



**141st Meeting of the Scientific and Statistical Committee
September 14-16, 2021
Web Conference**

FINAL REPORT

4. Report from Pacific Islands Fisheries Science Center Director

Michael Seki provided the PIFSC Director's report, highlighting COVID-19 updates for research cruises and research activities, Territorial bottomfish stock assessment improvement efforts, review of potential mitigation measures to reduce fishing-related mortality on silky and oceanic whitetip sharks, and the ongoing main Hawaiian Islands (MHI) bottomfish survey and analysis. Other updates included the Hawaiian Monk Seal Research Program activities, Marine Turtle Biology and Assessment Program activities, the recently completed Marianas Archipelago Cetacean Survey, a published report on Community Participation Trends in Hawaii Commercial Fisheries, National Coral Reef Monitoring Program dive surveys around Oahu, the ongoing the Papahānaumokuākea Marine National Monument marine debris removal fall mission, and personnel updates.

An SSC member asked whether there were any ongoing studies of the Hawaii deep-set longline fishery's conversion from wire leaders to monofilament. PIFSC staff Keith Bigelow noted an earlier data report that analyzed catch rates by leader material based on historical data, and supported the idea of follow-up but did not have information on the voluntary conversion status. Council staff noted that based on information from industry representatives, at least two thirds of the fleet had converted with most of the remaining vessels in progress, and that pending rulemaking process for the regulatory requirement on leader material is expected to facilitate the fleet's full conversion. An SSC member noted that the report on Community Participation Trends and vulnerability indices was well done and would be very useful in improving the quality of social impact assessments. In response to a question from the Executive Director regarding the number of green turtle nesting observed in the MHI this year, Seki noted that the increase in nesting in the MHI may be a response to the reduction in habitat at East Island, although nesting activity at Tern Island is also high this year with at least 1,000 individuals identified this year.

5. Program Planning and Research

A. Essential Fish Habitat Model for the Main Hawaiian Island Uku

SSC member, Erik Franklin, University of Hawaii, presented the preliminary results of the model based delineation of essential fish habitat in the Western Pacific. The description of essential fish habitat is required for federally managed fishery species. Species distribution models (SDMs) have been utilized in marine science and conservation to identify and predict suitable habitats for many species. SDMs can involve a range of models that vary in structure and complexity. Boosted regression tree models were fit to fishery-independent data to define the geographic extent of essential fish habitat (EFH) of sub-adult/adult life stages of a federally managed snapper species, *Aprion virescens*, commonly called “Uku”, in the main Hawaiian Islands. Due to differences in survey data collection methods, separate SDM models for Uku were constructed for shallow (0-30 m depth) depths using NOAA fish diver surveys and deeper (30-300 m) depths using NOAA and UH baited stereo-video camera arrays. For shallow models, aspect (i.e., direction that habitat slope faces), depth, and wave heights were strong predictors of Uku occurrence, while depth was the predominant habitat variable for the deep model. Output from the SDMs were used to create maps delineating Uku EFH including continuous probability of occurrence maps as well as categorical maps showing EFH “hot spots”, “core habitats”, and “basic EFH”, based on 25%, 50%, and 95% quantiles of predicted occurrence, respectively.

For shallow depths, Uku hot spots were 0% of the MHI, core habitat was 0.2%, basic EFH was 55.4%, and other habitat was 44.4%. For deep depths, Uku hot spots were 0.09% of the MHI, core habitat was 2.4%, basic EFH was 59.8%, and other habitat was 37.3%. The maps are a visual display of the predicted quantitative relationship between Uku and their habitat and can be used to inform marine conservation and management activities in the main Hawaiian Islands. These analyses represent the first model-based approach to delineating EFH for a U.S. Western Pacific stock and could serve as a framework for the EFH descriptions of other managed species in the region. A future phase of this work will expand the environmental covariates in the SDMs to include dynamic variables such as seawater temperature, salinity, productivity, and current velocity.

SSC discussion focused on the covariates, particularly bathymetry, depth, aspect, and substrate composition, which were found to be important variables in the analyses. There was also some discussion on whether more dynamic covariates, such as prey abundance, currents, etc., could be included in the models and whether this approach could be applied to delineate Deep-7 bottomfish EFH.

The SSC thanked Franklin for a very informative presentation.

B. Report on the Bottomfish Fishery in the Opened Bottomfish Restricted Fishing Areas

SSC member Jason Helyer, Division of Aquatic Resources, presented a summary of the data from Fishery Reporting System on catch and effort from the four opened BRFAs (C in Makahū‘ena, Kaua‘i; F in Penguin Banks; J in Mokumana-Umalei Pt, Maui, and L in Lelewi Pt,

Hawai‘i Island). The data showed possible under reporting of fishing effort and landings. Much of the reported effort comes from Penguin Banks. Possible explanations include lack of awareness of the reporting requirement, lack of enforcement when it was still closed, non-reporting due to other reasons. In comparing the CPUE from the opened BRFAs with the adjacent areas to evaluate the efficacy of the BRFA, there are lots of assumptions to be made including the habitat areas are not the same and fish never left the BRFA boundaries. Assuming there is full enforcement, an effort displacement signal should be clear. There were serious data concerns thereby creating challenges to interpreting effort and landings in evaluating the effects of BRFA opening. It was clear that management and enforcement plays a role in the quality (or lack thereof) of the data reported to the State.

The SSC noted that the correction of catch reporting by a highliner from outside to inside the BRFA may be symptomatic of larger data issues.

SSC members echoed the data concerns expressed in the report and asked what steps HDAR would be taking to improve the accuracy and level of reporting of fishing effort inside and outside of the BRFAs. An SSC member indicated that there is a fair amount of distrust among the fishing community related to the establishment of the BRFAs (poor siting protocol, breach of 5-year trial period) which likely has contributed to misreporting and underreporting of fishing activity. In addition, the lack of baseline data prior to the BRFA closures precludes comparison to previous fishing effort and catch in those areas. Members also inquired about the status of a recommendation that HDAR improve catch location and boundary recognition for GPS units. However, this does not appear to have been implemented by HDAR. An SSC member suggested that anonymized cell phone tracking data might be useful towards resolving uncertainty about fishing activity in BRFAs.

SSC reiterates its previous recommendation to eliminate all BRFAs, given the change in stock status since their establishment and because their management utility has been superseded by annual catch limits.

The SSC recommends HDAR continue to improve fishery-dependent data collection through better fisher engagement in order to effectively manage the bottomfish fishery.

The SSC thanked Helyer for his presentation.

C. American Samoa Bottomfish Stock Assessment Improvement Plan

Felipe Carvalho, PIFSC Stock Assessment Program Lead, presented the various steps the Stock Assessment Program (SAP) will take, in collaboration with the stakeholders, to improve the assessment for the bottomfish MUS in American Samoa, with co-presenters Erin Bohaboy, Mia Iwane, and Marc Nadon. The next benchmark assessment is scheduled for WPSAR review in 2023. The Bottomfish Data Workshop will be held in November 2021. Starting at this 141st meeting, the SAP will give regular presentations to the SSC with updates on the assessment development. SAP will seek the SSC’s advice on key decision points in the assessment.

SSC discussion included questions about the planned steps to refine the species aggregations used in the stock assessment.

The SSC reiterates its recommendation that the next assessment should analyze shallow- and deepwater BMUS as separate stock complexes. The SSC also recommends that PIFSC further investigate changes in fishing operations and fishing power (e.g., vessels, gear, and technology) over the past few decades to inform the stock assessment.

The SSC recommends that it form a sub-committee to work with the PIFSC Stock Assessment Program in the development of the next benchmark stock assessment. SSC members Itano, Martell, Harley, Severance and Ochavillo expressed an interest in serving.

The SSC thanked Carvalho and his team for their presentation.

D. Review of the MSRA Five Year Research Priorities

Council staff presented the updates to the MSRA Five Year Research Priorities. All the Council research priorities, including Cooperative Research, Management Strategy Evaluation, Pelagic Research, and Social Science were integrated in this research priority document. The document was reviewed by SSC members and comments were incorporated in the current version. Updates to the priorities included focus on the climate change agenda of the current administration. The priority document will be submitted to the Secretary of Commerce and the Pacific Island Fisheries Science Center for consideration in their priority and budget development.

The SSC endorses the MSRA Five Year Research Priorities, noting the SSC also added a research priority on evaluating limit reference points for pelagic management units species in the Pelagic FEP.

E. Potential MSA Reauthorization Provisions Affecting the SSC

Council staff presented the highlights of H.R. 4690. This bill includes amendments and provisions that added new requirements to all the regional Councils. The inclusion of a definition of “subsistence” fishing, changes to the Sustainable Fisheries Fund, new provisions for Council member appointments, and changes to Essential Fish Habitat, data collection, and stock assessments are among the changes being tracked. The bill proposed additional responsibilities for the Council’s SSC in terms of providing scientific advice on effects of climate change, definition of “depleted”, monitoring of fishery performance and environmental conditions etc.

Staff reviewed the bill including how the changes will affect our fisheries. The bill was referred to the Committee on Natural Resources and to the Committee on Agriculture for further discussion and action and is at the beginning of the journey to becoming law.

SSC members highlighted a few potential regional issues regarding the proposed definition of *subsistence* fishing including; the definition of barter (also included in commercial fishing definition) and its relationship with Council’s definition of customary exchange, consideration of the scope of “family” covered in the language, and uncertainty regarding whether subsistence would only apply to federally-recognized tribes, certain indigenous groups or groups with specific legal standing.

F. SSC Three-Year Plan

Jim Lynch, SSC Chair, presented the elements of the revised SSC Three Year Plan. The plan provides the framework on the issues and topics the SSC will be tackling in the next three years. The current version of the plan addressed the comments from PIFSC and SSC members, and additional socio-economic priorities from the Social Science Planning Committee.

The SSC endorses the SSC Three-Year Plan.

G. Public Comment

There was no public comment.

6. Protected Species

A. Hawaii Longline Fishery Seabird Mitigation Measures

1. Results of the Tori Line Experimental Fishing Permit Study in the Hawaii deep-set longline fishery

Milani Chaloupka, SSC member, presented the results of a second tori line study conducted under an Experimental Fishing Permit (EFP). Field trials for the EFP study were conducted from February to June 2021. The field experiment comprised 87 sets deployed during 7 trips from 3 Hawaii-based deep-set longline (DSLL) vessels. The partial factorial design involved random assignment on each trip to one of the two treatments (tori line, blue-dyed fish bait) for the initial set and then alternating the two treatments for each subsequent set in the trip. All the sets were deployed with line shooters, similar branchline weights and no offal discharge during setting operations. Nearly 99% of all the seabird interactions during this experiment were for black-footed (*Phoebastria nigripes*) and Laysan albatrosses (*Phoebastria immutabilis*).

A Bayesian modelling workflow was used to model statistically the albatross interactions (attempts to contact a baited hook, actual contacts with a baited hook) recorded using onboard stern-view video-based electronic monitoring. This modelling approach supports robust statistical inference about the comparative efficacy of the two mitigation measures evaluated (tori lines, blue-dyed bait). The analysis indicated that albatross attempts are 1.5 times less likely, contacts are 4 times less likely, and captures 14 times less likely on tori line sets compared to blue-dyed bait sets. The study showed that tori lines were a far more effective seabird bycatch mitigation measure than blue-dyed fish bait.

