the location, diversity, abundance and biomass of pelagic assemblages, including important fishery species, are directly dependent on the amount of productivity in an area (Pauly and Christensen 1995, Chassot *et al.* 2010). Changes in the spatial distribution and amount of open ocean productivity are potentially among the most important non-fishing factors affecting all marine ecosystems, pelagic or benthic, and nearshore or open ocean.

In addition, this report treats open ocean productivity separately from nearshore productivity because the stressors affecting open ocean productivity tend to be regional, basin, or global in scale, all of which lack a strong local terrestrial component (although terrestrial inputs can be important via atmospheric deposition).

In addition to sunlight, phytoplankton require a suite of chemicals with which to grow and conduct photosynthesis, including nitrogen, phosphorous, iron, silicate, CaCO<sub>3</sub>, and a variety of trace metals (Sigman and Hain 2012). Limitations in the availability of these requirements limit the amount of primary, and by extension secondary, productivity in a region of the ocean.

Open ocean productivity in the tropical Pacific is primarily associated with regions of upwelling, where nutrient-rich, deep-ocean water is brought to the surface. In regions without upwelling, thermal stratification creates a warm, nutrient-poor, or oligotrophic, surface layer (due to a lack

#### Summary Stressor Table: Potential effects of ocean acidification

Color reflects the relative severity of an adverse effect compared across all stressors: green=none to mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | <ul> <li>Decreased diversity</li> <li>Decreased survival of planktonic larval stages of important herbivorous and sessile invertebrates (<i>e.g.</i>, urchins, nerites)</li> <li>Increased algal photosynthetic activity, potential for a phase shift toward algal-dominated shoreline</li> </ul>   |
| Mangrove Forests    | <ul> <li>Increased photosynthetic and growth rates for mangroves and other primary producers, but may depend on salinity</li> <li>Decreased abundance of calcifying organism</li> <li>Decreased survival of planktonic larval stages</li> </ul>   |
| Seagrass Beds       | <ul> <li>Increased photosynthetic rates and primary productivity</li> <li>Denser seagrass beds, although vegetative growth may be tempered by increasing seawater temperature</li> <li>Decreased abundance of calcifying organism</li> <li>Decreased survival of planktonic larval stage</li> </ul>   |
| Coral Reefs         | <ul> <li>Reduced calcification rates in reef-building organisms, including corals and coralline algae.</li> <li>Increased algal photosynthesis and growth</li> <li>Reduced calcification and survival of potentially important invertebrate grazers (<i>e.g.</i>, urchins)</li> <li>"Flattening" of reef structure leading to loss of species diversity, including important fishery species</li> <li>Potential for a phase-shift toward algal-dominated assemblage</li> </ul>                                |
| Deep Reef Slopes    | <ul> <li>Drop in aragonite saturation state &lt;1 under "business-as-usual" climate change predictions</li> <li>Dissolution of calcifying organisms</li> <li>"Shoaling" of range distributions, potentially leading to increased competitive interactions with shallow-water species</li> <li>Extirpation of species likely</li> <li>Decreased diversity (including fishery species) associated with loss of structure-producing organisms</li> <li>Decreased survival of planktonic larval stages</li> </ul> |
| Banks and Seamounts | See Coral Reefs and Deep Reef Slopes  |

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Deep Ocean Floor    | <ul> <li>Drop in aragonite saturation state &lt;1 under "business-as-usual" climate change predictions</li> <li>Dissolution of calcifying organisms</li> <li>Extirpation of species is likely</li> <li>Decreased diversity (including fishery species) associated with loss of structure-producing organisms</li> </ul> |
| Pelagic Environment | <ul> <li>Increased photosynthesis in phytoplankton, but mediated by nutrients and trace minerals</li> <li>Decreased abundance of calcifying organism</li> <li>Decreased survival of planktonic larval stages</li> <li>Shifts in species composition, which has potential to disrupt food web dynamics</li> </ul>        |

of mixing with deeper layers) where both primary and secondary productivity are limited. Therefore, regions of productivity are strongly affected by oceanographic processes that alter the position and strength of upwelling. These oceanographic processes are usually the result of basin- or global-scale climatic events. Basin-scale events, including "short" duration ENSO events and longer duration PDO events, result in the shifting of surface water masses of differing temperature, which alters ocean stratification and moves the location of upwelling. At the global scale, climate change is expected to permanently change the amount, location, and quality of productivity.

In general, changing climate is likely to increase vertical stratification, reducing the upward flow of nutrients and lowering both primary (Falkowski *et al.* 1998, Behrenfeld *et al.* 2006, Toseland *et al.* 2013) and secondary (Roemmich and McGowan 1995) productivity. This effect is predicted to be most pronounced in the tropical oceans, including the Western Pacific Region. A six percent reduction in global oceanic primary production has already been observed between the early 1980s and the late 1990s (Gregg *et al.* 2003), and extrapolating into the future, suggests that marine biological productivity in the tropics and mid-latitudes will decline substantially (Cochrane *et al.* 2009). Both statistical and coupled biogeochemical models (Lehodey 2001, Lehodey *et al.* 2003) have predicted the slowdown of Pacific meridional overturning circulation and a subsequent decrease of equatorial upwelling, which has been attributed as the cause of the primary production and biomass decrease over the past 40 years (McPhaden and Zhang 2002).

Changes in secondary productivity are likely to be linked closely with changes in primary productivity in the Western Pacific Region, and effects on tropical zooplankton are likely to be more pronounced than those already being observed at higher latitudes. The more heat-tolerant, low-latitude species might be more vulnerable to climate change stressors than less heat-tolerant species because they may live closer to their physiological limits (Tomanek and Somero 1999, Stillman 2002).

An increased in primary productivity has the potential to increase particulate organic matter (POM). Zooplankton, which consume phytoplankton, usually experience a time lag before they can respond to the increase in primary productivity. During this time lag, POM will be exported from the surface waters to the deep waters, where microbial assemblages will recycle it. This process consumes oxygen and can result in hypoxia in deep waters (see Section 4.1.7), creating what have been called "dead zones."

Currently, it is unclear how climate change will affect ENSO and PDO events in the Western Pacific Region (IPCC 2013). Climate change is expected to weaken tropical easterly trade winds, warm the surface ocean, and intensify the subsurface thermocline. ENSO variability is controlled by a delicate balance of competing feedbacks, and it is likely that one or more of the major physical processes that are responsible for determining the characteristics of ENSO will be modified by climate change (Collins *et al.* 2010). Unfortunately, our current understanding of ENSO variability does not make it possible to predict the potential changes that could occur (IPCC 2013). The WPWP, an immense region of warm water along whose eastern edge strong upwelling occurs, is likewise affected by ENSO events. The upwelling region is important to several species of tuna. During ENSO events, the eastern edge, and thus the region of high productivity can shift as much as 4,000 kilometers (km) eastward as a result of weakened easterly trade winds (Lehodey *et al.* 1997). Likewise, it is not clear how climate change stressors will affect the WPWP, but an effect is expected to cause a significant shift in both the amount and location of high productivity areas, which will result in concomitant shifts in pelagic assemblages, including important fishery species.

# 4.1.3 Thermal

Thermal stress occurs when the temperature of the environment changes such that it can disrupt the normal biological activity of an organism or the processes and/or function of an ecosystem. In the ocean, thermal stress is often associated with increased temperature of the water, but does not necessarily need to be the result of warming; a decrease in water temperature can be a source of thermal stress. Likewise, most current discussion and research of thermal stress has been focused around regional or global processes (*e.g.*, climate change, ENSO events, etc.), but thermal stress can occur at smaller scales (*e.g.*, a discharge for a power plant or OTEC facility). Regardless of the scale, the results of "climate change studies" that examine thermal effects are still relevant when assessing the potential adverse effects of a small-scale thermal stress event.

In the marine environment, much focus has been placed on the large-scale or global effect of climate change on sea surface water temperature, with a significant focus on both organismal response and potential ecosystem level changes. Corals and coral reef ecosystems have received the majority of the attention, as the potential thermal stress responses in these organisms are expected to have far-reaching and dire implications for coral colonies, associated species, and ecosystem level processes. To a lesser extent, thermal stress response has been investigated in other marine organisms.

Summary Stressor Table: Potential effects of shifting productivity

Color reflects the relative severity of an adverse effect compared across all stressors: green=none to mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | <ul> <li>Altered survival rates for planktonic larvae, especially those with a long larval duration</li> <li>Reduced connectivity among insular populations, likely reducing recovery potential</li> </ul>  |
| Mangrove Forests    | See intertidal  |
| Seagrass Beds       | See intertidal  |
| Coral Reefs         | See intertidal  |
| Deep Reef Slopes    | See intertidal  |
| Banks and Seamounts | See intertidal  |
| Deep Ocean Floor    | <ul> <li>Altered transport of particulate organic material into the deep ocean, which could result in increased hypoxia (in areas with &gt;POM) or fewer nutrient resources (in areas with <pom)< li=""> <li>Decreased diversity and altered assemblage structure</li> <li>Altered biochemical cycling, affecting nutrient and chemical composition of upwelled water</li> <li>Reduced connectivity among insular populations, likely reducing recovery potential</li> </pom)<></li></ul> |
| Pelagic Environment | <ul> <li>Altered survival rates for planktonic larvae, especially those that have a long larval duration</li> <li>Altered assemblage composition; likely resulting in a loss of biodiversity</li> <li>Altered trophic structure and food web dynamics</li> <li>Shifts in species composition, which has potential to disrupt food web dynamics.</li> <li>Shift in location and position of pelagic assemblages</li> </ul>   |

The relative thermal tolerance of many marine organisms is roughly correlated with the temperature variability occurring in the organism's natural climate regime (Pörtner *et al.* 2014). The highest temperature tolerances are generally found in species at temperate latitudes, where seasonally-driven temperature changes are often large. In contrast, polar and tropical species have relatively narrow natural thermal ranges and for many of these species, they inhabit waters near their physiological temperature tolerance limits (Storch *et al.* 2014), making even small changes in water temperature problematic. Additionally, the thermal range tolerated by a species can vary among its life history stages, with early stages (*e.g.*, eggs and larvae) generally more sensitive than later ones (Pörtner and Peck 2010). Temperature tolerance can also be affected by the presence of other environmental stressors, such as reduced oxygen or ocean acidification (Pörtner and Peck 2010, Deutsch *et al.* 2015).

The effects of elevated ocean temperature are perhaps best studied in reef-building corals. Elevated water temperatures can cause the symbiotic algae, called zooxanthellae, that are found in coral tissues to leave or be expelled, resulting in coral "bleaching." The loss of zooxanthellae directly affects the coral's energy production, but this loss can be offset to a limited extent by heterotrophic feeding by the coral polyps. If bleaching is prolonged, however, a coral colony will suffer partial or total mortality because of starvation.

Many reef-building corals live close to their upper thermal tolerance and are thus extremely vulnerable to warming (Hughes *et al.* 2003, McWilliams *et al.* 2005). Numerous reports of coral bleaching due to recent warming have been reported (*e.g.*, Hoegh-Guldberg 1999, Sheppard 2003, Reaser *et al.* 2000), including in the Mariana Islands, Hawai'i, and Jarvis Island in the PRIA. Bleaching usually occurs when temperatures exceed a "threshold" of about 0.8 to 1 °C above mean summer maximum levels for at least four to six weeks (Hoegh-Guldberg 1999, Pandolfi *et al.* 2011).

Bleaching susceptibility shows high inter- (McClanahan et al. 2004, Yee et al. 2008) and intraspecific variability (Baird and Marshall 2002) and varies as a consequence of the magnitude of the thermal stress (Kleypas et al. 2008), irradiance levels (Mumby et al. 2001, Dunne et al. 2001), zooxanthellae symbiont types (Berkelmans 2006, Baker et al. 2008), species identity (Loya et al. 2001), and the thermal history of the organism (Thompson and van Woesik 2009, Oliver and Palumbi 2011). Species identity is one of the best predictors of thermal tolerance due to a predictable hierarchy of susceptibility among coral taxa. Fast growing branching taxa, such as Acropora and Pocillopora, normally bleach rapidly and experience high rates of whole colony mortality (Baird and Marshall 2002). In contrast, massive taxa such as Porites and some faviids take longer to bleach, and often show lower colony mortality (Baird and Marshall 2002). Ultimately, variability in bleaching susceptibility may be driven by the predominant type of zooxanthellae hosted by corals (Glynn et al. 2001, Baker et al. 2008). For example, increasing thermal tolerance of Pocillopora at some locations in the eastern Pacific has been linked to increased prevalence of colonies that host a thermally tolerant clade D symbiont (Glynn et al. 2001). Similarly, *Pocillopora* in French Polynesia host a diversity of symbiont types, including clade D (Magalon et al. 2007), which may explain their low level of bleaching susceptibility during recent bleaching events compared with many other geographic locations (Pratchett et al. 2013).

Corals also show significant variation in their ability to recover following a bleaching event (Baird and Marshall 2002). If sufficient colony tissue survives, recovery can occur within a few years (Diaz-Pulido *et al.* 2009), but recovery often requires a decade or more (Glynn *et al.* 2001, Baker *et al.* 2008, Sheppard *et al.* 2008). In other cases, no appreciable recovery of coral cover has been observed up to a decade following a bleaching event (Graham *et al.* 2007, Somerfield *et al.* 2008). For coral species hosting multiple symbiont strains, shifts to thermally resistant strains are sometimes observed after bleaching events (Thonhill *et al.* 2006, Cunning *et al.* 2016), although reversion to domination by thermally sensitive strains may occur over several years, probably because of a trade-off between bleaching resistance and photosynthetic rate (Jones and Berklmans 2010).

Mass bleaching events, when most of the coral assemblage bleaches, have become more frequent and widespread in the past few decades (Baker et al. 2008). These events are often associated with high mortality (Baird and Marshall 2002) and decreased colony growth and reproduction among survivors (Mendes and Woodley 2002). The consistency of the species hierarchy to bleaching susceptibility has led to the prediction that hardier, slow-growing massive species will replace less hardy, fast-growing, branching species on reefs in the future (Loya et al. 2001, Hughes et al. 2003). Changes in the morphological composition of the coral assemblage (e.g., loss of fast-growing branching and tabular species) would likely result in a loss, or "flattening," of three-dimensional topographic structure (Alvarez-Filip et al. 2009), an ecological function that forms a critical part of reef fish habitat. Mass bleaching can be followed by increases in macroalgae, especially when herbivores are absent or avoid consuming macroalgal species (Ledlie et al. 2007). Loss of coral diversity and physical structure usually leads to declines in reef community biodiversity (Jones et al. 2004, Alvarez-Filip 2009). Fishes and invertebrates that consume or inhabit corals during some part of their life cycle will also likely decline in abundance, although such effects may likely be accompanied by a time lag (Graham et al. 2007, Grandcourt and Cesar 2003).

In addition to reef-building corals, zooxanthellae are also found in species of soft-corals, sea anemones, gorgonians, giant clams (*Tridacna* spp.), and some nudibranchs, all of which have the potential to bleach under exposure to stress (Lesser *et al.* 1990, Norton *et al.* 1995, Ishikura *et al.* 1999, Buck *et al.* 2002, Leggat *et al.* 2003, Neo and Todd 2013). As in corals, bleaching reduces photosynthetic rates, alters the metabolism, and affects their growth, ultimately lowering fitness, although the magnitude of the effects varies among species. Following the 1998 mass bleaching event, survival rates of bleached clams were >95% (Leggat *et al.* 2003), compared to some species of coral which experience mortality as great as 99% (Mumby *et al.* 2001). This suggests that *Tridacna* spp. may be better able to cope with bleaching events significantly better than corals.

For non-photosynthetic marine organisms, research is more limited, but the most apparent effects of sub-lethal temperature stress are associated with altered metabolic processes such as growth, changes in the timing and success of reproduction (Walther *et al.* 2002, Walther *et al.* 2005, Parmesan and Yohe 2003), and shifts in the distribution of species (*e.g.*, Thomas *et al.* 2004, Perry *et al.* 2005, Poloczanska *et al.* 2007). For example, laboratory experiments on coral reef fishes have shown that elevated sea water temperatures lead to reductions in critical swimming speeds (Johansen and Jones 2011) and growth (Munday *et al.* 2008), as well as altering the

timing of reproduction, reproductive output, and the condition of juveniles and larvae (Munday *et al.* 2008, Donelson *et al.* 2010). Juveniles of many marine fishes are particularly susceptible to changes in temperature, and larvae may succumb to elevated temperatures that their adult stages can survive (Gagliano *et al.* 2007). Shifts in the hatching times of eggs may affect the survival chances of larvae if hatching becomes asynchronous with food availability (Brierley and Kingsford 2009).

Changes in temperature may also change fish behavior, specifically their catchability in the fishery. Increased temperatures are likely to increase metabolic and consumption rates in fish and invertebrates (Kennedy *et al.* 2002), which could lead to higher catch rates using baits and potentially increase the diversity of catch, including unwanted bycatch (Cheung *et al.* 2012). In contrast, increased temperature could also result in increased fish swimming speeds (Peck *et al.* 2006), which could alter the efficiency of towed fishing devices, such as trawl nets (Rijnsdorp *et al.* 2009).

Intertidal species may already exist close to their tolerance limits, and further thermal stress may cause range shifts along continental coastlines (Stillman 2003, Sorte *et al.* 2010), but similar distributional shifts will not be possible on insular shorelines, and may lead to local extirpation of intertidal organisms that cannot adapt to changing conditions. This will result in substantial changes to intertidal assemblages, especially for species that occupy lower vertical positions on the shore because they tend to show lower thermal thresholds (Williams and Morritt 1995, Marshall *et al.* 2015).

The direct effect of increased temperature on seagrasses and macroalgae depends on speciesspecific thermal tolerances, and the seagrasses' optimal temperature for photosynthesis, respiration, and growth. Warm water species can often increase their photosynthetic rate and respiration over a wide range of temperatures (Perez and Romero 1992, Terrados and Ros 1995). Both respiration and photosynthesis are positively correlated with sea water temperature, but respiration usually increases at a greater rate than photosynthesis, especially at higher temperatures, thus leading to a reduction in net photosynthesis (Bulthuis 1983b; Dennison 1987, Marsh et al. 1986, Pérez and Romero 1992, Herzka and Dunton 1997, Masini and Manning 1997, Tait and Schiel 2013, Colvard et al. 2014). Thus, species growing near the upper limit of their thermal tolerance, will decrease in net productivity in warming water. Increased thermal stress may also affect flowering (de Cock 1981, McMillan 1982, Durako and Moffler 1987) and seed germination (Harrison 1982, Phillips et al. 1983), although the effect of temperature may be complicated by interactions with other stressors, for example, salinity (Cave and Meinesz 1986, Conacher et al. 1994). On intertidal shores, photosynthetic biofilms show increased productivity, but net productivity fell as herbivore grazing rates increased under elevated temperature conditions (Russell et al. 2013).

While the effects of rising sea temperature on individual species of plankton are not well understood and are likely variable (Huertas *et al.* 2011), rising sea surface temperatures will affect plankton assemblages by upsetting natural carbon dioxide, nitrogen and phosphorous cycling (Toseland *et al.* 2013) through reduced mixing and upwelling brought on by an increase in temperature-driven ocean stratification (see Section 4.1.2). This will result in lower primary productivity and decreased diversity, likely resulting in substantial adverse effects which cascade

upward through the food chain. For example, increased thermal stress could lead to a decoupling in the timing of reproduction and the timing of plankton blooms (Platt *et al.* 2003), resulting in trophic instability through breaks in food chains (Hipfner 2009, Richardson and Schoeman 2004).

Even species with higher thermal tolerance could be affected by loss of prey species, including commercially important fish species (Beaurgrand *et al.* 2003). Some of these species will themselves shift ranges as a consequence of warming, but this will not necessarily lead to assemblage decline; for example, fish species richness in the North Sea has increased over the last two decades of the  $20^{\text{th}}$  century as the region has warmed, but species composition has been significantly altered (Hiddink and Hofstede 2008).

## 4.1.4 Salinity

Changes in water salinity will have different effects on marine organisms depending upon their ability to osmoregulate. Even minor osmoregulatory stress will result in increased energetic demands, possibly leading to a cascade of effects which are dependent upon the level of metabolic stress incurred. Like temperature tolerances, a species' tolerance, and thus its ability to cope with changes in salinity, is often associated with the natural variability within its habitat; species in estuarine and coastal ecosystems such as mangrove forests tend to display tolerance to a greater range of salinity than organisms found in the nearshore or open ocean ecosystems where salinity fluctuations tend to be small.

Salinity will directly affect estuarine (*e.g.*, mangroves, river mouths) organisms through osmoregulatory stress or indirectly by degrading their habitat, including breeding and nursery areas (Marshall and Elliot 1998). Mangrove trees are facultative halophytes, and tend to grow best when salinity is between five and 75 ppt, although many species can tolerate salinity up to 90 ppt (Krauss *et al.* 2008, Parida and Jha 2010). Mangrove trees do not have a salt resistant metabolism, but instead are equipped with physiological mechanisms that enable them to exclude or excrete salt (Drennan and Pammenter 1982). These mechanisms included one or more of the following (Mohammad and Uraguchi 2013): salt filtration at the root level (Takemura *et al.* 2000, Kahn *et al.* 2001), salt excretion via glands positioned on the undersides of the leaves, and/or salt disposal via accumulation of salt within leaf cells followed by defoliation (Popp *et al.* 1993).

Salinity is directly correlated with the standing crop of mangrove vegetation and productivity (Chen and Twilley 1998, Chen and Twilley 1999, Mall *et al.* 1987, Ukpong 1991), and under normal conditions, the distribution of mangrove species can be explained primarily by salinity gradients (Ball 1988, Ukpong 1994). Therefore, changes in salinity will likely influence the species richness of a mangal, and distributions of species within the forest. Deviations above or below a species' optimal salinity can reduce vegetative growth (Chodhury 2015), likely because of reduced photosynthesis, net photosynthetic rate, stomatal conductance, and transpiration rate (Noor *et al.* 2015). Additionally, changes to salinity can reduce seedling survival and establishment rates (Ye *et al.* 2004, Ye *et al.* 2005), and stunt tree height (Ball and Pidsley 1995, Hao *et al.* 2009).