The SSC congratulated the tori line project team for a well-executed study. **The SSC endorsed the study's finding that tori lines are far more effective than blue-dyed fish bait for seabird bycatch mitigation.**

2. Options for Revising Seabird Mitigation Measures in the Hawaii Deep-set Longline Fishery (Action Item)

Council staff presented on the options for a regulatory amendment to allow the use of tori lines in lieu of blue-dyed bait and removing the strategic offal discharge requirement in the DSLL fishery. The purpose of the action is to modify the seabird mitigation measures for the DSLL fishery to reflect the results of the recent cooperative research and the best available scientific information, and to improve the overall operational practicality and mitigation efficacy of the required measures. The options include addition of tori lines as a third suite of measures, replacement of blue-dyed bait with tori lines, and modification of the strategic offal discard requirement (either to remove from the requirements or to refine the regulatory language to prohibit discards during setting when seabirds are most active).

The SSC discussed previous concerns for crew safety related to deploying tori lines. Current methods to deploy tori lines have been modified to address safety concerns and the new methods have been well received by participating fishers.

The SSC reiterated the findings of the Tori Line Experimental Fishing Permit Study in the

Hawaii deep-set longline fishery. The study was well executed and clearly showed that tori lines are more effective than blue-dyed bait for seabird bycatch mitigation. The SSC therefore recommends Option 3: Replace blue-dyed bait with tori line in the required measures for the Hawaii deep-set longline fishery.

The SSC discussed the modifications to the strategic offal discard requirement. **The SSC recommends Option 4a: Remove the requirement for strategic offal discards.**

Additionally, the SSC recommends the Council consider either an option for an additional regulation for not discharging fish waste immediately before and during setting, or incorporate best practices training to the currently required annual protected species training workshop.

The SSC noted that the DSLL fleet sets their gear during the day, hauls and processes their catch (and discards offal) during night-time hours. In the rare instance that hauling extends past sunrise, there is time between the end of processing catch and deploying the next set such that offal discard would be completed well before the start of the next setting operation. In the absence of a requirement to strategically discard offal during setting operations (as currently required), the fleet’s operational characteristics would preclude the scenario of fish waste being present when risks of seabirds interactions are highest.

B. SSC Working Group Issues Paper on Alternative Approaches to Reduce Impacts to False Killer Whales

The SSC at the December 2020 meeting formed a working group composed of SSC members Itano, Chaloupka, Severance and Lynch to develop recommendations on alternative approaches to weak hooks for reducing impacts to false killer whales in the Hawaii deep-set longline fishery. The working group developed a comprehensive issues document on the topic, which reviews the history of the False Killer Whale Take Reduction Team and their recommendations, past SSC recommendations, cetacean avoidance research and interaction reduction measures, and evaluates potential biological removal (PBR) and serious injury determinations as applied under the Marine Mammal Protection Act (MMPA). The initial draft paper was presented at the 140th SSC meeting in June, after which SSC members were requested to provide review and comments. Lynch presented the revised paper on behalf of the working group.

The SSC commended the excellent contributions from the working group members, specifically the suggestion of alternative methods to PBR for evaluation of FKW removals. These alternative methods should be looked at. The SSC noted that although the paper doesn’t fit very well for a single publication, it should be preserved and made publicly available in some way.

The SSC endorses the working group issue paper (Appendix A) and adopts the recommendations as follows:

- 1. Develop take reduction measures that recognize the already-low mortality rate of FKW caught in fishing gear.**
- 2. Conduct a post-release study on FKWs using satellite tags or other technology to assess mortality rates and sublethal effects. Develop cost-effective methods to determine robust estimates of sublethal effects attributable to capture and release**

from pelagic longline.

3. **Implement a Population Consequences of Disturbance (PCoD), comprised of a 4-level sequence ranging from observed changes in individual behaviour (level 1) to population-level effects such as impaired reproductive, survival or population growth rates (level 4). PCoD review of the FKW populations is important to derive a robust form of risk assessment. However, it is equally as important to regularly know the long-term status of each FKW population exposed to the Hawaii-based pelagic longline fishery. PCoD is a simple, robust and effective means to monitor and report on protected species bycatch in the Hawaii-based pelagic longline fisheries.**
4. **Develop a population dynamic-based model to assess the applicability of PBR for bycatch management. Use the results of such modelling to inform selection of appropriate take reduction measures for the US-based pelagic longline fishery.**
5. **Make use of the SSC process provided in the Magnuson-Stevens Act to help inform measures considered by the TRT and other parties in formulating a TRP. Subject SSC recommendations and work products to independent scientific peer review in published literature to confirm the validity of such recommendations and work products.**
6. **The Council and NMFS should adopt conformance-based monitoring of FKW captures in the Hawaii-based pelagic longline fisheries and report the conformance and any anomalies in the SAFE Report.**
7. **The Council should undertake a study to assess the economic impacts of FKW regulatory measures on Hawaii-based pelagic longline fisheries and report the results of such studies to NMFS. This study should be updated over time to assess the cumulative impacts of such regulatory measures on commercial fisheries.**

C. ESA Consultations for the Hawaii Deep-set Longline Fishery, American Samoa Longline Fishery, and Bottomfish Fisheries

Diana Kramer, PIRO PRD, presented on the current status of ESA consultations for the pelagic longline fisheries, US purse seine and bottomfish fisheries in the MHI and territories. The US purse seine consultation is expected to be completed by September 2021, the American Samoa longline and bottomfish consultations are expected to be completed by October 2021, and the Hawaii deep-set longline fishery consultation is expected to be completed by January 2022.

The SSC thanked Kramer for her presentation.

D. ESA and Marine Mammal Protection Act Updates

Kramer provided the ESA and MMPA updates, including the ESA Coral Recovery Workshop convened in May 2021, coral critical habitat, coral assessment and mitigation framework (Coral Tool), the 90-day finding in response to shortfin mako shark ESA listing petition, insular false killer whale recovery planning, false killer whale interactions in the Hawaii longline fishery, and the Final Environmental Impact Statement (FEIS) for enhancing protections for Hawaiian spinner dolphins.

The SSC requested a status update of a longline hook strength study being conducted by PIFSC to examine the economic impact of using weak circle hooks and their impact on retention of target and other retained catch. The SSC had previously requested a report to be presented at this meeting. Kramer noted that the field work for the study was completed but the report completion was delayed, and that a report will be provided to the 142nd SSC if the reviews are complete.

The SSC thanked Kramer for her presentation.

E. Public Comment

There was no public comment.

7. Pelagic Fisheries

A. Update on SWFSC Pelagic Fisheries Research

Barbara Muhling and Brad Erisman, SWFSC, provided an overview of pelagic fisheries research that may be relevant to the Western Pacific Region. This includes summaries on current efforts and priorities related to tunas (e.g. albacore and bluefin), opah, swordfish, and sharks. The SSC will have the opportunity to comment on the work plan, current studies, and completed work by SWFSC that may fulfill needs in the Council's Research Plan and complement other needs in the Pelagic Fisheries Research Plan.

The SSC thanked Muhling and Erisman for an informative presentation.

B. Investigating the Impact of Imports on the Hawaii Fish Market

Jonathan Sweeney, PIFSC, presented a conceptual frame for investigating the impact of imports on the Hawaii market. This is noting the Hawaii market in 2021 had an extreme shortage of foreign-sourced pelagic fish product, overall decreased supply in the local market – with locally-sourced product being dominant. This offers an analytical opportunity to separately identify impacts associated with domestic and import supply shocks. Sweeney and Justin Hospital (PIFSC) may propose to expand an existing set of demand models for priority Hawaii-landed species to include import effects on price. There are three primary deliverables from this effort: (1) An interactive visualization that will add imports to the existing market demand app, (2) A ranking of species by their average import sensitivity, (3) An assessment of market linkages between geographic markets on the US mainland and Hawaii's market. This presentation will be a project summary presentation with the following tasks to take place: acquisition of trade data, model specification, revising the already available R Shiny app, visualization of species ranked by import sensitivities, and a follow-up project presentation at the March SSC Meeting.

The SSC discussed the role of international imports and influential species groups in the model which should be evaluated in future model iterations. The SSC also suggested that the effect of consumer choice, based on local fish prices versus imports and exports from Hawaii should be considered in future iterations of the model.

The SSC thanked Sweeney for the informative presentation.

C. Shark Depredation in the Mariana Archipelago

Carl Meyer, University of Hawaii, presented on shark depredation in the bottom and troll fisheries in Guam and Saipan, which is a long-running concern. To date there has been uncertainty and speculation about which shark species are responsible for depredating catches because most incidents are obscured from view below the surface and, even when sighted, sharks can be difficult to visually identify. The project collaborated with Guam and Saipan fishers to identify depredating shark species by analyzing transfer DNA collected from swab samples taken from shark-bitten fishes (when sharks bite hooked fish they leave traces of their DNA in the bite impression). Fishers were trained at workshops held in Guam and Saipan in early 2020 to collect samples and equipped with swab kits to take on fishing trips. Fishing was disrupted by the

COVID-19 pandemic but 4 fishers provided swab samples from 29 bottomfishing depredation events in waters off Guam and Saipan. Using DNA barcoding, the project successfully identified the shark species responsible for depredating catches in 26 (90%) out of 29 cases. A total of 5 shark species were identified from depredation swabs: silvertip shark (*Carcharhinus albimarginatus*), silky shark (*Carcharhinus falciformis*), grey reef shark (*Carcharhinus amblyrhynchos*), whitetip reef shark (*Triaenodon obesus*) and tiger shark (*Galeocerdo cuvier*). Three of five species identified (silvertip shark, grey reef shark and whitetip reef shark) are strongly reef-associated and the remaining two (tiger shark, silky shark) are strongly associated with shelf habitats and drop-offs. No threatened or endangered shark species (e.g. oceanic whitetip sharks, *Carcharhinus longimanus*) were detected interacting with the Marianas bottomfish fishery. The transfer DNA swab method is effective for identifying depredating sharks from damaged catches and was successfully implemented through a collaborative sampling program with local fishermen volunteering as “citizen scientists”. Future research should focus on additional depredation swab sampling to further characterize the depredating species and tracking studies of the known depredating species to determine their fidelity to fishing sites.

The SSC expressed its appreciation for the important work which has demonstrated the feasibility of identifying the shark species responsible for depredation events and supports the ultimate goal of working towards an effective deterrent for shark depredation.

The SSC clarified that the study involved 20 total fishery participants but primarily relied on a core group of 4 citizen science volunteers to collect the samples. The SSC suggested presenting the findings of the study back to the fishery communities of Guam and the CNMI.

The SSC suggested that the additional collection of species and size data for fish which are predated by sharks from fisherman who volunteer to collect samples could be used to assess the economic loss due to predation.

The SSC noted that while species identification from the samples was highly successful, the associated video camera footage was also a critical part of the work. The footage provided insights on the behavioral aspects of the depredation events which would be crucial for identifying potential solutions or mitigations.

The SSC encouraged the researchers to continue seeking funding to continue the study in Guam and CNMI as well as expand the study to the MHI Deep 7 bottomfish fishery because anecdotal evidence suggests that shark depredation is on the rise in the Hawaiian Islands.

The SSC thanked Meyer for the informative presentation.