#### Summary Stressor Table: Potential effects of thermal stress.

| Ecosystem        | Potential Effects   |
|------------------|---|
| Intertidal       | <ul> <li>Increased primary productivity associated with biofilms, but<br/>lower net productivity due to temperature-driven increases in<br/>grazing rates</li> <li>Reduced growth due to increased metabolic demands for some<br/>animal species</li> <li>Changed timing and lower success of reproduction for some<br/>species</li> <li>Temperatures above thermal tolerance thresholds could result in<br/>extirpation of species unable to migrate due to insular habitat</li> </ul>   |
| Mangrove Forests | <ul> <li>Few effects on mangrove trees</li> <li>Reduced growth due to increased metabolic demands for some animal species</li> <li>Changed timing and lower success of reproduction for some species</li> <li>Shifts in species distribution and assemblage composition</li> <li>Change in behavior of fishes; potentially increased feeding</li> </ul>   |
| Seagrass Beds    | <ul> <li>Increased photosynthesis and respiration; at higher temperatures a decrease in net productivity, which can alter nutrient cycling</li> <li>Reduced growth due to increased metabolic demands for some animal species</li> <li>Increased bleaching in zooxanthellae-bearing invertebrates</li> <li>Changed timing and lowered success of reproduction for some species</li> <li>Change in behavior of fishes; potentially increased feeding</li> </ul>  |
| Coral Reefs      | <ul> <li>Increased bleaching in coral and other zooxanthellae-bearing organisms, resulting in some cases in organism death</li> <li>Flattening of reef structure leading to loss of diversity, abundance and biomass, including important fishery species</li> <li>Altered assemblage composition, including the potential for a phase-shift toward algal-dominated assemblage</li> <li>Changed timing and lowered success of reproduction for some animal species</li> <li>Reduced connectivity among populations, likely reducing recovery potential</li> </ul> |

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Deep Reef Slopes    | • Effects likely to be minor due to depth, water movement, and lack of dependency on particulate organic matter from surface waters  |
| Banks and Seamounts | See Coral Reefs (shallow) and Deep Reef Slopes (deep)  |
| Deep Ocean Floor    | <ul> <li>Altered transport of POM into the deep ocean, which could result in increased hypoxia (if &gt;POM) or fewer resources (if <pom)< li=""> <li>Altered biochemical cycling, affecting nutrient and chemical composition of upwelled water</li> </pom)<></li></ul>  |
| Pelagic Environment | <ul> <li>Decreased net primary productivity</li> <li>Geographic shifts in productivity</li> <li>Altered survival rates for planktonic larvae, especially those that have a long larval duration</li> <li>Altered assemblage composition; likely resulting in a loss of biodiversity, leading to changed trophic structure and food web dynamics</li> </ul> |

While many seagrasses in the Western Pacific Region are primarily marine in nature, they often experience natural fluctuations in salinity because of their shallow, nearshore habitat.

Seagrasses show wide variability in salinity tolerance, which is correlated with the amount of natural variability in salinity found in their habitat. Changes in salinity have been associated with distributional shifts and changes in abundance of seagrasses (Young and Kirkman 1975, Dawes et al. 1989, Lazar and Dawes 1991, Quammen and Onuf 1993). For example, vegetative growth of Zostera capensis, a mid-saline seagrass in South Africa, is inhibited at high and low salinities, while Ruppia cirrhosa, a competing species adapted to fresher water, showed maximum growth near zero salinity (Adams and Bate 1994). Several studies of seagrass seedling survival conducted on a wide range of species have shown that seeds tend to germinate well at relatively low salinities, but optimal seedling growth and development often occur under higher salinity conditions (Caye and Meinesz 1986, Hootsmans et al. 1987, Loques et al. 1990). Although none of these studies examine species present in the Western Pacific Region, they suggest what may be a general pattern among seagrasses. Salinities that are above optimal can reduce biomass because adjusting osmotic regulation limits seagrass growth by competing for energy, carbohydrate, and nitrogen supplies (Stewart and Lee 1974, Cavalieri 1983, Yeo 1983). In contrast, low salinity has been shown to suppress protein metabolism and alter enzyme activity, again leading to reduced biomass (McGahee and Davis 1971, Haller et al. 1974, James and Hart 1993). In addition, salinity has been a major factor influencing the onset and severity

of eelgrass diseases (Short *et al.* 1986, Muehlstein *et al.* 1991, Burdick *et al.* 1993), although little is known about tropical seagrass diseases.

Corals have few physiological mechanisms for osmoregulation (Muthiga and Szmant 1987, Mayfield and Gates 2007), so a change in salinity can directly alter metabolic processes and/or cause colony mortality. The effects of salinity changes on coral reefs have not been well-studied, likely because most reefs experience little fluctuation in natural salinity levels, but the response of corals to changing salinity appears to be related to the strength and duration of the exposure and the species affected. As with most other taxonomic groups, considerable interspecific variation in salinity tolerance is present among coral species. For example, *Stylophora pistillata* is sensitive to small changes in salinity (Sakai *et al.* 1989) whereas *Porites compressa* is more tolerant (Coles 1992). *Platygyra sinensis, Acropora millepora*, and *Pocillopora damicornis* have also been found to be relatively tolerant to changes in salinity (Kuanui *et al.* 2015). All of these species are relatively common in the Western Pacific Region. Some coral species have shown evidence of an ability to acclimate to drops in salinity (Ferrier-Pages *et al.* 1999).

Regardless of individual tolerances, high coral mortality has been observed following intense rain events (Sakai *et al.* 1989), including in Hawai'i (Jokiel *et al.* 1993 and references therein, Bahr *et al.* 2015). Where mortality did not occur, bleaching, and other metabolic (*e.g.*, increased respiration) and histopathological (swelling and lysis of cells) changes were noted (Glynn 1993, vanWoesik *et al.* 1995, Porter *et al.* 1999, Mayfield and Gates 2007). Severe tissue necrosis, followed by the death of the colonies, has been observed for corals incubated for extended periods in water with relatively small elevations in salinity (Ferrier-Pages *et al.* 1999). Changes in salinity can also adversely affect reproduction (Richmond 1993). Likewise, many coral reefassociated species show low tolerance to salinity changes. Mortality in a wide range of organisms (sea cucumbers, crabs and cryptic fish such as eels) has been observed following freshwater kill events in Hawai'i (Jokiel *et al.* 1993, Bahr *et al.* 2015).

At large, oceanic scales, anticipated changes in the ocean's temperature and salinity as a result of climate change will affect circulation patterns. In general, the Pacific Ocean north of the equator is decreasing in salinity, which is expected to affect upwelling strength and location (Bindoff *et al.* 2007). Unfortunately, studies on the effects of salinity changes on non-estuarine phyto- and zooplankton are limited. Estuarine plankton are sensitive to salinity changes, but in many cases, effects associated with temperature, acidification, and nutrient availability are significantly larger. Open ocean plankton assemblages will likely show a similar pattern: the effects of salinity changes on the assemblage will be minor compared to the effects of other stressors. This is reinforced by climate change predictions which predict only small changes in salinity over much of the tropical ocean. Exceptions could include areas where deep ocean mining or OTEC energy production are being conducted, but even under these activities, temperature and nutrient differentials of deep ocean water compared to surface waters are likely to outweigh salinity-related effects. However, more research in this area would be beneficial given the importance of open ocean productivity to broader ecosystem processes.

### Summary Stressor Table: Potential effects of salinity

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | • Organism tend to be extremely tolerant to changes in salinity   |
| Mangrove Forests    | <ul> <li>Reduced photosynthesis in mangrove trees and stunted growth at salinities higher or lower than that optimal for the species</li> <li>Shifts in mangrove species distributions/zonation based on salinity</li> <li>Reduced seedling survival</li> <li>Other mangrove associated organisms tend to be salinity tolerant, but will experience sublethal metabolic stress</li> </ul> |
| Seagrass Beds       | <ul> <li>Reduced photosynthesis, growth, and biomass at salinities higher or lower than that optimal for the species</li> <li>Reduce seedling germination at high salinity</li> <li>Reduced seedling growth at low salinity</li> <li>Other seagrass-associated organisms tend to be salinity tolerant, but will experience sublethal metabolic stress</li> </ul>                          |
| Coral Reefs         | <ul> <li>Many species have low tolerance to salinity changes</li> <li>Increased coral mortality (partial and full)</li> <li>Increase mortality among coral reef-associated species (sea cucumbers, crabs and cryptic fish such as eels) that also show low tolerance to salinity changes</li> </ul>   |
| Deep Reef Slopes    | Unknown (no research available), but likely similar to Coral Reefs  |
| Banks and Seamounts | See Coral Reefs (shallow) and Deep Reef Slopes (deep)   |
| Deep Ocean Floor    | Unknown; no research available  |
| Pelagic Environment | <ul> <li>Decreased net primary productivity</li> <li>Geographic shifts in productivity</li> <li>Altered assemblage composition; likely resulting in a loss of biodiversity, leading to changed trophic structure and food web dynamics</li> </ul>   |

#### 4.1.5 Irradiance

Marine organisms are sensitive to changes in irradiance levels, both photosynthetically active radiation (PAR) and ultraviolet radiation (UV). Decreases in irradiance (often associated with decreased water clarity) generally results in lower photosynthetic rates. Increase irradiance, especially high UV exposure cause cellular damage.

Most research on corals has focused on increased irradiance, which has been linked to coral bleaching (Hoegh-Guldberg 1999, Jones *et al.* 1998) and damage to DNA. High irradiance can amplify the effect of thermal stress on corals (Coles and Jokiel 1978), whereas shading by high islands (Bruno *et al.* 2001), unusually cloudy conditions (Mumby *et al.* 2001), and even increased water turbidity (West and Salm 2003, Anthony *et al.* 2007), can ameliorate the effects of thermal stress on corals. Decreases in irradiance have been shown to affect settlement of coral larvae, and may account for depth zonation in at least five species of Indo-Pacific corals (Mundy and Babcock 1998).

Light limits the distribution and species composition of seagrass beds, and low irradiance levels reduce individual plant biomass and growth rates (Dennison 1987, Abal and Dennison 1996, Ralph *et al.* 2007, Campbell *et al.* 2007). Seagrasses have high respiratory (metabolic) demands needed to support and oxygenate their extensive root and rhizome biomass (Waycott *et al.* 2011), and they use only a limited range of the light spectrum. Seagrasses have a higher minimum light requirement than marine algae and phytoplankton (Dennison *et al.* 1993), making them competitively inferior under reduced light conditions. Thus, seagrasses are generally restricted to shallow coastal areas where ample sunlight can penetrate to the bottom, although considerable species variability exists (Dennison *et al.* 1993). For example, Indo-Pacific species of *Halophila* can grow at greater depth because of a lower minimum light requirement (Erftemeijer and Stapel 1999), a trait usually attributed to the morphology of *Halophila* (Middelboe and Markager 1997).

Seagrasses exhibit several physiological and morphological responses to reductions in irradiance. The magnitude and time required to initiate a response is species-specific, and depends on light intensity and duration, and interactions with other potential stressors, such as water temperature and nutrient availability (Bulthuis 1983a, Bulthuis 1983b, Gordon *et al.* 1994, van Lent *et al.* 1995, Abal 1996, Grice *et al.* 1996, Longstaff and Dennison 1999). Initial effects can include changes in amino acid content and chlorophyll levels (Longstaff and Dennison 1999). Later effects can include reduced biomass, shoot density, leaf production rates, and canopy height (Wiginton and McMillan 1979, Dennison and Alberte 1982, Dennison and Alberte 1985, Neverauskas 1988, Tomasko and Dawes 1989, Abal *et al.* 1994, Lee and Dunton 1997, Peralta *et al.* 2002).

Few studies have looked at the effects of irradiance on tropical Pacific macroalgae. While interspecific variation exists, the minimum light requirements of macroalgae (Sand-Jensen 1988, Duarte 1991, Markager and Sand-Jensen 1992, Dennison *et al.* 1993) and CCA (Littler *et al.* 1985) are lower than those of seagrasses. Thus, marine algae are generally able to survive and outcompete seagrasses under low light conditions, and their distribution (especially their maximum depth) is determined in part by their minimum light requirements for photosynthesis and growth.

Sun light is absorbed and scattered in the ocean, and irradiance decreases exponentially with depth. As with benthic primary producers, spatial and temporal variations in light affect the vertical distribution of phytoplankton. Under climate change forecasts, some areas of the Pacific Ocean are expected to experience increased cloud cover (*e.g.*, Western Pacific Warm Pool, Intertropical Convergence Zone, Pacific Equatorial Divergence), which will reduce irradiance and contribute to declines in primary productivity (Le Borgne *et al.* 2011). Other areas of the Pacific Ocean are expected to experience increased irradiation because of reduced cloud cover (*e.g.*, North and South Pacific Tropical Gyres). Primary productivity is sensitive to both too much and too little light. Photosynthesis can be reduced in the upper water column due to photo-inhibition. Alternatively, photosynthesis rates can drop three-fold if irradiance is reduced to 10% of that present on a sunny day (Le Borgne *et al.* 2011). The potential effects of these changes in irradiance on ocean productivity are unclear, but given that vertical mixing within the surface layer prevents planktonic organisms from staying in the upper photic zone for long, these changes in surface irradiation are expected to have a weak effect on ocean productivity (Le Borgne *et al.* 2011).

#### 4.1.6 Noise

Sounds in the marine environment can originate from abiotic and biotic sources, including the movement of water, geologic events, and the noises generated by fish, marine mammals, and invertebrates. Organisms produce sounds to communicate over short and long distances with mates, offspring and other conspecifics, and/or to find prey or other objects of interest (Popper and Hastings 2009, Simpson *et al.* 2016).

Sources of anthropogenic sounds in the ocean are extensive and varied (Peng *et al.* 2015), and anthropogenic noise covers the full frequency bandwidth that marine animals use, from 1 hertz (Hz) - 200 kilohertz (kHz) (Stocker 2001). It also occurs throughout all ocean ecosystems, from shallow coral reef and seagrass beds down into the deep sea, including the deep ocean floor. Due to the efficiency of sound transmission in the ocean, noise travels great distances and containment is difficult.

Boats of all sizes are a significant source of noise. Pile driving is important in the construction of bridges, wind farms, and seaports. Sonar is used by military, the shipping and fishing industries, and in oceanographic research. Underwater explosions occasionally occur as part of military training, and, while seldom used in the Western Pacific Region, seismic devices such as air guns are used for oil exploration and for studies on undersea geology. Even bubble noise from scuba divers has been linked to altered fish behavior (Lobel 2005).

Noise in the marine environment has a broad range of potential effects, especially when it is very loud, *i.e.*, high amplitude (Casper *et al.* 2016), or when it is less intense but long-lasting (Popper and Hastings 2009). Intense, high amplitude sounds, such as pile driving, underwater explosions, and seismic air guns, can cause immediate death or tissue damage that might or might not directly result in the death of the organism (McCauley *et al.* 2003), but which might lower its fitness (Casper *et al.* 2016). Temporary hearing loss may also occur, which is likely to lower fitness until hearing recovers. Behavioral changes can occur, resulting in animals leaving

### Summary Stressor Table: Potential effects of irradiance

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Intertidal          | • Organism tend to be tolerant to changes in irradiance  |
| Mangrove Forests    | • Few effects on mangrove trees unless extreme; leaves are above the water surface so unaffected by reduced water clarity  |
| Seagrass Beds       | <ul> <li>Reduced seagrass photosynthesis, biomass, shoot density, leaf production rates, and canopy height under reduced light conditions</li> <li>Potential for a phase-shift toward algal-dominated assemblage under low light regimes</li> </ul>  |
| Coral Reefs         | <ul> <li>Increased risk of coral bleaching at high irradiance; depth dependent sensitivity to UV</li> <li>Reduced photosynthesis, calcification, and growth at low irradiance; potential for reduced fitness under prolonged shading</li> <li>Potential for a phase-shift toward algal-dominated assemblage under low light regimes</li> </ul>       |
| Deep Reef Slopes    | <ul> <li>Photosynthetic organisms highly adapted to low light conditions and could experience photo-inhibition under elevated irradiance</li> <li>All photosynthetic organisms at the extreme lower irradiance threshold; further reductions would result in mortality, loss of diversity, abundance and biomass of the entire assemblage</li> </ul> |
| Banks and Seamounts | See Coral Reefs (shallow) and Deep Reef Slopes (deep)  |
| Deep Ocean Floor    | Unknown, but the lack of photosynthetic organisms suggested minimal adverse effects would occur  |
| Pelagic Environment | <ul> <li>Decreased primary productivity</li> <li>Altered assemblage composition; likely resulting in a loss of biodiversity, leading to changed trophic structure and food web dynamics</li> </ul>   |

feeding or reproduction grounds (Slabbekoorn *et al.* 2010) or becoming more susceptible to mortality through decrease predator-avoidance responses (Simpson *et al.* 2016). Less intense but chronic noise, such as that produced by continuous boating, can cause a general increase in background noise over a large area. Although not likely to kill organisms, chronic noise can mask biologically important sounds and alter the natural soundscape, cause hearing loss, and/or have an adverse effect on an organism's stress levels and immune system.

Little empirical research has been conducted on the effects of noise on tropical marine species, but most of that has focused on marine mammals. Research conducted on model fishes (*e.g.*, tilapia, goldfish, etc.) have shown a wide range of potential effects from excessive noise, most of which were sub-lethal (see Popper and Hastings 2009 for a review). Nichols *et al.* (2015) found that coastal marine fishes secreted stress hormones in the presence of shipping noise. Bluefin tuna showed a disruption in their schooling structure and swimming behavior when exposed to boat noise, as well as an increase in aggressive behavior (Sarà *et al.* 2007). Embryonic clownfish showed increased heart rate in the presence of elevated noise (Simpson *et al.* 2005). Chronic boat noise can reduce the startle response of coral reef fish, increasing their susceptibility to predation (Simpson *et al.* 2015). While it is often assumed that most motile animals will leave noisy areas, this is not always the case (Iafrate *et al.* 2016).

Reef fish use aspects of reef noise to select suitable settlement habitat, and anthropogenic noise that interferes with their "soundscape" could adversely affect their behavior. Simpson *et al.* (2008) found settlement-stage fish of six reef fish families (Pomacentridae, Apogonidae, Lethrinidae, Gobiidae, Syngnathidae, and Blenniidae) preferentially settled into light traps emitting high-frequency reef noised compared to low-frequency reef noise or silent traps. Only the Siganidae showed no preference between any of the sound treatments. High-frequency reef noise is produced mainly by marine invertebrates, and appears to be used by the fish as a means of selectively orienting towards suitable settlement habitats. Masking of natural reef soundscapes by anthropogenic noise could result in changes to the abundances of species and alterations to the structure of reef fish assemblages.

Prawns have been shown to be as sensitive to sound as fish (Lovell *et al.* 2005), and increased metabolic rates have been observed in brown shrimp exposed to elevated noise conditions, causing a reduction in growth and reproduction over three months (Lagardère 1982). Intense noise, such as pile driving and seismic surveying has been shown to reduce feeding rates in mussels (Spiga and Caldwell 2016) and cause larval malformations in scallops (Aguilar de Soto *et al.* 2013). Temperate lobster increased their food consumption for weeks to months after low-level exposure to seismic noise (Payne *et al.* 2007), suggesting increased metabolic demands. Similar effects have also been found in multiple crab species (Edmonds *et al.* 2016, Wale *et al.* 2013a, 2013b), suggesting sub-lethal stress effects in the presence of boat noise might be common in crustaceans.

Anthropogenic noise may mask deep-water invertebrate scavengers' sensitivity to 'microseismic' events in the frequency range of 30 Hz - 250 Hz, which they use to detect food-fall up to distances of 100 m (Klages and Muyakshin 1999). Some animals appear to adapt to "threat" sounds; recent anecdotal evidence suggests that schools of pelagic shrimp have adapted evasion strategies toward the sound of shrimp trawlers (Stocker 2001). When the trawlers circle in, the shrimp dive deep, below the nets. Similar behavior has been noted among carangid fish to boats on Midway Atoll, where a catch and release fishery operated for several years (Minton, pers. obs.). The flight response at Midway was opposite that observed at neighboring Pearl and Hermes, where carangids were frequently attracted to small vessel sound, sometimes forming schools of hundreds of individuals.

### 4.1.7 Hypoxia

In the marine environment, oxygen from the atmosphere and produced as a by-product of photosynthesis dissolves in the water and helps to meet the respiratory demand of all marineorganisms. When the supply of oxygen is diminished or it is removed, or the consumption rate exceeds the resupply rate, dissolved oxygen concentrations can decline below the point that sustains most marine life. This condition of low dissolved oxygen is known as hypoxia. The complete absence of oxygen is called anoxia.

Oxygen solubility in seawater is a function of water temperature, and as the oceans have warmed over the past half century, dissolved oxygen has declined (Garcia *et al.* 2005). By the end of the century, ongoing warming together with rising atmospheric  $CO_2$  will likely result in an expansion of low oxygen zones, perhaps by more than 50% of their present volume (Diaz and Rosenberg 2008, Oschlies *et al.* 2008). This will result in adverse effects on some of the world's most productive fishery regions.

While temperature controls the amount of oxygen that can dissolve in seawater (fully-saturated seawater at 25 °C [77 °F] has an oxygen concentration of about 8.25 milligrams (mg)/liter (L), water column stratification and increased decomposition of organic matter are two processes that contribute to hypoxic regions in the ocean. Stratification of the water column reduces mixing of oxygen-rich surface layers with deep ocean waters, and microbial decomposition of POM increases respiration in deep ocean waters, resulting in a net decrease in dissolved oxygen at depth. Increased productivity in surface waters, especially in areas with anthropogenic inputs of coastal nutrients, increases the amount of POM that sinks into deep water layers, creating or exacerbating what have been called "dead zones" (Diaz and Rosenberg 2008). Therefore, increased productivity, coupled with increased oceanic stratification, has the potential to result in oxygenated surface waters and a hypoxic deep ocean, leading to the loss of biodiversity.

Most marine organisms experience a hypoxic response when the oxygen concentration falls below 2-3 mg/L (Gray *et al.* 2002, Stramma *et al.* 2008), but considerable interspecific variability exits (Vaquer-Sunyer and Duarte 2008, Seibel 2011). Vaquer-Sunyer and Duarte (2008) suggest this threshold is too low, and noted that many species experience lethal effects below 4.6 mg/L, and significant sublethal effects at oxygen concentrations below 5 mg/L. Crustaceans and fish appear to be particularly susceptible to hypoxic conditions, and mollusks and non-coral cnidarians appeared most tolerant (Vaquer-Sunyer and Duarte 2008). While there is considerable variability among species in a taxonomic group, motile organisms appear to be more sensitive to hypoxic conditions than sessile ones; many fish and motile organisms can detect, and actively avoid hypoxic areas (Pihl *et al.* 1991). Wannamaker and Rice (2000) studied the behavior of six species of fish and one species of shrimp, and all could detect and avoid hypoxic conditions.

#### Summary Stressor Table: Potential effects of noise

Color reflects the relative severity of an adverse effect compared across all stressors: green=none to mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | <ul> <li>Effects are expected to be minor for mid-to-high intertidal organisms due to lower exposure</li> <li>For low intertidal organisms, high amplitude noise can cause mortality, hearing damage, and disrupted behavior which may reduce fitness</li> <li>Chronic low amplitude noise may disrupt behavior</li> <li>Individuals may relocate from area of the noise</li> <li>Adverse effects generally resolve shortly after the cessation of the noise</li> </ul> |
| Mangrove Forests    | <ul> <li>High amplitude noise can cause mortality, hearing damage, and disrupted behavior which may reduce fitness</li> <li>Chronic low amplitude noise may disrupt behavior</li> <li>Individuals may relocate from area of the noise</li> <li>Adverse effects generally resolve shortly after the cessation of the noise</li> </ul>  |
| Seagrass Beds       | See Mangrove Forests  |
| Coral Reefs         | See Mangrove Forests  |
| Deep Reef Slopes    | See Mangrove Forests  |
| Banks and Seamounts | See Mangrove Forests  |
| Deep Ocean Floor    | See Mangrove Forests  |
| Pelagic Environment | See Mangrove Forests  |

While little research has been done on the effects of hypoxic conditions on tropical Pacific organisms, in general, marine animals respond to hypoxia by first attempting to maintain oxygen levels through increased respiration rate or increasing the number of oxygen-transporting cells, followed by conserving energy through metabolic depression and down-regulation of protein synthesis and other regulatory enzymes (Holeton and Randall 1967, Burggren and Randall 1978, van den Thillart and Smit 1984, Wu and Woo 1985, Dunn and Hochachka 1986, Boutilier *et al.* 1988, Chew and Ip 1992, Randall *et al.* 1992, Dalla Via *et al.* 1994). Reduction in movement is

commonly employed by marine organisms to conserve energy and reduce metabolic demand under hypoxic conditions. For example, swimming of Atlantic cod (*Gadus morhua*) was reduced by ~60% under hypoxic conditions (Schurmann and Steffensen 1994), and digging activity in an Atlantic lobster ceased (Eriksson and Baden 1997).