D. Review of Impacts of the Papahānaumokuākea Marine National Monument Expansion

Council staff presented on a compendium of studies estimating impacts of the Papahānaumokuākea Marine National Monument Expansion and the Pacific Remote Island Areas (PRIAS) through an intervention in 2016 under the Antiquities Act. These studies include analyses from the Council in 2014 and more recently published peer-reviewed papers. One such paper is Lynham et al (2020) which contends “monument expansions had little, if any, negative

impacts on the fishing industry, corroborating ecological models that have predicted minimal impacts from closing large parts of the Pacific Ocean to fishing.” Chan (2020) used a measure of aggregate commercial catch rate and revenue to determine there were deleterious impacts to the Hawaii-based fishery after the intervention in 2016. Sweeney (2021) presented analysis that suggests that aggregate commercial catch rate and is a more robust measure of catch per unit effort (CPUE) and revenue per unit effort (RPUE) for Hawaii’s deep-set longline fishery than the one provided by Lynham et al (2020) and factors beyond monument expansion have a large influence on CPUE and RPUE trends. Council staff, examining outputs from the 2020 stock assessment diagnostic model, showed that increases in biomass of yellowfin and bigeye tuna accessible to longline fisheries also correspond to catch rate increases of the two combined species.

The SSC noted that the impacts of the Papahānaumokuākea Marine National Monument expansion on fisheries are unclear and a contentious topic. The conflicting results from the Chan (2020), Lynham et al. (2020), and Sweeney (2021) studies suggest the need for further analyses to clarify if the PMNM had a detectable impact on the Hawaii-based longline fisheries.

The SSC recommends that the Council develop a working group to: (1) conduct a comprehensive evaluation of the potential impact of the PMNM Expansion on the Hawaii pelagic longline fishery, (2) determine whether the PMNM is achieving the stated management objectives, and (3) consider dynamic area-based management approaches as an alternative to static closure approaches such as the PMNM. The output of such a WG would be a policy focused publication and that has implications for the international Biodiversity Beyond National Jurisdictions (BBNJ) and the US “30 x 30” initiatives.

E. Suitability of a Depletion-Based Limit Reference Point versus MSY for Highly Productive Pelagic Stocks

Council staff provided an overview of MSY and its associated reference points (and status determination criteria) in the Pelagic Fisheries Ecosystem Plan (FEP). The Council is obligated to evaluate its FEPs periodically. An overview of the use of MSY, its criticisms, and best practices from the literature was also synthesized. Council staff also presented on Brouwer and Hamer (2021), which was provided to the 17th Science Committee of the WCPFC. This paper introduced a logical framework for developing limit reference points (LRPs) for Southwest Pacific Striped Marlin and rationale for depletion-based reference points, which depart from those in the Pelagic FEP. For target species, two main types of reference points are required to gauge stock and fishery performance against management objectives: Target Reference Points (TRP) and LRPs. Depending on the species and fishery, billfish may be viewed as target, non-target or bycatch. This may have implications for determining LRPs, and specifically the risk of falling below those biologically critical levels. Similar to tuna, billfish are thought to be relatively productive and resilient to fishing due to their fast growth and high fecundity, but understanding billfish population trends and responses to fishing pressure is challenging due to data limitations. With the exception of perhaps swordfish and striped marlin, billfish species are often not documented in fishery logbooks, have varying levels of historical reporting and retention, and there is limited knowledge of biology and life history parameters. Several pelagic management unit species (PMUS) under the Pelagic FEP, like billfish as described by Brouwer and Hamer (2021), may lack complete catch information due to their internationally-exploited

nature. These species may also require the Council to take management action to account for the *relative impacts* of Council-managed fisheries, as opposed to domestic requirements such as the development of annual catch limits (ACL). The SSC was asked to provide comments on the utility of current reference points for PMUS and suggest any relevant or future research.

The SSC noted a dynamic carrying capacity moves away from a static MSY and accommodates changes to B_0 that can occur with environmental change.

The SSC clarified that the conservation and management measures (CMMs) for PMUS are not established based on the same control rules for domestic and international fisheries, and that those differences need to be further evaluated.

The SSC recommends to the Council that the evaluation of alternative reference points for the PMUS be added as a pelagic research priority in the Five-Year Research Priorities.

F. International Fisheries

1. IATTC Science Advisory Committee

Andre Boustany, Monterey Bay Aquarium, presented on the IATTC Science Advisory Committee, which was held in May and June, 2021. Stock assessment results from yellowfin and bigeye tuna were presented. Yellowfin tuna are not overfished and not experiencing overfishing. However, the stock status on bigeye tuna is averaged over model assumptions that demonstrate a bimodal pattern. This may be indicative of a ‘regime shift’ in the fishery or ecosystem.

The SSC asked about the rationale for using the bimodal modelling approach for the eastern Pacific bigeye tuna stock assessment. Boustany replied that there is high uncertainty about this issue and the current approach is unsatisfactory to many on the committee. The model results combine both short and long term time series that straddle a change point which appeared to affect fishery productivity that took place around the origin of the drifting fish aggregating device fishery in the mid-1990s.

The SSC thanked Boustany for his presentation.

2. Report of the 2021 ISC Plenary

Mike Seki, PIFSC Director, presented on the ISC Plenary held virtually July 11-15, 2021. A new stock assessment for blue marlin was presented in addition to progress on a management strategy evaluation of North Pacific albacore. There is also updated conservation and management advice provided regarding bluefin tuna and striped marlin. A new benchmark striped marlin stock assessment will be expected in 2022.

The SSC noted that biological sampling for billfish and sharks within the ISC involves Taiwan, Japan, and the USA which are needed for improving the assessments going forward.

The SSC thanked Seki for his presentation.

3. Outcomes of the WCPFC 17th Science Committee Meeting

Council staff presented on outcomes of the 17th Science Committee Meeting of the WCPFC (SC17). A South Pacific albacore stock assessment was presented to the SC17. The stock is not overfished, nor experiencing overfishing. However, regional depletion in regions around American Samoa is of concern to the Council, given the poor performance of the American Samoa longline fishery.

4. Outcomes of the 2nd Tropical Tuna Workshop

Council staff presented the outcomes of the 2nd Tropical Tuna Workshop, held virtually September 6-10, 2021. The Council had worked with PIRO and PIFSC to introduce a framework on area-based management, separating the tropical areas from temperate areas, which was rejected by several Pacific Island delegations as being ‘overly complicated’ while they favored their zone (EEZ-based) management scheme. SC16 advice noted the temperate regions, where the Hawaii fleet operates, are a ‘buffer’ for the stock. Future analyses may review a schematic of catch and or effort scalars to be estimated and associated with a skipjack TRP.

The SSC noted that there were several different proposals by various countries for the 2021-2022 CMMs for Tropical Tunas in the Western and Central Pacific and that is the basis for a continuation of these Tropical Tuna Workshops since there has been no international consensus.

A. Public Comment

No public comments.

8. Other Business

A. Nov 30-Dec 2, 2021 SSC Meetings Dates

Lynch announced the dates of the next SSC meeting which will be held November 30, 2021 through December 2, 2021.

B. Case studies for the National SSC7 Meeting

Council staff presented on requests from the Steering Committee of the CCC's Science Coordinating Subcommittee for regional case studies on the three thematic areas of the National SSC 7 Workshop. These thematic areas are: (1) How to incorporate ecosystem indicators into the stock assessment process, (2) Developing information to support management of interacting species in consideration of ecosystem-based fishery management (EBFM), and (3) How to assess and develop fishing level recommendations for species exhibiting distributional changes. SSC members were asked to provide publications on case studies within these thematic areas. The workshop is rescheduled for August 15-17, 2022. The SSC has previously endorsed SSC members Harley, Franklin and Camacho to participate in the workshop.



WESTERN
PACIFIC
REGIONAL
FISHERY
MANAGEMENT
COUNCIL

ISSUE PAPER

Seeking Consensus under the MMPA - Review of False Killer Whale interaction mitigation strategies in the Hawaii Deep-set longline fishery with recommendations

SSC Working Group on Alternative Approaches to Reduce Impacts to FKWs Adopted by the SSC at the 141st Meeting

September 16, 2021

1.0 Introduction

Interactions between the pelagic stock of false killer whales (*Pseudorca crassidens*), (FKW) and the Hawaii-based deep-set longline fleet are rare events that continue to be problematic for the sustainability of longline fisheries that primarily target bigeye tuna, both inside and outside the US EEZ around Hawaii. FKW are known to predate on both bait and catch, and they may be able to acoustically track longline vessels during setting and hauling. NOAA National Marine Fisheries Service (NMFS) formed the Take Reduction Team (TRT) in 2010, and the TRT recommended a draft Take Reduction Plan (TRP) in July 2010. NMFS implemented the TRP in 2012. There have been ongoing efforts to achieve consensus and to demonstrate that both the regulatory and non-regulatory measures that have been proposed or tried experimentally can reduce the mortality and serious injury (MSI) estimates to meet the criteria set forth in the Marine Mammal Protection Act (MMPA). Reaching consensus on recommendations to revise regulatory measures by the TRT has been difficult to achieve; however, this is not uncommon for TRTs for other species (McDonald 2015). Flexibility in approaches to estimating population abundance, risk and potential biological removal (PBR), and to develop and assess mitigation measures, may be warranted (Rizzardi, 2014).

This contentious issue has plagued the pelagic longline fleet, led to sometimes failed experimental measures, and taken up time and resources on the part NMFS Protected Resources Division (PRD), the Hawaii Longline Association (HLA), the Western Pacific Fishery Management Council (WPFMC) Scientific and Statistical Committee (SSC) to develop mitigation measures. This paper was developed by four members of the SSC who were part of a Working Group formed in 2020 to review and consider alternative measures to the experimental weak circle hook measure that turned out to be ineffective. This Working Group came about as a result of the TRT's inability to reach consensus on effective regulatory measures. The TRT did recommend on-going assessment of non-regulatory measures, and the Working Group considers those recommendations below.

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This paper reviews and synthesizes the ongoing efforts to mitigate deleterious interactions between FKW and the Hawaii longline fleet through 2021, and brings together disparate pieces of information scattered in various reports, including previous SSC discussions of this matter. The paper sketches the history of the formation of the FKW TRT, and its efforts to reach consensus on an acceptable suite of mitigation measures, both regulatory and non-regulatory. The paper then reviews the course of events leading up to the TRT's difficulties in reaching consensus on regulatory measures, and evaluates a range of measures and assesses their likelihood of providing a positive impact, offering suggestions for a path forward.

The paper evaluates the types of demographic data that are lacking, but perhaps necessary to make scientifically credible risk estimates for the FKW population of concern. The paper considers PBR as required under the MMPA, and comments on issues surrounding the difficulties in estimating PBR given a lack of adequate population demographic data. The paper concludes by recommending that the serious injury (SI) criteria be re-evaluated, and makes recommendations for future research that would enable such reconsideration.

1.1 Need for Evidence-based Evaluation

Effective, evidence-informed marine fisheries policy-making depends on policy-relevant science. Scientific evidence requirements concern not only the capture species but also protected marine species such as the false killer whale (FKW) that are incidentally caught in the Hawaii deep-set longline fishery. Robust synthesis of the scientific evidence on the exposure of FKWs to pelagic longline fisheries and the demographic consequences of that exposure are needed to better inform bycatch mitigation policy.

Many barriers exist to evidence-informed policy other than evidence synthesis (Rose et al 2018), and some of those barriers relate to advocating the synthesized evidence in the appropriate policy forum and in a way that is meaningful to fisheries management agencies and policy-makers. Given these considerations, the Working Group addresses both (1) the synthesis of the relevant scientific evidence concerning alternative measures to reduce FKW bycatch and (2) what might be the appropriate policy instruments for applying this evidence.