Hypoxic conditions reduce growth and feeding, which may eventually affect individual fitness. Growth reductions have been shown in brittlestars, oysters (*Crassostrea virginica*), and mussels (*Mytilus edulis*) (Diaz and Rosenberg 1995), as well as in some polycheate worms (Forbes and Lopez 1990). Similarly, reduced growth has been demonstrated in fish subjected to hypoxia (Petersen and Phil 1995), likely a result of reduced feeding (Wu 2002). When subjected to hypoxic conditions, feeding rate was reduced in crabs, gastropods, annelid worms, and lobster, but this effect can vary with life history stage (Das and Stickle 1994, Baden *et al.* 1990a, Baden *et al.* 1990b, Llanso and Diaz 1994).

The effects of hypoxia on reproduction and development of marine animals remains poorly studied, but fish can suffer increased embryo and larval mortality when exposed to hypoxic conditions (Keckeis *et al.* 1996). High mortality and adverse effects on development and growth were found in oyster (*C. virginica*) larvae (Baker and Mann 1992), and mussel (*M. edulis*) embryos experienced delayed development (Wang and Widdows 1991). Hypoxia can also retard gonad development, fertilization success, reproductive output, larval hatching and larval success in the common carp (Wu *et al.* 2003).

Avoidance of hypoxic areas can make organisms more vulnerable to predation. Fish have been observed to change their feeding habits to prey upon hypoxia-stressed benthic invertebrates (Diaz *et al.* 1992). Hypoxia may also affect foraging of predators, reducing prey capture rates, (Sandberg *et al.* 1996, Abrahams *et al.* 2007, Altieri 2008, Johnson *et al.* 1984). Other important behaviors are also dependent upon oxygen concentrations. Fish schooling behavior responds to varying oxycline depth (Bertrand *et al.* 2008). Many benthic organisms such as sea anemones and polychaetes will leave their burrows, and bivalves will extend their siphons upward into the water column above the sediment–water interface, to gain access to more oxygenated water (Pihl *et al.* 1992, Nilsson and Rosenberg 1994, Hervant *et al.* 1996, Sandberg 1997).

Few studies have examined the effects of hypoxia on reef-building corals, even though oxygen concentrations can fluctuate widely on a diurnal cycle and be very low at night (Haas *et al.* 2010; Wild *et al.* 2010). Under low oxygen (2–4 mg/L) conditions, the Indo-Pacific coral *Acropora yongei* bleached, lost major portions of its tissue, and suffered mortality within three days. Its decline in health was accompanied by a significant decrease in photosynthetic performance (Haas *et al.* 2014). In Hawai'i, a spill of 233,000 gallons of molasses in Honolulu Harbor resulted in hypoxia-related mortality in coral and fish (Basu 2013), although the extent of the kill is still unresolved. A wide range of Indo-Pacific reef fish have been shown to be more tolerant to hypoxia than expected; 31 species across seven families could tolerate oxygen concentrations as low as 1 mg/L (Nilsson and Ostlund-Nilsson 2004). However, their ability to tolerate hypoxic conditions decreased as water temperature increased (Nilsson *et al.* 2010).

Seagrasses tend to grow in hypoxic sediment and transport oxygen produced by photosynthesis to below-ground tissues (Sand-Jensen *et al.* 1982, Smith *et al.* 1984; Caffrey and Kemp 1991).

However, this photosynthetic oxygen pool can be depleted during the night, and insufficient oxygen supplied to the roots results in sulfide intrusions (Pedersen *et al.* 2004, Holmer *et al.* 2009), which has severe adverse effects growth and survival (Holmer and Bondgaard 2001, Koch *et al.* 2007, Mascaro *et al.* 2009, Borum *et al.* 2005, Frederiksen *et al.* 2007). Anoxia also impairs root growth, and nutrient uptake (Smith *et al.* 1988, Zimmerman and Alberte 1996). The depletion of oxygen reserves during night time respiration is exacerbated when water column oxygen concentration is lower (Holmer *et al.* 2009). Likewise, mangrove trees have special physiological adaptations to oxygenate roots and avoid sulphide intrusion, which have been demonstrated to depress normal growth and metabolism in *Rhizophora mangle* (Lin and Sternberg 1992).

At a population and ecosystem scale, sensitive species may be eliminated in hypoxic areas, thereby causing changes in species composition of benthic, fish, and phytoplankton assemblages. Decreases in species diversity and species richness are well documented in hypoxic areas, and changes to food web structure and functional groups have also been reported in areas with low oxygen availability (Wu 1982, Dauer 1993, Pihl 1994, Diaz and Rosenberg 1995, Altieri 2008). Under hypoxic conditions, there is a general tendency for suspension feeders to be replaced by deposit feeders (Levin 2000); demersal fish by pelagic fish; and macrobenthos by meiobenthos. Microflagellates and nanoplankton also tend to dominate phytoplankton assemblages in hypoxic environments (Josefson and Widbom 1988, Diaz and Rosenberg 1995, Qu *et al.* 2015, Rakocinski and Menke 2016, Briggs *et al.* 2017). A reduction in the biomass of fishes has been generally observed in hypoxic areas (Dyer *et al.* 1983, Rosenberg and Loo 1988, Pihl *et al.* 1992, Baden *et al.* 1990b, Breitburg 1992, Petersen and Pihl 1995, Lekve *et al.* 1999), accompanied by shifts in species dominance, with less biomass of deep-dwelling species, but more biomass of opportunistic ones (Dauer 1993).

While data are limited, it appears recovery of benthic communities in temperate regions that have suffered hypoxic conditions can take several years (Diaz and Rosenberg 1995), but recovery may occur more quickly in subtropical environments (Wu 1982). Small-scale hypoxia associated with a point source discharge may recover more quickly because organisms can easily migrate from the surrounding, non-affected areas (Rosenberg 1976).

### 4.2 Biological Stresses

### 4.2.1 Invasive Species

Introduced species are organisms that have been moved, intentionally or unintentionally, into areas where they do not naturally occur. Many of them fail to establish persistent populations in their new environment; still others may establish breeding populations but do not experience rapid population growth or appear to cause adverse effects on the ecosystem (*e.g.*, they appear to "naturalize"). Other species, free of the ecological processes and interactions that controlled their population growth in their native range, rapidly increase in abundance to the point that they come to dominate their new environment, creating adverse ecological effects to other species of the ecosystem and the functions and services it may provide. These species are considered invasive (Goldberg and Wilkenson 2004).

#### Summary Stressor Table: Potential effects of hypoxia

| Ecosystem        | Potential Effects   |
|------------------|---|
| Intertidal       | • Hypoxia not a significant issue   |
| Mangrove Forests | <ul> <li>Reduced mangrove tree growth and metabolism, contributing to lower productivity, altered nutrient cycling, reduced ability to filter contaminants</li> <li>Changed organism behavior, likely exposing organisms to increased predation risk</li> <li>Displacement of mobile species to less hypoxic areas, potentially increasing predation-related mortality</li> <li>Increased mortality, especially if oxygen concentrations drop below ~2-4 mg/L</li> <li>Altered species composition of benthic, fish, and phytoplankton assemblages, including decreased diversity and altered food web structure</li> </ul>   |
| Seagrass Beds    | <ul> <li>Reduced seagrass growth and metabolism, contributing to lower productivity and altered nutrient cycling</li> <li>Increased dominance of macroalgae, which are more tolerant to hypoxia; potential for a phase-shift toward algal-dominated assemblage under low light regimes</li> <li>Changed organism behavior, likely exposing organisms to increased predation risk</li> <li>Displacement of mobile species to less hypoxic areas, potentially increasing predation-related mortality</li> <li>Increased mortality, especially if oxygen concentrations drop below ~2-4 mg/L</li> <li>Altered species composition of benthic, fish, and phytoplankton assemblages, including decreased diversity and altered food web structure</li> </ul> |
| Coral Reefs      | <ul> <li>Increase coral mortality at oxygen concentrations between 2-4 mg/L, resulting in loss of topographic structure</li> <li>Increased dominance of macroalgae, which are more tolerant to hypoxia; potential for a phase-shift toward algal-dominated assemblage under low light regimes</li> <li>Changed organism behavior, likely exposing organisms to increased predation risk</li> <li>Displacement of mobile species to less hypoxic areas, potentially increasing predation-related mortality</li> </ul>  |

| Ecosystem           | Potential Effects  |
|---------------------|--|
|                     | <ul> <li>Increased mortality, especially if oxygen concentrations drop<br/>below ~2-4 mg/L</li> <li>Altered species composition of benthic, fish, and phytoplankton<br/>assemblages, including decreased diversity and altered food web<br/>structure</li> </ul>   |
| Deep Reef Slopes    | See Coral Reefs  |
| Banks and Seamounts | See Coral Reefs  |
| Deep Ocean Floor    | <ul> <li>Potential for severe hypoxia to result from increase transport of POM into the deep water</li> <li>Changed organism behavior, likely exposing organisms to increased predation risk</li> <li>Displacement of mobile species to less hypoxic areas, potentially increasing predation-related mortality</li> <li>Increased mortality, especially if oxygen concentrations is low</li> <li>Altered species composition of benthic, fish, and phytoplankton assemblages, including decreased diversity and altered food web structure</li> <li>Disruption of ocean-wide nutrient cycling</li> </ul> |
| Pelagic Environment | <ul> <li>Likely not a significant problem near the surface due to mixing</li> <li>Displacement of mobile species to less hypoxic areas, potentially increasing predation- and fishing-related mortality</li> <li>Increased mortality, especially among larval forms which appear less tolerant to hypoxia than adults</li> <li>Altered species composition of benthic, fish, and phytoplankton assemblages, including decreased diversity and altered food web structure</li> <li>Mortality could increase export of particulate organic matter to deep ocean.</li> </ul>                                |

While most often invasive species are non-native, native species can also display invasive behaviors following a perturbation that disrupts the "normal" operation of their environment. For example, the native algae *Dictyosphaeria cavernosa*, became invasive in Kāne'ohe Bay, Hawai'i following decades of nutrient enrichment and decreased herbivory (Stimson *et al.* 2001) and was the dominant benthic organism in many areas of the bay until a dieback appeared to enable natural ecological process to reassert controls on its population (Stimson and Conklin 2008).

In a review of available data on invasive species, Molnar *et al.* (2008) found nearly threequarters of marine invasive species were unintentionally introduced via shipping (*i.e.*, ballast water and/or hull fouling). Other significant pathways include agricultural imports, the aquarium trade, and the live fish trade.

While marine invasive species have received relatively little attention globally compared to their terrestrial counterparts, numerous species have become problematic in tropical marine ecosystems, especially on coral reefs. These invasive species have displaced native species, caused the loss of native genotypes, modified the physical environment, changed assemblage structures, affected food web dynamics and ecosystem processes, functions and service, impacted human health, and caused substantial economic losses (Grosholz 2002, Perrings 2002, Wallentinus and Nyberg 2007, Molnar *et al.* 2008, Vilà *et al.* 2010, Lapointe and Bedford 2010, Smith *et al.* 2002, Fernandez and Cortes 2005, Stimson *et al.* 2001, Conklin and Smith 2005 Andrefouet *et al.* 2004, Smith *et al.* 2004, Albins and Hixon 2008, Green *et al.* 2012). The growth and success of invasive species are often enhanced by other anthropogenic stressors, such as nutrient runoff (*e.g.*, promotes growth of algae) and overharvest of key herbivore species, although natural stressors, such as disease, can also contribute to their success.

Nearly 500 introduced species have been identified in Hawai'i, but only a small number of them are invasive, including three species of algae, 19 invertebrates, and three fishes (Coles and Eldredge 2002, Carlton and Eldredge 2009, Randall 1987, Smith *et al.* 2002). Several of these invasive species are increasing in both abundance and spatial distribution, and threaten ecosystem function by outcompeting native species, especially native structure-forming organisms such as coral. This will contribute to decreased species diversity, changes in trophic structure, and loss of physical structure, but it is not clear exactly how this will affect individual species; effects will likely vary depending upon whether the species-specific interaction affected by invasive species is of a facultative or obligate nature, with the latter relationship likely more sensitive to effects.

On reefs subjected to nutrient enrichment or the removal of herbivores, invasive algae have overgrown corals and other benthic invertebrates; cover of invasive algae on some reefs in Hawai'i has exceeded 50% (Smith *et al.* 2002, Concepcion *et al.* 2010). The snowflake coral *Carijoa riisei* has been observed overgrowing deep water black corals, causing the mortality of large, sexually mature colonies (Kahng and Grigg 2005). These same individuals provide important ecological functions to deep reef ecosystems. Invasive snappers have altered behavior and habitat use by some goatfish, potentially exposing them to higher mortality from fishing and possibly predation (Schumacher and Parrish 2005).

Fewer invasive species have been documented in other jurisdictions in the Western Pacific Region, but this is likely a result of inadequate survey effort. Given the correlation between shipping and harmful invasions (Seebens *et al.* 2014), regions with high port traffic but few reported invasions (*e.g.*, Guam and Saipan) probably contain more marine invaders than have been documented (Molnar *et al.* 2008), and may benefit from surveys targeted at identifying the presence of invasive species. A recent assessment of invasive species in the PRIA (Franklin and Mancini 2015) identified 15 non-native and potentially invasive species, including five species of bryozoan, two species of polychaete worms, three tunicate species, two sponge species, and

one species each of macroalgae, fish, and hydroid. These species were identified from Palmyra Atoll and Johnston Island, both of which have a prior history of human and military activity, and have been the subject of comprehensive biological surveys over the past two decades. Other areas within the PRIA lack sufficient baseline biological information to make determinations (Franklin and Mancini 2015).

### 4.2.2 Disease

Diseases are a natural part of all ecosystems and play an important selective role in population dynamics. However, when disease outbreaks occur, mortalities can affect not only the host population, but have the potential to cascade through the ecosystem, leading to altered assemblage structure (Lessios 1988), including changes to benthic diversity, composition, and topographic structure, all of which have wide reaching implications on ecosystem function. However, despite decades of research, the ecological effect of diseases in the ocean remains relatively unknown, even when these diseases affect economically and ecologically important species (Ward and Lafferty 2004, Harvell *et al.* 2002). The lack of baseline data on historical disease levels in marine ecosystems is an impediment to determining diseases demographics, etiology, infectiousness, virulence, and spatial distribution.

Many marine organisms serve as potential hosts for a diversity of parasites and pathogens. Lafferty *et al.* (2015) identified 67 diseases with specific economic impacts. Most occurred in temperate waters, and while present in the wild, appeared to be problematic only under high-density aquaculture conditions. Marine disease outbreaks appear to be increasing over the past half century (Ward and Lafferty 2004), but not for all marine taxa. Turtles, corals, mammals, urchins, and mollusks have all shown significant increases in the rate of disease outbreaks, which cannot be attributed simply to increased vigilance or other reporting bias.

Over the past decade and a half, links between changing ocean temperatures and pathogens have been made (Porter *et al.* 2001, Harvell *et al.* 2002, Ward *et al.* 2007, Miller and Richardson 2014). Growth rates of marine bacteria (Shiah *et al.* 1994) and fungi (Holmquist *et al.* 1983) are positively correlated with temperature, and the optimum temperatures for fungal growth coincides with thresholds that trigger thermal stress and bleaching for many coral species (Holmquist *et al.* 1983, Coles *et al.* 1976), leading to the likely co-occurrence of bleaching and fungal infection. The 1998 mass bleaching of coral caused pronounced mortality worldwide, but the demise of some corals was accelerated by opportunistic infections (Harvell *et al.* 2001). Three coral pathogens grow well at temperatures close to or exceeding probable host optima, which suggests that they would increase in warmer seas (Harvell *et al.* 2002). Among marine invertebrates and seagrass, many disease outbreaks are also linked to temperature increases (Harvell *et al.* 2002), and increased ocean temperature has been linked to the northward expansion of oyster diseases in the mid-1980s (Ford 1996, Cook *et al.* 1998).

Additionally, stressors such as increasing water temperature and pollution, make hosts more susceptible to infection (Holmes 1996, Bruno *et al.* 2003, Trevathan-Tackett *et al.* 2013), although some stressors may affect parasites more than their hosts (Lafferty 1997). For example, stressors that decrease host population density may reduce density-dependent transmission of host-specific diseases by reducing contact rates between infected and uninfected individuals

### Summary Stressor Table: Potential effects of invasive species

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | <ul> <li>Decreased species diversity, altered trophic structure</li> <li>Disrupted behavior and interactions among and between species</li> </ul>   |
| Mangrove Forests    | <ul> <li>Decreased species diversity, altered trophic structure,</li> <li>Disrupted behavior and interactions among and between species</li> <li>Decreased value as nursery habitat</li> <li>Altered ecosystem functions to filter sediment, nutrients, and other pollutants</li> </ul>                                   |
| Seagrass Beds       | <ul> <li>Decreased species diversity, altered trophic structure, and the potential for a phase-shift to an algal-dominated assemblage</li> <li>Potential disruption of nutrient cycling and transport among nearshore marine ecosystems</li> <li>Disrupted behavior and interactions among and between species</li> </ul> |
| Coral Reefs         | <ul> <li>Decreased species diversity, altered trophic structure and ecosystem function and services</li> <li>Disrupted behavior and interactions among and between species</li> <li>Increased potential for a phase-shift toward an algal-dominated assemblage</li> </ul>   |
| Deep Reef Slopes    | See Coral Reefs   |
| Banks and Seamounts | See Coral Reefs   |
| Deep Ocean Floor    | • Effects unclear due to a lack of research, but likely include decreased species diversity and altered trophic structure, and a potential disruption of nutrient cycling   |
| Pelagic Environment | • Effects unclear due to a lack of research, but likely include decreased species diversity, altered trophic structure, and a potential decrease in productivity, alteration of food web dynamics, change in rate of POM export to deep ocean   |

(Lafferty and Holt 2003). However, any stressor that increases physiological stress in the host has the potential to increase the host's susceptibility to infection. For example, the bioaccumulation of toxins in marine mammals has been demonstrated to affect their immune system and increase susceptibility to disease (Lafferty and Gerber 2002).

Like many invertebrates, corals possess an innate immune system that is characterized by a series of mechanisms that defend the host from infection (Toledo-Hernández and Ruiz-Diaz 2014). In reef-building corals, mucus forms a physical barrier and acts as a first line of defense. Coral mucus is a viscous fluid made of a complex mixture of compounds secreted by the polyps, and which contains a variety of anti-bacterial compounds (Kvennefors *et al.* 2012, Krediet *et al.* 2013), including a variety of symbiotic microbes that prevent the settlement of potentially noxious bacteria (Brown and Bythell, 2005), and a range of viruses that also may play an important role in coral immunology (Nguyen-Kim *et al.* 2015). Factors that affect the mucus layer may have directly lower a coral's immunity to disease. While coral immune systems are generally considered rudimentary and simplistic (Pollock *et al.* 2011, Toledo-Hernández *et al.* 2013), recent research suggests they are surprisingly complex, with some components similar to those found in vertebrates (Reed *et al.* 2010, Palmer and Traylor-Knowles 2012).

The incidence of coral disease has been found to be positively correlated with increasing algal cover (Hayes and Goreau 1998, Harvell *et al.* 1999, Harvell *et al.* 2004), and a link between direct algal contact and coral disease has been established (Nugues *et al.* 2004, Bender *et al.* 2012). Macroalgae populations, including species of common Western Pacific Region genera *Halimeda, Hypnea* and *Chlorodesmia*, have been shown to harbor pathogens that have been directly linked to coral disease, although the specific mechanism of transfer between algae and coral is poorly understood (Sweet *et al.* 2013).

In general, Pacific reefs have been considered in good condition, with little concern given to coral and other diseases, but this may only reflect inadequate information for many geographic areas. As more studies are conducted on Pacific reefs, it is becoming clear that diseases exist and may be more widespread than originally believed (Ruiz-Moreno *et al.* 2012, Maynard *et al.* 2015), causing some experts to warn that Pacific coral reefs are on a trajectory of degradation similar to that experienced in the Caribbean where coral reefs have been decimated by disease (Galloway *et al.* 2009, Maynard *et al.* 2015).

Approximately 30 coral diseases are known from the Indo-Pacific region, affecting 97 species of coral (approximately 15% of all species) from 34 genera, and the identification of new diseases appears to be accelerating. Coral disease in the Western Pacific region is widespread with prevalence varying from a low of 0.14% in American Samoa to 0.5% in the Northwestern Hawaiian Islands, and up to ocean-wide highs of 10% along the Great Barrier Reef and 14% in the Philippines (Willis *et al.* 2009, Aeby 2009, Work *et al.* 2009). Disease progression can be variable, advancing across a few millimeters of tissue to >1 centimeter (cm) per day, and depending on the severity and length of the infection can cause partial or total colony mortality (Southerland *et al.* 2004).

Other coral reef organisms affected by identified diseases include coralline algae (Littler and Littler 1995, Aeby *et al.* 2005) and sea urchins, for which a massive die-off contributed to a

regional phase-shift on Caribbean reefs (Mumby *et al.* 2006). Researchers believe an urchin disease outbreak may have responsible for a recent mass mortality of *Tripnuestes gratilla* (collector urchin) in Hawai'i (T. Work, pers. comm.).

No reports of seagrass disease have been located for the Western Pacific Region, but likely, seagrass diseases are present and their prevalence may increase in the Pacific in the future under warming seas. The limited information on seagrass disease comes from seagrass wasting diseases which has been reported in at least two Atlantic species: *Zostera marina* (eel grass) and *Thalassia testudinum* (turtle grass) (Loucks 2013). This disease was responsible for decimating *Z. marina* meadows in the 1930s with over 90% loss (Muehlstein 1989). The same micro-organism has been identified as the causative agent for both species, suggesting this disease has potential to affect numerous species in different genera. When not lethal, wasting disease has been shown to affect photosynthesis, growth, and leaf litter production (Ralph and Short 2002), which can affect nutrient transport and cycling.

Similarly, relatively few diseases of mangrove trees have been identified, and those that have been identified primarily affect *R. mangle* (Weir *et al.* 2000). Most are linked to a fungal causative agent, at least one of which has been identified in Hawaiian *R. mangle* populations (Kohlmeyer 1969), and which was responsible for rotting of woody tissue below the waterline.

### 4.2.3 Fish Aggregating Device (FAD) Effect

Nearly any floating object (anchored or unanchored) in the ocean will attract and aggregate organisms, mostly fish, underneath it. This behavioral response has led to the development of FADs as a fishery tool, but this report reviews the FAD effect from non-fishing activities including marine debris, anchored ships, navigational buoys, fixed structures, and floating platforms.

Unlike many of the other stressors discussed in this report, the FAD effect does not directly alter the condition of the physical or biological habitat. The only direct effect to the EFH is the deployment of the object into the environment, which then alters the behavior, and potentially the distribution and fitness of some species. Removal of the object would be expected to restore behavior to its pre-deployment condition. As such, the presence of the object itself is the primary effect on environment.

Fish aggregation has been best studied in relation to fishing FADs, which have been shown to have the potential to adversely affect fishery species and ecosystems (Wang *et al.* 2014), although considerable debate about their potential adverse effects exists (Dagorn *et al.* 2012). FADs have been shown to cause pelagic fishes to move away from their usual migration routes, which can lead them into regions with lower productivity (Fléchet 2008) and result in lower individual fitness and altered spatio-temporal dynamics of the population (Wang *et al.* 2014), but the converse has also been demonstrated (Dagorn *et al.* 2007, Dagorn *et al.* 2012). Compared to free-swimming tuna, tuna associated with FADs show significant differences in feeding patterns (Williams and Terawasi 2014, Fonteneau 2014, Wang *et al.* 2014), fish condition (Hallier and Gaertner 2008, Harley *et al.* 2014), aggregation patterns (Fléchet 2008), and migratory

### Summary Stressor Table: Potential effects of disease

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Intertidal          | <ul> <li>Species-specific disease may affect populations but not likely to significantly alter tropical intertidal assemblage</li> <li>Depending on the species, could result in reduced species diversity, changes in trophic dynamics, and reduced resilience</li> </ul>   |
| Mangrove Forests    | <ul> <li>Few diseases of mangrove trees have been identified and trees appear to be relatively resistant to disease.</li> <li>For non-mangrove tree species, disease could result in reduced species diversity, and changes in trophic dynamics</li> </ul>   |
| Seagrass Beds       | <ul> <li>Seagrass wasting disease has potential to eradicate seagrass beds, removing important nursery habitat</li> <li>Reduced photosynthesis, growth, and leaf litter production</li> <li>Altered nutrient transport processes</li> <li>For non-coral species, disease could result in reduced species diversity, and changes in trophic dynamics</li> </ul>   |
| Coral Reefs         | <ul> <li>Increased mortality in coral and important herbivores can lead to significant changes in assemblage diversity and composition, including the potential for a phase-shift toward an algal-dominated assemblage</li> <li>"Flattening" of reef structure leading to loss of diversity, abundance and biomass, including important fishery species</li> <li>Decreased coral recruitment if significant loss of CCA algae occurs</li> <li>Sub-lethal effects reduce growth, reproduction and likely impair organism fitness</li> </ul> |
| Deep Reef Slopes    | See Coral Reefs  |
| Banks and Seamounts | See Coral Reefs  |
| Deep Ocean Floor    | • Unknown, no research available   |
| Pelagic Environment | • Depending on the species, could result in reduced species diversity, changes in trophic dynamics, and reduced resilience   |

direction and displacement rates (Hallier and Gaertner 2008, Williams and Terawasi 2014), although research conducted as part of the Hawai'i FAD program suggest these effects are not universal (Grubbs *et al.* 2002, Holland *et al.* 2003, Dagorn *et al.* 2007).

FADs have also been implicated in increased by catch and mortality of high-level, or apex, predators. An estimated 480,000 to 960,000 sharks per year are killed in the Indian Ocean when caught in drifting FADs (Filmater et al. 2013), although the design of these units may be directly responsible. "Smooth-bodies" FAD designs, such as those deployed in the Western Pacific Region have resulted in few adverse interactions with sharks, turtles and other protected species (Holland 2012). Juvenile bigeye tuna often gathers under FADs and are caught before they have a chance to reproduce. In 2013 more than 85% of bigeye tuna landed in the Western Pacific Region were small, and most of these were caught in association with purse seiners around FADs (Harley et al. 2014). Nevertheless, the potential to catch small FAD-associated individuals using other methods exists. While mortality from FADs is most likely associated with fishing (which is beyond the scope of this report), other potential ecological effects of fish aggregation should not be discounted. Fish will aggregate under and around any floating object in any shallow water marine ecosystem, not just the open ocean where traditional fishery-related FADs are generally deployed. Shifts in abundance of high-level predators from their natural habitat, can have significant ecosystem effects on the individuals and the population. Changes in the spatial distribution and density through the depletion or concentration of apex predators could induce ecological changes in marine assemblages (Stevens et al. 2000, Bascompte et al. 2005; Mumby et al. 2006), both near the aggregating structure and away from the structure. While potential ecosystem-level effects on the pelagic ecosystem are unclear, reef areas dominated by high-level predators often support greater biomass of herbivores (Stevenson et al. 2007), likely because of an indirect effect of predators preying upon intermediate consumers, thereby releasing herbivores from predatory control (Bascompte et al. 2005). The presence of herbivores has far reaching ramifications on ecosystem health, particularly on coral reefs, and particularly in combination with other stressors (*e.g.*, nutrients). However, to achieve a substantial adverse effect, structures that promote fish aggregation would need to be numerous and densely deployed in order exert sufficient attraction on many apex predators. Even so, the attractive capacity of a FAD array would be limited because FADs appear to have a limited range of attraction, approximately 10 km (Girard et al. 2004). Therefore, provided fishery related mortality is managed at any fish aggregating structure (e.g., Cabral et al. 2014), ecosystem-level effects would likely be localized and small in magnitude.

# 4.3 Physical Stress

### 4.3.1 Physical Damage

Physical damage to an ecosystem can occur when sufficient mechanical force is generated either naturally through the movement of water (*e.g.*, by a storm, tsunami, etc.) or anthropogenically through contact with an object (*e.g.*, dredge, anchor, feet, groundings, etc.). Shallow water benthic organisms are most at risk to physical damage because they are unable to leave the area of impact or otherwise avoid being impacted. In Hawai'i, reef fish have been observed to move into deeper water prior to large storm events (Walsh 1983), likely to escape the physical effects of the storm. Likewise, deep water ecosystems tended to be less affected by physical stress

Summary Stressor Table: Potential effects of fish aggregating

Color reflects the relative severity of an adverse effect compared across all stressors: green=none to mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Intertidal          | • Fish aggregating not a significant stressor   |
| Mangrove Forests    | • Fish aggregating likely not a significant stressor  |
| Seagrass Beds       | • Fish aggregating likely not a significant stressor  |
| Coral Reefs         | <ul> <li>Altered distribution of apex predators</li> <li>Altered trophic dynamics, for example, change in fish herbivore abundance could alter herbivory rates</li> </ul> |
| Deep Reef Slopes    | • Fish aggregating like not a significant stressor  |
| Banks and Seamounts | • Fish aggregating likely not a significant stressor  |
| Deep Ocean Floor    | • Fish aggregating not a significant stressor   |
| Pelagic Environment | <ul> <li>Altered distribution apex predators</li> <li>Altered fitness for aggregated species</li> <li>Altered trophic dynamics</li> </ul>                                 |

because storm-generated surge seldom extends deeper than ~50 m in the ocean (but see Smith *et al.* 2016), and human activity is generally restricted to shallow, coastal areas. Although storm damage has been observed as deep as 100 m (Harmelin-Vivien and Laboute 1986), activities such as deep-ocean mining (Sharma 2015) have the potential to cause substantial but localized physical damage to deep water ecosystems.

In tropical oceans, physical damage has been best studied in coral reef and seagrass ecosystems. Seagrasses are primarily affected through physical removal of plants, leaving bare patches (sometimes called "blowouts") that are subject to further erosion. Blowouts may lead to a decrease in topographical structure, and an increase in the abundance of early colonizing species, such as fast growing native and/or invasive algae (Short and Neckles 1999). Recolonization for many seagrass species occurs primarily through vegetative branching, and populations may take many years to recover (Williams 1990; van Tussenbroek 1994, Creed and Amado Filho 1999). However, deep water seagrass beds (30 m or more), such as those composed of *Halophila decipiens*, a common species seagrass in Hawai'i and elsewhere in the Western Pacific Region, show higher recovery rates due to the prolific sexual reproduction and high rhizome growth rates

(Williams 1988). This species (and similar ones) would be less likely to suffer long-term adverse effects from physical damage.

Physical damage on coral reefs is often associated with the breakage or dislodging of coral colonies, but can also manifest itself less severely (*e.g.*, tissue abrasion). Scleractinian corals, which are responsible for the structural complexity of coral reefs, are particularly vulnerable to physical damage because their slow-growing carbonate skeleton is relatively brittle and their polyps are easily damaged. A number of studies have reported coral damage from coastal development (Hawkins and Roberts 1994), boating and anchoring (Tilmant 1987, Rogers 1993), especially in large anchorages such as the Garapan Anchorage off Saipan (Rooney *et al.* 2005), derelict fishing gear and other marine debris (Edward 1999), as well as snorkeling (Rogers *et al.* 1988, Allison 1996), reef walking (Neil 1990, Hawkins and Roberts 1993, Rodgers *et al.* 2003), and scuba diving (Tratalosa and Austin 2001, Zakai and Chadwick-Furman 2002, Hasler and Ott 2008). While nearly always very minor relative to the other activities mentioned above, scientific investigations have the potential, especially in pristine areas, to result in physical damage to coral colonies and other organisms.

The severity of the damage caused by physical stress to a coral colony is dependent on many factors, including the magnitude of the physical force and the skeletal strength of the organism, which for coral is dependent on skeletal density and colony morphology (Storlazzi *et al.* 2005, Shimabukuro 2014). In general, lobate, encrusting, and other massive colony morphologies tend to withstand breakage better than foliose, table, plating, and branching morphologies. However, these more fragile forms tend to have higher growth rates (Minton 2013), which would facilitate more rapid recovery following damage, provided the colony did not experience total mortality.

Recovery from physical damage can be slow, often on the order of years to decades (Rogers and Garrison 2001). Recovery can be hampered by loose rubble (Dollar 1982, Raymundo *et al.* 2007), which is often generated by the pulverizing of fragile coral morphologies, such as branching or foliose forms. The loose rubble rolls around on the bottom, causing secondary damage to small corals and other organisms, and impairs recruitment (Brown and Dunne 1988, Lindahl 1998, Fox and Caldwell 2006). Often, no recovery is observed until the rubble is washed from the area or solidified to the bottom (Fox and Caldwell 2006, Raymundo *et al.* 2007), usually by coralline algae (natural recovery) or human intervention. While rubble fields may inhibit coral settlement and regrowth, for some coral species fragmentation is a viable form of dispersal (Highsmith 1982), and if environmental conditions are suitable, coral fragments of these species can reattach to the bottom and continue to grow.

The abundances of fish and other coral-associated organisms depend on a reef's topographic complexity, and the flattening of reefs can lead to declines in biodiversity (Alvarez-Filip *et al.* 2009), including among fisheries species. When combined with other stressors, such as nutrient enrichment, large-scale physical damage can increase the probability of a shift in dominance from coral to algae, known as "phase-shifts." For example, Jameson *et al.* (2007) found that sites suffering from anchor and scuba diver damage, had a lower frequency of hard coral (especially *Acropora* coral), and higher percentage of algae, suggesting physical damage can contribute to a shift from coral- to algal-dominated assemblages.

The deep ocean floor is unlikely to experience a significant amount of physical damage from non-fishing effects. However, deep ocean mining has the potential to cause significant localized effects. While most studies that have examined the potential adverse effects of deep ocean mining have focused on adverse faunal effects without attempting to link the observed changes to a specific stressor (Ozturgut *et al.* 1980, Foell *et al.* 1990, Schriever *et al.* 1997, Tkachenko *et al.* 1996, Radziejewska 1997, Sharma *et al.* 2001), physical damage to the substratum is expected to be the primary mechanism causing damage. Most mining appears to be conducted in unconsolidated sediment, so breakage of structure-forming organisms is unlikely (Sharma 2015), and many effects are likely associated with sedimentation and smothering. Unfortunately, it's unknown how these changes may cascade through the deep sea food web.

#### 4.4 Pollution Stress

### 4.4.1 Sediment

A large body of information exists examining the effects of sedimentation, nutrient enrichment and turbidity on marine ecosystems, especially coral reefs (see Rogers 1990, Fabricius 2005, Cabaçoa *et al.* 2008, Erftemeijer and Lewis 2006). Given the often confounding relationship between sediment, nutrients, turbidity, heavy metals, and other pollutants, it has often been difficult to assess the direct causal relationships between increasing sedimentation and ecosystem degradation (Fabricius 2005). Therefore, this section will focus primarily on the direct effects (*e.g.*, smothering, scouring, and burial) that can be attributed to sedimentation. Potential adverse effects associated with nutrients (4.4.3 Chemicals), metals, and other chemicals (4.4.2 Nutrient enrichment), and turbidity (4.1.5 Irradiance) are covered elsewhere in this report.

Suspended sediment can elicit short- and long-term responses from aquatic organisms depending on the quantity, quality, and duration of suspended sediment exposure (Kjelland *et al.* 2015). In general, high rates of sediment deposition contribute to reduced fitness or death in filter-feeding organisms such as mussels, oysters and other bivalves by clogging their feeding mechanisms (*i.e.*, cilia and siphons) and through direct smothering (Wilber and Clarke 2001, Nicholls *et al.* 2003). Fish are more likely to undergo sublethal stress from suspended sediment rather than mortality because of their ability to move out of an area with high suspended sediment load, although specific responses are not well-studied in coral reef fish or other tropical fish. Displacement can disrupt social interactions, increase intraspecific aggression, reduce reproductive success, increase predator–prey interactions, and alter food web dynamics, larvae disbursement, and settlement (Kjelland *et al.* 2015).

The transport of sediment from land into coastal marine ecosystems is a natural process that is important to mangrove forests and some seagrass ecosystems, but can be detrimental when its rate is changed and/or the physical or chemical composition of the sediment is altered by human activity. Coral reef assemblages change naturally along sediment gradients (McClannahan and Obura 1997, West and vanWoesik 2001, Fabricius 2005), and can flourish at relatively high levels of particulate matter and siltation (Anthony 1999). Sediment transport in the marine environment depends on two factors: the size of the particles, and the strength of water flow (either prevailing currents and/or tidal flux). Sediment composition and grain size are also important parameters when assessing the potential adverse effects on marine ecosystems. Fine

Summary Stressor Table: Potential effects of physical damage

Color reflects the relative severity of an adverse effect compared across all stressors: green=none to mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Intertidal          | • Organism tend to be resistant to physical damage   |
| Mangrove Forests    | <ul> <li>Organism tend to be resistant to physical damage</li> <li>Increase mangrove tree mortality if significant damage occurs</li> </ul>  |
| Seagrass Beds       | <ul> <li>Increased bed erosion in areas where seagrass is removed</li> <li>Altered topographic structure could change assemblage structure</li> <li>Decreased nursery habitat quality for coral reef fish species</li> </ul>   |
| Coral Reefs         | <ul> <li>Increased partial or total coral colony mortality</li> <li>Damage unlikely to affect all coral colonies, reducing overall threat to the ecosystem</li> <li>If widespread damage occurs, shift in coral species composition to more breakage resistant colony morphologies could happen, with likely loss in topographic complexity; may contribute to a "flattening" of the reef and associated loss of biodiversity, abundance, and biomass of reef associated fish and invertebrates</li> </ul> |
| Deep Reef Slopes    | See Coral Reefs  |
| Banks and Seamounts | See Coral Reefs  |
| Deep Ocean Floor    | • Physical damage likely not a significant stressor  |
| Pelagic Environment | • Physical damage not a significant stressor   |

sediment has more potential for greater adverse effects due to their slow settlement rate, ability to re-suspend into the water column, thus prolonging periods of reduced water clarity, and the tendency to form microbial-rich organic flocs (Fabricius and Wolanski 2000). Finally, the composition of the sediment (*e.g.*, terrestrial vs. marine) affects the chemical properties of the particles, which can affect interactions with other pollutants and the availability and quality of light (Te 1997).

Mangrove trees require  $\sim 0.5$  and 1 cm/yr of natural sediment input from which they extract nutrients. Rates above this threshold can lead to burial of mangrove roots, which is likely to result in tree mortality (Ellison 1998) due to reduced oxygenation of the roots resulting in

hypoxia stress. Moreover, the accumulation of sediment can change bathymetry, altering current velocities and impeding the tidal system on which mangroves depend for vital nutrients (Armstrong *et al.* 2010), and reducing the flushing rate of excess sediment (Ellison 2000). Even if burial does not result in mangrove tree death, it can lead to reduced reproductive rates and increased mortality of seedlings (Terrados *et al.* 1997). Effects on mangrove-associated species are not as clear, but burial of soft sediment infauna is likely, and could result in a reduction of light reaching phototrophs and affecting primary productivity, especially in benthic bacteria and algae species.

Sedimentation in seagrass beds can result in burial and decreased photosynthesis due to higher turbidity (see Section 4.1.5). Sedimentation can also alter bathymetry by changing current velocities and wave conditions (Jensen and Mogensen 2000), which affect the natural deposition rates and cause erosion that can undercut seagrass beds (MacInnis-Ng 2003). The effect of burial by sediment on seagrass depends on several factors including the depth of burial and life history of the species involved (Duarte et al. 1997); for example, seagrass species with vertical shoots (e.g., Western Pacific Region genera Cymodocea, Thalassia, Thalassodendron) can modify their vertical growth to keep their leaf-producing meristems close to the new sediment level provided sedimentation is not excessive (Marba and Duarte 1994). Response to burial is highly variable among species, although burial under ~5 cm of sediment often leads to substanital mortality in most species (Manzanera et al. 1995, Mills and Fonseca 2003, Erftemeijer and Lewis 2006). The adverse effects of sedimentation are often increased when blade epiphytes are abundant because leaf blades with high cover of epiphytes tend to collect a greater amount of sediment than those with fewer epiphytes, resulting in interference with photosynthesis (Shepherd et al. 1989) and causing the blades to sink to the bottom, thus increasing the probability of complete burial (Short et al. 1989). Sediment composition can be an important factor limiting seagrass distribution (Koch 2001), and incoming sediment can alter the silt and clay content and the amount of organic matter, leading to changes in species diversity, and/or shoot density and leaf biomass (Terrados et al. 1998).

Like seagrasses, potential sedimentation effects on coral reef ecosystems include burial and decreased water clarity from increased turbidity. Unlike seagrass beds, most coral reefs do not experience naturally high sedimentation rates, making them more susceptible to increased sediment loads. Coral reef benthic organisms are easily smothered by sediment (Golbuu et al. 2003), and rates  $>100 \text{ mg/cm}^2/\text{day}$  can kill exposed coral tissue within a few days (Riegl and Branch 1995), although corals show considerable interspecific variability. Sedimentation rates below a species mortality threshold can reduce photosynthesis rates (Philipp and Fabricius 2003), disrupt polyp gas exchange, inhibit nutrient acquisition (Rogers 1990, Richmond 1993), and increase metabolic costs (Telesnicki and Goldberg 1995) because a coral must increase mucus production to remove sediment from its surface. Sedimentation stress in corals increases linearly with the amount of sediment and the duration of exposure (Philipp and Fabricius 2003), and tissue damage is associated not only with amount and duration, but also with sediment type. Tissue damage is higher when exposed to sediment containing higher organic content and microbial activity, and small grain size (Hodgson 1990, Weber et al. 2004); mortality can occur quickly under these conditions, especially for newly settled coral recruits (Fabricius et al. 2003). High organic content in sediment promotes microbially induced anoxia and reduced pH, which can cause coral death within less than a day, depending on the concentration of organic matter in

the sediments (Weber *et al.* 2004). Coral settlement can be inhibited by a layer of sediment covering otherwise suitable hardbottom (Hodgson 1990), and can disrupt larval attachment and metamorphosis (Gilmour 1999), leading to recruitment failure. Removing cohorts of young corals will impair reef recovery after a disturbance, leading to long-term, ecosystem-level effects.

Sedimentation has been shown to reduce biodiversity, alter coral colony size-frequencies of an assemblage, decrease mean colony sizes, alter growth forms, and reduce growth and survival (see Rogers 1990 for an extensive review). Large colonies, or species with branching growth forms and/or thick tissues tend to be more tolerant of sedimentation; whereas small colonies or species with thin tissues and flat surfaces are often more sensitive (Rogers 1990). Some species with thick tissues can remove particles from their surfaces by tissue extension, mucus production, or ciliary movement (Stafford-Smith and Ormond 1992).

Decreased light reduces photosynthesis (both through partial burial and increased turbidity), lowers calcification rates, and contributes to tissue thinning (Telesnicki and Goldberg 1995; Anthony and Hoegh-Guldberg 2003), but many corals can photo-acclimate to reduced light levels, provided the reduction is not too severe. In areas with chronic sediment issues, reduced irradiance can lead to compressed depth distributions, resulting in lower biodiversity at deeper depths, and will also result in a shallower lower depth limit for overall reef growth, leading to a decrease in the suitable substratum available across the entire coral reef ecosystem.

Natural sedimentation can affect MCE (Sherman *et al.* 2010), but overall, natural sedimentation rates are generally low (Smith *et al.* 2008) and lacks a significant terrestrial component (Weinstein 2014). Sediment effects in MCE tend to be associated with scour, especially in conjunction with intense storm events (Smith *et al.* 2016). The low exposure to natural sedimentation suggest deep reef slopes, particularly those with deep water corals may be sensitive to elevated inputs of terrestrial sediment. Appeldoorn *et al.* (2015), in an assessment of the effects on a MCE within a deep-water dredge disposal site, noted a heavy sediment coating on the substratum, and reduced fish abundance. They attributed the decrease fish abundance to an absence of herbivores, such as surgeonfishes and parrotfishes, and hypothesized this was the result of a decrease in algal cover from reduced light intensity attributable to high turbidity.

In most situations, non-fishing activities are unlikely to introduce significant sediment into pelagic and deep ocean ecosystems, but deep sea mining has the potential to introduce substantial sediment loads over a wide area of the pelagic and the deep ocean floor ecosystems via the dumping of sediment-rich effluent from surface processing vessels. Nutrient-rich bottom water filled with fine particulates has the potential to alter surface water column primary productivity and could result in bacterial flocculation (Wolanski and Fabricius 2000), which will quickly be exported to the deep ocean. Upon sinking, this POM will undergo microbial decomposition, which could increase the probability of hypoxic conditions. Additionally, nodule harvesters suspend fine sediment that settles back on the ocean bottom, burying infauna. This has been shown to alter the structure of benthic macro- and meiofaunal assemblages (Foell *et al.* 1990), and these disturbances can persist for a decade or more (Schriever *et al.* 1997, Sharma 2015).