1.2 Overview of PBR and Take Occurring in the Fishery

The 1994 amendments to the MMPA mandate that, as part of the Stock Assessment Reports (SARs), PBR estimates must be developed for each marine mammal stock in U.S. waters. PBR is defined as “the maximum number of animals, not including natural mortality, that may be removed from a marine mammal stock while allowing that stock to reach or maintain its optimum sustainable population (OSP).” In addition, the MMPA specifies that PBR must be calculated as the product of three elements: the minimum population estimate (N_{\min}), half the maximum net productivity rate ($0.5 R_{\max}$), and a recovery factor (F_r). Guidance for determining each of these elements is provided in the NMFS Guideline for Assessing Marine Mammal Stocks (GAMMS) (NMFS 2016).

NMFS recognizes three false killer whale stocks in the Hawaii Islands Stock Complex (pelagic, main Hawaiian Islands, and Northwestern Hawaiian Islands stocks), and two additional stocks in

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the greater Western Pacific (Palmyra Atoll and American Samoa stocks) (Caretta et al 2020). The primary focus of the FKW TRP is the pelagic stock, which is a transboundary stock ranging inside and outside of the U.S. EEZ around Hawaii with an unknown outer extent on the high seas.

False killer whale interactions in the Hawaii deep-set longline fishery are monitored through a federal observer program that provides coverage for approximately 20% of the trips. For interactions that resulted in the animal being released alive, NMFS determines whether the interaction was likely to be a serious injury based on its Serious Injury Determination Policy (Policy Directive 02-238 and Procedural Directive 02-238-01). Under the policy, small cetaceans released alive are assigned to SI or non-serious injury (NSI) categories based on the type of injury, amount of gear remaining, and other conditions specific to each interaction. NMFS interprets SI as an injury that is more likely than not to result in mortality, or any injury that presents a greater than 50 percent chance of death to the animal. For the purpose of evaluating the impacts of SI against PBR, SI is considered 100 percent removal from the population regardless of the injury type and other available information for that interaction, and thus the population-level impact of a SI is considered equivalent to a mortality. Most of the false killer whale interactions in the Hawaii longline fishery result in the animal released alive with varying amounts of trailing gear remaining, which result in the interactions being categorized as SI. The total interactions for the fleet are estimated based on an expansion of these observed interactions. Further consideration of these issues is contained in Caretta (2020).

At the time of the initial FKW TRT deliberations in 2010, the abundance estimate of the pelagic stock of false killer whales within the EEZ was 484, based on a reanalysis of the 2002 Hawaiian Islands Cetacean and Ecosystem Assessment Survey (HICEAS) data. The resulting PBR was 2.5, and the mean annual MSI inside the EEZ was estimated at 7.3.

The 2010 HICEAS resulted in a higher abundance estimate of 1,540 pelagic false killer whales inside the EEZ, resulting in an increased PBR of 9.3. These estimates became available after the initial deliberations of the FKWTRT in 2010 but before NMFS published the final rule to implement the FKWTRP. At the time of the FKW TRP implementation in December 2012, the 5-year mean annual MSI for the pelagic false killer whale stock was 13.6 individuals inside the EEZ, and 11.2 on the high seas. By early 2018, the latest 5-year (2011-2015) mean estimated annual MSI was 7.5, and the mean estimated annual MSI since the TRP implementation (2013-2015) was 4.1, indicating that the current MSI levels of pelagic false killer whale stock had been reduced below the PBR.

The latest HICEAS survey was conducted in 2017. The newest abundance estimates from the 2017 survey, published in 2020, indicates that the current best estimate of the false killer whale pelagic stock abundance based on a 2017 survey is 2,086 animals, resulting in a PBR of 16 (Oleson 2020). The assessment also updated the abundance from the previous two surveys conducted in 2002 and 2010, now estimated at 2,086 and 2,144, respectively, although the assessment did not explicitly test for population trend (Bradford, 2020)

1.3 Formation and Output of the TRT and TRP

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The False Killer Whale Take Reduction Plan (FKW TRP) was implemented in December 2012 to reduce false killer whale interactions in the Hawaii longline fishery. Take reduction plans are required under the MMPA when, among other conditions, the MSI levels of a marine mammal stock exceed the PBR estimated in the MMPA SAR, and the stock interacts with a fishery classified as Category I or II under the MMPA List of Fisheries (LOF).

The Hawaii longline fishery was first classified as a Category I fishery in the 2004 LOF. At the time, the population estimate of the false killer whale stock around Hawaii was 268 for the entire U.S. EEZ around Hawaii, resulting in a PBR of 1.2, and the MSI was estimated at 4.4. NMFS did not convene a Take Reduction Team (TRT) at first due to funding limitations. In the interim, the Council established a Marine Mammal Advisory Committee (MMAC) in 2005 to explore ways to reduce false killer whale interactions in the Hawaii longline fishery. MMAC met over three meetings between 2005 and 2009, generating recommendations for research and assessments needed to fill the information gaps. NMFS established the FKW TRT in 2010, including representation from the Council, State of Hawaii, federal agencies, academic and scientific organizations, fishing industry, and environmental groups. During the TRT deliberations, the abundance estimate of the pelagic stock of false killer whales was 484, PBR was 2.5, and the mean annual MSI inside the EEZ was estimated at 7.3.

The FKW TRT submitted a draft FKW TRP to NMFS in July 2010. NMFS published a proposed rule based on the team consensus plan in July 2011 and published the final rule in November 2012. The goals of the TRP were to reduce the MSI occurring within the U.S. EEZ around Hawaii to less than the stock's PBR within six months, reduce MSI to below 10% of PBR within five years, and to not increase the MSI on the high seas. The main components of the FKW TRP were the required use of "weak" circle hooks intended to allow release of false killer whales without remaining gear, measures to improve captain and crew response to interactions, modification to the MHI longline exclusion zone established under the Council's Pelagic Fishery Management Plan (FMP, currently the Fishery Ecosystem Plan) in 1992, and a triggered Southern Exclusion Zone closure that would prohibit fishing in a portion of the U.S. EEZ around Hawaii if two false killer whale interactions inside the EEZ resulted in a MSI determination in a year.

1.4 History of Measures Implemented and TRT Deliberations

The Working Group finds that the weak hook measure under the current False Killer Whale Take Reduction Plan has not been successful, and that the Southern Exclusion Zone closures have not resulted in further reduction in interactions. The TRT, after a nearly three-year deliberation process, did not reach consensus on alternative approaches to improve the effectiveness of the existing measures. The TRT in late 2020 concluded that consensus could not be reached on recommendations on management measure changes, but agreed to several non-regulatory and research priorities that focused on the following:

- Encouraging NMFS in coordination with HLA to train deck bosses and crew (in addition to owners/operators);

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- Recommending that NMFS conduct or support research regarding FKW depredation on longline gear, with the goal of identifying mechanisms to reduce and avoid depredation without causing significant economic impacts to the fishery;
- Recommending that NMFS conduct or support research to quantify and assess post-release FKW mortality; and
- Recommending conducting data synthesis when possible.

Over the past ten years, the SSC has made a number of recommendations for minimizing impacts to false killer whales and improving assessment of population impacts. These recommendations include conducting population viability analysis and developing probability-based serious injury determination criteria. As noted above, formation of the Working Group and development of a comprehensive issues paper was recommended to summarize available information on these issues, and to inform recommendations to the Council on future mitigation measures as well as population assessments.

2.0 Cetacean Avoidance Research and Interaction Reduction Measures

Interaction and depredation of catch and bait by toothed cetaceans is well known in pelagic longline fisheries which may alter cetacean foraging behavior and distribution, financially impact fisheries, and contribute to resource waste and discarding (Gilman 2006). Hamer (2012) lists 32 peer-reviewed publications documenting 20 odontocete species interacting with longline gear and the number has likely increased with time. A wide range of potential solutions to mitigate the impact of these interactions have been attempted and many have been evaluated through applied research (Swimmer 2020). Studies or management approaches to reduce these impacts include the use of acoustic strategies to repel marine mammals from fishing gear or to reduce their ability to locate the gear, improved communication and move on policies to physically separate marine mammals from fishing effort, gear driven deterrents that drop over and mask catch from depredation and release mechanisms to reduce the physical impact of gear interactions (Clarke 2014).

Several methods have been trialed or considered to mitigate fishery impacts during false killer whale interactions including a variety of acoustic studies, “move on” studies, physical encasement around catch, “weakened gear” strategies, and removal of trailing gear. A summary of these studies and management measures more specific to interactions between false killer whales and the Hawaii deep-set tuna longline fishery are described below.

2.1 Acoustic Research

A range of acoustic approaches have been applied to studying and potentially mitigating fishery interactions with marine mammals. A variety of acoustic harassment devices (AHD) have been trialed across several fisheries in an attempt to reduce depredation or entanglement with the gear (McPherson 2003). These devices create underwater sounds deemed noxious to the target species or create a masking effect making the vessel and gear difficult for the cetaceans to locate. Studies have found that odontocetes species will quickly habituate and adapt to overcome

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acoustic harassment methods rendering them ineffective (Tixier 2015, Mooney 2009). Pinnipeds and toothed whales are intelligent species and can key in on and be attracted to the signal from AHDs creating a “dinnerbell effect.” The FKW TRT has recommended against the use of AHDs to mitigate FKW interactions in the fishery and NMFS has no plans to utilize AHD technology.

The auditory signals and echolocation of false killer whales have been found to be species-specific allowing for the acoustic monitoring of FKW in situ and without verification by human observers (Oswald 2007, 2011, 2013; Bauman-Pickering 2015). FKW deplete both target tuna and finfish bycatch in the fishery and are known to take bait from the hooks as they have been simultaneously recorded with video and acoustic devices (Thode et al. 2016). Passive acoustic monitoring (PAM) in the form of acoustic recording devices attached to commercial deep-set longline gear has been used to document the occurrence and timing of FKW in proximity to longline sets (Bayless 2017). The animals appear to be more present and active near the gear during the haul-back phase of the fishing operation when most depredation events are believed to occur.

2.2 Move-on Studies

Depredation or damage to target longline catch by odontocetes can be significant, prompting vessel operators to move location to avoid the source of the damaging interaction. Often, this may be a movement of only 3 to 5 hours steaming at 9 knots or less due to the desire to commence the next day setting operation to continue the full fishing cycle. This distance is considered inadequate to avoid FKW that can easily cover these distances and catch up with the fishing gear on the next set. Relevant work is being conducted by Fader (in press) that used a modeling approach to examine observer-collected data from the Hawaii deep-set longline fishery to examine patterns in odontocetes depredation by false killer whales.

The study found that in order to achieve a 50% reduction in depredation rate it would be necessary to move about 400 km or wait about 9 days before setting. Waiting 9 days is clearly unrealistic in a fishery where catch is stored fresh on ice, trip lengths are generally between 12 to 20 days and where fish held longer than 10 or 12 days may begin to see diminishing quality, shelf life and value. However, it may be possible to move about 400 km before setting the gear and still have enough total fishing time to complete a profitable trip.

2.3 Catch Shielding Devices

A variety of devices have been tested in longline fisheries that are designed to cover up a hooked fish thus creating a physical, psychological or sensory barrier to depredation (Clarke 2014, Hamer and Childerhouse 2013, Mcpherson and Nishida 2010, Swimmer 2020). These devices are normally attached near the branch line clip to the mainline and when triggered by a hooked fish will descend and deploy around the catch. These devices have included sheaths of fine netting, monofilament streamers, wire streamers, fine steel chains and monofilament cages or cones (Rabearisoa 2012, Hamer 2012, 2015).