### Summary Stressor Table: Potential effects of sedimentation

| Ecosystem        | Potential Effects  |
|------------------|--|
| Intertidal       | <ul> <li>Sedimentation not a significant issue on most exposed shores</li> <li>Reduce tide pool depth and area could affect nursery habitat</li> </ul>   |
| Mangrove Forests | <ul> <li>Increased mortality through root burial</li> <li>Reduced mangrove reproduction success and increased seedling mortality</li> <li>Altered oceanographic processes could affect nutrient cycling and transport to offshore ecosystems</li> <li>Increased burial of benthic organisms, including photosynthetic algae</li> <li>Reduced fitness/increased mortality of filter-feeding organisms (<i>e.g.</i>, mussels, oysters and other bivalves) through clogging of their feeding apparatus or smothering</li> </ul>   |
| Seagrass Beds    | <ul> <li>Increased seagrass mortality from burial (&gt;5 cm of sediment)</li> <li>Altered silt and clay content and the amount of organic matter can result in long-term changes in species diversity, and/or shoot density and leaf biomass</li> <li>Reduced fitness/increased mortality of filter-feeding organisms (<i>e.g.</i>, mussels, oysters and other bivalves) through clogging of their feeding apparatus or smothering</li> <li>Altered behavior in fish, potentially causing decrease in fitness</li> </ul>   |
| Coral Reefs      | <ul> <li>Increased coral mortality at rates &gt;100 mg/cm<sup>2</sup>/d, potentially significant assemblage-level effects at &gt;50 mg/cm<sup>2</sup>/d</li> <li>Decreased photosynthesis, calcification, and growth</li> <li>Coral recruitment failure</li> <li>Shift in coral species composition, with likely loss in topographic complexity; may contribute to a "flattening" of the reef and associated loss of biodiversity, abundance, and biomass</li> <li>Altered assemblage composition, including loss of diversity of reef associated fish and invertebrates</li> <li>Reduced fitness/increased mortality of filter-feeding organisms (<i>e.g.</i>, mussels, oysters and other bivalves) through clogging of their feeding apparatus or smothering</li> <li>Altered behavior in fish, potentially causing decrease in fitness</li> </ul> |
| Deep Reef Slopes | See Coral Reefs  |

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Banks and Seamounts | • Banks and Seamounts tend to be isolated from sediment sources, so effects are expected to be minimal.  |
| Deep Ocean Floor    | <ul> <li>Increased risk of burial</li> <li>Change in species composition, abundance of benthic macro-<br/>and meiofauna</li> <li>Potential effects through food chain</li> </ul> |
| Pelagic Environment | • Increased flocculation and export of particulate to the deep ocean   |

#### 4.4.2 Nutrient Enrichment

Rapid population growth on small islands, the development of tourism-based economies, poorly developed and maintained infrastructure, poorly designed or insufficient sewage treatment systems (*e.g.*, coastal zone septic systems and cesspools), and generally poor land management have resulted in significant nutrient enrichment of nearshore marine ecosystems in the tropical Pacific (Adams 1996, Verhoeven *et al.* 2006, Honey *et al.* 2010, Spaulding *et al.* 2011). Coastal development, often immediately adjacent to the ocean, has occurred at a considerable pace and often without regard to its potential effects on the marine environment, although this appears to be changing. Residential and commercial landscaping and agricultural practices have contributed to nutrient-rich, non-point source runoff. In addition to often being a significant human health issue, nutrient enrichment adversely affects nearshore marine ecosystems (Bell 1992, Dubinsky and Stambler 1996, Lapointe 1997, Downing *et al.* 1999, Cloern 2001, Lovelock *et al.* 2009). The section will focus on nearshore nutrient enrichment; for information on changes to open ocean productivity see Section 4.1.2.

While mangroves are highly productive ecosystems and fix and store large amounts of carbon (Duarte and Cebrian 1996), they are often nutrient poor (Lovelock *et al.* 2005). Mangroves sustain high levels of productivity despite nutrient limitation through efficient nutrient cycling and nutrient conservation strategies (Reef *et al.* 2010). Nutrient additions can stimulate mangrove growth, and studies have found that small inputs over short time periods often result in no detectable effect on mangrove leaves, soils, or the assemblage structure (Wong *et al.* 1995, Trott and Alongi 2000), although prolonged eutrophication has been shown to have negative consequences on mangrove growth (Lovelock 2009). Under chronic nutrient enrichment, growth tends to favor shoots and canopy production over root structures (Lovelock 2009), resulting in stunted growth forms and a lack of pneumatophores, which eventually lead to plant mortality (Mandura 1997). Less root growth can also increase sensitivity to drought and hypersalinity, leading to increased mortality from water deficits. Nutrient enrichment has also been associated with increased densities of marine wood-borers (Kohlmeyer *et al.* 1995) and herbivory in some bark-mining moths (Feller and Chamberlain 2007). The rate of release of N<sub>2</sub>O, a potent greenhouse gas, to the atmosphere can increase exponentially with external nitrogen inputs

(Corredor *et al.* 1999, Allen *et al.* 2007, Krithika *et al.* 2008). Nutrient enrichment favors growth of algae over other benthic organisms, resulting in an algal-dominated benthic assemblage (Lapointe *et al.* 1993).

Nutrient enrichment is considered a major threat to seagrasses worldwide (Short and Wyllie-Echeverria 1996, Ralph *et al.* 2006, Ralph *et al.* 2007, Waycott *et al.* 2009). Short-term additions of nutrients to seagrass beds generally stimulate plant growth resulting in increased biomass and shoot density (Hughes *et al.* 2004). However, if nutrient enrichment is sufficiently large or chronic, it can alter plant architecture, decrease shoot density, reduce biomass, and if persistent, result in seagrass death (Short 1983, van Katwijk *et al.* 1997, Brun *et al.* 2002, Hughes *et al.* 2004, Romero *et al.* 2006, Burkholder *et al.* 2007, Fertig *et al.* 2013). Elevated nutrients can contribute to the excessive growth of epiphytes, macroalgae and phytoplankton, all of which could decrease seagrass growth and survival (McGlathery 1995, Ralph *et al.* 2006, Lee *et al.* 2007, Schmidt *et al.* 2012). Extremely high nutrient regimes can also result in a build-up of organic matter in the sediment, increasing anoxia and creating unfavorable and sometimes toxic sediment conditions for seagrasses (Koch 2001, Koch *et al.* 2006, Ralph *et al.* 2006) and associated organisms. Nutrient enrichment promotes algal growth over seagrasses, potentially contributing to a phase shift from a seagrass- to an algal-dominated assemblage (Lapointe *et al.* 1993).

Coral reefs generally grow in oligotrophic, or nutrient-poor, waters (D'Elia and Wiebe 1990), and nutrient enrichment has been shown to negatively affect coral reef ecosystems (Pastorok and Bilyard 1985, Stambler *et al.* 1991; Dubinsky and Stambler 1996, Loya 2004). Reefs that have been exposed to chronic nutrient enrichment often show an increase in primary productivity, but this is mainly associated with algal growth (Smith *et al.* 1981, Hatcher *et al.* 1989, Bell 1992, Done 1992, Hughes 1994, Lapointe 1997, Schaffelke *et al.* 1998, Fabricius *et al.* 2010), which can quickly occupy hard substratum and potentially overgrow corals, smothering or otherwise outcompeting them (Smith *et al.* 1981, Nairn 1993, Genin *et al.* 1995). This could contribute to a shift to an assemblage dominated by algae (McManus and Polsenburg 2004, Dudgeon *et al.* 2010, Edinger *et al.* 2000, Lapointe 1997), although it is unlikely that nutrient enrichment alone is sufficient to cause such a change, and instead must occur in combination with other stresses (Szmant 2002).

The growth rates of reef algae are believed to be constrained by nutrient limitation and herbivore grazing, thereby preventing algae from overgrowing and killing corals under normal conditions (Carpenter 1986, Lewis 1986, Birkeland 1988, Hay 1991, Littler *et al.* 1991; Lapointe 1997). In the absence of grazing, a nutrient increase could shift the competitive balance in favor of algae. Nutrient enrichment also has the potential to increase water column productivity, resulting in plankton blooms that can reduce water clarity and light for benthic producers, and trigger an increase in the abundance of deposit and filter feeders (Grigg 1995). This shift away from coral dominance would likely result in a "flattening" of the reef (Alvarez-Filip 2009).

While research suggests the effects of nutrient enrichment vary by coral species, type of nutrient input, and the history of the exposed individuals or population, nutrient enrichment generally has an adverse effect on coral. Eutrophication has been reported to cause subtle physiological changes in parameters such as coral growth, skeletal tensile strength, reproduction (Stambler *et* 

*al.* 1991, Ferrier-Pages *et al.* 2000; Bucher and Harrison 2002; Cox and Ward 2003, Dunn *et al.* 2012), and suppressed calcification rates (Kinsey and Davies 1979; Marubini and Davies 1996; Ferrier-Pages *et al.* 2000). Corals exposed to elevated nutrients often show lower larvae and planula production, impaired planula settlement, decreased gonadal index and fertilization rates, and higher rates of irregular embryos and hermaphroditism (Tomascik and Sander 1987, Richmond 1997, Harrison and Ward 2001, Cox and Ward 2003, Bongiorni *et al.* 2003, Koop *et al.* 2001, Loya *et al.* 2004). Nutrient enrichment has been implicated in reduced ability to withstand disease (Bruno *et al.* 2003, Voss and Richardson 2006, Harvell *et al.* 2007) and may increase susceptibility to temperature stress, thereby increasing the chances of bleaching (Wiedenmann *et al.* 2013). However, responses vary considerably within and among species (Tomascik and Sander 1987; Ward and Harrison 2000; Harrison and Ward 2001; Bongiorni *et al.* 2003), making it difficult to identify generalize trends.

Nutrient additions to the open ocean are unlikely to occur at a large spatial scale, but small scale inputs from activities such as deep ocean mining or OTEC could create localized nutrient inputs. The effects of nutrient additions on primary productivity in the open ocean would be mediated by the availability of limiting elements, primarily iron, which enters the tropical Pacific via wind-blown, terrestrially-derived dust (Falkowski *et al.* 1998). The tropical Pacific, however, is predominately nutrient poor (except in upwelling areas) due to oceanic stratification (Sigman and Hain 2012), and thus may not be severely iron-limited. It could respond to additions of nitrogen, through rapid uptake by phytoplankton and cyanobacteria, potentially leading to phytoplankton blooms. These would then contribute to a zooplankton bloom that could be exploited up through the pelagic foodchain. Ultimately, the production of organic matter, especially POM, would sink and be exported out of the surface layer, into the deep ocean for nutrient recycling. Excess POM in the deep ocean could result in an increased of hypoxia because of microbial decomposition (see Section 4.1.7). While localized nutrient enrichment might be possible, humans appear incapable of fertilizing a large enough area of the ocean on a continuous basis to create significant basin-wide effects.

Coastal areas may be subjected to sufficient, chronic nutrient inputs derived from land-based activities to promote conditions that result in seasonal or even persistent phytoplankton blooms. This increased productivity can have numerous potentially adverse effects on nearshore waters, including increased turbidity which can reduce irradiance, altered trophic dynamics in which planktivores and filter feeding organisms are favored over other trophic groups, and an increased likelihood of seasonal dead zones resulting from microbial decomposition of POM, especially in areas were currents and flushing are low (*e.g.*, harbors, enclosed lagoons, etc.).

### 4.4.3 Chemicals

All marine ecosystems are under threat of contamination from toxic substances, including oil and oil dispersants, industrial chemicals from discharges, household and personal-use chemicals, pharmaceuticals, pesticides from run-off, and antifouling compounds (Spaulding *et al.* 2001). These chemical pollutants can have a variety of lethal and sub-lethal effects on marine organisms, including alteration of growth, interference with reproduction, disruption of metabolic processes, and changes in behavior. These adverse effects can cascade through

Summary Stressor Table: Potential effects of nutrient enrichment

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Intertidal          | <ul> <li>Increased algal growth in lower intertidal, with the potential to alter species composition</li> <li>Likely little or no effect on upper intertidal</li> </ul>  |
| Mangrove Forests    | <ul> <li>Chronic nutrient enrichment favors canopy growth over root growth, resulting in a lack of pneumatophores and increased tree mortality</li> <li>Increased release of N<sub>2</sub>O, a potent greenhouse gas</li> <li>Short-term nutrient enrichment unlikely to have noticeable effect</li> </ul>   |
| Seagrass Beds       | <ul> <li>Under high or chronic nutrient enrichment, altered plant architecture, decreased shoot density and biomass, increased hypoxia in sediment, contributing to increased mortality</li> <li>Increased abundance of benthic deposit- and filter-feeders</li> <li>Increased growth of seagrass epiphytes, macroalgae and phytoplankton, which compete with seagrasses for space and light</li> <li>Potential for a phase-shift toward an algal-dominated assemblage</li> </ul>  |
| Coral Reefs         | <ul> <li>Altered coral growth rates, decreased calcification and skeletal tensile strength (could increase physical damage)</li> <li>Decrease coral reproductive output, increased rates of irregular embryos, decreased recruitment</li> <li>Decreased coral disease resistance</li> <li>Increase sensitivity to temperature stress in coral, increasing the risk of bleaching</li> <li>Increased abundance of benthic deposit and filter feeders</li> <li>Increased growth of macroalgae and phytoplankton, which compete for space and light</li> <li>Potential for a phase-shift toward an algal-dominated assemblage</li> </ul> |
| Deep Reef Slopes    | See Coral Reefs  |
| Banks and Seamounts | • Banks and Seamounts tend to be isolated from nutrient sources, so effects are expected to be minimal.  |

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Deep Ocean Floor    | Unknown; no research available.   |
| Pelagic Environment | <ul> <li>Increased primary productivity until iron becomes limiting</li> <li>Increased abundance of phytoplankton and cyanobacteria, leading to phytoplankton bloom</li> <li>Formation of POM that eventually sinks into the deep ocean.</li> </ul> |

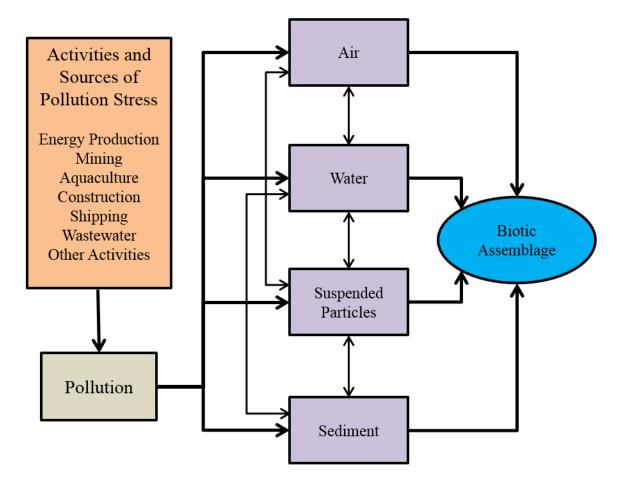
ecosystems, altering species composition, and ecosystem functions and services. Some pollutants are environmentally persistent and can take years or even decades to biodegrade, and others can bio-accumulate and biomagnify through the food chain, eventually posing a direct threat to human health.

Chemicals enter the marine environment through a variety point and non-point pathways (Figure 3), and may be transported great distances from their origin. In the marine environment, the transport, dispersion, and the biological effects of pollutants depend upon the environmental persistence of these chemicals under tropical conditions (*e.g.*, their biodegradation rates), and their propensity to bioaccumulate (van Dam *et al.* 2011). Many contaminants readily attach to sediment particles and are transported into the ocean where they become entrained in the bottom sediment of estuaries, reefs, and potentially deeper ocean ecosystems. Once trapped in sediment porewater, they can continue to flux into the overlying water column (Figure 3), creating a persistent source of contamination long after the initial input has ended. Contaminated organisms carrying accumulated loads of persistent chemicals in their tissues can transport pollutants between marine ecosystems and far from their application or deposition sites (*e.g.*, heavy metals in pelagic fish).

## Hydrocarbons

The jurisdictions in the Western Pacific Region have no significant fossil fuel deposits or ongoing extraction activity, so the threat of oil and hydrocarbon pollution is likely low. Hydrocarbons will enter the ocean primarily through run-off from urban areas, and through activities associated with shipping (e.g., spills, fueling, groundings, etc.).

Often, hydrocarbons entering the marine environment do not contact organisms because they stay near the surface where much of it evaporates within a few days (Neff *et al.* 2000), before the remaining non-volatile and semivolite components sink and become entrained in the benthic sediment, where they can potentially persist for years to decades (Owens *et al.* 2008, Bagby *et al.* 2016). However, organisms that use the surface (*e.g.*, marine mammals, some jellyfish, sea birds, etc.) or life history stages that are positively buoyant (*e.g.*, many benthic gametes, including coral spawn) are particularly susceptible to adverse effects from direct contact with hydrocarbons (Haapkylä *et al.* 2007). Rough sea surface conditions can mix hydrocarbons into the water column, and over time some types of crude oils will weather, sink, and adsorb to particulate material (before eventually becoming entrained in the bottom sediment (Fitzpatrick *et* 



**Figure 3.** Conceptual model for pollutant pathways in marine ecosystems (modified from van Dam *et al.* 2011).

*al.* 2015, Gong *et al.* 2014). The sinking of the non-volatile component of the crude increases the chance for adverse effects on "sub-surface" organisms. Direct contact with hydrocarbon itself is not required for an adverse effect to occur because most oil products have a "water-accommodating fraction" that will dissolve into seawater and disperse throughout the water column (Neff *et al.* 2000, Beyer *et al.* 2016). Unfortunately, dispersing agents used to clean up oil spills are often more toxic than the oil itself, and have been demonstrated to cause larval deformities, loss of normal larval swimming behavior, and tissue damage in corals (Epstein *et al.* 2000, Lane and Harrison 2000, Shafir *et al.* 2007, DeLeo *et al.* 2015, Beyer *et al.* 2016).

Mangrove forest ecosystems are particularly sensitive to hydrocarbon pollution because they span the air/water interface and tend to have calm water conditions, which makes it difficult to flush contaminants (Moore 1972, Getter *et al.* 1981). Mangroves are especially sensitive to smothering when pneumatophores, which are responsible for aerating roots, become clogged with oil, causing roots to die from the lack of oxygen (Teas *et al.* 1987, Boer 1993). Both light and heavy crudes have been shown to be difficult to remove from clogged pneumatophores (Reilinger 1991), and recovery can take more than a year (Wardrop *et al.* 1987, Lugo *et al.* 1981,

Snedecker et al. 1981). Oil can disrupt normal root growth, resulting in deformed aerial roots (Boer 1993, Snedecker et al. 1981, Lewis et al. 1979, Getter et al. 1980, Lewis 1980, Getter et al. 1982). The anaerobic soil conditions found in most mangals are not conducive to the biodegradation of oil, and hydrocarbons can persist in mangal soils for years (Page et al. 1979). Oiled mangrove trees show reduced productivity, lower rates of litter production and lower seedling survival (Saenger et al. 1983). While direct, immediate mortality of mangroves and associated organisms can be high (Nadeau and Berquist 1977, Ray 1981, Getter et al. 1981, Saenger et al. 1983, Jernelov and Linden 1983, Lewis 1983, Hoi-Chow 1984, Hoi-Chow et al. 1984, Teas et al. 1987, Garrity and Levins 1993). The added long-term stress on mangrove trees can lead to mortality that extends years into the future (Dodge et al. 1995). Recovery of severely damaged mangrove forests can take decades, and depending on the characteristics of the forest, a century or more may be required to replace the lost features, functions and services (Klekowski et al. 1994, Davis 1940, Noakes 1955, Tschirley 1969, Westing 1971, Lugo et al. 1975). Infaunal populations might recover rapidly, but shrimp, polychaetes, mollusks, and sipunculids may be affected for years (Krebs and Burns 1977, Gilfillian et al. 1981, Garrity and Levins 1993), and could experience increased mutations (Klekowski et al. 1994).

Damage to seagrass ecosystems includes direct mortality from smothering, fouling, asphyxiation, and chemical toxicity, as well as indirect effects associated with decreased irradiance, trophic disruption, habitat destruction, and loss of sensitive juvenile fish and invertebrates (Zieman *et al.* 1984). Oil in direct contact with seagrasses decreases growth rates, smothers or otherwise damages leaves, and decrease spatial coverage (Jacob 1988). Photosynthetic rates are often depressed, but the magnitude of the reduction varies considerably among species and exposure parameters (Thorhaug *et al.* 1986, Baca and Getter 1984, Thorhaug and Marcus 1985); for example, following spills in the Persian Gulf, seagrasses appeared to be unaffected (Kenworthy 1993). The level of exposure is particularly important for seagrasses because under light oiling, some seagrass species may actually experience enhanced growth for up to decade afterwards (Ballou *et al.* 1989, Dodge *et al.* 1995), a phenomenon in toxicology known as hormesis. Seagrass-associated organisms may or may not recolonize previously oiled beds, resulting in a potential loss of biodiversity (Marshall *et al.* 1993).

Coral reefs may be more susceptible to small, frequent spills than to large single-spill events (Bak 1987, Keller *et al.* 1993, Loya and Rinkevich 1980, Craik 1991). While the chemical composition of the oil can affect its dispersion, emulsification, and weathering, oil released over a reef will generally float above it and not come into direct contact with the corals or other benthic organisms (although reef flats are at risk to direct contact). Oil globules can adhere to the coral tissue (Jackson *et al.* 1989, Marumo and Kamada 1973, Knap *et al.* 1982), and soluble oil components can be adsorbed from the water column by polyps (Knap *et al.* 1982, Burns and Knap 1989, Peters *et al.* 1981), likely a result of the high lipid content of most corals. Effects on coral colonies include mortality, tissue death, reduced growth, impaired reproduction, bleaching, reduced photosynthetic rates, and decreased cellular lipid content, which is correlated with coral fitness (Fucik *et al.* 1984, Cook and Knap 1983, Neff and Anderson 1981, Burns and Knap 1989, Ballou *et al.* 1989, Guzman *et al.* 1993). Coral cover tends to decrease in oiled areas, with potential cascading effects throughout the coral reef ecosystem. Both brooding and broadcasting coral species that are oiled often experience impaired gonadal development (Peters *et al.* 1981, Guzman and Holst 1993). Oil-caused reductions in colony size can result in decreased egg size

and fecundity that can persist for years after exposure (Guzman and Holst 1993). Spills occurring near or at peak reproductive season (*e.g.*, summer spawning months for most jurisdictions in the Western Pacific Region) could adversely affect an entire year of reproductive effort because coral gametes and eggs are buoyant, potentially bringing them into direct contact with floating oil. Finally, settlement and recruitment survival can be severely compromised by oil exposure (Loya and Rinkevich 1980, Guzman *et al.* 1993, Messiha-Hanna and Ormand 1982).

Few studies have been conducted on the adverse effects of oil on tropical fish, but decreased growth, altered behavioral responses, and changes in metabolic rate have been observed (Johnson *et al.* 1979, Kloth and Wohlschlag 1972). For several pelagic fish species, including yellowfin tuna, amberjack tuna, and mahi-mahi, exposure resulted in impaired larval swimming and cardiotoxicity (Icardona *et al.* 2014, Mager *et al.* 2014). The water-accommodating fraction can disrupt tropical invertebrate reproduction (Neff *et al.* 2000).

The Deepwater Horizon spill in 2010 produced an extensive hydrocarbon plume that affected deepwater corals up to 22 km away and at a depth of 1,950 m (Fisher *et al.* 2014), resulting in varying degrees of coral tissue loss, sclerite enlargement, excess mucous production, bleached commensal ophiuroids, and a covering of the benthos by brown flocculent material that contained traces of oil (potentially lengthening the exposure period). At sites closer to the wellheads, corals still exhibited significant colony damage at four months after the spill (White *et al.* 2012). Additionally, oil in combination with dispersants used in the clean-up effort proved markedly more toxic than the water-accommodating fraction of the oil alone (Goodbody-Gringley *et al.* 2013, DeLeo *et al.* 2015).

## Pesticides/Herbicides

While run-off from Pacific Islands likely contains a range of pesticides and/or herbicides at low concentrations (Orazio *et al.* 2007, Burdick *et al.* 2008, Knee *et al.* 2010, Royer *et al.* 2014), levels below those that impact human health have been shown to adversely affect marine organisms (Richmond 1997, Peters *et al.* 1997, Downs *et al.* 2012). In general, pesticides can cause mortality, reduce growth and fecundity, inhibit fertilization and metamorphosis, alter behavior, and affect photosynthesis. While studies are limited, residual herbicides and breakdown products may not persist at high concentration in aquatic or marine sediment (Edwards 1970).