Two similar studies carried out in Australia and Fiji tuna longline fisheries and in the Hawaii deep-set tuna longline fishery evaluated anti-depredation shielding devices consisting of

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monofilament streamers, steel trace streamers, a monofilament cone and a capsule-like plastic pod that contained stainless steel chains (Hamer 2015, 2019). The pod device had the advantage of being small, self-contained and easily attached or removed from a standard branchline with no dangling lines or wires prone to tangling. The pull from a hooked fish releases and opens the pod which deploys two thin chains around the hooked fish. The effect of these devices on CPUE in both studies was broadly similar, especially for the pod equipped branchlines. Significantly higher catch rates were noted for bigeye tuna, pomphret (*Eumegistis illustris*) and eight other frequently caught fish species. Depredation by sharks and in particular, the cookie cutter shark (*Isistius brasiliensis*) was also noted to decrease for gear protected catch. Low numbers of odontocete depredation were noted in the Australia-Fiji study but only on unprotected control branchlines, suggesting the mitigation devices may be having some positive, protective role. However, the rate of odontocete interaction was too low to allow a statistically significant analysis.

The Hawaii study was designed to evaluate the efficacy of a modular, manufactured device (the pod) compared to simple wire or monofilament devices constructed on the vessel of inexpensive materials. These objectives highlight the problems and issues that deploying these gears face. In order to be effective, a catch shielding device will need to be deployed on every branchline unless an observed spillover effect is realized that extends to adjacent branchlines. The gear should ideally be easily constructed or inexpensive to purchase, compact for storage, not prone to tangling, be easily and quickly deployed or removed from the gear, not add greatly to the storage area needed in the branchline baskets and not negatively impact target catch rates. Most of these conditions were not met by the experimental gear that required considerable storage space on already crowded vessels and could cause tangling in the branchline baskets or when deployed.

Some shielding gear slowed sink rates which can increase bait depredation by seabirds while some gears required an extra deck hand to deploy, remove and store the gears creating additional burden to overall costs and accommodation space. Economic losses to the fishery can periodically be high due to depredation but the fishing industry appears at this time to be willing to bear that expense rather than adopt these gear-based solutions to mitigate a relatively rare interaction event.

2.4 Line cutter devices

Hawaii pelagic longline fisheries must comply with numerous federal regulations and conditions to mitigate protected species interactions (50 CFR 665 Subpart F). A suite of gears are required to be carried onboard to deal with hooking or entanglement of sea turtles, including long-handled line clippers and dehooking gear. The deep-set fishery operating north of 23° N latitude is also required to equip branchlines with a 45 g weight within 1 meter of each hook to reduce seabird bait depredation when setting gear. The majority of gear in the deep-set fishery deploys baited circle hooks rigged on a short steel wire trace of < 1m that attaches to a 45 g leaded swivel that attaches to the remaining branchline consisting of monofilament with a diameter/thickness of 2.0 mm or larger.

The long-handled line cutters currently approved for the fishery are not capable of severing wire trace and severing the monofilament above the 45 gram, leaded swivel is not desirable as it

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releases the animal with the hook, wire trace and weighted swivel still attached, hence the support for a hook-straightening protocol designed to release bycaught cetaceans with no hook or trailing gear. Efforts to develop an improved line cutting device have been supported by the 2019 NMFS Bycatch Reduction Engineering Program (BREP) that funds research to develop technical solutions and improved practices to reduce bycatch impacts on federally managed fisheries. A device has been designed that will clip to and slide down a branchline that is capable of easily severing wire leader used in the fishery. A prototype device was tested on a commercial longline vessel where 14 incidentally caught blue shark (*Prionace glauca*) were released in good condition by severing of wire leader close to the hook (McMahan 2021 pers. comm.). Currently, the device remains in prototype form.

The need for such a powerful device may become unnecessary considering a move by the industry to switch to all monofilament leaders. HLA, which represents the majority of effort in the Hawaii pelagic longline fishery, announced that members will transition to monofilament leaders in 2021 (HLA 2020) (Ayers and Leong 2020). The proposal is non-binding, but efforts to implement this change within a federal regulatory framework are in progress. A shift to monofilament increases the possibility of cutting the leader close to the hook with simple long handled devices but a danger of gear flyback and injury of the crew remains. HLA has noted the importance of this issue and the need to develop gear and release protocols that consider crew safety as a priority.

2.5 Weak circle hooks

The use of “weak circle hooks” paired with relatively strong branchlines have been trialed and used as a strategy to release a larger non-target species of interest (e.g. odontocete) while retaining smaller target species, often bigeye or yellowfin tuna (Clarke, 2014, Swimmer, 2020). The intent is for hooks to straighten and release non-target species that are free of hooks or trailing gear. Longline hook strengths were evaluated in the Atlantic as a means to reduce interaction impacts to Atlantic pilot whales (Bayse and Kerstetter 2010). That study tested 16/0 strong and weak hooks, finding no significant difference in CPUE or weight of yellowfin and bigeye tuna taken by the weak hook type. The weak circle hook strategy was evaluated in the Gulf of Mexico yellowfin fishery to reduce unwanted bycatch of much larger Atlantic bluefin tuna (*Thunnus thynnus*) with promising results. The study found no significant CPUE difference in target yellowfin tuna and most finfish species examined while bluefin tuna catch was significantly reduced by 56.5% with weak hooks (Bigelow et al 2012, Foster and Bergmann 2010). As a result, the use of weak circle hooks is required for domestic pelagic longline vessels operating in the Gulf of Mexico (<https://www.federalregister.gov/citation/76-FR-2313>).

A similar study in the Hawaii deep-set bigeye tuna fishery evaluated catch rates of “strong” 15/0 circle hooks (4.5 mm wire diameter) against “weak” 15/0 hooks of 4.0 mm wire diameter (Bigelow et al 2012). Catch rates of the targeted bigeye tuna were not significantly reduced on the weak hooks though the sea trials were not conducted during the period when larger bigeye tuna occur in the fishery. Observers engaged in the study collected 76 hooks that had been straightened, of which 6 were strong and 70 of the weak hook type. One strong circle hook was observed to have been straightened by a false killer whale depredating bait during line retrieval resulting in its release. Fish catch were retained on 27 partially straightened weak hooks

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including 21 bigeye tuna, four blue marlin, one yellowfin tuna and one bigeye thresher shark suggesting the weak hooks were so weak that loss of target catch could become an issue.

Research has examined the pulling force required to straighten different hook types placed in the soft tissue of the dorsal lip area and mandible bone of odontocete cadavers (short-finned pilot whales (*Globicephala macrorhynchus*), Risso's dolphins (*Grampus griseus*), and false killer whales (*Pseudorca crassidens*) recovered from stranding events (McLellan 2015). Five hook types were evaluated that included 16/0 and 18/0 circle hooks of the same model from two different manufacturers (4 hooks) representing "weak" polished steel and "strong" carbon steel circle hooks. A 9/0 "J" style hook was also evaluated.

The force required to straighten and release the hooks varied considerably by hook size and hook manufacturer, which also correlated with steel alloy type. The 16/0 polished steel circle hooks straightened and released at the lowest forces (51 – 85 kg) while the 18/0 carbon steel circle hooks did not straighten until 132 – 251 kg of force was applied. The polished steel circle hooks straightened along their entire length, exposing the sharpened barb that sliced through the lip tissue to release. The carbon steel circle hooks also straightened along most of their length but the barb often broke off and embedded in the jaw before the hook released. Only the larger 18/0 circle hooks had sufficient gape to encircle and attach to the mandible with pulling force applied to two short finned pilot whales and one Risso's dolphin. Hook straightening and release was achieved at 182 kg and 243 kg but the experiment was suspended in a third trial when straightening and release could not be achieved. In all three trials, bone fractures resulted from the applied force.

The TRP requires the Hawaii deep-set longline fishery to only use "weak" round wire circle hooks with a maximum wire diameter of 4.5 mm paired with a "strong" monofilament branchline with a minimum diameter of 2.0 mm with a point offset of 10 degrees or less. Other materials used in branchline composition must have a minimum breaking strength of 181.4 kg (400 lb.). These gear requirements are supported by training and handling guidelines for the captain to direct the crew to apply "active tension" to the branchline in an attempt to apply sufficient pressure to straighten the hook and release the cetacean without hook or trailing gear. There are also requirements for the captain to be notified and come on deck if an interaction occurs to direct the release attempt (77 FR 71260) (Federal Register, 2012).

The use of weak circle hooks has remained the primary regulatory measure to deal with bycaught false killer whales in the Hawaii based longline fishery since the TRP was developed in 2012. During the eight-year period (2013 to 2020), 55 false killer whale interactions with the deep-set longline fishery have been documented by Hawaii Longline Observer Program (HLOP) observers (Fader et al 2021 to 2018, unpublished TRT presentation update). The gear came free on two occasions (3.6%) or the hook broke on one interaction (1.8%) with two incidents not observed or recorded. The overwhelming majority of interactions ended when the branchlines broke (22 times, 40.0%) or were intentionally cut by the crew (23 times, 41.8%) resulting in the animal being freed with the hook in place and trailing variable but often lengthy sections of gear. The mitigation strategy has succeeded on only five occasions (9.1%) with hooks straightening and releasing the animal without hooks or trailing gear.

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2.6 Additional measures considered with recommendations for further study

2.6.1 *Acoustic-related studies*

A number of acoustic strategies to reduce FKW interaction with longline gear have been proposed that include: 1) underwater signaling devices to mask vessels and vessel activity, 2) broadcasting decoy sounds to occupy and distract cetaceans from fishing vessels, 3) using acoustic devices and sound transmission to interfere with cetacean echolocation, 4) use of sonobuoy transmission to track cetaceans useful for fleet avoidance, 5) identifying the exact source of onboard acoustic generation that cetaceans may be keying in on to identify longline vessels, and 6) investigate the acoustic signature of vessels with historically low cetacean interaction to be replicated by other vessels (Gilman, 2006). Considering the intelligent nature of these animals, the likelihood of these approaches producing lasting positive results is likely to be low.

Acoustic detection and visual detection of false killer whales from longline vessels indicates that the animals are most attracted to the vessels when gear and catch is being hauled and this is likely to be when most depredation events occur. Work continues by NMFS in an attempt to identify what acoustic signals may be most important that false killer whales are focusing on when targeting vessels for depredation. This information may be useful to identifying, masking or eliminating mechanical sounds that increase the likelihood of attracting depredating cetaceans.

2.6.2 *Move on studies*

The nature of the Hawaii tuna longline fishery that targets sashimi grade bigeye tuna held on ice limits the ability of vessels to make lengthy time/area shifts in their operation. Once the first tuna is landed, cleaned and iced the time clock starts that determines how many additional days of fishing can occur before returning to port with the catch in marketable condition. Waiting to set for several days is not an economically viable solution in this fishery, nor is steaming for great distances. However, it may be worthwhile to steam away from a serious depredation event and lose one or two days of fishing.

2.6.3 *Catch shielding gear*

Various catch shielding devices that deploy a physical or psychological barrier around catch to deter depredation have been trialed on longline gear with mixed results (Clarke 2014, Hamer and Childerhouse 2013). Some degree of depredation reduction on target catch and deterrence of shark depredation, particularly for cookie cutter sharks has been documented (McPherson and Nishida 2010). These gears are simple, easily constructed and inexpensive but can become bulky and tangle in branchline storage bins or when deployed. The storage of this gear on smaller vessels when not deployed is another issue. Small modular units that store the shielding device until triggered address the storage and tangling issues and can be easily added or removed from longline gear but are costly to produce (Hamer 2019).