Unlike many other pollutants, the effects of herbicides on mangals and mangrove trees have received little attention in the scientific literature. Not surprisingly, the few studies available suggest mangals are particularly sensitive to herbicide exposure. Mangrove trees exposed to herbicides experience reduced photosynthesis, plant growth, and biomass production, often leading to mortality (Duke *et al.* 2005, Lovelock *et al.* 2009, Maiti and Chowdhury 2013). Declines in seedling health have been noted (Duke *et al.* 2005). Following extensive aerial herbicide spraying during the Vietnam War, over 40% of the total mangrove forest area of Vietnam experienced substantial mortality (Snedaker 1984, Westing 1984), a level greater than that observed in other vegetative ecosystems that received similar herbicide treatment (NAS 1974, Snedaker 1984, Westing 1984). The heightened sensitivity of mangroves relative to other

types of vegetation, however, is poorly understood, but may be associated with its saline environment (Westing 1971), or an increased susceptibility to endocrine disrupting compounds (Snedaker 1984, Westing 1984), which interfere with meristematic tissue (Lugo and Snedaker 1974). In Australia in the 1990s, the herbicide Diuron was implicated in a massive dieback of mangal (Duke *et al.* 2005).

Larger ecosystem effects have also been observed, but direct causal links to herbicides have been difficult to clearly establish. In Vietnam, mangals affected by herbicides showed lower abundance and species richness of planktonic organisms and large fish, but more fish eggs and larvae (NAS 1974), possibly because of an absence of predators. After herbicide spraying marine fishery stocks declined, likely from loss of critical nursery habitat, and the local extirpation of some species occurred (DeSylva and Michel 1975). Not surprisingly, enormous reductions in the abundance of birds were noted in mangals that had been sprayed (Orians and Pfeiffer 1970), which can reduce important nutrient inputs via guano (Adame et al. 2015). Recovery of mangrove forest following herbicide exposure is uncertain; estimates vary from 20 years to more than 100 years (Tschirley 1969, NAS 1974, Snedaker 1984). Natural regeneration of mangroves has been minimal in coastal South Vietnam, even after half a century (Westing 1984, Hiep 1984, Marchand 2008). The restoration that has occurred, was the result of extensive human efforts and took over a quarter of a century to return small areas to pre-herbicide condition (Marchand 2008). Recovery in Vietnam has been impeded by the loss of mature seedor propagule-bearing trees (NAS 1974, Snedaker 1984, Ross 1975), the susceptibility of seedlings to herbicide residuals (Walsh et al. 1973), a lack of vegetative cover (NAS 1974) and debris (Ross 1975), and increased erosion (Westing 1984, Ross 1975).

Pesticide applications have adverse effects on mangal species as well. At normal application rates, a mosquito larvicide reached concentrations that were toxic to mysids (Pierce *et al.* 1989), caused sub-lethal effects in fish (Sanders *et al.* 1985, Gehrke 1988), and had significant adverse effects on fiddler crabs (Ward and Howes 1974, Ward and Bush 1976, Ward *et al.* 1976).

Seagrasses appear to show considerable interspecific variability in sensitivity to herbicides, although studies are limited. Diuron has been identified as a significant threat to seagrasses (Haynes *et al.* 2000), and like other herbicides appears to primarily affect seagrasses by disrupting photosynthesis (Ralph 2000, Macinnis-ng and Ralph 2003, Schäfer *et al.* 2007). Diuron is heavily used in U.S. agriculture, including in Hawai'i (Royer *et al.* 2014), and has been detected in runoff from sugarcane fields on Maui. Other potential effects of herbicide exposure include mortality, decreases respiration, and decreased production of new shoots and above-sediment biomass (Walsh *et al.* 1982, Mitchell 1987, Grady 1981, Ramachandran *et al.* 1984, Johnson *et al.* 1995).

Pesticides may be more prevalent on coral reefs than suspected, and might merit more attention. For example, in Florida, pesticide residues have been found in samples of lobsters, sponges, crustaceans and fishes from numerous coral reef locations (Glynn *et al.* 1995), suggesting pesticides may be a widespread problem. While no obvious effects on organisms or reef ecosystem were observed in Glynn *et al.*'s study, low concentrations of pesticides, herbicide, and fungicides can inhibit fertilization and metamorphosis and to reduce photosynthesis in numerous species crossing multiple genera that occur in the Western Pacific Region (Markey *et al.* 2007,

Jones *et al.* 2003). Pesticides associated with sugarcane production have been shown to reduce photosynthetic efficiency in *Pocillopora damicornis* recruits at low concentrations and short exposure times (Negri *et al.* 2005), cause bleaching in several coral species (Jones *et al.* 2003), and reduce fecundity or entirely inhibit planulae release under longer exposure times (Cantin and Negri 2007). Diuron has been detected at levels above those found to be lethal to corals in runoff adjacent to Maui sugarcane fields, but it is unclear if the runoff entered the nearshore marine waters from the drainage areas in which is was detected (Royer *et al.* 2014).

## Metals

Metals can enter the marine environment via numerous pathways, including runoff from urban landscapes, spills, and lubricating muds used in drilling (including directional drilling) (Guzmán and Jiménez 1992, Marx and McGowan 2010, Denton *et al.* 2014, Denton *et al.* 2016). Atmospheric deposition is also a significant source, and is likely the primary source of iron, mercury and other metals to the open ocean (Mason and Sheu 2002, Jickells *et al.* 2005, Sunderland *et al.* 2009). Until the ban on the use of tri-butyl tin (TBT) in 2003, antifouling paints contained the compound as a biocidal component, and were a significant source of tin, copper and zinc. TBT is a persistent compound and is still present in the sediment of many harbors and waterways and around shipwrecks (Smith *et al.* 2003), where it is an important source of toxic substances, especially if the entraining sediment is disturbed.

Mangrove sediment is composed of fine particles with a high organic content and low pH, and are effective at sequestering potentially toxic metals as sulfides (Rand 1995, Harbison 1986, Riedel and Sanders 1988, Lacerda and Rezende 1987, Klerks and Bartholomew 1991). Thus, adverse effects from metal exposures on mangrove trees tend to be minor or nonexistent (Harbison 1986, Defew et al. 2005), but at sufficiently high concentrations can result in reduced leaf numbers and stem diameter (Yim and Tam 1999). While metal effects on mangrove trees are generally low, metals can be reintroduced to nearshore waters when they are taken up and concentrated in exported leaf detritus. Metal concentrations can be higher in leaves than in the underlying water or sediment (Peterson et al. 1979, Snedaker and Brown 1981, Lacerda et al. 1986), although this is not a universal pattern. Tam et al. (1995) did not detect lead, chromium, or cadmium in leaf samples from the mangroves in China, but found them in high concentrations in the sediment. Additionally, storms and human activities such as dredging or clearing of mangrove forests can remobilize metals and facilitate transport into coastal waters. Leaf litter is an important food source for many invertebrates (Heald and Odum 1970, Boto and Bunt 1981), and could serve as a pathway through which metals could be transported from mangrove forests to surrounding marine ecosystems. Mercury, a bioaccumulative metal, has been detected in mangrove leaf litter, as well as in a variety of invertebrates and fish trophically linked to the leaf debris (Reimold 1975). Metals have been shown to increase in concentration in mangrove leaf detritus as it ages (Rice and Windom 1982), possibly because of the loss of organic material. Zinc, cadmium, lead, manganese, and copper have all been detected in high concentrations in mangrove leaf debris (DeLaune et al. 1981, Nye 1990, Mackey and Hodgkinson 1995, Defew et al. 2005).

Many seagrasses directly incorporate metals from the water column into leaf tissue (Brinkhuis *et al.* 1980, Nienhuis 1986), making them a major transport pathway for copper, iron, manganese,

and zinc (Drifmeyer *et al.* 1980) to easily pass into the food chain (Ward 1987), and bioaccumulate through higher trophic levels. Several seagrass species are capable of bioaccumulating a range of metals (Pulich 1980, Nienhuis 1986, Wolfe *et al.* 1976, Wahlbeh 1984), including nickel, copper, lead, and zinc (Nienhuis 1986). Seagrass ecosystems have been shown to rapidly uptake TBT, increasing the potential exposure to associated fauna (Levine *et al.* 1990), and potentially leading to decreased invertebrate abundance (Kelly *et al.* 1990). A range of drilling muds have been shown to adversely affect seagrass ecosystems, reducing invertebrate abundance and species richness (Morton *et al.* 1986, Kelly *et al.* 1987), and reducing photosynthetic rates and growth in both seagrasses and their epiphytes (Morton *et al.* 1986, Kelly *et al.* 1987).

Elevated concentrations of metals have been found in the tissues of reef invertebrates. Corals near populated areas have been found to have significantly higher concentrations of metals than those near less populated areas (Howard and Brown 1987, Harland and Brown 1989, Howard and Brown 1984, Howard and Brown 1986, Reichelt and Jones 1994, Reichelt-Brushett 2012, Tanaka *et al.* 2013). Metals can enter coral tissues or skeleton via numerous pathways, and evidence exists whereby corals might be able to regulate the concentrations of metals in their tissues (Leatherland and Burton 1974, Riley and Segar 1970, Klumpp and Peterson 1979, Bryan and Gibbs, Brown and Howard 1985, Harland *et al.* 1990). Coral tissue tends to retract in response to environmental stress, exposing skeletal spines, which can directly take up metals from the surrounding seawater (Brown *et al.* 1991). Coral mucus, which is produced in copious quantities in response to metal and chemical exposure (Thompson 1980, Thompson and Bright 1980, Thompson *et al.* 1980, Krone and Biggs 1980, Szmant-Froelich *et al.* 1981, Dodge and Szmant-Froelich 1985, Esquivel 1986), can effectively bind heavy metals (Howell 1982, Harland and Nganro 1990) and may be involved in metal regulation (Harland and Nganro 1990).

Coral branchlets exposed to sediment with a high concentration of anti-fouling compounds suffered significant mortality (Smith et al. 2003). Elevated levels of tin can affect the growth rates of coral, especially branching corals (Howard and Brown 1987), by lowering linear extension rates and carbonate accretion, and can affect key biological processes such as respiration (Howard et al. 1986), fertilization, metamorphosis (Reichelt-Brushett and Michalek-Wagner 2005; Reichelt-Brushett and Harrison 1999; Negri and Heyward 2001) and larval settlement (Goh 1991, Reichelt-Brushett and Harrison 2000). Even at low concentrations, TBT and copper inhibited fertilization and larval metamorphosis (Negri and Heyward 2001). Heyward (1988) detected the complete inhibition of fertilization in the Western Pacific Region corals Goniastrea aspera, Favites chinensis and Platygyra ryukyuensis gametes when exposed to copper sulphate solutions, and fertilization in the Hawaiian species Montipora capitata was adversely affected at low copper concentrations (Hedouin and Gates 2013). Copper has also been shown to impair larval motility (Reichelt-Brushett and Harrison 2004). At the coral assemblage level, metal pollution has been linked to decreased coral species abundance, diversity (Ramos et al. 2004), and cover, and more broadly can lead to a shift in the assemblage from one dominated by primary producers to one dominated by filter- and detritus-feeders (Scott 1990).

Zooxanthellae have been shown to accumulate higher concentrations of metals than do host tissues in corals (Buddemeier *et al.* 1981, Harland and Nganro 1990) and clams (Benson and Summons 1981). It has been suggested that sequestering metals in zooxanthellae might diminish

possible toxic effects to the host (Harland and Nganro 1990), and that expulsion of algae, which has been reported as a stress response to heavy metals (Harland and Brown 1989, Esquivel 1986, Howard *et al.* 1986), may be a mechanism for metal excretion (Harland *et al.* 1990, Harland and Nganro 1990). Two common Pacific corals, *Porites lutea* and *Pocillopora damicornis*, expelled their symbiotic algae when exposed to elevated metal concentrations (Esquivel 1986; Harland and Brown 1989), a response that was more noticeable in corals obtained from pristine areas. This suggests that corals may be able to develop a tolerance to metal contamination (Harland and Brown 1989).

Like corals, giant clams collected from a populated atoll had significantly higher concentrations of iron, manganese, copper, zinc, and lead than clams from an unpopulated atoll (Khristoforova and Bogdanova 1981). Their symbiotic algae can also influence the uptake of metals by substituting potentially toxic metals for essential elements such as manganese (Hannan and Patouillet 1972, Pilson 1974, Harland and Nganro 1990). This may serve to concentrate metals in zooxanthellae, which can then be expelled to remove the toxic materials.

Metals, including zinc, copper, cadmium, chromium, lead, and mercury, have been detected in the tissue of 50 Indo-Pacific reef fish species from Australia (Denton and Burdon-Jones 1986a), in reef fish from the Gulf of Agaba (Ismail and Abu-Hi1al 2008), and in a wide range of invertebrates and fish from Apra Harbor, Guam (Denton et al. 2006a), with mercury showing evidence of bioaccumulation. Changes in behavior, including erratic swimming, increased gill ventilation, and disrupted schooling ability have been noted in tropical fish exposed to heavy metals (Denton and Burdon-Jones 1986b), as has increased mucus production, fin erosion, and changes in color. While exposure to drilling muds in the Western Pacific Region is expected to be low compared to areas where active oil exploration and extraction are occurring, use of drilling muds in the region is increasing with the increased use of directional drilling technology. The effects of short-term, localized exposure to drilling muds are expected to be low, but considerable uncertainty about the environmental effects of many drilling muds exists due to lack of information on their specific composition. Short-term exposure to drilling muds can decrease coral calcification and growth rates (Hudson and Robin 1980, Kendall et al. 1983, Dodge and Szmant-Froelich 1985), including lowering calical relief which could impair sediment-shedding capabilities (Dodge and Szmant-Froelich 1985). Corals were not able to remove drilling muds from their surface under laboratory conditions (Thompson and Bright 1980), but may be successful with assistance from currents (Dodge and Szmant-Froelich 1985). Exposure can reduce photosynthesis, cause bleaching (Kendall et al. 1983), increase the likelihood of disease (Parker et al. 1984), and result in mortality for some species (Thompson et al. 1980). Long-term monitoring of reefs near drilling sites (within ~100 m) have documented large reductions in foliose, branching, and plating corals, although massive corals appeared relatively unaffected (Hudson et al. 1982).

Most studies examining the effects of deep ocean mining have focused on adverse faunal effects without attempting to link observed changes to a specific stressor (Ozturgut *et al.* 1980, Foell *et al.* 1990, Schriever *et al.* 1997, Tkachenko *et al.* 1996, Radziejewska 1997, Sharma *et al.* 2001). Deep ocean mining will result in increased sedimentation, physical damage, nutrient enrichment, and the release of trace metals, including nickel, cobalt, copper, manganese, and iron, into both the pelagic and deep ocean environment (Sharma 2015). While the effect of many of these

metals on pelagic and deep ocean organisms is currently unclear, iron has the potential to increase primary productivity in surface waters, and in combination with high-nutrient deep ocean water could increase productivity in areas where mining effluent is discharged. Increased productivity could result in more export of POM from surface waters into the deep ocean, increasing the risk of hypoxia, and potentially alter nutrient cycling (see Section 4.1.7), depending on the size of the mining operation.

## Polychlorinated biphenyls

Polychlorinated biphenyls (PCBs) are a class of persistent, synthetic chlorinated hydrocarbons manufactured and used in the U.S. beginning in 1929 with production peaking in the 1960s (Parnell et al. 2008). Although the U.S. banned their production in 1977 (Breivik et al. 2007), PCBs persist as legacy pollutants whose chronic toxicity represents a serious environmental risk (Pivnenko et al. 2016). The main bulk of PCBs produced were used in closed applications, especially electrical transformers, where they served as coolants and insulating fluids, and in old fluorescent light ballasts. Open application included uses in carbonless copy paper, plasticizers, flexible coatings for electrical cables, pesticides, flame retardants, caulking, adhesives, etc. Thus, many legacy landfills can have high levels of PCB contamination, both from civilian and military waste (Pivnenko et al. 2016). Two particularly relevant avenues for PCBs to enter the marine environment are via marine debris, especially through macro- and micro-plastics (UNEP 2016), and atmospheric deposition, although they can also enter through wastewater treatment facilities (Wang et al. 2007, Yao et al. 2014). PCBs have been identified from several areas in Mariana Islands (EPA 2000, Denton et al. 2006b, Haddock et al. 2011), including in marine sediment and organisms from several Guam harbors (Denton et al. 2006b), as well as American Samoa (EPA 2015), Hawai'i (HDOH 2011), and the PRIA (Kerr et al. 1997, APSNet 2005, Hathaway et al. 2011).

Given their extreme physical and chemical inertness (*e.g.*, thermal stability, low water solubility, etc.) and tendency to adhere to sediment particles, PCBs often accumulate and persist in the marine environment, especially in the sediment of many industrialized bays and watersheds. Offshore sewage discharge and disposal or suspension and transport by ocean currents of sediment dredged from harbors are also potential avenues for contamination of coastal areas with PCBs. PCBs have entered marine food chains through benthic feeding organisms and the ingestion of plastics by higher trophic-level organisms (Ryan *et al.* 1988; Bjorndal *et al.* 1994). Additionally, plankton near the surface can take up PCBs, allowing them to enter pelagic food chains and bioaccumulate in shellfish, and tuna (Soedergren *et al.* 1990).

While considerable research has focused on the human health effects associated with PCB ingestion (especially PCBs bioaccumulated in fish), little research has examined the effect of PCBs on marine organisms. Adverse effects from PCB exposure in adult fish and macroinvertebrates appear to be minor, although some evidence exists suggesting adverse effects may occur to the livers of fish (Rochman *et al.* 2013). Overall, considerably more research is needed. Evidence exists that phyto- and zooplankton are adversely affected through reduced photosynthesis and growth rates, and cell damage (Keil *et al.* 1971, Harding *et al.* 1978, Harding and Phillips 1978). Zooplankton were particularly sensitive to PCB exposure, entirely disappearing in some studies (Iseki *et al.* 1981), but overall, the effects of PCB exposure were

variable among species. Widespread PCB contamination could lead to the alteration of the species composition of the plankton assemblage (Iseki *et al.* 1981, Zhao *et al.* 2013). Early larval stages of cod were also found to be sensitive (Foekema *et al.* 2008). Exposure of eggs to low concentrations of PCBs caused developmental abnormalities in subsequent life stages, leading Foekema *et al.* (2008) to postulate that accumulation of PCBs in adult females could have reproductive consequences that are difficult to detect, but may have long-term effects on the population. Fortunately, many PCBs can be metabolized, and rendered inert, although this can often be a slow process, especially for PCBs that are stored in fatty tissue.

## Ordnance

Disposal of military munitions in the oceans has been practiced since World War II (Darrach *et al.* 1998, Denton *et al.* 2014), especially in and near historic battle fields in the Western Pacific Region (Minton *et al.* 2006). Additionally, multiple locations within the Western Pacific Region, including numerous small islands, have been employed as military training ranges (*e.g.*, Kaho'olawe, Ka'ula Rock, Farallon de Medinilla) resulting in considerable unexploded ordnance (UXO) on the islands and in nearshore marine ecosystems.

The biological effects of UXO on marine organisms and ecosystems, including contamination levels and biological accumulation rates, are not well studied and therefore, poorly understood (Clausen *et al.* 2004, Rosen and Lotufo 2007, Lotufo *et al.* 2009). Two potential threats exist with UXO: detonation and leakage of toxic materials. Detonation risk for UXO in the marine environment appears relatively low. Concussive damage from an exploding ordnance could cause extensive physical damage (see Fox and Caldwell [2006] for a discussion of damage associated with dynamite fishing), but it would be spatially limited, and therefore do not pose a large threat to marine ecosystems.

Munitions are comprised of many potentially toxic compounds that over time will leak into the marine environment. However, their bioaccumulative potential is low because they are weakly hydrophobic (Lotufo and Lydy 2005, Lotufo et al. 2009). This has been demonstrated for some of the known UXO compounds in a variety of model test animals, including minnows, carp, goldfish, and marine worms (Lotufo and Lydy 2005, Lang et al. 1997, Wang et al. 1999, Condor et al. 2004). Dietary uptake has also been shown to be minimal relative to aqueous uptake through the gills in fish (Belden et al. 2005, Huston and Lotufo 2005), suggesting these compounds will have minor effects through food webs. However, even with low uptake, the transfer and bioaccumulation of many of these compounds in marine organisms have been not been adequately investigated. While no significant effects were found on a mussel or flounder species, low concentrations of chemicals from munitions have been linked to increased mortality in marine copepods, an important component of the zooplankton (Ek et al. 2006). Likewise, marine polychaetes and amphipods showed decreased growth, survival, and reproduction (Lotufo et al. 2001), and mortality in bivalve larvae (Pascoe et al. 2010) at low levels of exposure. Marine algae are also efficient at uptaking toxic compounds leaked from UXO, and can efficiently biotransform the compounds, rendering them inert, although exposure can reduce photosynthesis (Cruz-Uribe and Rorrer 2006).

Even in areas with high concentrations of UXO, most organisms are likely to receive only limited exposure to low chemical concentrations because the munition casings are slow to corrode and break, generally resulting in a slow release of the constituent compounds. Many of the compounds are also efficiently biotransformed and eliminated from organisms once the organisms are removed from the exposure, suggesting mobile organisms are unlikely to bioaccumulate toxic UXO compounds. The potential risk for deleterious biological effects is thus spatially-limited and minor compared to many other potential stressors.

## Endocrine Disruptors

In addition to the pollutants described above, many other chemical compounds enter the marine environment because of human activity. While the effects of most chemicals on marine ecosystems are poorly known, endocrine disruptors are a group that has received considerable attention due to their potentially harmful effects. Endocrine disruptors are a diverse group of compounds that adversely affect organisms through deleterious interactions with the endocrine system (Colborn et al. 1993). A wide range of substances are thought to cause endocrine disruption, including pharmaceuticals, dioxin and dioxin-like compounds, PCBs, various organochlorine pesticides, plasticizers, and surfactants. These compounds can be found in many common products, including plastic bottles, metal food cans, detergents, flame retardants, food, toys, cosmetics, and pesticides (Porte et al. 2006). Many known endocrine disruptors are estrogenic (also known as estrogen mimics), and disrupt reproductive functions. Because of their persistent nature in organisms, many endocrine disruptors bioaccumulate and biomagnify in marine organisms (Colborn 1998, Arukwe et al. 1996, Matthiessen 2003, Langston et al. 2005, Lye 2000), including in corals (Tarrant et al. 2001, Stocker 2016). Similar to exposure to some metals (e.g., TBT in gastropods), endocrine disruptors have been shown to affect hormone systems (Scott and Sloman 2004, Tierney et al. 2010).

The effects of endocrine disruptors have largely been studied in marine vertebrates. Fish are particularly vulnerable to exposure because uptake occurs through multiple routes including directly from the water via the gills, skin and gut, through the diet, and through contact with contaminated sediment (Weber and Goerke 2003, Kwong *et al.* 2008). Some endocrine disruptors have been shown to bioaccumulate and bioconcentrate in fish (Ferreira-Leach and Hill 2001, Barber *et al.* 2006, Smith and Hill 2004, Sharma *et al.* 2009).

Endocrine disruptors most commonly affect fish growth, development, reproduction (Hutchinson *et al.* 2006), and behavior (Jones and Reynolds 1997, Scott and Sloman 2004, Sloman and Wilson 2006), potentially affecting the fitness of individuals and adversely affecting the larger populations. Endocrine disruptors disrupt sex steroid activity, thereby affecting sexual development and reproduction. Sex steroid hormones play vital roles in almost all aspects of reproduction, including sexual differentiation, gonadal growth, and reproductive behaviors (Jobling *et al.* 1996, Kiparissis *et al.* 2003 van der Ven *et al.* 2003, Jensen *et al.* 2004, van den Belt *et al.* 2002, Weber *et al.* 2003, Örn *et al.* 2006). Their disruption can lead to high incidence of intersex, abnormal spawning behavior, skewed population sex ratios, and lessened reproductive success (Nimrod and Benson 1998, Parrott and Blunt 2005, Seki *et al.* 2005, Kang *et al.* 2006, Larsen *et al.* 2008, Örn *et al.* 2003, Hahlbeck *et al.* 2004, Örn *et al.* 2006, Iwanowicz and Blazer 2014).

Compared to vertebrates, relatively little is known about the effect of endocrine disruptors on marine invertebrates, mostly due to a poor understanding of invertebrate endocrine systems (Porte et al. 2006). In some mollusks and sponges, endocrine disruptors have been shown to interfere with key enzymatic pathways, leading to cellular damage (Wiens et al. 1999, Viarengo et al. 2000) and reproductive abnormalities (Sarojini et al. 1986, Wasson et al. 2000), including high incidence of imposex and blocked embryonic development. Diverse effects of estrogen mimics on invertebrates have been reported, including stimulated ovarian and/or oocyte development (Shoenmakers et al. 1981, Sarojini et al. 1986, Wasson et al. 2000), blocked embryonic development (Hathaway and Black 1969), altered enzymatic activities (Ghosh and Ray 1993a, 1993b), accumulation of proteins (Ghosh and Ray 1992, Wiens et al. 1999, Billinghurst et al. 2000), and cellular damage or cell death (Wiens et al. 1999, Viarengo et al. 2000). On the other hand, some studies have failed to detect effects of estrogen mimics on invertebrates (Hutchinson et al. 1999, Breitholtz and Bengtsson 2001, Pascoe et al. 2002). In one of the few studies on corals, two common Hawaiian coral species showed adverse effects from exposure to endocrine disruptors; Montipora capitata coral colonies showed reduced fecundity and Porites compressa displayed decreased skeletal growth rates (Tarrant et al. 2004).

## 4.5 Sea level Rise

Sea level rise is a unique marine stressor with important implications for the jurisdictions in the Western Pacific Region. Sea level rise alone might appear to be relatively unimportant to many marine ecosystems, but it has the potential to affect nearly all marine ecosystems through indirect effects and interactions with other stressors discussed in this report. Under current climate change predictions, sea level rise is expected to exacerbate many of the stressors described in this report.

Indirectly, sea level rise will displace large numbers of people and decrease food availability and security. Coastal inundation will destroy homes and other infrastructure, forcing many people to undertake coastal modifications or to relocate to higher ground or higher islands (for those living on atolls). These changing patterns in human density will alter patterns of marine resource use. Inundation and groundwater intrusions with salt water will degrade drinking water supplies and render low-lying agricultural lands unproductive (Rahman *et al.* 2009, Nicholls 2010, Chen *et al.* 2012), potentially increasing reliance and harvest pressure on fisheries (IPCC 2014).

Shallow water marine ecosystems will be directly affected through inundation with ocean water, altering salinities, depth, temperature, sedimentation, and nutrients. Sea level rise is expected to not only increase coastal erosion rates, but also nutrient loading (IPCC 2014), especially in areas where septic and cesspool systems are in use. In addition, municipal sewer systems that have aging infrastructure will become vulnerable to leaking.

Mangrove and seagrass ecosystems are expected to experience "coastal squeeze" (IPCC 2014) especially along urbanized coastlines. With little opportunity to migrate inland, mangrove trees will be inundated by rising seas and experience high mortality. Increased wave energy will result in less suitable habitat for seedling germination or sediment accretion, which is necessary to produce and/or maintain the substratum at the appropriate depth. Seagrass ecosystems are

## Summary Stressor Table: Potential effects of chemical pollutants

Color reflects the relative severity of an adverse effect: green=mild, yellow=moderate, red=severe.

| Ecosystem           | Potential Effects  |
|---------------------|--|
| Intertidal          | <ul> <li>Adverse effects vary by contaminant and by organism</li> <li>Intertidal areas particularly sensitive to hydrocarbons</li> <li>Potential to significantly alter species composition, abundance, and biomass of the assemblage</li> </ul>   |
| Mangrove Forests    | <ul> <li>Adverse effects vary by contaminant and by organism</li> <li>Mangrove trees particularly sensitivity to hydrocarbons and<br/>herbicides, and less sensitivity to heavy metal</li> <li>Potential to significantly alter species composition, abundance,<br/>and biomass of the assemblage</li> </ul>   |
| Seagrass Beds       | <ul> <li>Adverse effects vary by contaminant and by organism</li> <li>Potential to significantly alter species composition, abundance, and biomass of the assemblage</li> <li>Light oiling from hydrocarbons has potential "beneficial" effects on seagrass growth</li> </ul>                                  |
| Coral Reefs         | <ul> <li>Adverse effects vary by contaminant and by organism</li> <li>Potential to significantly alter species composition, abundance, and biomass of the assemblage</li> </ul>  |
| Deep Reef Slopes    | See Coral Reefs  |
| Banks and Seamounts | See Coral Reefs  |
| Deep Ocean Floor    | <ul> <li>Effects poorly studied in deep ocean floor ecosystems, but likely vary by contaminant and by organism</li> <li>Increase atmospheric deposition associated with climate change and deep ocean mining are likely to be the primary source of future pollutants in the Western Pacific Region</li> </ul> |
| Pelagic Environment | • Effects poorly studied in pelagic ecosystem, but likely vary by contaminant and by organism  |

expected to experience higher salinity and lower irradiance levels due to increase in turbidity because of coastal erosion (Scavia *et al.* 2002). For both mangroves and coastal seagrass beds, the rate of sea level rise, coupled with erosion, could outpace the ability of primary producers to maintain optimal depth for survival.

The direct effects of sea level rise on deeper marine ecosystems are expected to be smaller, although concern has been expressed about the ability of some coral and other slow growing organisms to maintain an optimal depth for photosynthesis. This concern is heightened when considering the effects of ocean acidification and temperature on calcification rates for many marine organisms, although most coral reefs seem to have kept pace with the recent sea level rise (Buddemeier and Smith 1988, Brown *et al.* 2011). Sea level rise is expected to exacerbate sedimentation rates, nutrient enrichment and pollution on coastal coral reefs.

#### Summary Stressor Table: Potential effects of sea level rise

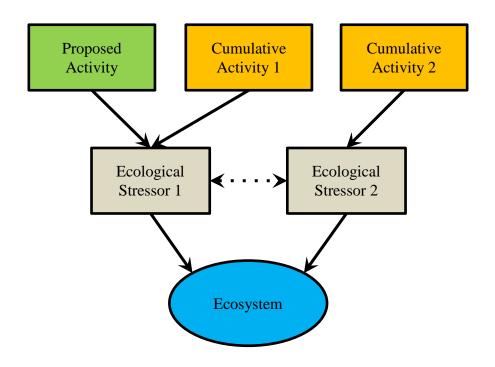
Color reflects the relative severity of an adverse effect: green=mild, yellow=moderate, red=severe.

| Ecosystem        | Potential Effects  |
|------------------|--|
| Intertidal       | <ul> <li>Inundation and entire loss on low islands</li> <li>Increased coastal fortification in inhabited areas leading to changes in shoreline process</li> <li>Increase erosion, nutrient enrichment, influx of pollutants, etc., especially in urbanized areas</li> </ul>  |
| Mangrove Forests | <ul> <li>Inundation and entire loss on low islands and along urban/developed coastline on high island, where it is not possible for the mangrove to "retreat"</li> <li>Increased salinity altering mangrove species composition, with cascading effects through the ecosystem</li> <li>Increase erosion, nutrient enrichment, influx of pollutants, etc., especially in urbanized areas</li> </ul> |
| Seagrass Beds    | <ul> <li>Increased salinity and within bed erosion via increase water flow</li> <li>Lower irradiance because of increased turbidity, leading to<br/>lower photosynthetic rates and growth in seagrasses</li> <li>Altered water quality from coastal inundation</li> <li>Potential for a phase-shift toward an algal-dominated<br/>assemblage</li> </ul>  |
| Coral Reefs      | <ul> <li>Altered water flow could affect the distribution of species</li> <li>Altered water quality from coastal inundation</li> <li>Potential for a phase-shift to an algal-dominated assemblage</li> </ul>   |

| Ecosystem           | Potential Effects   |
|---------------------|---|
| Deep Reef Slopes    | <ul> <li>Affects likely to be small</li> <li>Altered water quality from coastal inundation</li> <li>Potential for change in distribution of species and shift in lower depth limit</li> </ul> |
| Banks and Seamounts | See Coral Reefs (shallow) and Deep Reef Slopes (deep)   |
| Deep Ocean Floor    | • Likely little or no effect  |
| Pelagic Environment | • Likely little or no effect  |

# 5.0 Cumulative Effects

Under the MSA implementing regulations, each FMP must contain an evaluation of the potential adverse effects, both individually and cumulatively, of non-fishing activities on the function of EFH at an ecosystem or watershed scale. Cumulative effects are impacts on the environment that result from the incremental effect of an action when added to other past, present, and reasonably foreseeable future actions, regardless of who undertakes such actions (Council on Environmental Quality 1997). Cumulative effects can result from individually minor, but collectively significant actions taking place over a period of time, or from the cumulative and interactive effects of multiple actions (Figure 4).



**Figure 4.** When assessing cumulative effects, the incremental effects of other past, present, and reasonably foreseeable future actions must be considered. In the flow diagram above, two types of cumulative effects are illustrated. In the first type, the Proposed Activity and Cumulative Activity 1 both act on Ecological Stressor 1, producing an additive effect on the ecosystem. While neither activity alone may have resulted in an adverse effect on the ecosystem, the two activities occurring together could. In the second type, Cumulative Activity 2 affects Ecological Stressor 2, which is known to interact with Ecological Stressor 1 (dotted arrow). This interaction, if synergistic in nature, would increase the total effect on the ecosystem beyond the additive effect of the two stressors, and thus heighten the adverse effects of the Proposed Activity beyond what would be expected if the Proposed Activity were implemented alone. However, if the interaction is antagonistic, it would produce a total effect on the ecosystem less than additive effect of the two stressors.

Evidence is increasing that the greatest environmental effects may result not from the direct effects of a particular activity, but from the combination of individually "minor" effects of multiple actions<sup>2</sup> concentrated in space ("space crowded") and/or time ("time crowded"). Assessing the cumulative environmental effects of an activity requires identifying from the complex networks of possible interactions those that substantially affect species and/or ecosystems, and then describing the response of the species and/or ecosystem to this environmental change. Predicting the effects of a stressor on an ecosystem is particularly difficult when many stressors of different types act in concert (NRC 1986).

Conceptually, cumulative effects involving multiple stresses can encompass three broad categories of interaction types (Crain *et al.* 2008). For the most common case involving two stressors, the resulting cumulative effect (CE<sub>F</sub>) can be additive (CE<sub>F</sub> = E<sub>A</sub> + E<sub>B</sub>), antagonistic (CE<sub>F</sub> < E<sub>A</sub> + E<sub>B</sub>), or synergistic (CE<sub>F</sub> > E<sub>A</sub> + E<sub>B</sub>). If two stressors show no interaction, their cumulative effects would be additive; that is, the effect of each stressor would act on the ecosystem in the same manner, as if the other stressor were not present. However, if two stressors interact, two scenarios are possible:

- 1) The stressors when co-occurring may produce a synergistic effect, whereby the presence of one stressor increases the effect of the other. This could result if a stressor acted on an organism to increase its susceptibility to the second stressor, thus producing a cumulative effect that is larger than what would be expected with no interaction.
- 2) The stressors when co-occurring produce an antagonistic effect, whereby the presence of one stressor reduces the effect of the other. For example, if a stressor acted on an organism to reduce susceptibility to the second stressor, thus producing a cumulative effect that is smaller than what would be expected with no interaction. An antagonistic interaction could be considered "beneficial" if the net effect of the two stressors together was smaller than the effect of the single stressor  $(E_A + E_B < E_A)$ .

Given the complex interconnections among marine ecosystems, cumulative effects associated with human activities are expected to occur and to be potentially substantial and far-reaching. Thus, an assessment of cumulative effects must consider actions that may affect the ecosystem, regardless of where the action occurs and for a long enough period both into the past and into the future<sup>3</sup>. For example, actions potentially affecting a coastal coral reef should consider actions occurring in nearby seagrass, intertidal and mangal ecosystems that may also directly or indirectly affect the coral reef ecosystem when assessing the cumulative effects of an activity on the coastal reef. Selecting an appropriate time frame can be more challenging, but at minimum should attempt to include any projects previously conducted that have not recovered to their pre-activity condition and any future projects that would occur before the ecosystem has recovered

<sup>&</sup>lt;sup>2</sup>This is sometimes referred to as "nibbling" in the literature.

<sup>&</sup>lt;sup>3</sup>For practical guidance, Hegmann *et al.* (1999) is good source for using "Scoping" to set appropriate spatial and temporal boundaries. The practical guide is available online: https://www.canada.ca/en/environmental-assessment-agency/services/policy-guidance/cumulative-effects-assessment-practitioners-guide.html

from the effects of the proposed activity (Hegmann *et al.* 1999). Failure to do so could result in an incorrect assessment of all the potential effects of an action and could result in an adverse effect on EFH.

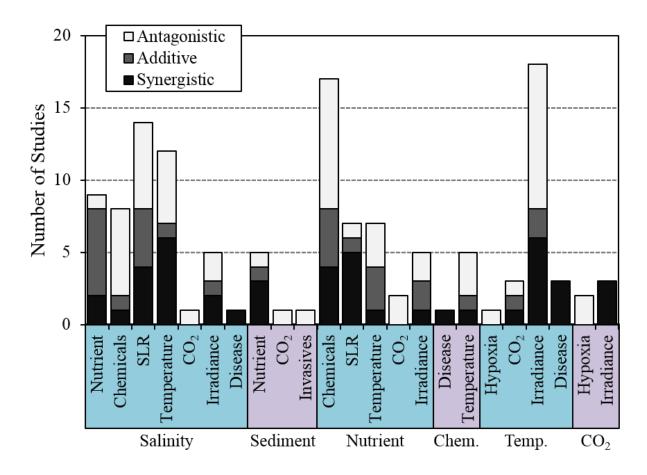
Climate change is a reality, and the ocean is rapidly changing. A cumulative effects analysis must consider the changes to the marine environment that are expected to occur under our current climate trajectory. This is especially critical for any activity that will result in long-term effects on any marine ecosystem (*e.g.*, a sewage outfall, coastal road, waterbased energy production facility). Activities that produce long-term effects that are at present not detrimental to EFH, may become detrimental in the coming decades. Considering that many effects in marine ecosystems have long durations due to slow ecosystem recovery (*e.g.*, coral reefs), many activities proposed today, could result in significant and irreversible damage to EFH in coming decades. Without immediate action at the global level, marine ecosystems will continue to decline over the next half century (Hoegh-Guldberg *et al.* 2007, Cheung *et al.* 2009) and maintaining fishery sustainability will require tough decisions be made about human activities today (Cheung *et al.* 2009, Sumaila *et al.* 2011).

Many of the stresses identified in this report have the potential to interact, and often in ways that increase adverse effects on one or more ecosystems (Brown 1997, Negri and Hoogenboom 2011). For example, elevated seawater temperatures can cause coral bleaching, but the temperature threshold at which coral bleaching occurs is lowered under elevated nutrient conditions (Wooldridge 2009, Wolldridge *et al.* 2012), leading to a higher probability of bleaching in the presence of both thermal and nutrient stressors compared to a temperature increase alone. A cumulative effects analysis should account for such potential interactive effects.

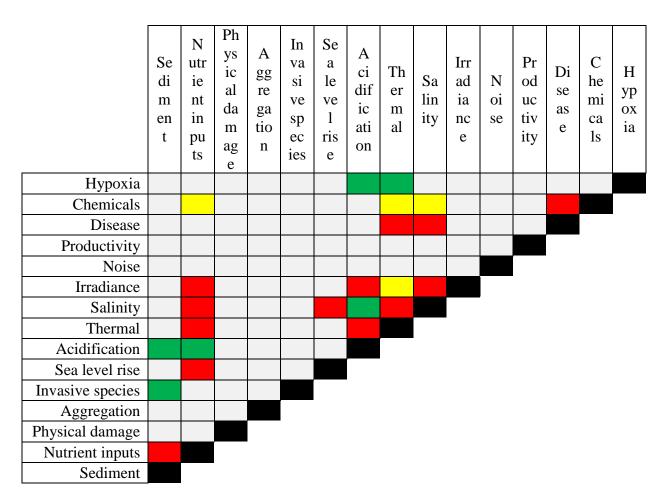
Unfortunately, predicting the cumulative effect of multiple stressors is challenging (NRC 1986, Cooper and Shaete 2002, Bérubé 2007). In addition to the stressors themselves interacting, a species may respond similarly or differently to sets of stressors due to evolutionarily- or ecologically-derived tolerances (*e.g.*, coral colonies that have been bleached often show increased tolerance to later potential bleaching events), such that the interaction also depends upon which species are present, and their relevant history. Additionally, the response of an assemblage can differ due to changing functional roles and interactions among species (Crain *et al.* 2008, Breitburg *et al.* 1999), its species composition (and associated issues of redundancy and resilience), its connectivity to other ecosystems, and its environmental stochasticity (Breitburg *et al.* 1999). Temporal patterns of stressor occurrence (simultaneous vs. consecutive, frequency of stressor occurrence, etc.) and the intensity of the stressor (Relyea and Hoverman 2006) also influence the strength of the cumulative effects.

Fortunately, interactions among stressors have received more attention over the past 15 years, and enough information on potential interactions between and among multiple stressors now exist to allow for some understanding of when and where interactions can be expected to occur. Crain *et al.* (2008) reviewed over 200 studies examining cumulative effects for multiple stressors in intertidal and nearshore marine ecosystems to elucidate general patterns in cumulative stressor effects. The cumulative effects of any two stressors were distributed among all interaction types with 26% being additive, *i.e.*, no interaction, 36% synergistic and 38% antagonistic, and with all

interaction types found to some degree for all stressors pairs with >5 studies (Figure 5 and Figure 6). In 62% of all cases, interactions between stressors resulted in an adverse effect on the species or ecosystem that was at least additive (Crain *et al.* 2008). In cases where a third stressor was considered, over two-thirds of the interaction became more negative, and the number of synergistic interactions increased to 66% of the cases. Thus, any activity or set of activities that significantly increases the negative effects of three or more stressors should be closely examined for adverse effects on EFH.



**Figure 5.** Frequency distribution of interaction types (additive, synergistic, and antagonistic) across stressor pairs. Stressor pairs are indicated within blocks on the x-axis that list one stressor horizontally (*e.g.*, salinity) with all stressor combinations listed vertically (*e.g.*, nutrient). See text for discussion of additive, synergistic, and antagonistic interactions. CO2=acidification, SLR=Sea Level Rise. Figure adapted from Crain *et al.* (2008).



**Figure 6.** Interaction matrix for pairs of stressors acting on the marine ecosystems of the Western Pacific Region. Red = >50% of the studies show additive or synergistic interactions; yellow = <50% of the studies showed additive or synergistic interactions, green = studies showed only antagonistic interactions; gray = no data available; solid color = determination based on >5 studies; hatched color = determination based on <5 studies. Data from Crain *et al.* (2008).

## 6.0 Conservation and Enhancement Recommendation

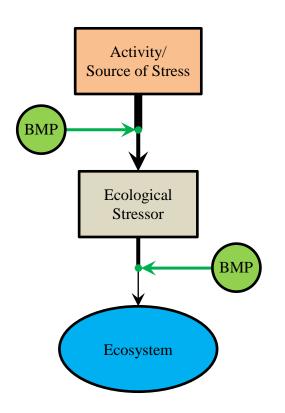
The WPRFMC is tasked with describing ways to avoid, minimize, or compensate for the adverse effects to EFH and for promoting the conservation and enhancement of EFH. Activities that may result in significant adverse effects on EFH should be avoided when less environmentally harmful alternatives are available. If there are no alternatives, the adverse effects of these activities should be minimized to the extent practicable by employing conservation and enhancement recommendations.

For this report, a conservation and enhancement recommendation is a single practice or combination of practices that has been determined to be an effective and practicable means of preventing or reducing the effect of an activity on a stressor, or in reducing the magnitude of a stressor acting on an organism or the ecosystem. A best management practice (BMP) is a type of conservation and enhancement recommendation that includes generalized practices that can be employed across a range of activities with little modification. In contrast, some conservation and enhancement recommendations are specific to a project or location, and are not applicable across a range of activities. Due to the broad applicability of BMPs, they will be the focus of this report.

Non-fishing activities and other sources of stress act on organisms and ecosystems through stressors (see Section 3.0). BMPs can be applied at two different locations in the event chain (Figure 7):

- A BMP can reduce the effect of an activity on a stressor. For example, a road construction project may choose to narrow a road or re-route it around a hill, thus reducing the amount of earth moving that is required. A sewage treatment plant may choose to route grey water to agricultural fields instead of discharging it into the marine environment.
- Alternatively, a BMP can reduce the effect of the stressor on the organism or ecosystem. For example, a road construction project may erect sediment fencing along a stream bank to reduce the amount of sediment washing into the ocean. A sewage treatment plant may install a long diffuser system to promote dilution of nutrients over a wider area of the discharge site.

Ideally, BMPs that act at either position in the event chain can be recommended to avoid and minimize adverse effects to EFH. However, BMPs that act to reduce the effect of an activity on a stressor are preferable to those that reduce the effect of the stressor on an organism or ecosystem because the former addresses the root cause of the potential adverse effect. To be effective, a BMP must:



**Figure 7.** Conservation and enhancement recommendations, of which BMPs are common type, are practices intended to reduce the adverse effects of an activity on an ecosystem. BMPs can reduce the effect of an activity on the particular stressor (top) or reduce the effect of a stressor on an organism or ecosystem (bottom).

- 1) *Provide meaningful and measureable minimization of potential adverse effects.* BMPs are specifically developed to combat specific problems and often display a range of effectiveness associated with activity-specific factors. BMPs that have been demonstrated to be ineffective in providing meaning minimization of an adverse effects should not be recommended or implemented.
- 2) *Be properly selected and implemented*. BMPs are specifically developed to combat specific problems under certain conditions, and it is important that the correct BMP is selected for any given activity or stressor. Proper BMP selection and implementation is required or the BMP will be ineffective (Figure 8).
- 3) *Regularly inspected to insure its integrity.* Regular inspection of a BMP insures it is in proper working condition provides the opportunity to repair or adjust a BMP that has fallen into disrepair or is not working as effectively as it should. How frequently a BMP should be inspected depends on the specific conditions of the project and the BMP, but all BMPs should have a regular inspection schedule that is determined prior to implementation.

4) *Monitored to assess its effectiveness*. Few if any BMPs are 100% effective, but their effectiveness can vary considerably depending on the specifics of the project and the BMP. Monitoring the effectiveness of a BMP enables adaptive management to occur, and ineffective BMPs can either be reinstalled to improve performance or replaced with another BMP that may be better suited to the conditions and/or project.