In order for shielding devices to be accepted by industry and useful for mitigation of depredation, they will need to be effective, inexpensive, non-tangling, and easily added and removed from

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longline gear and be compact enough to be stored onboard. The gear should also be easily deployed and not require additional crewmen or overly burden existing crews. Due to issues in meeting these criteria, further trials or research on the efficacy of catch shielding devices have not been proposed or trialed.

2.6.4 Line cutting devices

Further development of a heavy-duty line cutter capable of severing wire trace is likely to be shelved if the Hawaii-based fishery moves to, or is required to adopt, monofilament leader material. If vessels effect this change, severing the leader close to the hook is possible with the required long-handled line cutters that are required to be carried during fishing operations. However, pulling an active false killer whale or other cetacean close enough to cut the line close to the hook will require heavy tension on the line and place the crew in danger from gear flyback when the leaded swivel is cut free. Cutting leader to release bycaught toothed whales is also an issue with the fishery as releasing animals with the hook in the head or mouth and some amount of trailing gear is usually assessed as a mortality/serious injury (MSI) by current NMFS guidelines (NMFS 2012). Cutting the leader in this manner provides little incentive to release cetacean bycatch by cutting the line close to the hook and the issue of gear flyback is also of concern. In line with TRT recommendations, NMFS will be investigating technical solutions to reducing danger and injury to crewmen from gear flyback when hooks or leaders release under load.

2.6.5 Weak hook mitigation strategy

The CPUE of target and common fish bycatch between “strong” (4.5 mm wire diameter) hooks against “weak” (4.0 mm wire diameter) hooks found no significant reduction in bigeye catch rates with the weaker hook (Bigelow, 2012). However, 70 of the weak hooks tested in the study were retrieved that had been straightened or partially straightened with 27 of these still retaining bigeye tuna or marketable non-target finfish. This suggests that the 4.0 mm wire diameter hook may be too weak and prone to releasing bigeye tuna, particularly since the study did not cover the season when larger bigeye is available to the fishery.

The weak hook strategy currently regulated for the fishery requires the use of a “weak” circle hook of 4.5 mm maximum wire diameter paired with a “strong” branchline (minimum 2.0 mm diameter leader or maximum of 181.4 kg test). This configuration has not proved effective in releasing bycaught false killer whales in the Hawaii deep-set longline fishery. In the period of 2013 to 2020, hooks straightened to release false killer whales during only 5 out of 55 observed interactions (9.1%). The majority of the interactions (81.4%) were terminated when the crew cut the branchline at the rail or the branchline broke when it was secured to the vessel, releasing the animal with various amounts of trailing gear.

NMFS began a study to evaluate circle hook strength in 2021 that is in progress. The study was delayed by two years in part due to issues related to the COVID-19 pandemic. The study is designed to test “strong” circle hooks of 4.5 mm wire diameter against “weak” 4.2 mm wire diameter circle hooks and relatively strong 2.3 mm diameter monofilament branchlines. The study is designed to compare the catch rates and value of bigeye tuna and large, salable finfish

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taken by the fishery between the strong and weak circle hooks to examine the economic impact of using the weaker hooks. The field trials were timed to occur during months when larger bigeye are available to the fishery. Basically, the study is designed to test the economic impact of adopting a “weaker” circle hook of smaller wire diameter than the currently required 4.5 mm diameter hooks and paired with a stronger branchline.

Fishery participants on the TRT have expressed support for the use of stronger leader and branchline material to facilitate hook straightening, but have opposed any changes to hook strength, while supporting additional crew training, depredation research and examining factors that contribute to serious injury determinations.

2.6.6 Research Discussion

The utility of “move on” studies that recommend lengthy spatial or temporal shifts to avoid FKW interaction are limited due to practical considerations of trip length in relation to catch quality.

Further work with catch shielding devices does not appear promising when considering practical considerations of gear storage, deployment costs and potential for tangling or impacting operations and crew requirements.

The use of acoustic harassment devices is generally regarded as counter-productive due to the dinnerbell effect and promoting targeted depredation. However, there may be some merit in continued research to identify acoustic gear and vessel characteristics or acoustic masking strategies useful to reduce vessel detection and depredation.

The “weak hook” straightening strategy using current gear regulations has not proved useful in releasing bycaught FKW in the Hawaii fishery since the regulated adoption of the weak hook strategy in 2013. In only a handful of cases has the hook straightened to release the animal free of hooks and trailing gear. It has been noted that securing the branchline and applying active tension is highly stressful to the animal and creates a dangerous situation for the crew with potential for gear flyback and can contribute to more serious injuries to the whale if the hook is ingested. The debate continues as to whether the health and condition of false killer whales is improved by cutting the leader as close to the hook as possible or by the current strategy to apply tension on the line in an attempt to straighten hooks.

The impact of different amounts of retained or trailing gear on the post release condition of false killer whales needs to be assessed to better inform and update the process for distinguishing serious from non-serious injury of marine mammals. The guidelines currently in place were developed from a 2007 workshop convened by NMFS and published in a set of procedural directives in 2012 that provides the process and criteria for distinguishing human-caused serious from non-serious injury to marine mammals (NMFS 2012). Under the guidelines for small cetaceans, animals with a hook retained in the head, mouth or ingested is assessed as a serious injury. An interaction with a small cetacean released with trailing gear of varying lengths is generally assessed as a serious injury based in part on Anglis and DeMaster (1998). While removal of the hook is desirable, the relative benefit of reducing stress and injury by leaving the

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hook in place and removing as much gear as possible with a long-handled cutting device should be evaluated. Research on other protected species such as sea turtles and oceanic whitetip sharks have shown that removal of trailing gear can significantly increase post-release survival (Chaloupka, 2004, Hutchinson, 2021). It is recommended that NMFS devote significant agency resources to research the post-hooking condition and mortality of FKWs and similar odontocetes and the impact of retained hooks and trailing gear on the animal's condition.

The replacement of wire trace with monofilament leaders in the Hawaii deep-set fishery opens up options for new designs of relatively simple line cutting devices compared to a device capable of severing wire trace. The development of improved line cutting gear designed to remove line very close to the hook and the weighted swivel while designed to minimize flyback should be promoted in collaboration with fishery participants. One area of development could be for a small device that could be slid down the branchline to sever the monofilament leader when underwater and close to the hook but below the weighted swivel. Gear spring back should be low if the line is cut underwater. Such a device would also be useful for releasing oceanic sharks with minimal trailing gear.

A hook study is being conducted in 2021 to further inform the “weak hook” strategy and is designed to evaluate the economic cost of target catch retention by different hook strengths. It is possible that a different ratio of hook strength to branchline diameter could provide better results from the current situation. However, other mitigation approaches need to be considered. It should be noted that hook strength and straightening characteristics will vary depending on many criteria such as the steel alloy, hook manufacturer model and shank cross-section type (round or flat) (McLellan et al 2015). The strength of monofilament leader of the same diameter will also vary by make and type. All available hook and line characteristics should be considered and tested when developing gear-based regulations.

2.7 Recommendations for Action – Operational Measures

Upon review of existing research, the Working Group provides the following recommendations and observations regarding *Odontocete* interactions and fishery impacts:

1. The “move on” strategy where boats travel long distances or wait extended periods after a depredation is inappropriate for a fishery marketing fresh, iced product with limited storage time.
2. Catch shielding gears have many logistical issues related to their storage, potential for tangling and time, and effort required to add or remove gear to mitigate such a rare event.
3. Acoustic deterrents can quickly become ineffective and contribute to the “dinnerbell effect.” However, the identification of key acoustic signals that FKWs use to depredate catch should be identified to make a vessel less detectable than others.
4. “Weak hook” mitigation strategies should only be mandated after thorough testing of hook and line strength, target catch retention and thorough training of captain and crews under different hooking scenarios. Emphasis should be placed on gears with standardized characteristics.

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5. The utility of severing the branchline close to the hook to leave a minimal amount of trailing line should be further assessed in relation to post-release condition and serious injury determinations.
6. Efforts to develop novel line cutting devices that also protect from gear flyback should be promoted.

3.0 Evaluation of PBR and Serious Injury Determinations as Applied under the MMPA

FKW has a wide geographic range in the Pacific Ocean (Figure 1) and is exposed to anthropogenic hazards such as pelagic fisheries, including the US Hawaii-based deep-set pelagic longline fishery (Anderson, 2020, Fader, 2021). FKWs incidentally caught in U.S. pelagic longline fisheries can die on the gear, or if released alive, sustain serious injuries that might lead to post-release mortality or impaired reproduction (McLellan, 2015, Bradford & Forney 2017). Nonetheless, FKW interactions with U.S. pelagic longline gear in waters around the Hawaiian Archipelago are extremely rare (Figure 2; Anderson 2020). Note, estimates provided are capture estimates and not the MSIs (Bradford and Forney 2017) or so-called “mortality and serious injury” estimate used for PBR risk assessment.

There are three “demographically-independent” FKW populations in Hawaiian waters with limited gene flow between these populations (Caretta 2020, Martien 2019). There are also FKW populations found in U.S. EEZ waters around Palmyra Atoll and American Samoa, which are considered as two separate stocks to the Hawaiian Archipelago stock (Caretta 2020).

There are no demographically based models of FKW population dynamics to help inform bycatch mitigation strategies for any of the 3 FKW “populations” or “management units” exposed to the U.S. Hawaii-based pelagic longline fishery. This is because there is a lack of demographically relevant information available for these FKW populations that hinders the development of evidence-informed bycatch mitigation strategies. Even so, no substantive efforts exist to derive estimates of key demographic parameters such as age class-specific FKW mortality and recruitment rates that are considered essential for the development of risk assessment models for long-lived marine species exposed to anthropogenic hazards in general (Bjorndal 2011) and specifically for marine mammal species (Cáceres and Cáceres-Saez 2013).

This data deficiency is evident not just for FKWs but for cetaceans in general (Baker and Clapham 2004, Mannocci 2012, Cáceres and Cáceres-Saez 2013, Krebs 2020), presumably because of the logistical challenges in deriving robust estimates of key demographic parameters let alone reliable age class-specific abundance estimates.

Given these data deficiencies, the question now exists about how best to address FKW bycatch mortality. Several so-called “sparse-data” methods have been proposed to help determine population-specific bycatch mortality limits for marine mammal species, including: (1) potential biological removal (PBR), (2) depletion-corrected average catch (DCAC), (3) replacement yield (RY), and (4) a metric based on the slope of an observed relative abundance trend (Punt et al

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2021a). Punt et al (2021a) concluded that PBR was no worse for use than the other three data-limited methods.

3.1 Potential Biological Removal Concept

The PBR method is commonly used for marine mammal and seabird risk assessments given sparse demographic data (Marsh 2004, Robards 2009, Dillingham and Fletcher 2011, Lonergan 2011, Mannocci 2012, van Der Hoop 2013, Caretta 2020, Krebs 2020, Punt 2020, 2021b). In fact, the PBR method is the approach required by the MMPA for determining the allowable level of human-induced mortality or serious injury for those stocks (populations) subject to management under the US MMPA (Wade 1998, Bradford & Forney 2017).