The following BMPs can reduce the potential adverse effects of non-fishing activities on EFH. These BMPs have been identified from the scientific literature, recommendations made by federal and state/territorial/commonwealth agencies, and regulatory documents such as environmental impact statements. This list is not exhaustive, but represents commonlyemployed, proven approaches as well as some common-sense recommendations to reduce adverse environmental effects. To facilitate selection, the BMPs have been organized into two tables: BMPs by activity category and BMPs by stressor. When recommending BMPs, BMPs from both tables should be considered, as appropriate.

The BMPs recommended by activity category generally contain recommendations on the design, placement and execution of activities with the intention of avoiding and minimizing potential adverse effects on EFH at the development stage of an activity.

The BMPs recommended by stressor type contain recommendations intended to reduce the effect of a specific stressor on EFH, either through reduction of the activities' effect on the stressor or



**Figure 8.** An inappropriately-selected BMP or one that is improperly-implemented is ineffective at reducing the adverse effect of a non-fishing activity on EFH: a) an inappropriately-selected oil control boom for the ocean conditions; b) an improperly-installed silt fence.

by reducing the effect of the stressor on the ecosystem. These BMPs are not necessarily specific recommendations for a single category of non-fishing activity, but could be broadly applied across a range of activities. These BMPs tend to address temporary issues (*e.g.*, construction-related runoff).

| Activity Category      | BMPs   |
|------------------------|--|
| General Considerations | <ul> <li>Areas of high diversity, abundance, and productivity or which serve as habitat for sensitive or important fishery species should be avoided to the maximum extent possible.</li> <li>Environmental surveying/sampling/monitoring should be developed with input from federal and state/territorial/commonwealth resource agencies.</li> <li>Biological surveys to determine species composition, abundance/biomass and productivity of an assemblage should be conducted using scientifically-rigorous survey designs and methods, and be completed prior to approval of any activity.</li> <li>All activities should reference latitude–longitude coordinates of the site so that information can be incorporated into Geographic Information Systems (GIS).</li> <li>All plans should have an adaptive management component, and a schedule for review and update.</li> </ul> |
| Energy Production      | • See BMPS for Development/Construction (Land-based) and<br>Development/Construction (Water-based)   |
| Mining                 | <ul> <li>Quarries should be placed outside the coastal zone where practicable and not adjacent to rivers.</li> <li>Measures to reduce/avoid runoff should be implemented, including; minimizing hard surfaces, minimize runoff through installing/preserving existing natural (and native) vegetation and/or building of a retention pond, and attempting to restore disturbed lands to as close to natural conditions, as possible, after no longer being mined. (HDOT 2008)</li> <li>Mining (coral and sand) should be avoided in coral reefs and other shallow water ecosystems (<i>i.e.</i>, those within the euphotic</li> </ul>  |

| <b>Summary BMP Tables</b> | BMPs by activity category |
|---------------------------|---------------------------|
|---------------------------|---------------------------|

| Activity Category      | BMPs   |
|------------------------|--|
|                        | <ul> <li>Deep ocean mining in areas of high biological diversity, abundance, and productivity (including the overlying surface waters) should be avoided. This is especially true if mining waste will be discharged into these waters due to the potential to expand the area of effect.</li> <li>For deep ocean mining, interaction of the collected with the seafloor should be kept to a minimum. Separation of the minerals from the sediment (and other debris) should occur as close as possible to the bottom to reduce water column discharge. (Sharma 2015)</li> <li>Deep ocean mining should be conducted in a "strip-wise" fashion, leaving alternate strips of undisturbed seafloor to promote recovery. (Sharma 2015)</li> <li>Surface discharge from deep ocean mining should be kept to a minimum and be dispersed across a wide area to dilute. Sufficient light should be allowed to penetrate the watercolumn for photosynthetic activity. Discharge of sediment at different levels in the water column should be encouraged. (Sharma 2015)</li> </ul> |
| Land-based Aquaculture | <ul> <li>Facilities should be in upland areas and not in the coastal zone where practicable. (Howerton 2001)</li> <li>Tidally-influenced wetlands<sup>4</sup> should not be converted for aquaculture use. Wetland conversion reduces the functional value of the ecosystem, and potentially lacks a mechanism to control nutrient/waste exchange between the ponds and the coastal marine waters. (Howerton 2001)</li> <li>The siting of any aquaculture facility (regardless of type) should consider the size of the operation, the presence or</li> </ul>  |

<sup>&</sup>lt;sup>4</sup>In Hawai'i, fishponds have been constructed in many estuarine and coastal areas, and are important native Hawaiian cultural and historical features. Where appropriate, existing fishponds should be restored, maintained, and managed for both their cultural and ecological value. This BMP is intended for non-historical/cultural activities or for activities that would represent a "new" structure/fishpond. In general, tidal wetlands should not be converted into ponds for aquaculture production when other viable alternatives exist.

| Activity Category                         | BMPs  |
|---|---|
|   | absence of submerged vegetation and coral reef ecosystems,<br>proximity of wild fish stocks, migratory patterns, competing<br>uses, and hydrographic conditions.  |
|   | • Operational plans should contain measures to prevent nutrient<br>and waste disposal from reaching the marine environment<br>without appropriate treatment. Where possible, water systems<br>should recycle back into the pond or be used as grey water.<br>(Ozbay <i>et al.</i> 2014, FDACS 2016)   |
|   | • A plan to optimize feeding protocols to minimize nutrient accumulation at the site should be in place before operations start. Water quality thresholds should be established prior to the start of operations. (Ozbay <i>et al.</i> 2014)  |
|   | • Chemical anti-foulants should not be used, instead, mechanical cleaning methods and air drying should be employed when practicable. (FDACS 2016)  |
|   | • To the extent practicable, water intakes should be designed to avoid entrainment of flora and fauna.  |
|   | • Non-native species that <i>could</i> adversely affect the ecological balance of an area ( <i>i.e.</i> , have a reasonable probability of becoming invasive), should not be imported for aquaculture. A thorough scientific review and risk assessment should be undertaken by invasive species experts prior to any non-native species introduction. (FDACS 2016) |
| Development/Construction<br>(Water-based) | • Dredging projects should be allowed only when water-<br>dependent and when no other feasible and practicable<br>alternative is available.   |
|   | • Dredging activities should be sited in deep-water areas or designed in such a way as to minimize the amount of dredging and reduce the need for maintenance dredging.   |
|   | • To the extent practicable, fill materials from dredging<br>operations should be placed in an upland site. Unless<br>unavoidable, fill should not be allowed in areas with mangal,<br>subaquatic vegetation, coral reefs, or other areas of high<br>productivity. (Johnson 2011)   |
|   | • For clamshell dredges, a closed (environmental) bucket should<br>be considered for use to reduce suspended sediment. Likewise,<br>slower cycle times, single "bites" with the bucket, and no  |

| Activity Category                                      | BMPs   |
|--|--|
|  | bottom stockpiling should be implemented when practical.<br>(Johnson 2011)   |
|  | • If a hydraulic dredge ( <i>e.g.</i> , cutterhead, suction, etc.) is to be used, selecting the appropriate type will minimize sediment loss. (Johnson 2011)   |
|  | • The disposal of contaminated dredge material should not be allowed in EFH.   |
|  | • Ocean disposal should be restricted to an approved, deep ocean disposal site. Currently, Hawai'i and Guam have EPA approved ocean disposal sites. ((Johnson 2011, EPA 2016a, EPA 2016b)  |
|  | • If the need for dredging (especially maintenance dredging) has<br>been caused by excessive sedimentation from a land-based<br>source, the source should be identified, and appropriate<br>management actions to remediate the source should be<br>proposed as part of the pre-dredging planning activities.<br>Where legal and practicable, actions to remediate the upland<br>sediment source should be part of the dredging project. |
|  | • Where practicable, pipelines ( <i>e.g.</i> , wastewater, cooling discharge, etc.) should be elevated off the bottom using pedestals. (PBS&J 2008)  |
|  | • Where possible, use horizontal directional drilling technology to install pipes, conduits, etc. instead of trenching or surface installation. (PBS&J 2008)   |
| Development/Construction<br>(Land-based/Coastal roads) | • Coastal hardening should only occur after all other alternatives<br>have been determined not to be feasible or practicable.<br>Alternative should include re-alignment of any road/activity to<br>a different, upland location.  |
|  | • Where practicable, bioengineering approaches should be used to protect altered shorelines. The alteration of natural, stable shorelines should be avoided as much as is practicable.   |
|  | • For roads, parking lots, and other applicable structures,<br>considering using oil/water or oil/grit separators, swales,<br>constructed wetlands, etc., as part of the stormwater<br>management to remove pollutants such as oils, grease, sand,   |

| Activity Category                           | BMPs  |
|---|---|
|   | and grit from runoff. (HDOT 2007)   |
|   | • Avoid upland and coastal earth-moving during the local rainy season. (USCRTF 2016)  |
|   | • For coastal directional drilling activities, the volume of drill<br>mud and the drill pressure should be monitored constantly to<br>detect potential leaks ("frac-outs"). For the last 15-20 m of<br>bore, seawater should be used in place of drill mud to prevent<br>drill mud from entering the water. Any free-flowing slurry at<br>the upland site during pull back and drilling should be properly<br>contained and disposed of so that it does not enter marine<br>waters. (PBS&J 2008, CALTRANS 2015) |
| Shipping/Boating                            | • The siting of any anchorage should consider the size and<br>number of the vessels, the presence or absence of submerged<br>aquatic vegetation and coral reef ecosystems, proximity of wild<br>fish stocks, migratory patterns, competing uses, and<br>hydrographic conditions.  |
|   | • Where possible and practicable, permanent mooring facilities that reduce the activity's contact footprint with the bottom should be used. Contact footprint includes any anchors, chains, and/or lines that have the potential to adversely affect EFH. Potential adverse indirect effects associated with mooring buoys need to be considered. (Taratalos and Austin 2001, PADI 2005, USCRTF 2016)   |
| Marine Debris                               | • No trash or other debris should be disposed of or otherwise allowed to enter the ocean. Ensure adequate trash receptacles with lids are available onsite or onboard vessels.  |
|   | • All debris that enters the water because of the activity should be removed using means that do not cause additional damage to organisms such as coral ( <i>e.g.</i> , dip net, snorkel, SCUBA, etc.).   |
|   | • All loose articles ( <i>e.g.</i> , clothing, towels on the deck, etc.) should be secured to prevent them blowing off or accidentally falling overboard.   |
| Non-fishing, human<br>activities (Military) | • A clear protocol to decrease sonar power when sensitive organisms are detected near a vessel should be in place. (USN 2008)   |

| Activity Category       | BMPs  |
|-------------------------|---|
|                         | • No underwater detonations (training) should occur except<br>within pre-approved areas designated for such activity.<br>Detonations should be conducted using approved protocols,<br>which should include protection measures for coral and other<br>sensitive or important fishery species. (USN 2008)  |
| "Waste" water discharge | <ul> <li>Where practicable, outfall structures should be placed sufficiently far offshore in areas of good mixing and use diffusers to promote dilution and reduce risk of discharged effluent from adversely affecting EFH. (Tate <i>et al.</i> 2016)</li> <li>Where practicable, pipelines (<i>e.g.</i>, wastewater, cooling discharge, etc.) should be elevated off the bottom using pedestals. (PBS&amp;J 2008)</li> <li>Where possible, use horizontal directional drilling technology to install pipes, conduits, etc. instead of trenching or surface installation. (PBS&amp;J 2008)</li> <li>When practicable, wastewater effluent should be treated using the best available and practicable technology, including implementation of up-to-date methods to reduce discharges of biocides (<i>e.g.</i>, chlorine), endocrine disruptors, other toxic substances, and potential disease agents.</li> </ul> |

| Stressor   | BMPs   |
|------------|--|
| Thermal    | <ul> <li>Where practicable, discharges with different thermal or salinity characteristics than the receiving waters should be "treated" (<i>e.g.</i>, cooling or warming towers) prior to discharging, or should be discharged through means that will dilute the effluent to reduce the differential between it and the receiving body. (North Shore Consultants 2012, Tate <i>et al.</i> 2016)</li> <li>An effort should be made to ensure discharge temperatures (both heated and cooled effluent) do not exceed the thermal tolerance of the most sensitive organism<sup>5</sup> in the receiving waters.</li> </ul>   |
| Salinity   | • Where practicable, discharges with different thermal or salinity characteristics than the receiving waters should be discharged through means that will dilute the effluent, reducing the differential between it and the receiving body. (Tate <i>et al.</i> 2016)  |
| Irradiance | <ul> <li>Irradiance levels (PAR) should be monitored beneath any temporary structure that shades benthic, photosynthetic organisms. Prolonged exposure to levels below 35% of surface irradiance is likely to cause adverse effects on coral (see Erftemeijer <i>et al.</i> 2012 for more information).</li> <li>Temporary platforms or other structures that shade benthic photosynthetic organisms should be removed immediately upon completion of the activities that required them.</li> <li>Organisms, especially corals, beneath a temporary, shading structure should be monitored for condition, and if the organisms show signs of stress (<i>e.g.</i>, color change [especially paling], increased mucus production etc.), the temporary structure should be removed, if practicable and would not result in additional adverse effects. The structure can be returned once the organisms have sufficiently recovered.</li> </ul> |

## Summary BMP Table: BMPs by stressor type

<sup>&</sup>lt;sup>5</sup>This will be site-specific, but in most shallow water ecosystems this will likely be coral, which have been shown can bleach when temperatures exceed the summer maximum temperature by only a few degrees for a prolonged period (Baker *et al.* 2009). Deep slope ecosystems, especially deep sea corals, might be more sensitive given the lower natural variability in temperature.

| Stressor         | BMPs  |
|------------------|---|
| Noise            | <ul> <li>High amplitude noise should not exceed 150 decibel (dB) in a single strike. Noise more than 150 dB has been found to cause adverse behavioral effects in fish. High amplitude noise exceeding 180 dB has been shown to cause injury in fish. (Hastings 2002, WSDOT 2015)</li> <li>Where appropriate and practicable, bubble screens should be used to attenuate single strike noise. Curtains have been shown to reduce noise by 10-30 dB. (MacGillivray <i>et al.</i> 2007, WSDOT 2015)</li> </ul>  |
| Invasive species | <ul> <li>All vessels should undergo routine inspections for presence of non-native species growing on the hull of the vessel prior conducting work in a different area of operation.</li> <li>Any equipment that has been previously used in an area known to contain invasive species should be sanitized prior to its use elsewhere<sup>6</sup>.</li> <li>Any effluent from a facility containing non-native species (<i>e.g.</i>, aquaculture, aquarium, etc.) should be treated prior to discharge to ensure gametes/larvae<sup>7</sup> are not released into the marine environment.</li> <li>All facilities that contain live non-native species should have a thorough biosecurity plan. Staff should be trained in the execution of the plan to decrease the potential for release of non-native species or propagules into the environment.</li> </ul> |
| Disease          | • Where practicable, discharges that have the potential to contain biological pathogens ( <i>e.g.</i> , sewage, aquaculture waste, etc.) should treated to neutralize disease-causing agents.   |

<sup>&</sup>lt;sup>6</sup>For more information on cleaning equipment, see NOAA's Preventing Invasive Species: Cleaning Watercraft and Equipment fact sheet available at: http://www.habitat.noaa.gov/pdf/best\_management\_practices/Cleaning%20of%20 Watercraft%20and%20Equipment.pdf

<sup>&</sup>lt;sup>7</sup>For example, see Tucker *et al.* (2012) for a discussion of using UV on non-native fish larvae to control invasive species.

| Stressor        | BMPs  |
|-----------------|---|
| FAD Effect      | • Any structure using netting ( <i>e.g.</i> , silt curtains, etc.) should have small enough webbing, and be installed to prevent entanglement by sensitive and fishery species.   |
|                 | • No marine life should be fed.   |
| Physical damage | • No anchors, tools, or other equipment should be placed on any organism, especially coral. Preference should be to place anchors and spuds in soft-sediment only.  |
|                 | • No tools or materials should be dropped on the bottom during demolition and/or construction activities.   |
|                 | • Floating tow and anchoring lines should be used to prevent lines and cables from dragging in the water or on the bottom. All lines should be kept taut to reduce chance of entanglement of sensitive or fishery species. (Harnois <i>et al.</i> 2015)   |
|                 | • Where practicable, corals and other sensitive species that are likely to experience adverse effects, especially mortality, should be translocated/transplanted to a nearby, suitable location that is not likely to be impacted by the proposed or future projects. The condition of the relocated organisms should be monitored for at least two years <sup>8</sup> . (USCRTF 2016)  |
|                 | • All vessels should operate at "no wake/idle" speeds at all times while in water depths where the draft of the vessel provides less than a 2 m (6 ft.) clearance. All vessels should preferentially follow deep-water routes ( <i>e.g.</i> , marked channels) whenever possible. If operating in shallow water, all vessels should employ a dedicated "lookout" to assist the pilot with avoiding large coral colonies and other benthic organisms that might extend up from the bottom. |
|                 |   |

<sup>&</sup>lt;sup>8</sup>Effective evaluation of translocation/transplantation success for coral has been a problematic because few efforts have monitored the relocated coral colonies sufficiently to determine long-term success. Given limited data, 18-24 months appears to be a critical threshold point (see figure 2 in Okuba and Omori 2001, USCRTF 2016), but most monotirng efforts only continue for about 12 months. While interspefic variability exists, survival after one year is often high, but after 18 months, colonies appear to expierence more mortality. Success appears to be correlated with the quality of the habitat to which the corals are moved (USCRTF 2016).

| Stressor | BMPs   |
|----------|--|
| Sediment | • Runoff control measures, including silt screens, retention basins, swales, etc., should be installed prior to any activity that could result in sediment entering any waterbody <sup>9</sup> . The best land management practices should be used to control soil erosion. (HDOT 2008)  |
|          | • As appropriate and practicable, apply water and/or dust control measures to minimize wind transport of dust. (HDOT 2008)   |
|          | • Avoid upland and coastal earth-moving during the local rainy season. (USCRTF 2016)   |
|          | • All dredge/fill activities should be avoided to the extent possible during the coral broadcast spawning season (May-September in the northern hemisphere; Richmond and Hunter 1990). If dredge/fill window cannot be avoided, no activity should occur the 7 days before and 14 days after the full moon to avoid coral spawning <sup>10</sup> . This dredge/fill window may be narrowed based on site-specific spawning information. (PBS&J 2008) |
|          | • Dredging activities should be conducted only under calm sea<br>state conditions and with a slack tide. Depending on project-<br>specific conditions, an incoming or outgoing tide might also be<br>suitable for dredging. (PBS&J 2008)   |
|          | • Based on project-specific conditions, an appropriate turbidity   |

<sup>&</sup>lt;sup>9</sup>A thorough assessment of the effectiveness of BMPs is beyond the scope of this review, but such an assessment is a critical need to assist NMFS in making conservation and enhancement recommendations that will have positive benefits on EFH. For example, while silt fences are nearly universally employed for erosion control during earth moving activities and are often an effective BMP, they have been shown to exacerbate sediment erosion in some situations (Wear *et al.* 2013).

<sup>&</sup>lt;sup>10</sup>Little is known about larval competency for most coral species. *Pocillopora damicornis* (lace coral) can be competent within one day of spawning, and *Seriatopora caliendrum* (birdsnest coral) in as little as five hours (Cumbo *et al.* 2013, Edmunds *et al.* 2013). Both are brooding species that produce larger propagules than broadcast spawing species. Even broadcast spawners appear to have relatively short minimum compentency periods. Broadcaster *Favites chinensis* (larger star coral) and brooder *Coelastrea (=Goniastrea) aspera* (lesser star coral) are competent within one to three days after spawning, and possess a relatively long maximum settlement-competency period of nearly 70 days (Nozawa and Harrison 2002). Corals, while likely competent to settle quickly, can remain competent for as much as 2-3 months (Harrison 2011). Given this relatively sparse data, 7-14 days following the full moon appears to be a reasonably cautious period because spawning occurs for several days after the full moon, providing 7-10 days for coral larvae to move from the site. This window can be revised as more information becomes available.

| Stressor  | BMPs   |
|-----------|--|
|           | barrier ( <i>e.g.</i> , turbidity curtains, turbidity screens, gunderbooms,<br>pneumatic screens, etc.) should be considered as a potential<br>approach to reduce the adverse effects of suspended sediment<br>resulting from dredge/fill operations. However, due to highly<br>variable, and often overstated effectiveness, this method should<br>not be the sole approach to sediment management. (PBS&J<br>2008, Johnson 2011, Cutroneo <i>et al.</i> 2014, Radermacher <i>et al.</i><br>2015) |
|           | • Where practicable, corals and other sensitive species that are likely to experience adverse effects, especially mortality, should be translocated/transplanted to a nearby, suitable location that is not likely to be impacted by the proposed or future projects. The condition of the relocated organisms should be monitored for at least two years <sup>11</sup> . (USCRTF 2016)  |
| Nutrients | • For construction projects near or in marine waters, nutrient and water quality "stop work" thresholds should be established prior to implementing any activity. If the thresholds are exceeding, work should be suspended immediately until conditions improve. The water quality monitoring should be conducted to determine if the threshold criteria have been exceeded. (PBS&J 2008)   |
| Chemicals | <ul> <li>A spill contingency plan should exist for both the construction and operation (as appropriate) of a facility, and all employees should be familiar with its contents and be trained in how to respond to a spill. (HDOT 2013)</li> <li>Containment equipment and sufficient supplies to combat spills should be on-site at all facilities that handle hydrocarbons, chemicals and/or other hazardous substances.</li> </ul>   |
|           | <ul><li>(HDOT 2013)</li><li>• To the maximum extent practicable, storage of hydrocarbons,</li></ul>  |

<sup>&</sup>lt;sup>11</sup>Effective evaluation of translocation/transplantation success for coral has been problematic because few efforts have monitored the relocated coral colonies sufficiently to determine long-term success. Given limited data, 18-24 months appears to be a critical threshold point (see figure 2 in Okuba and Omori 2001, USCRTF 2016), but most monitoring efforts only continue for about 12 months. While interspecific variability exists, survival after one year is often high, but after 18 months, colonies appear to experience more mortality. Success appears to be correlated with the quality of the habitat to which the corals are moved (USCRTF 2016).

| Stressor | BMPs   |
|----------|--|
|          | chemicals and/or hazardous substances should be in an area<br>that would prevent spills from reaching marine environments.<br>(HDOT 2013)  |
|          | • All equipment should be properly maintained to prevent discharge of contaminants into marine waters. All equipment should be free of contaminants prior to use in or near the marine environment.                  |
|          | • Fueling of any equipment should be conducted in a dedicated area on land with control mechanisms to stop and spill from reaching the ocean. Seagoing vessels should be fueled at an approved location. (HDOT 2013) |
|          | • To the extent practicable, no heavy equipment should be driven<br>or operated on reefs or tidal flats regardless of the tidal stage or<br>exposure.  |
|          | • Where practicable, an oil containment boom should be placed around mechanical equipment such as a dredge to contain any spilled oil or fuel.   |
|          | • In the event of a spill, caution should be used when deploying and anchoring containment booms near reefs to prevent physical damage to corals and to prevent entangling marine species.                           |
|          | • The use of oil dispersants directly over shallow coral reefs and seagrass beds or near mangal and intertidal ecosystems should be avoided.   |
|          | • The use of pesticides, herbicides, and fungicides in areas that would allow for their entry into marine environments should be avoided.  |
|          | • Enzyme-based cleaners should be used instead of detergents, degreasers or chemicals.   |

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