PBR is a simple form of harvest control rule comprising the product of 3 components: (1) some recent minimum population size estimate (N_{MIN}), (2) an estimate of the maximum intrinsic population growth rate ($0.5 * R_{\text{MAX}}$), and (3) a recovery factor (F_R) that is assumed in the range $0.1 < F_R < 1.0$ in order to ensure the recovery of any depleted stock (Wade 1998, Punt et al 2020).

Section 118 of the MMPA requires that commercial fisheries “reduce incidental mortality or serious injury of marine mammals to insignificant levels approaching a zero mortality and serious injury rate”, where “*insignificant*” has been defined by NMFS as 10% of the species-specific PBR level (50 CFR § 229.2). So, a “*significant*” fishery-related mortality for the stock is then defined as $> (0.1 * \text{PBR})$.

Under the MMPA, mammal stocks must be classified as either (1) strategic or (2) non-strategic, where “*strategic*” stocks are those where anthropogenic mortality is “*significant*” or the stock is declining/depleted, or the stock is listed under the ESA. ***Importantly, the mortality levels to be used to define “significant” are supposed to be based on fishery-independent data.***

When the bycatch of a marine mammal population subject to U.S. jurisdiction exceeds PBR, then a TRT is convened to develop a TRP. Further background to the history, development and testing of the PBR concept as prescribed by the MMPA regulatory context can be found in Brandon (2017), Punt (2018) and Punt (2020).

3.2 Estimating a Key Component of PBR

A keystone component of the PBR calculation is an unbiased absolute abundance estimate and its precision to help derive the N_{MIN} (Wade 1998, Punt, 2020). This component is much harder to derive robust unbiased estimates and precision than say the R_{MAX} component that could readily be derived from meta-analytic summary of other studies.

Interestingly, PBR defines the N_{MIN} for the whole stock, not for instance just the adult portion. This is a major deficiency in the model because the immature age classes of long-lived marine mammals or marine turtle species may sustain greater anthropogenic sources of mortality than the reproductive adult portion of the stock (Chaloupka, 2002). The risk depends on the age class- and/or sex-specific exposure to an anthropogenic hazard.

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Presumably, to be precautionary, PBR should be calculated for the age class/sex with the greater relative reproductive value. However, the PBR concept assumes all anthropogenic mortality impacts are not age class- or sex-dependent, which is most likely demographically unrealistic in most cases for marine mammals. The question then arises if it is practical to determine age class- and/or sex-specific N_{MIN} even if it isn't an explicit requirement under the MMPA.

Leaving aside the practicality of determining age class- and/or sex-specific, it has been shown recently that age and sex structure of a population can have a significant effect on the PBR level estimate (Punt 2018, Punt 2020), especially if there is sex-biased bycatch mortality (Brandon 2017). Punt (2018) suggests that reducing the recovery factor (F_R) could help account for apparent transient ageclass effects when calculating the PBR but this would be quite an arbitrary application and unreproducible.

Perhaps more practical is the focus on more robust estimates of abundance for each of the three FKW populations exposed to the Hawaii-based pelagic longline fishery. The 2017 NMFS ship-based line-transect sampling efforts were a substantial commitment in this direction for the pelagic and NWHI populations and in the recent 2020 survey for the MHI population as well, although these surveys do not provide age class- or sex-specific estimates of population size.

Importantly, the uncertainty in population size estimates used for the PBR can also bias the PBR level estimate (Punt 2020) further supporting the need for reliable population size estimates to enable application of the PBR concept. In fact, PBR depends on the availability of unbiased and precise absolute abundance estimates, which are often unavailable.

Punt (2021a) have proposed three alternative methods to PBR based on bycatch mortality or so-called “removal” estimates and relative population abundance estimates. However, these methods were found using a simulation-based testing framework to be more affected by parameter uncertainty than PBR and also required precise estimates of bycatch mortality. So, the conundrum continues — PBR and several alternatives depend on either unbiased and precise absolute abundance estimates or precise bycatch mortality estimates. More importantly, PBR does not account explicitly for the long-term population-level consequences of exposure to fishing gear.

3.3 Variations on the PBR Theme

Recent extensions of the PBR method have been proposed such as accounting for indirect bycatch effects (Moore 2013), including depensation effects for depleted populations (Haider, 2017) and application of the PBR concept within a data-quality-dependent tiered system (Brandon 2017).

Punt (2021b) used PBR within a stock assessment framework to evaluate bycatch risk for two species of South American pinniped. Meanwhile, Robards (2009) argued that the PBR concept was not appropriate for risk assessments for the ice-associated pinnipeds such as Pacific walrus in a rapidly changing Arctic environment. Instead, Robards (2009) advocates for consideration

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of ecosystem-based approaches but readily acknowledge that the MMPA does not make adequate provision for such approaches.

3.4 Marine Mammal Examples of PBR Application

PBR estimates for the Hawaiian FKW populations are provided for instance in Caretta (2020).

Marsh et al (2004) used the PBR concept with empirical estimates of key demographic parameters to assess harvest risk in the Torres Strait (northeast Australia) dugong fishery and concluded that the current harvest rate was unsustainable. Mannocci (2012) used both a deterministic matrix population projection model and PBR to assess common dolphin bycatch risk in the Bay of Biscay (French Atlantic coast) and concluded that estimated bycatch rates posed a significant risk for the long-term viability of these populations.

More recently, Krebs (2020) used PBR to assess the risk of exposure to coastal development (shipping, fishing, oil spillage) on Irrawaddy dolphin populations resident in Balikpapan Bay (East Kalimantan, Indonesia). There was a serious lack of empirical estimates of Irrawaddy dolphin demographic parameters for that study.

3.5 Mortality and Serious Injury Determination

The PBR estimated for each FKW stock exposed to the Hawaii-based pelagic longline fishery comprises not only observed mortalities but also the observed “seriously injured” FKWs (Bradford and Forney 2017). The combined mortality and serious injury metric (MSI) is based on an assumption that FKWs released alive from the longline gear might (1) still die at some later time post-release due to capture-induced injury or (2) suffer ongoing morbidity that could manifest as impaired growth or reproductive capacity.

Post-release mortality (PRM) is a straightforward metric to determine for FKWs captured and released alive from the longline gear as has been done for loggerhead marine turtles exposed to the same fishery (Chaloupka 2004). PRM has not been estimated for any FKW population. PRM is straightforward to estimate but post-release sublethal effects due to various levels of injury are not simple to estimate — and no attempt has been made to derive such estimates for any FKW population. Consequently, current MSI estimates are incomplete, inaccurate and quite vague due to the unreproducible concept of “serious injury” as currently applied. Recently, Punt (2020) using a model-based evaluation of PBR, found that sublethal effects on birth rates in their model scenarios for marine mammals was not likely to impair the recovery of the stock exposed to fishing gear.

Meanwhile, uncertainty in PRM and sublethal effects could be accounted for in FKW PBRs by adjusting the recovery factor (F_R) component of the PBR calculation (Punt 2020). But this is not a principled or reproducible means for accounting for PRM and/or sublethal effects in the determination of the allowable level of human-caused mortality for a FKW population exposed to the Hawaii-based pelagic longline fishery. The SSC previously advised the Council as follows on this issue in 2013:

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“ ... that NMFS consider a team-based determination in the serious injury determination process, especially for data-poor cases, and that NMFS expand the membership of the determination working group to include non-NMFS scientists, cetacean veterinarians, fishery experts and vessel captain and crew, as appropriate, to further improve the clarity and transparency of the process. Furthermore, NMFS should continue to enhance the quality of data collected by observers by improving training to minimize the number of cases that are classified as “unidentified blackfish” or M&SI “cannot be determined (CBD)”.

3.6 An Alternative Risk Assessment Framework

As noted above, PBR does not account explicitly for the long-term population-level consequences of exposure to anthropogenic hazards such as pelagic longline gear. A *population consequences of disturbance* (PCoD) conceptual framework has been advocated to assess the likelihood of population-level consequences given marine mammal exposure to anthropogenic hazards (Pirotta 2018). This simple framework comprises a 4-level sequence ranging from observed changes in individual behaviour (level 1) to population-level effects such as impaired reproductive, survival or population growth rates (level 4). Surprisingly, there have been few applications of the PCoD framework to marine mammal risk assessments (Pirotta 2018).

A recent PCoD application is the risk assessment undertaken for the West Australian humpback whale stock exposed to a simulated commercial seismic survey scenario (Dunlop 2021) — they found no evidence that seismic surveys would have an effect on female humpback breeding rates and little evidence that population growth rate would be affected. While not a specific PCoD application, Punt (2021b) nonetheless uses PBR within a simple stock assessment model given data limitations to assess trends in abundance and also population growth rates for 2 pinniped species (level 4 effects).

A PCoD approach to assessing the potential consequences of FKW population exposure to pelagic longline fisheries is needed. Within this conceptual framework, the focus should be on estimating population-level effects on long-term FKW stock viability to better support evidence-informed FKW bycatch mitigation policy. Hence robust and transparent capture-mark-recapture sampling and modelling approaches for the insular FKW population needs to be undertaken to derive reliable estimates of key demographic parameters (survival, breeding, recruitment) and population size. This would support application of rigorous stochastic population dynamic based risk assessment approaches such as proposed by Hilborn and Ishizaki (2013) for the Hawaiian FKW populations (level 4 effects).

3.7 Conformance-based monitoring of FKW captures

PCoD review of the FKW populations is important to derive a robust form of risk assessment. However, it is equally as important to regularly know the long-term status of each FKW population exposed to the Hawaii-based pelagic longline fishery. Conformance monitoring has been advocated at previous SSC meetings as a simple, robust and effective means to monitor and report on protected species bycatch in the Hawaii-based pelagic longline fisheries. Specifically, a

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statistical process control chart approach using a generalized statistical model for over- or under-dispersed data using the Conway-Maxwell Poisson model (Sellers 2012, Huang 2017) was proposed as shown in Figure 2. The purpose of this device is to:

- identify an anomalous or non-conformance shift or trend in the FKW bycatch time series process (control limits: 2014, 2018)
- help identify an appropriate management response to any bycatch anomalies based on either k -sigma- or probability-based control limits
- and to present this information in a simple but robust analytic framework that can be readily updated as new data are acquired sequentially in a comprehensive monitoring program

The process control chart would help to put the annual FKW captures in context with the expected sampling behavior for such a rare event and the current PBR. This could lead to an evidence-informed mitigation response to an identified anomaly. Preferably the control charts would be constructed for specific FKW age classes.

4.0 Summary and Conclusions

Section 118 of the MMPA directs the NMFS to prepare a TRP for each ‘strategic’ marine mammal stock that interacts with a Category I or Category II fishery. Such plans also may be developed when a Category I fishery has a high level of mortality and serious injury involving one or more marine mammal stocks, even though it does not exceed the PBR of the marine mammal stock.

NMFS uses TRTs to develop recommendations for measures to be included in TRPs, and to monitor the implementation of those plans until NMFS has determined that take reduction goals have been met TRTs are appointed to formulate consensus-based take reduction measures for marine mammals. Since 2018, the TRT formed for FKW has been unable to reach consensus over revised measures to be included in a TRP for the species.

In view of this situation, representatives from the Council’s SSC conducted an in-depth review of the best available scientific information to evaluate the status of information pertaining to FKW. Recommendations provided below are intended to help guide future management recommendations made by the Council to NMFS, and to assist parties in formulating measures for inclusion in a TRP for the species.

4.1 Syntheses and Draft Recommendations for SSC

1. FKW are a wide-ranging species in the Pacific Ocean (Figure 1) and are potentially exposed to the risk of capture in pelagic longline fishing gear. FKWs are caught infrequently in that gear (Figure 2).

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Recommendation: Develop take reduction measures that recognize the already-low mortality rate of FKW caught in fishing gear.

2. The post-release mortality rate of FKW from fishing gear is presently unknown. Indirect, sublethal effects of capture and release of FKWs that might impair reproductive behavior is also unknown. At-vessel and post-release mortality rates estimates are essential for assessing the risk of FKW expose to the US-based pelagic longline fisheries in the Pacific. Understanding whether there are any meaningful sublethal effects on long-term population viability is also important.

Recommendation: Conduct a post-release study on FKWs using satellite tags or other technology to assess mortality rates and sublethal affects. Develop cost-effective methods to determine robust estimates of sublethal effects attributable to capture and release from pelagic longline.

3. The current risk assessment framework for FKWs caught in the US-based pelagic longline fisheries is based on the PBR. The background to the PBR approach and some recent variants were reviewed. It is clear that the PBR method in any form does not account explicitly for the long-term population-level consequences of exposure to anthropogenic hazards such as pelagic longline gear. It is presently assumed that long-term consequences exist for the FKW populations exposed to longline gear. Available scientific data do not strongly support this assumption. A rigorous risk assessment framework should be established to support an evidence-informed bycatch mitigation policy. Such a framework should assess the demographic consequences of exposing FKW to the longline fishery.

Recommendation: Implement a PCoD, comprised of a 4-level sequence ranging from observed changes in individual behaviour (level 1) to population-level effects such as impaired reproductive, survival or population growth rates (level 4). PCoD review of the FKW populations is important to derive a robust form of risk assessment. However, it is equally as important to regularly know the long-term status of each FKW population exposed to the Hawaii-based pelagic longline fishery. PCoD is a simple, robust and effective means to monitor and report on protected species bycatch in the Hawaii-based pelagic longline fisheries.

4. A stochastic population dynamic-based, risk assessment model does not exist, and could be useful in assessing the applicability of PBR and its variants for bycatch management in the FKW population. PBR is a legal concept provided for under the MMPA. However, the application of PBR to a species such as FKW may not be appropriate, or suffer significant limitations that should be better understood to ensure the results of such analyses are the best available scientific information.

Recommendation: Develop a population dynamic-based model to assess the applicability of PBR for bycatch management. Use the results of such modelling to inform selection of appropriate take reduction measures for the US-based pelagic longline fishery.

5. Management uncertainties and challenges associated with FKW in the US-based longline fishery have not been resolved through a consensus-based TRT process established by NMFS

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under the MMPA. Prior scientific recommendations made by the SSC have not been addressed or included in a TRP for FKW.

Recommendation: Make use of the SSC process provided in the Magnuson-Stevens Act to help inform measures considered by the TRT and other parties in formulating a TRP. Subject SSC recommendations and work products to independent scientific peer review in published literature to confirm the validity of such recommendations and work products.

6. It is important to regularly and reliably know the long-term status of each FKW population exposed to the Hawaii-based pelagic longline fishery. Conformance monitoring has been advocated at previous SSC meetings as a simple, robust and effective means to monitor and report on protected species bycatch in the Hawaii-based pelagic longline fisheries. This would lead to an evidence-informed mitigation response to an identified anomaly.

Recommendation: The Council and NMFS should adopt conformance-based monitoring of FKW captures in the Hawaii-based pelagic longline fisheries and report the conformance and any anomalies in the SAFE Report.

7. The economic impacts of current FKW regulatory measures and depredation events on commercial fisheries is not well understood. The costs and benefits of regulatory measures on the commercial fishery should be better understood so that decisionmakers and the public are aware of the impacts of these measures on commercial operations. Conducting baseline economic studies will also help inform future management decisions under the MSA and National Environmental Policy Act.

Recommendation: The Council should undertake a study to assess the economic impacts of FKW regulatory measures on Hawaii-based pelagic longline fisheries and report the results of such studies to NMFS. This study should be updated over time to assess the cumulative impacts of such regulatory measures on commercial fisheries.

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Appendix A

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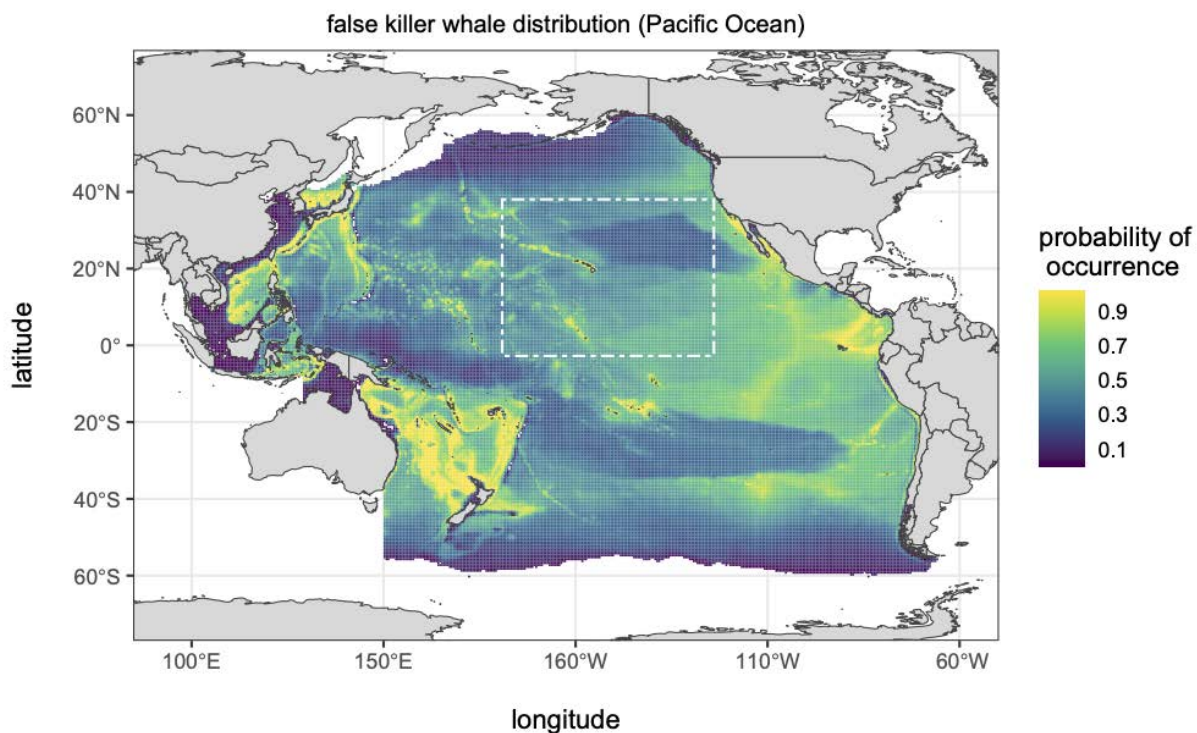


Figure 1 Spatial distribution of the false killer whale in the Pacific Ocean with the probability of occurrence by half-degree cells (data sourced from AquaMaps: Kaschner et al 2006). The white dashed bounding box shows the approximate extent of the Hawaii-based pelagic longline fisheries. It is apparent that FKWs have minimal exposure to the Hawaii-based pelagic fisheries.

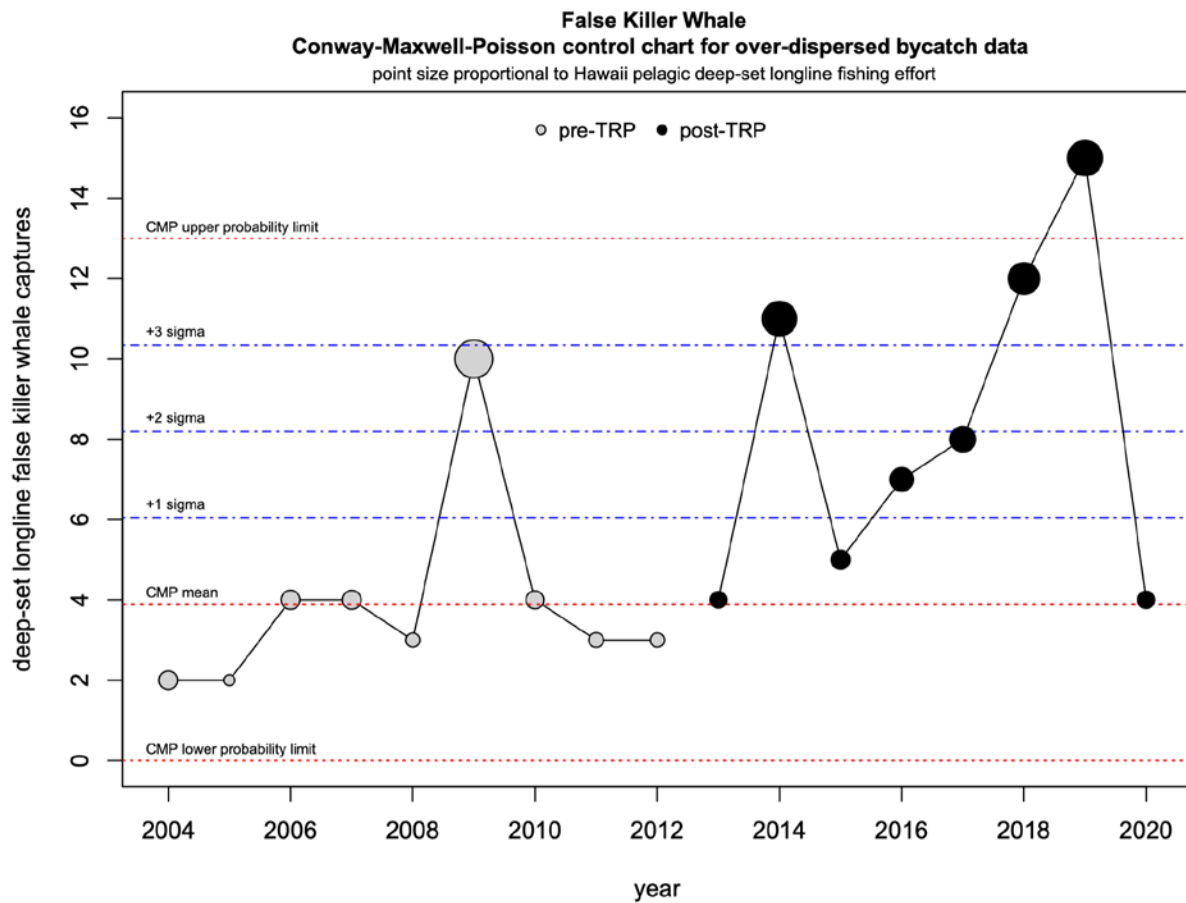


Figure 2 Statistical process control chart for FKW bycatch in the Hawaii-based deep-set pelagic longline fishery (2002 - 2020). Both k-sigma and probability control limits are shown derived from a Conway-Maxwell-Poisson statistical model (Sellers 2012, Huang 2017) fitted to the over-dispersed FKW bycatch time series prior to the TRP (2013). It was apparent that 2014, 2018 and 2019 were anomalous bycatch years based on a 3-sigma control limit while 2019 was an anomalous bycatch year based on an upper probability-based control limit. Those anomalous years were also the years with the highest FKW CPUEs (fishing effort proxy). The expected Conway-Maxwell-Poisson mean estimated for the 2002-2012 or pre-TRP series was ca 4 FKWs per annum. Data sourced from WPRFMC (2019). TRP = Take Reduction Plan.